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1 **Crystal structures of KPC-2 and SHV-1 β -lactamases in complex with the**
2 **boronic acid transition state analog S02030**

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19

20 **Abstract**

21 Resistance to expanded-spectrum cephalosporins and carbapenems has rendered certain
22 *Klebsiella pneumoniae* species to be among the most problematic pathogens infecting patients in
23 the hospital and community. This broad spectrum resistance emerges in part via the expression
24 of KPC-2 and SHV-1, and variants thereof, β -lactamases. KPC-2 carbapenemase is particularly
25 worrisome as the genetic determinant encoding this β -lactamase is rapidly spread via plasmids.
26 Moreover, KPC-2, a class A enzyme, is difficult to inhibit with mechanism based inactivators
27 (i.e. clavulanate). In order to develop new β -lactamase inhibitors (BLIs) to add to the limited
28 available armamentarium that can inhibit KPC-2, we have structurally probed the boronic acid
29 transition state analog S02030 for its inhibition of KPC-2 and SHV-1. S02030 contains a boronic
30 acid, a thiophene, and a carboxyl triazole moiety. We present here the 1.54 and 1.87 Å resolution
31 crystal structures of S02030 bound to SHV-1 and KPC-2 β -lactamases, respectively, as well as a
32 comparative analysis of the S02030 binding modes including a previously determined S02030
33 Class C ADC-7 β -lactamase complex. Upon analysis, S02030 is able to inhibit vastly different
34 serine β -lactamases by interacting with the conserved features of these active sites which
35 includes *i*) forming the bond with catalytic serine via the boron atom, *ii*) positioning of one of the
36 boronic acid oxygens in the oxyanion hole, as well as *iii*) utilizing its amide moiety to make
37 conserved interactions across the width of the active site. In addition, S02030 is able to
38 overcome more distantly located structural differences between the β -lactamases. This unique
39 feature is achieved by reorienting the more polar carboxyl-triazole moiety, generated by click
40 chemistry, to create polar interactions as well as reorient the more hydrophobic thiophene
41 moiety. The former is aided by the unusual polar nature of the triazole ring allowing it to
42 potentially form a unique C-H ...O 2.9Å hydrogen bond with S130 in KPC-2.

43

44 **Introduction**

45 β -lactamases, ubiquitous resistance determinants, provide bacteria with a strong defense against
46 the lethal action of β -lactam antibiotics. *Klebsiella pneumoniae*, an aerobic Gram negative
47 pathogen known to be the agent of serious infections, is capable of expressing numerous β -
48 lactamases including KPC-2 and SHV-1, and variants thereof such as inhibitor resistant and
49 extended spectrum β -lactamases. The KPC-2 β -lactamase is particularly worrisome due to its
50 ability to confer resistance to carbapenems, a class of "last-resort antibiotics", and its rapid
51 dissemination via plasmid transfer not only between but also across species. KPC-2 producing
52 organisms such as *K. pneumoniae*, *Escherichia coli*, and others have caused a number of
53 outbreaks across different continents (1-4). Currently, 24 KPC variants have been identified
54 (lahey.org/Studies), with KPC-2 being the more dominant variant in most countries(5).

55

56 To counteract the presence of β -lactamases, β -lactam antibiotics are often co-
57 administered with a BLI. This successful strategy has significantly prolonged the clinical
58 efficacy of ampicillin, amoxicillin, piperacillin, cefoperazone and ticarcillin (6). Unfortunately,
59 KPC-2 carbapenemase is notoriously difficult to inhibit (7). Currently, there are 4 clinically
60 approved β -lactamase inhibitors with only the most recently FDA approved inhibitor, avibactam,
61 having inhibitory potency against KPC-2 (8). Additional inhibitors, such as the boronic acid
62 containing RPX7009, are in advanced stages of clinical development (as reviewed in (9)). We
63 recently determined the crystal structure of avibactam bound to KPC-2(10). However, having
64 only one potent inhibitor currently available for KPC-2, an enzyme that furthermore has been
65 shown to have the ability to develop avibactam resistance variants (11), suggests that developing
66 additional inhibitors of KPC-2 is warranted to ward off future resistance threats.

