Correction of β-thalassemia major by gene transfer in hematopoietic progenitors of pediatric patients

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β-Thalassemia is a common monogenic disorder due to mutations in the β-globin gene and gene therapy, based on autologous transplantation of genetically corrected hematopoietic stem cells (HSCs), holds the promise to treat patients lacking a compatible bone marrow (BM) donor. We recently showed correction of murine β-thalassemia by gene transfer in HSCs with the GLOBE lentiviral vector (LV), expressing a transcriptionally regulated human β-globin gene. Here, we report successful correction of thalassemia major in human cells, by studying a large cohort of pediatric patients of diverse ethnic origin, carriers of different mutations and all candidates to BM transplantation. Extensive characterization of BM-derived CD34+ cells before and following gene transfer shows the achievement of high frequency of transduction, restoration of hemoglobin A synthesis, rescue from apoptosis and correction of ineffective erythropoiesis. The procedure does not significantly affect the differentiating potential and the relative proportion of hematopoietic progenitors. Analysis of vector integrations shows preferential targeting of transcriptionally active regions, without bias for cancer-related genes. Overall, these results provide a solid rationale for a future clinical translation.

INTRODUCTION

β-Thalassemia is the most frequent monogenic disease with a global estimated annual birth incidence of 40,000/year, mainly in the Mediterranean, Middle East and Southern Asia countries (Modell & Darlison, 2008). The reduced or absent production of hemoglobin β-chains leads to severe anemia, requiring regular blood transfusions (Weatherall & Clegg, 2001). As a consequence, the increased risk of viral infections and progressive iron accumulation can cause organs failure. Iron overload, although reduced by chelation, is not abolished and vital organs complications still occur affecting quality of life and representing the principal cause of death (Borgna-Pignatti et al, 2005). At present, the only definitive cure is allogeneic bone marrow transplantation (BMT), which is, however, available for a minority of patients. For all patients lacking a suitable bone marrow (BM) donor, gene therapy, as transplantation of autologous genetically corrected hematopoietic progenitor/
stem cells, represents an attractive alternative to BMT, since it is not limited by the histocompatibility barrier and does not require immunosuppression.

The clinical history of the disease and over 20 years of BMT experience indicates that even a mild correction of the globin chain imbalance in a fraction of maturing erythroblasts is sufficient to reduce the morbidity caused by ineffective erythropoiesis, to improve the clinical management of the disease and to increase the patients’ life expectancy (Andreani et al., 2000; Lucarelli & Gaziev, 2008). Long term follow-up of transplanted patients characterized by persistent mixed chimerism demonstrates that a proportion of normal cells between 15 and 30% is sufficient to provide good quality of life and transfusion independence (Andreani et al., 2008). Our results in the murine model of thalassemia indicate that the in vivo selection of genetically modified erythroblasts results in long-term correction of the pathology in the presence of a limited number of transduced haematopoietic stem cells (HSCs) (Miccio et al., 2008). Therefore, it is predictable that even partial engraftment of genetically modified stem/progenitor cells would be therapeutic. So far, one report showed correction of the thalassemia major phenotype in human cells by a lentiviral vector (LV) carrying a transcriptionally regulated β-globin gene flanked by sequences from the chicken β-globin DNaseI hypersensitive site 4 insulator (cHS4) (Puthenveetil et al., 2004). Disappointingly, the inclusion of a large insert, such as the 1.2-kb cHS4, in 3′-long terminal repeat (LTR), causes inefficient viral RNA processing thus affecting the production of high titer viral stocks, required for clinical application (Hanawa et al., 2009; Urbiniati et al., 2009). Recently, the results of the first trial of gene therapy in one patient were disclosed and the unexpected observation of a relative dominant haematopoietic clone, apparently as result of the vector integration, raised a notion of alarm and caution (Kaiser, 2009; Williams, 2009). At this time, it is too early to predict if this event would turn out to be a serious adverse event. Nevertheless, extensive preclinical studies of biology, efficacy and safety of LV-mediated globin gene transfer in human cells are mandatory to make a rigorous evaluation of a predictable successful trial.

CD34+ cells are the target of gene transfer and transplantation in gene therapy clinical protocols. These cells are a heterogeneous population covering not only stem cells but also earlier multipotent progenitors and later lineage-restricted progenitors, and their relative proportion is related to the haematopoietic state (steady or stressed) (Bradford et al., 1997; Cheshier et al., 1999), the source (cord blood, BM and mobilized peripheral blood) (Fritsch et al., 1996; Kinniburgh & Russell) and the age (van Lochem et al., 2004). Thus, the effect of gene transfer on progenitors subsets equilibrium has to be evaluated to avoid skewing to specific cell types. Importantly, the investigation of the transcriptional response of CD34+ cells to gene transfer would allow both to predict biological and functional outcome and to define the best culture conditions to preserve the original features.