67

68 In addition to the currently clinically available set of BLIs that acylate the catalytic
69 serine, a different approach utilizes boronic acid transition state analogs (BATSI) to inhibit
70 serine β -lactamases such as KPC-2 and SHV-1 (12-14). Such an approach has led to several
71 potent inhibitor compounds (15, 16). Recently, the BATSI S02030 (Fig. 1) was found to inhibit
72 ADC-7 β -lactamase from *Acinetobacter baumannii* by forming a transition state boron-mediated
73 bond with the catalytic serine as observed in the structure of S02030 in complex with ADC-7
74 (17). We now extend the structural investigations of S02030 and observed that it readily inhibits
75 SHV-1 and KPC-2 β -lactamases (see also the companion article Rojas et al(18)). We present
76 here the 1.54 and 1.87 Å resolution crystal structures of S02030 bound to SHV-1 and KPC-2 β -
77 lactamases, respectively, as well as an in depth comparative analyses of the S02030 binding
78 modes including the ADC-7 S02030 complex.

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83 **Materials and Methods**

84 The chemical synthesis of S02030 was previously described (17). The structure of S02030 is
85 represented in Figure 1.

86

87 *Protein expression, purification, crystallization, and crystal preparation.*

88 The KPC-2 and SHV-1 enzymes were expressed and purified as previously published (10, 13).

89 The KPC-2:S02030 complex was obtained by co-crystallization; the KPC-2 β -lactamase and the
90 S02030 inhibitor were incubated overnight, with a molar ratio of protein:inhibitor of 1:10. Initial
91 co-crystallization screening was carried out using JCSG+ screen kit (from Molecular Dimension)
92 on a 96-well tray (protein was 15mg/ml). The ratio of protein mixture to reservoir was 1:1. The
93 co-crystallization condition was 30% PEG 8000, 0.2 M lithium sulfate, and 0.1 M sodium acetate
94 (pH 4.5). Once KPC-2:S02030 co-crystals grew to their final size, they were mounted and
95 cryoprotected with perfluoropolyether oil (from Hampton Research) prior to flash freezing in
96 liquid nitrogen.

97 In contrast to KPC-2, the SHV-1:S02030 complex was obtained by soaking the ligand in
98 SHV-1 crystals. Apo SHV-1 crystals were first obtained using 20-30% PEG6000, 100mM Tris
99 pH7.5, and 0.56mM cymal-6 using the vapor diffusion sitting drop crystallization method (19,
100 20). SHV-1 crystals were soaked for 30min with 5mM S02030 containing mother liquor
101 solution and subsequently cryo-protected in perfluoropolyether oil prior to freezing in liquid
102 nitrogen.

103

104 *Data Collection and Structure Determination*

105

106 Data for the KPC-2:S02030 complexes structure was collected on the in-house Rigaku
107 Micromax-007 HF diffraction system. The SHV-1:S02030 data were collected at Stanford
108 Synchrotron Radiation Lightsource (SSRL) beamline 7-1. Both datasets were processed using
109 HKL2000 (21). The S02030 protein complex structures were refined using CCP4 suite program
110 REFMAC(22) and the program COOT(23) was used for model fitting. The initial search models
111 for KPC-2:S02030 complex and SHV-1:S02030 structures were PDB ID 3RXX and 2H5S,
112 respectively. The PRODRG(24) server was used to generate the parameters and topology files
113 for the S02030 ligand that were observed in the Fo-Fc electron density maps in the active site for
114 both β -lactamases (Figs. 2-3). The final coordinates for both S02030 SHV-1 and KPC-2 complex
115 structures were validated using PROCHECK (25) and together with the structure factors were
116 deposited with the Protein Data Bank (PDBid 5EE8 and 5EEC, respectively).

117

118

119 **Results and Discussion**

120 We have probed the binding mode of S02030 to two β -lactamases that are common to *K.*
121 *pneumoniae*, KPC-2 and SHV-1. KPC-2 is clinically the most important carbapenemase(5); our
122 lab has determined its crystal structure as well as its structure in complex with several different
123 inhibitors(10, 13, 26). SHV-1 is part of a large class of over 190 SHV variants some of which
124 some are inhibitor resistance or have an extended-spectrum phenotype. SHV-1 represents a
125 typical class A β -lactamases and its inclusion in this study allows a comparison with both the
126 carbapenemase KPC-2 and the class C ADC-7 β -lactamase; our lab has extensive experience

127 with obtaining inhibitor complexes with SHV-1 and SHV variants (10, 19, 27-30). The
128 asymmetric unit of the KPC-2:S02030 structure contained two non-crystallographically related
129 molecules A and B. The Fo-Fc difference electron density in the KPC-2 β -lactamase active site
130 of molecule A revealed the presence of a fully occupied S02030 molecule refined with two
131 conformations for the carboxyl-triazole moiety (conformations \underline{a} and \underline{b} with occupancies 0.6 and
132 0.4, respectively; Figs. 2 and 4). S02030 bound to KPC-2 molecule B was less well occupied
133 with an overall occupancy of 0.6; this S02030 molecule adopted a conformation similar to that in
134 molecule A with a \underline{b} conformation for the carboxyl-triazole moiety (not shown).

135 The observed S02030 binding modes each have the boron atom of the boronic acid
136 moiety attached to the catalytic S70 residue (Fig. 4). One of the oxygens of the boronic acid
137 moiety occupies the oxyanion hole pocket and the other oxygen occupies the pocket usually
138 known to harbor the deacylation water; the latter pocket is comprised of residues E166 and
139 N170. The amide moiety of S02030 is interacting with both sides of the active site via
140 interactions with the side chain of N132 and the main chain carbonyl of T237 (Fig. 4). The
141 carboxyl-triazole moiety of S02030 interacts, in the \underline{a} conformation, with S130 via one of the
142 triazole ring nitrogens (N2) involving a 3.1Å hydrogen bond (labeled ' $\underline{1}$ ' in Fig. 4). In the \underline{b}
143 conformation, the carboxyl group of this moiety makes hydrogen bonds with S130 as well as
144 with T235 and T237. Intriguingly, the C5 ring carbon of the triazole moiety in this \underline{b}
145 conformation is at 2.9Å distance from the O γ of S130 (labeled ' $\underline{2}$ ' in Fig. 4) suggesting a C-H
146 ...O hydrogen bonding interaction. Such a C-H mediated hydrogen bond is normally unlikely
147 due to its non-polar nature yet in the triazole moiety with its 3 linked nitrogens, the C-H is
148 known to be polar enough to function as a hydrogen bond donor (31, 32). If indeed a C-H
149 mediated hydrogen bond is present, to our knowledge, this would be the first observed C-H...O

150 hydrogen bond in a triazole-moiety containing ligand with a protein. This could be an important
151 feature to be exploited for future triazole-based drug discovery efforts in particular as this
152 moiety is a key product used in click-chemistry based synthesis efforts(32). In addition to the
153 hydrogen bonds, the carboxyl-triazole moiety in both conformations in molecule A makes π
154 stacking interactions with W105 (Fig. 4); in molecule B, this W105 is repositioned in a different
155 orientation and does not make such an interaction likely due to the lower occupancy of the
156 S02030 in that molecule. Note that, although negatively charged, the carboxyl-triazole moiety
157 also does not make a salt-bridge in either of its two conformations, although there are some
158 electrostatic interactions as K234 and R220 are at 3.8 and 3.9Å distance, respectively, from one
159 of the oxygen atoms of the carboxyl moiety in conformation *b*. At the other end of S02030, the
160 thiophene moiety makes more limited interactions via a 3.6Å van der Waals interaction with
161 G239.

162 In the SHV-1 complex structure, S02030 adopts a similar conformation as compared to
163 KPC-2 (Figs. 3 and 5-6). This is exemplified in the boronic acid moiety and amide moieties of
164 S02030 which all make very similar interactions as observed in KPC-2. The carboxyl-triazole
165 moiety adopts a single conformation, unlike what was observed when complexed to KPC-2; this
166 conformation is most similar to that of conformation *a* in molecule A of the KPC-2 complex
167 structure although overall this moiety is somewhat shifted (Fig. 6). In this SHV-1 complex, the
168 carboxyl-triazole moiety makes a 2.8Å salt-bridge with SHV-1 residue R244 (Fig. 5); this is a
169 difference with the KPC-2 structure as KPC-2 does not have an arginine at this position. An
170 additional difference compared to KPC-2 is that there is no π stacking interaction with residue
171 105 (Y105 in the case of SHV-1). The thiophene moiety however adopts two conformations in
172 SHV-1 (labeled *c* and *d*, Figs. 3 and 5) and is in a different conformation compared to that