We developed the novel LV GLOBE, harbouring the human β-globin gene under the control of the minimal promoter and two elements from the locus control region (LCR), and demonstrated its therapeutic efficacy in long-term correction of murine β-thalassemia (Miccio et al., 2008). In the present study, we analysed the efficacy and safety of GLOBE-mediated gene transfer in haematopoietic progenitors isolated from BM aspirates of a large cohort (n = 44) of pediatric patients affected by β-thalassemia major, characterized by different genetic mutations. Our study includes an extensive molecular and biological characterization of the target cells, the optimization of the transduction protocol and the impact of this procedure on progenitor cells, the evaluation of gene transfer efficiency and efficacy and the mapping of proviral integrations in thalassemic CD34+ cells. To date this represents the most comprehensive preclinical analysis performed in thalassemia major patients’ cells, whose results will pave the way forward the proposal of the clinical application of gene therapy using GLOBE LV.

RESULTS

Characterization of BM-derived CD34+ cells isolated from patients affected by thalassemia major

Patients affected by β-thalassemia major were enrolled starting from 2005 in the BM transplantation programme at H.S. Raffaele (HSR, Milan) and Mediterranean Institute of Hematology (IME Foundation, Rome). Pre-transplantation BM samples from a group of patients (n = 44, Table 1) were donated for this research study. The patients were children (age range 2–15 years, median = 8, 24 males and 20 females) of different geographic and ethnic origin, from countries in the Mediterranean area. Twenty-seven were β0-thalassemia patients (carrying the same or different allelic mutations), nine were homozygous for β+ mutations and eight compound heterozygous for β0 and β+ mutations.

We isolated CD34+ cells from the mononuclear cells (MNC) fraction of thalassemic BM samples (THAL, n = 30), to a yield of 0.22 ± 0.03 × 106 cells/ml (2.72 ± 0.36%/total MNC) that was comparable (p > 0.05) to that of normal donor samples (ND, n = 9, 0.16 ± 0.03 × 106 cells/ml; 1.44 ± 0.24%/total MNC). Fluorescence-activated cell-sorter scanner (FACS) analysis for the expression of surface markers, characterizing the multipotent, lymphoid and myeloid progenitors (Olweus et al, 1996; Terstappen et al, 1991; Tjonnfjord et al, 1994) shows that CD34+CD38−CD50−, CD34+CD10+ and CD34+CD33+ are 2.4 ± 1.1, 61 ± 4 and 35 ± 3.2%, respectively (Fig 2B). Erythroid hyperplasia is present in thalassemic BM as revealed by the increased percentages of Glycoporphin A (GpA)+ cells in comparison to the ones observed in normal donor samples (59 ± 9% of total MNC, n = 7 (vs.) 8.2 ± 1.9%, n = 4, p < 0.01). Because of this erythroid expansion, we investigated the existence of an increased proportion of erythroid committed progenitors by analyzing CD34+GpA+, CD34+CD36+ and CD34+CD71+ cells. Figure 1A shows no significant differences (p > 0.05) between normal (0.7 ± 0.2%) and thalassemic (0.9 ± 0.1%) samples in the proportion of CD34+ cells co-expressing GpA. CD34+CD36+ cells are more abundant in normal than in thalassemic samples (8.6 ± 1.6% vs. 4.7 ± 1%, p = 0.05), without significant impact on the relative frequency of
clonogenic progenitors (see below). CD71+ cells are significantly decreased (p < 0.001, 28 ± 3% vs. 64 ± 4%) in the CD34+ cell population of thalassemic samples in comparison to normal samples, suggesting a down-regulation of the transferrin receptor due to iron overload.

The property of individual early progenitors to give rise to burst-forming unit erythroid (BFU-E), colony-forming unit granulo-monocyte (CFU-GM) and colony-forming unit granulocyte–erythrocyte–monocyte–megakaryocyte (CFU-GEMM) colonies was analysed by colony-forming unit (CFU) assay. An equal number of clonogenic cells (52 ± 5 CFU/1 × 10^3 cells plated) is present in normal (n = 12) and thalassemic (n = 20) samples. Percentages of BFU-E, CFU-GM and CFU-GEMM in patients’ samples are comparable to normal ones (49 ± 4%, 48 ± 4%, 0.9 ± 0.4% vs. 49 ± 5%, 50 ± 5% and 1.2 ± 0.4%, Fig 1B) indicating no significant alterations associated with the disease in the phenotype and differentiating potential of CD34+ cells.

Cytokines treatment of CD34+ progenitors induces minor changes in their phenotype and activity

We optimized a short-term gene transfer procedure consisting of a pre-stimulation step in the presence of a cocktail of cytokines, **Table 1. Thalassemia major samples**

<table>
<thead>
<tr>
<th>Sample</th>
<th>Sex</th>
<th>Age</th>
<th>Origin</th>
<th>Phenotype</th>
<th>Mutation</th>
<th>Source a</th>
</tr>
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<td>F</td>
<td>4</td>
<td>Qwait</td>
<td>β0</td>
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<td>IME</td>
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<td>HSR</td>
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</tr>
<tr>
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<tr>
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<tr>
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<tr>
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<tr>
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<tr>
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<td>cod8 (– AA)</td>
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<td>THAL 113</td>
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<td>Syria</td>
<td>β0</td>
<td>cod8/cod8 (– AA)</td>
<td>HSR</td>
</tr>
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</table>

Palest. terr., Palestinian territories; Iraqi Kurd, Iraqi Kurdistan.