173 observed in KPC-2 (Fig. 6). This thiophene moiety of S02030 is making several van der Waals
174 interactions in the active site of SHV-1 including with carbon atoms of residues N170, T167, and
175 E240. The observation of relatively similar binding modes of S02030 to KPC-2 and SHV-1 is in
176 agreement with the similar IC₅₀ values that were measured for KPC-2 and SHV-1 which are 0.08
177 and 0.13 μM, respectively (18). That the affinity of S02030 is nevertheless somewhat higher for
178 KPC-2 could in part be attributed to S02030's ability to have π stacking interactions with a large
179 aromatic residues, W105, in KPC-2 whereas such an interaction is not observed in SHV-1. It is
180 interesting to note that a somewhat similar boronic acid inhibitor, RPX7009, has a similar amide-
181 thiophene moiety yet a different carboxyl containing moiety (33). The RPX7009 structure
182 complexed to a different class A β-lactamase, CTX-M-15, revealed again a similar orientation of
183 the inhibitor generating similar active interactions by its amide-thiophene moieties and carboxyl
184 group (33).

185

186 Previously, the structure of S02030 bound to the class C ADC-7 β-lactamase from *A.*
187 *baumannii* was determined (17) allowing us now to compare the binding mode of S02030 to the
188 two class A β-lactamase determined herein. The ADC-7 S02030 complex structure (PDBid
189 4U0X) was comprised of 4 independently refined ADC-7 molecules in the asymmetric unit each
190 having a S02030 molecule bound. Analyses of these 4 copies of S02030 bound to ADC-7
191 showed variability in the positions and orientations of the carboxyl-triazole and thiophene
192 moieties whereas the boronic acid and amide moieties were bound in a similar fashion (17).

193 Superpositioning of KPC-2 onto one of the ADC-7 S02030 copies reveals interesting
194 differences and similarities (Fig. 7). Key similarities are the presence of the boron bond with the
195 catalytic serine. In addition, the amide moieties provide similar interactions bridging the active

196 site: the amide nitrogen (labeled “+” in Fig. 7) interacts with the carbonyl oxygen of T237 or the
197 equivalent residue in ADC-7; the amide oxygen (labeled “*”) interacts with N132 or the
198 equivalent N152 in ADC-7 (Fig. 7). An important difference between the S02030 binding modes
199 in KPC-2 and ADC-7 is the orientation of the boronic acid moiety thereby orienting the two
200 boron oxygens in different positions. Notably, Class C β -lactamases do not have a deacylation
201 pocket comprised of E166/N170 thereby forcing the boron oxygen that occupies this pocket in
202 KPC-2 to now reorient itself such that it occupies the oxyanion hole in ADC-7 (both oxyanion
203 hole occupying boron oxygens are labeled “#” in Fig. 7). The other boron oxygen of S02030, the
204 one that occupies the oxyanion hole in KPC-2, has swung away to make space to accommodate
205 the other oxygen in ADC-7. This latter conformational difference is achieved by having a
206 different torsion angle around the C β -O γ bond of the catalytic serine (S70 and S64 in KPC-2 and
207 ADC-7, respectively). The carboxyl-triazole moiety is situated in a somewhat similar position in
208 KPC-2 and ADC-7; the position of the S02030 carboxyl moiety in ADC-7 is closest to that of
209 conformation *a* in KPC-2 although the orientation of triazole ring is flipped (Fig. 7). The
210 thiophene moieties are in the same general vicinity although not identical position. Note that the
211 carboxyl-triazole and thiophene moieties in ADC-7 already showed variability in orientation and
212 position when comparing the 4 independently refined molecules in the ADC-7 structure(17).
213 Note that as previously no IC₅₀ value was determined for S02030 inhibition of ADC-7 (a K_i of
214 44nM was measured instead (17)) we cannot go into detailed structural comparisons to explain
215 differences in S02030 affinity for ADC-7 as compared to KPC-2 and SHV-1.