aSource of the BM aspirates: HSR (S.Raffaele Hospital) or IME (Mediterranean Institute of Hematology).
followed by transduction with the vector stock. In order to assess the impact of this treatment on CD34\(^+\) cells, we compared progenitors’ phenotype and activity of untreated samples (THAL, \(n = 20\)) to the ones of samples activated by cytokines for 42 h (as duration of pre-stimulation and transduction) (THAL-act, \(n = 19\)), or transduced with the vector (THAL-GLOBE, \(n = 18\)). No significant difference (\(p > 0.05\)) is found in the number of clonogenic progenitors in THAL, THAL-act and THAL-GLOBE samples (52\(C_6\)5, 61\(C_6\)5 and 60\(C_6\)5/1000 cells plated, respectively). Similarly, the proportion of BFU-E (49\(C_6\)4\%, 58\(C_6\)3\% and 58\(C_6\)4\%), CFU-GM (48\(C_6\)4\%, 39\(C_6\)3\% and 40\(C_6\)3\%) and CFU-GEMM (0.9\(C_6\)0.4\%, 0.7\(C_6\)0.2\% and 0.5\(C_6\)0.1\%) is not significantly modified by the exposure to cytokines and to vector stock (Fig 2A). Control experiments performed with normal donor cells reveal no difference in response to cytokine treatment between patients’ and normal cells (Fig S1 of Supporting Information).

Analysis of the effect of cytokines on progenitor subpopulations shows no significant difference in the proportion of multipotent progenitors (CD34\(^-\)CD38\(^-\)CD50\(^+\)) before and after stimulation (2.4\(C_6\)1.1\% vs. 2.1\(C_6\)1.4\%). Differently, a significant decrease in the proportion of lymphoid committed progenitors CD34\(^-\)CD10\(^+\) (61\(C_6\)4\% vs. 38\(C_6\)3\%, \(p < 0.05\)), associated with a marked increase in the frequency of myeloid committed progenitors CD34\(^-\)CD33\(^+\) (35\(C_6\)3\% vs. 65\(C_6\)5\%, \(p < 0.01\)) is observed in thalassemic cells stimulated with cytokines (Fig 2B). Importantly, no reduction in the total number of cells expressing the CD34 marker is evident. In normal donor samples there is a similar, but less pronounced, shift in the subpopulations of committed progenitors after activation (data not shown). Notably, the change in the relative proportion of committed progenitors, induced by cytokine exposure, is not associated to a significant alteration in the number and activity of early progenitor cells, scored by CFU assay.

**Genome expression profiling of thalassemic and normal CD34\(^+\) cells and molecular response to cytokine activation**

To understand the global impact of cytokine stimulation on the gene expression programme of CD34\(^+\) cells from thalassemic patients, we determined the expression profile by Affymetrix microarray analysis on RNA extracted from 20 thalassemic and 22 normal samples, before and after *in vitro* stimulation. An unsupervised hierarchical clustering analysis identified two main branches corresponding to unstimulated and stimulated samples, indicating that cytokine treatment had the strongest effect in discriminating between samples, overcoming disease status (Fig 3A). Indeed, thalassemic and normal samples clustered together, within each main branch. However, when five samples derived from pediatric donors were added to the unstimulated control data set, thalassemic and healthy pediatric samples clustered together, suggesting that age is a major factor in driving sample clustering at least in untreated CD34\(^+\) cells (Fig 3B). To define the genes consistently over- or under-expressed in normal versus thalassemic samples before and after cytokine treatment, we used a supervised hierarchical ordering approach. Less than 25 genes (0.1\%) were expressed at
significantly different levels in thalassemic versus normal CD34⁺ cells in either condition, after Bonferroni correction (Fig S2 A and B of Supporting Information). Overall, these results indicate that cytokine treatment induces major changes in the gene expression programme of CD34⁺ cells, with no substantial difference between cells obtained from thalassemic and healthy donors.

GLOBE transduces at high efficiency CD34⁺ cells from β⁰ and β⁺ patients leading to correction of haemoglobin A deficiency

Correction of β-thalassemia by gene therapy requires gene transfer in stem/progenitor cells and high level of β-globin gene expression in the differentiated erythroid progeny. To this aim, we utilized the GLOBE LV, recently described correcting thalassemia in the murine model (Miccio et al, 2008), and its derivative containing the woodchuck post-transcriptional regulatory element. BM-CD34⁺ cells from 22 thalassemia major patients (Table 1 of Supporting Information) were transduced with GLOBE (THAL-GLOBE) or maintained in medium containing the cytokines only (THAL). Gene transfer efficiency is variable, as expected from primary cells, but generally high, ranging from 37 to 95 % with a mean value of 65 %. Quantitative PCR (qPCR) performed on DNA extracted from bulk cultures at day 14 reveals an average vector copy number (VCN)/cell of 1.6 (normalized value 2.6) (Fig 4A). The same analysis, performed at clonal level in a high number of CFU (n = 213) grown in methylcellulose from transduced CD34⁺ cells, shows a Poisson-like distribution, with most of the colonies (83.6 %) carrying a limited VCN (1–4) and a low frequency (2.35 %) of colonies with high VCN (>9) (Fig S3 of Supporting Information). The association between VCN and the extent of disease correction, evaluated by FACS and high-performance liquid chromatography (HPLC) analysis of haemoglobin A (HbA) production, and morphological analysis of mature erythroblasts, is indicated for each sample in the Table S1 of Supporting information.

To assess the efficacy of GLOBE to correct HbA deficiency, transduced and control cells (n = 10) were grown in erythroid unilineage culture, as in vitro modelling of erythropoiesis. The representative FACS dot-plot in Fig 4B (left panel) shows undetectable expression of HbA in a β⁰ sample (THAL 106) and residual HbA production, revealed by low mean fluorescence intensity (MFI), in a β⁺ sample (THAL 51). In normal erythroid cultures (ND, n = 5), the proportion of HbA⁺ cells reaches a maximum of 74 % depending on the amount of mature cells. Following transduction with GLOBE the proportion of HbA⁺ cells in cultures from thalassemic patients achieves a level comparable to the normal ones (44.6 ± 6.7 % vs. 53.8 ± 7.2 %, MFI 3170 ± 802AU vs. 2360 ± 429AU) (Fig 4B, right panel). A statistically significant increase in the amount of HbA (2076 ± 647AU vs. 1323 ± 429AU, p < 0.05) is observed in transduced samples from β⁺ patients (THAL-β⁺). At clonal level, the HbA expression pattern in BFU-Es, isolated from five independent transduction experiments, is comparable to that observed in normal colonies (Fig S4 of Supporting Information).
Vector-derived β-globin synthesis provides correction of β/α chains imbalance in erythroid cells

Since the imbalance between α- and β-globin chains is the main cause of erythroid precursors death leading to ineffective erythropoiesis and anaemia, the evaluation of the newly synthesized globin chains provides the only quantitative measurement of the correction of the β/α ratio. Therefore, we performed reverse phase-HPLC analysis of radiolabelled protein extracts from erythroid cultures at day 14. As reported in Fig 5, this analysis shows absence of β-chain synthesis in β⁰ cells and low level of synthesis in β⁺ cells, resulting in a β/α ratio of 0.14. In these experiments, the β/α ratio in control normal cells (ND1 and 2) is 0.97 and 0.79, respectively. Restoration of β-chain synthesis in transduced β⁰ cells, revealed by the appearance of a distinct peak in Fig 5 (lower left panel), results in a β/α ratio of 0.30. Similarly, this value is increased up to 0.35 in genetically modified β⁺ cells (Fig 5, right panels). Both these values are in the range of those observed in β-thalassemia carriers (Giordano et al, 1999), indicating that gene transfer with GLOBE in thalassemic cells provides transgene expression at therapeutic level.
Figure 4. Transduction efficiency of thalassemia major CD34⁺ cells by GLOBE vector and correction of HbA deficiency in transduced erythroblasts.

A. Schematic representation of the percentage of transduction as determined by PCR on CFUs derived from transduced CD34⁺ cells, and of the average VCN/cell, as determined by qPCR on bulk erythroid culture. Single dots and triangles represent individual experiments.

B. Left: Representative FACS analysis for HbA expression at 2 weeks of erythroid culture from normal (ND1), thalassemic (THAL 106, 51) and thalassemic GLOBE-transduced (THAL 106-GLOBE, 51-GLOBE) CD34⁺ cells after intracellular HbA staining. Right: Cumulative data from FACS analysis for HbA expression in erythroid cells. In the upper panel, the mean proportion ± SEM of HbA⁺ cells in normal (ND, black bar, n = 5), thalassemia-β⁰ (THAL-β⁰, white bar, n = 7) and thalassemia-β⁰⁻GLOBE-transduced (THAL-β⁰⁻GLOBE, grey bar, n = 7) cultures. In the lower panel, the MFI of HbA-expressing cells in the normal (ND, black bar, n = 5), thalassemic (THAL-β⁰ and -β⁺, white bars, n = 7 and 3) and thalassemic GLOBE-transduced (THAL-β⁰ and -β⁺, grey bars, n = 7 and 3) cultures. Asterisks indicate parameters significantly different between groups (*p < 0.05; **p < 0.01; ***p < 0.001).

Figure 5. Reverse phase HPLC analysis of radiolabelled globin chains synthetized in erythroblastic culture.

HPLC profile of globin chains obtained from lysates of 15 x 10⁶ erythroid cells after 14 days of liquid culture started from normal (ND1 and ND2), thalassemic (THAL 35 = THAL-β⁰ and THAL 51 = THAL-β⁺) and thalassemic GLOBE-transduced (THAL-β⁰⁻GLOBE or THAL-β⁺⁻GLOBE) BM CD34⁺ cells after metabolic incorporation of radiolabelled leucine. Neo-synthetized single globin chains (α, β, δ, Aγ and Gγ) are indicated on each corresponding peak.
Restoration of effective erythropoiesis by GLOBE-transduced cells