216 Overall, upon comparing the binding modes of S02030 in KPC-2, SHV-1, and ADC-7, a
217 common theme emerges as S02030 is able to recognize and inhibit vastly different serine β -
218 lactamases. Firstly, the S02030 has the ability to interact with the conserved features of these

219 actives sites and that includes forming the bond with catalytic serine via the boron atom,
220 positioning of one of the boronic acid oxygens in the oxyanion hole, as well as utilizing its amide
221 moiety to make conserved interactions across the width of the active site. Secondly, in regions
222 that are a bit more distant from this very conserved central active site region, S02030 is able
223 overcome the structural differences between the β -lactamases to maintain its relative broad
224 specificity. These important interactions are achieved by reorienting the more polar carboxyl-
225 triazole moiety to generate polar interactions as well as reorient the more hydrophobic thiophene
226 moiety depending on what active site it is bound in. The polar carboxyl-triazole moiety seems
227 particularly well suited for this former ability as by reorienting and changing its torsions angles,
228 different hydrogen bonds and salt-bridge(s) can be made in different directions depending on the
229 particular active site it needs to bind to; the presence of the carboxyl moiety is well chosen as the
230 β -lactamase active sites are all known to attract and bind carboxyl moiety-containing β -lactam
231 substrates. This remarkable structural plasticity of S02030 is likely key for its ability to
232 recognize related yet different β -lactamases even belonging to different β -lactamase classes.

233
234 We recently determined the structure of KPC-2 complexed with avibactam (10).
235 Avibactam is a diazabicyclooctane non- β -lactam BLI. Although avibactam is very different than
236 the BATSI S02030, a comparison between the two inhibitors might elucidate some common
237 features of efficient inhibition of KPC-2. Superpositioning of KPC-2 bound S02030 onto KPC-2
238 bound avibactam reveals that both inhibitors utilize and occupy the oxyanion hole via an oxygen
239 atom (atoms labeled “#” in Fig. 8). In addition, both avibactam and S02030 have an amide
240 oxygen atom that interacts with residue N132, although the nitrogen atom of this amide in
241 avibactam is not making a direct protein interaction. An additional similarity is that both S02030

242 and avibactam use a negatively charged moiety to interact with the class A carboxyl recognition
243 pocket (34). This negatively charged inhibitor moiety is a carboxylate in S02030 and a sulfate
244 moiety in avibactam (Fig. 8); each were found to interact with residues T235, T237, and S130
245 (with R220 and R234 situated at around 4Å distance to provide some electrostatic attraction).

246

247 In summary, the S02030 BATSI possesses structural plasticity and can interact efficiently
248 with conserved and variable regions of related β -lactamases. This is in part due to its triazole
249 moiety which is very polar due to the three electronegative nitrogens on one side of the ring; this
250 polar nature allows the carbon on the opposite site of the ring to have the potential to be a unique
251 C-H hydrogen bond donor to complement the already present hydrogen bond acceptor abilities
252 of the ring nitrogens. S02030 utilizes similar features and interactions as a completely different
253 BLI, avibactam to arrive at efficient inhibition of *K. pneumoniae* β -lactamases KPC-2 and SHV-
254 1.

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256

257

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267

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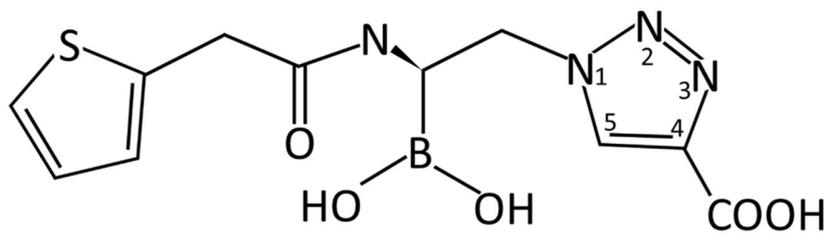
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367 **Table 1.** X-ray diffraction Data and Refinement Statistics of KPC-2 and SHV-1 S02030
 368 complexes.
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	<i>KPC-2 S02030</i>	<i>SHV-1 S02030</i>
<i>Data collection</i>		
Space group	P2 ₁	P2 ₁ 2 ₁ 2 ₁
Unit cell dimensions (Å)	51.85 77.88 64.77 90 108.67 90	49.57 55.19 83.50 90 90 90
Wavelength (Å)	1.5418	1.1271
Resolution (Å)	50-1.85	50-1.54
Redundancy	2.9 (2.3)	3.5 (3.5)
Unique reflections	39,177	33,737
$\langle I \rangle / \langle \sigma(I) \rangle$	9.0 (2.2)	16.5 (2.8)
R_{merge} (%)	10.7 (39.3)	7.0 (42.0)
Completeness (%)	96.7 (90.2)	97.0 (98.3)
<i>Refinement</i>		
Resolution range (Å)	32.9-1.87	33-1.54
R-factor (%)	16.6	14.9
R_{free} (%)	20.1	17.5
RMSD deviation from ideality		
Bond lengths (Å)	0.012	0.012
Angles (deg)	1.72	1.71
<i>Ramachandran plot statistics (%)</i>		
Core regions	93.4	91.3
Allowed regions	6.2	8.2
Additionally allowed regions	0.4	0.4
Disallowed regions	0.0	0.0