Differential counting of thalassemic cells at day 14 of the erythroid culture shows a block in the differentiation, indicated by reduced progression to the orthochromic normoblast stage and increased cell death, occurring particularly at the stage of polichromatophilic normoblast. In contrast, THAL-GLOBE cells differentiate to orthochromic erythroblasts and eventually to reticulocytes similarly to normal cells (Fig 6A). The relative proportion of proerythroblasts, basophilic, polichromatophilic, orthochromic normoblasts and reticulocytes in GLOBE-transduced samples are comparable to those of normal samples (1.0 ± 0.6%, 5.5 ± 1.6%, 17.3 ± 3.6%, 60.1 ± 4.4%, 6.9 ± 3.3% vs. 0.5 ± 0.5%, 4.8 ± 0.7%, 25.6 ± 2.2%, 55.2 ± 5.5%, 6.4 ± 4.6%, respectively). In thalassemia major control samples there are a high frequency of dead cells (21.7 ± 4.4%), few reticulocytes (0.4 ± 0.2%) and a significantly reduced (p < 0.01) proportion of late normoblasts (orthochromic, 44.8 ± 6.3%) with respect to both normal and transduced samples (Fig 6B). According to these data, analysis of apoptosis and cell death in thalassemia cultures reveals a high proportion of GpA⁺ cells co-expressing the apoptotic marker Annexin-V (33.5 ± 5.4%) and stained positive after PI exposure (18.1 ± 3.1%). Differently, the frequency of apoptotic and late apoptotic/dead cells in transduced samples is significantly reduced (17.3 ± 3.1% and 8.9 ± 2.9%, p < 0.05) and comparable to that observed in normal control (13.80 ± 0.5% and 7.6 ± 1.6%) (Fig 6C and D). These results indicate that thalassemic cells transduced with GLOBE are able to overcome the arrest in erythroid maturation and progress to normal erythropoiesis.
GLOBE integration preferences in CD34⁺ cells from thalassemic patients

To gain insight on the integration preferences of the GLOBE vector, we transduced 1 x 10⁶ CD34⁺ cells from four thalassemic patients at an MOI of 100, after 30 h of pre-stimulations with cytokines, as described above. Cells were cultured for 14 days (five to six cell doublings) to dilute unintegrated lentiviral genomes, and vector-genome junctions cloned and sequenced by linker-mediated (LM) PCR, as previously described (Cattoglio et al, 2007). No cell selection was expected to occur in this short culture period. A total of 403 unique integration sites (106 from THAL 20, 136 from THAL 37, 38 from THAL-40 and 123 from THAL 41; Table S2 of Supporting Information) were mapped on the human genome and annotated as intergenic, intragenic and transcription start site (TSS) proximal as indicated in Fig 7A. A collection of 438 integration sites of a LV expressing green fluorescent protein (GFP) under a CMV promoter (Felice et al, 2009) and of 385 random control sequences (Cattoglio et al, 2007) were used as comparison. The overall distribution of GLOBE and CMV-GFP integrations showed the same over-representation of intragenic (57.3% vs. 58.9%, *p > 0.05) and under-representation of intergenic (32.0% vs. 31.8%, *p > 0.05) sites compared to the random controls (35.1% intragenic and 59.5% intergenic, *p < 0.001 in all comparisons), which essentially reflect the gene content of the human genome (Fig 7A). For both vectors, TSS-proximal integrations were only slightly over-represented compared to random controls (10.9 and 9.1% vs. 5.5%, ***p < 0.01 and p > 0.05, respectively). Importantly, GLOBE showed the same low tendency of CMV-GFP to integrate at recurrent sites (hot spots) (6.4% vs. 5.9%, *p > 0.05), identifying 12 hot spots in both cases (Table S3 of Supporting Information). Hot spots were defined according to standard criteria, i.e. at least two integrations in <30 kb, three in <50 kb and four in <100 kb (Wu et al, 2006). It is worth noting that 1.6% of random sites met the same criteria (three hot spots), defining a background level of false positivity. The hot spots lists contain three cancer-related genes for GLOBE (NBN, NF1 and RFX2) and one for CMV-GFP (UHRF2) and random controls (ESR1). Although these numbers are too small to draw statistically significant conclusions, there appears to be no tendency for either LV to integrate into proto-oncogenes, as observed for retroviral vectors derived.
DISCUSSION

The development of HIV-derived vectors and the optimization of HSC transduction conditions has provided a significant contribution to the field of gene therapy for β-thalassemia, leading to the application of LVs expressing the human β-globin gene in preclinical murine models and in human thalassemic cells (Malik et al, 2005; Sadelain et al, 2007). The unique feature of β-globin LVs, carrying the transgene expressed by a combination of large regulatory elements derived from the globin locus, greatly affects the production of high-titer viral stocks and the transduction efficiency of target cells. Moreover, a delicate balance must be achieved to reconcile the needs for high efficiency of transduction of a considerable number of stem/progenitor cells, obtainable by cytokine-mediated stimulation and expansion and the maintenance of their biological features. Therefore, the characterization of transduced cells is crucial to predict a favourable outcome of the overall procedure. We characterized the BM-derived CD34+ cell population, which represents the preferential target of gene therapy clinical trials for genetic diseases involving children (Aiuti et al, 2009; Gaspar et al, 2004; Hacein-Bey-Abina et al, 2002), by analyzing the phenotype, clonogenic capacity and changes induced by the transduction procedure. BM will represent the most suitable source of haematopoietic progenitors for autologous transplantation in pediatric thalassemic patients in future gene therapy trials. Indeed, BM harvest is a safe procedure in children (Buckner et al, 1984), while cytokine-mobilization in thalassemic patients poses some safety concerns, due to the chronic hypercoagulable state (Taher et al, 2008) and the condition of splenomegaly often associated with the disease. We found that the frequency of multipotent progenitors and lineage-committed precursors in thalassemic BM is comparable to that in normal samples, and is not affected by the transduction procedure. The phenotype analysis for expression of surface markers characterizing the multipotent, common lymphoid and myeloid progenitors reveals that short exposure to cytokines, present in the preactivation/transduction medium, favours the expansion of the myeloid subpopulation against the lymphoid one. Experiments in normal donor samples give comparable results and the change in the relative proportion of committed progenitors is not reflecting a significant alteration in the number and quality of early progenitors, scored by clonogenic assay. Considering that, in the setting of gene therapy, the haematopoietic reconstitution will occur in the absence of immunosuppression, a decreased number of lymphoid progenitors in the transplant is not likely to compromise the outcome. Indeed, no evidence of immunological failure was reported in gene therapy clinical trials for genetic diseases. Moreover, in the presence of stressed erythropoiesis and erythroid expansion, like in thalassemia major BM, it is relevant to test the proportion of erythroid committed progenitors. Differently from previous results (Mathias et al, 2000), by analyzing a large number of samples we show normal expression of the erythroid differentiation markers and clonogenicity. Differences in patients’ age and clinical status at the time of marrow harvest could explain these findings. Moreover, gene expression profiling of cytokine-treated and -untreated CD34+ cells suggests that the effect of the transduction is similar between patients and healthy donors. Nevertheless, the nature of specific genes that are differentially expressed upon cytokine treatment might suggest a specific response in thalassemic cells. Indeed, analysis of specific pathways relevant for HSC biology and activity is in progress. However, the best prediction for repopulating activity of human cells comes from transplantation of high number of CD34+ cells in immunodeficient mice. The source of cells for this kind of experiment would come only from back-up, harvested from patients before transplantation, and we are planning these experiments for the future.

Recently, we achieved long-term correction of thalassemia in the th3 murine model using the novel GLOBE vector (Miccio et al, 2008). Following on from this successful result, the exploitation of the therapeutic efficacy of GLOBE was investigated in BM-derived CD34+ cells. We have shown correction of hallmark features of the β-thalassemia phenotype, such as synthesis of HbA and ineffective erythropoiesis. Analyses of erythroid cultures demonstrate that GLOBE is able to efficiently transduce CD34+ cells, providing physiological levels of HbA in the erythroid progeny with a relatively low number of integrants per cell, in line with our results in the murine model (Miccio et al, 2008). The beneficial effect of transgene expression results in the increased proportion of mature erythroblasts in comparison to untransduced controls. Importantly, HPLC analysis of newly synthesized globin chains reveals that α/β chain ratio is in the range of that reported in
literature by the analysis of β-thalassemia carriers (Giordano et al, 1999), indicating that gene transfer with GLOBE provides transgene expression at therapeutic level. Successful results in patients’ cells were previously achieved by transduction with a β-globin LV contained almost all LCR sites (HS 2, 3 and 4), in addition to the 3’ enhancer and the 1.2 kb cHS4 insulator (Puthenveetil et al, 2004). However, as reported in recent studies, large insulator elements negatively affect vector titer and stability of viral particles, thus limiting the scale-up production for clinical application (Hanawa et al, 2009; Urbiniati et al, 2009). Recent work on smaller sequences from cHS4 gave promising results in murine cells (Arumugam et al, 2009). So far, efficacy data obtained with GLOBE in a large and heterogenous group of patients’ samples strengthen the therapeutic potential of transcriptionally regulated globin LVs for future clinical application.

An important issue to address is the prediction of the safety of a gene therapy approach (Nienhuis et al, 2006). In contrast to RVs, LVs appear to integrate in the host genome throughout the transcriptional unit without preference for TSSs or promoters (Bushman et al, 2005), and are therefore associated to a lower risk of insertional activation of cellular genes by transcriptional mechanisms. Data from the first trial using LV in two patients affected by adrenoleukodystrophy are reassuring in terms of safety, with no evidence of expansion of specific transduced clones (Cartier et al, 2009). Moreover, vectors carrying β-globin promoters and LCR elements restrict transgene expression to the differentiated progeny within a single lineage, thereby reducing the risk of activating oncogenes in haematopoietic stem and progenitor cells. Our integration site analysis shows that GLOBE has integration preferences virtually indistinguishable from those of any other LV. GLOBE integration sites were associated with transcriptionally active genes, evenly distributed among low to high expression categories. Integrations hot spots are less frequent compared to RVs, and cancer-related genes do not appear to be over-represented compared to controls. We found that most of the target genes are maintained transcriptionally active in the genome of differentiating erythroblasts at day 7 and day 14 (unpublished results). Overall, these findings suggest the presence of a favourable chromatin context for transgene expression around GLOBE integration sites and no specific risk for the GLOBE vector. Notably, it was recently published that the epigenetic changes in the transgene promoter can be modulated by the presence of insulator elements in the vector (Arumugam et al, 2009), thus representing a tool for further improvement of transgene expression.

In conclusion, our results demonstrate the efficacy of a gene therapy approach for β-thalassemia by transduction of human progenitor cells with GLOBE vector and set the basis for a future clinical trial.