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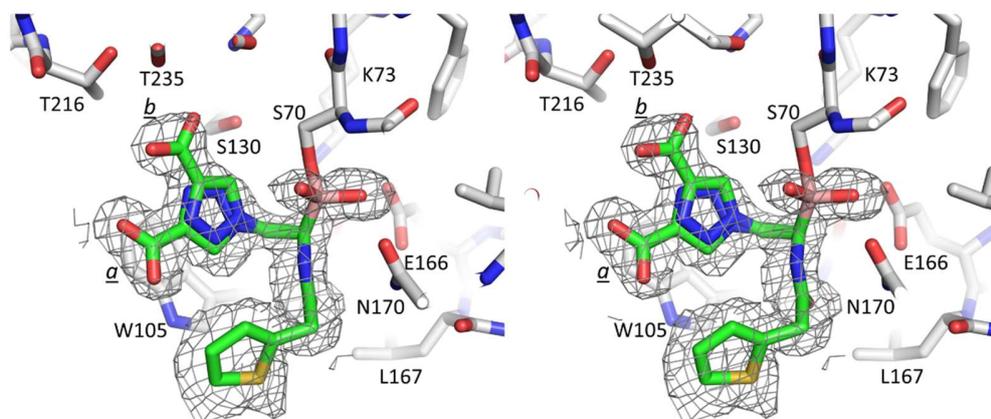


373

374 **Figure 1.** Chemical structure of S02030.

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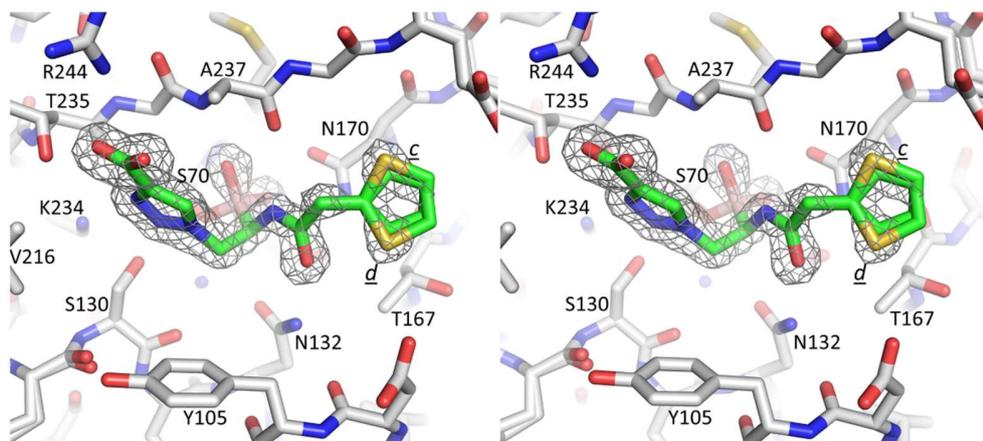
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378 **Figure 2.** Stereo diagram of difference electron density of active site of KPC-2 β-lactamase
379 showing bound S02030. Alternate conformations of the triazole-carboxylic acid moiety of
380 S02030 are indicated by a/b. Difference density $|F_o|-|F_c|$ map was calculated after 10 rounds of
381 REFMAC refinement with S02030 removed from the refinement and structure factor
382 calculations. Density was contoured at 3σ .