MATERIALS AND METHODS

Human subjects
Patients affected by thalassemia major or transfusion-dependent thalassemia intermedia were enrolled in the BMT programmes at H.S. Raffaele and IME Foundation. The diagnosis was based on family history, transfusion dependence, analysis of globin chains synthesis by HPLC and molecular analysis of mutations. BM aspirates performed for the clinical purposes of pre-transplant marrow evaluation were collected, following an hypertransfusion regimen, under general anesthesia. BM and blood samples (5–10 ml) were obtained from 32 patients at HSR and 12 patients at IME Foundation (age range 2–15 years). Normal CD34+ cells were either isolated from BM of healthy individuals or purchased from Lonza Inc (Walkersville, MD). All samples were obtained after informed consent from patients or legal guardians and with the approval of Institutional Ethical Committees.

Genetic screening for globin mutations
Genomic DNA was isolated from peripheral blood leukocytes using the Gentra Puregene Blood Kit (Qiagen). Characterization of mutations was performed by DNA sequencing of the β-globin gene on the ABI Prism 310 Genetic Analyzer (PE Applied Biosystems).

Isolation and characterization of BM-CD34+ cells
Mononuclear cells were isolated from BM aspirates by Ficoll density separation and CD34+ cells were selected using anti-CD34 microbeads (Miltenyi Biotech). CD34+ cells labelled with fluorescein isothiocyanate (FITC) or phycoerythrin (PE)-conjugated anti-CD34 antibodies (BD Biosciences—Pharmingen) were analysed for the expression of other markers using the following antibodies: PE-conjugated anti-GPa (DakoCytomation), anti-CD71 (Immunootech), anti-CD33 (CALTAG), FITC-conjugated anti-CD36, anti-CD50 (BD Biosciences—Pharmingen), anti-CD10 and tri-color anti-CD38 (CALTAG). Analyses by FACS were performed using FACSCanto flow cytometer (Becton Dickinson).

CFU assay
CD34+ cells were plated at 1 × 10³ cells/ml in methylcellulose medium (GFH4434, Stem Cell Technologies). BFU-E, CFU-GM and CFU-GEMM colonies were scored after 14 days.

Virus production
Viral stocks were produced and titered as described (Miccio et al, 2008) by transduction of HEL cells with serial dilution of vector stocks followed by qPCR after 3 weeks of culture to allow dilution of unintegrated vector below detection level. VCN/cell was measured by qPCR on genomic DNA, using primers and probe annealing to RRE region: forward primer 5’-TGAACGCAAAGGGAACAA-3’, reverse primer 5’-CCGTGCCGCTTCAG-3’ and probe 5’-VIC-AGCTCTCGAGCACAGTCCGG-MGB-3’. Titers were expressed as transforming units (TU)/ml and calculated multiplying the VCN/cell to the number of transduced cells and then dividing for the viral vector dilution. Vector particle was measured by HIV-1 gag p24 test (NEN Life Science Products) and vector infectivity was calculated as the ratio between titer and vector particle.

Transduction of human CD34+ cells
CD34+ cells were seeded at 0.5–1 × 10⁶ cells/ml and pre-stimulated for 30 h in CellGro medium (CellGenix) supplemented with 300 ng/ml, human stem cell factor (hSCF) 300 ng/ml, human Flk3-ligand (hFlk3-l),
The paper explained

**PROBLEM:**

β-Thalassemia major or Cooley’s anaemia, leads to a profound anaemia and to death in the first year of life, unless regular transfusions are administered. So far, allogeneic bone marrow transplantation (BMT) from HLA-matched donors is the only curative treatment, but it is limited to less than 25% of patients. Gene therapy, based on autologous transplantation of genetically corrected hematopoietic stem cells (HSCs), represents a promising alternative for patients lacking a suitable donor. We have previously developed a lentivirus vector (LV), GLOBE, coding for the human beta globin gene and showed that transplantation of GLOBE-transduced HSCs corrects thalassemia in murine models. To translate these results into the clinics, it is now essential to demonstrate the feasibility, safety and therapeutic efficacy of this approach by testing the GLOBE LV in the context of human thalassemic cells.

**RESULTS:**

CD34⁺ cells purified from BM aspirates of thalassemia major patients were transduced with GLOBE and induced to differentiate to the erythroblastic lineage. The procedure restored haemoglobin production and erythropoiesis overcoming the β-thalassemia phenotype. We sequenced and mapped the GLOBE integration sites in the human cells to assess the risk of integration in potentially dangerous loci and showed that GLOBE integrates at low copy number into the human genome without preferential targeting of proto-oncogenes, tumor-suppressor or cell cycle related genes.

**IMPACT:**

This study provides solid preclinical data of efficacy and safety of the GLOBE-based gene therapy approach to β-thalassemia for its future clinical translation in the context of an acceptable risk/benefit ratio.

100 ng/ml human thrombopoietin (hTPO) and 60 ng/ml human Interleukin-3 (hIL-3) (all PeproTech) on plates coated with retronectin (Takara Shuzo). Transduction was performed overnight at multiplicity of infection (MOI) of 50–100 and at a viral concentration of 5 × 10² transforming units (TU)/ml.

**Erythroid liquid culture**

CD34⁺ cells were cultured for 14 days using a procedure modified from previous reports (Giararatana et al, 2005; Migliaccio et al, 2002). The cells were seeded at 10^5 cells/ml in StemSpan medium (Stem Cell Technologies) containing 20% foetal bovine serum (FBS) (HyClone) and supplemented with hSCF (10 ng/ml), human erythropoietin (Epo) (1 U/ml), hIL-3 (1 ng/ml), 10⁻⁶ M dexamethasone (Sigma) and 10⁻⁶ M β-estradiol (Sigma). At day 8, cells were grown in medium with 10% FBS and 2 U/ml Epo, and at day 11 they were cultured with 10% FBS only. Globin production was tested by FACS and HPLC analysis. Differential counting was performed on cells stained with May Grünwald–Giemsa reagent. Apoptotic and dead cells were revealed by flow cytometry using the Apoptosis Detection Kit I (BD).

**Analysis of Hba and globin chains production**

The proportion of erythroid cells expressing HbA was assessed by flow cytometry as described (Bohmer, 2001). 15 × 10⁶ cells from erythroid cultures were incubated for 1 h in ³H-leucine (Perkin Elmer) in depleted Dulbecco’s Modified Eagle medium (DMEM) (MP Biomedicals) and lysed for reverse phase-HPLC analysis (Galanello et al, 1998).

**DNA analysis**

Genomic DNA was extracted using the QIAmp DNA mini Kit (Quiagen) or the ChargeSwitch Forensic DNA Purification Kit (Invitrogen) and the average VCN/cell was measured as described (Miccio et al, 2008). Transduction efficiency was determined by PCR on CFUs, amplifying the HS2-HS3 sequence (forward primer HS2F 5’TGGGTCAGTGGTCT-0 and reverse primer HS3R 5’TGGGTCAGTGGTCTCAATGTAAC-3’, PRIMM, Italy).

**Sequencing and mapping of integration sites**

CD34⁺ cells were transduced with GLOBE and cultured for 14 days to dilute unintegrated vector. Integration sites were cloned as described (Cattoglio et al, 2007) and mapped onto the human genome (UCSC HG18, Mar.2006) using BLAT requiring a 95% identity. Sequences were annotated as reported (Felice et al, 2009). A genomic region was defined as a ‘hot spot’ for integration according to criteria developed for defining cancer-related common integration sites (Clis) with minor modifications (Cattoglio et al, 2007). We classified cancer-related genes referring to the Upenn databases (http://microb230.med.upenn.edu/protocols/cancergenes.html).

**Gene expression profiling and microarray analysis**

Transcriptional profiling was determined in 42 samples of BM-derived CD34⁺ cells, using Affymetrix HG-U133 Plus 2.0 GeneChip arrays. RNA was isolated using RNeasy Plus Mini kit (Quiagen). Two-cycle target labelling assays, Affymetrix HG-U133 Plus 2.0 GeneChip arrays hybridization, staining and scanning, were performed using Affymetrix standard protocols (Affymetrix, Santa Clara, CA). Fluorescence signals were recorded by an Affymetrix scanner 3000 and image analysis performed with the GeneChip Operating Software (GCOS) software. Preprocessing of the data and normalization was carried out in R (Affy’ package), unsupervised hierarchical clustering in dCHIP (Li & Wong, 2001) and supervised ANOVA with the Partec Genomic
Suite software. To generate normal control microarrays, RNA was isolated from three samples of cord blood derived-CD34+ cells activated with cytokines. The raw gene expression data are available at http://www.ebi.ac.uk/microarray (Accession #E-MEXP-2757 and E-MEXP-2758).

To correlate vector integration and gene activity the arrays generated from patients’ cells (THAL 22, 36, 37) and three healthy donors, activated by cytokines, we re-annotated the Affymetrix HG-U133 plus 2.0 probe sets with custom CDF files to include only probes unequivocally matching a transcript (Dai et al, 2005; Ferrari et al, 2007). The average of the expression values in each triplicate was considered. Expression values from microarrays were combined and divided into four classes, as absent, low (below the 25th percentile in a normalized distribution), intermediate (between the 25th and 75th percentile) and high (above the 75th percentile).

Statistical analyses
We used paired two-tailed t-tests for the comparisons of samples before and after transduction and unpaired two-tailed t-tests to compare other population means. All these statistical analyses were performed using GraphPad Prism Version 4.0b (GraphPad Software, San Diego, USA). For pairwise comparisons in integration analysis, we applied a 2-sample test for equality of proportions with continuity correction using the Rweb 1.03 statistical analysis package. To search for gene differentially expressed in normal andthalassemic samples, we used a one-way analysis of variance with a pre-set fold change of >1, followed by Bonferroni correction for false discovery rate at a level of significance of 0.05.

Author contributions
E.A.R designed and performed research, analyzed data and wrote the paper; R.M. performed integrations studies; M.C.F. performed research; G.M. performed microarray experiments and analyzed data; E.B. analyzed data; F.M. contributed to hypothesis and study design; F. Mastroianni performed HPLC analysis; A.A. analyzed data; G.T. performed genetic screening; M.D.C. contributed to discussion; A.A. analyzed data; G.L. wrote the paper; R.M. performed integrations studies; M.C.F. performed research.

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For more information
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