383



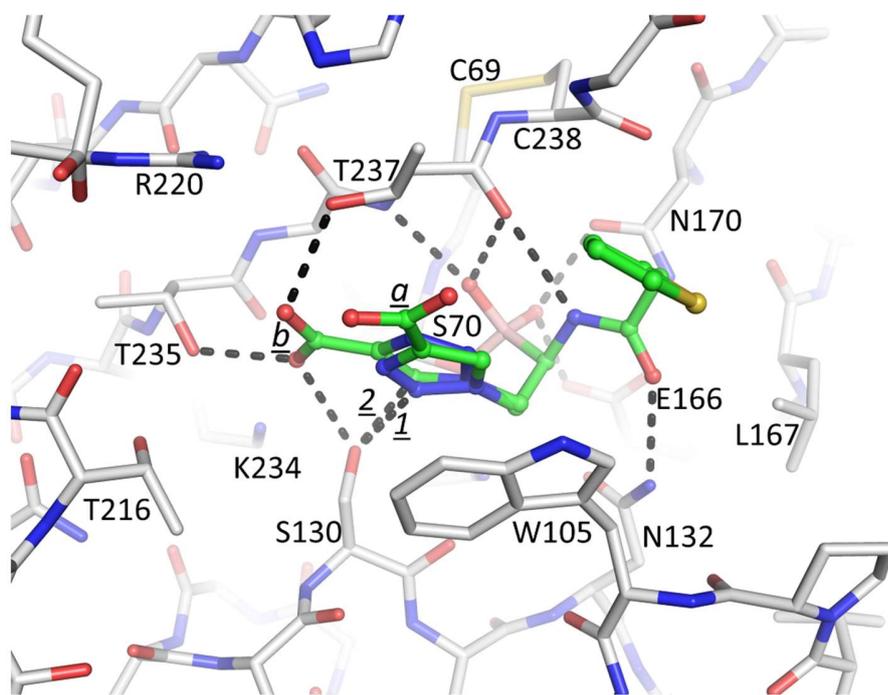
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385 **Figure 3.** Stereo diagram of difference electron density of active site of SHV-1 showing S02030.

386 Alternate conformations of the thiophene moiety are indicated by c/d. Map was calculated and

387 contoured as described in Figure 2.

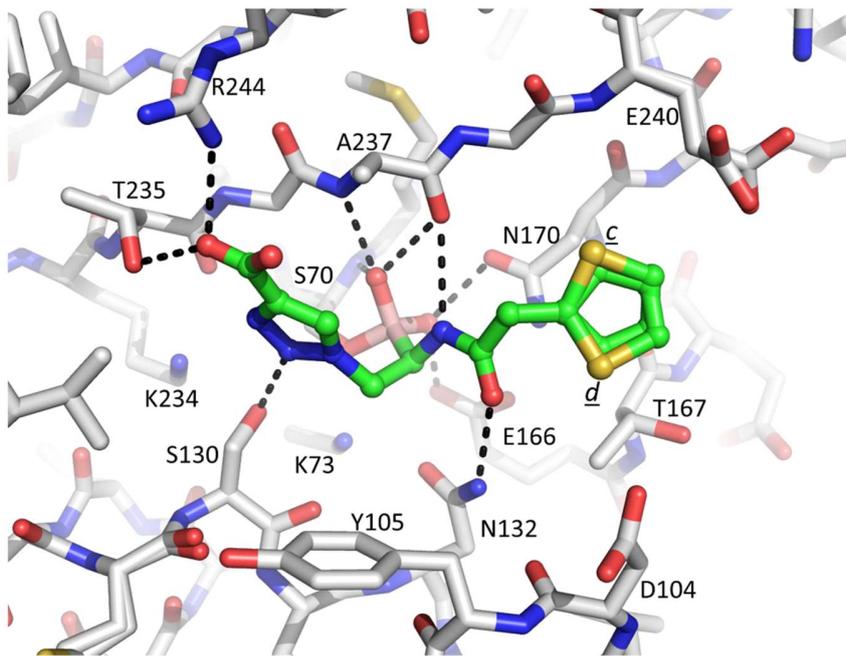
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390 **Figure 4.** Interactions of S02030 in the active site of KPC-2 β -lactamase. Hydrogen bonds are
 391 depicted by dashed lines. The hydrogen bond between the N3 ring nitrogen and S130 in the *a*
 392 conformation is labeled as '1'; the C-H...O hydrogen bond between the C5 carbon atom and
 393 S130 in the *b* conformation is labeled as '2'.

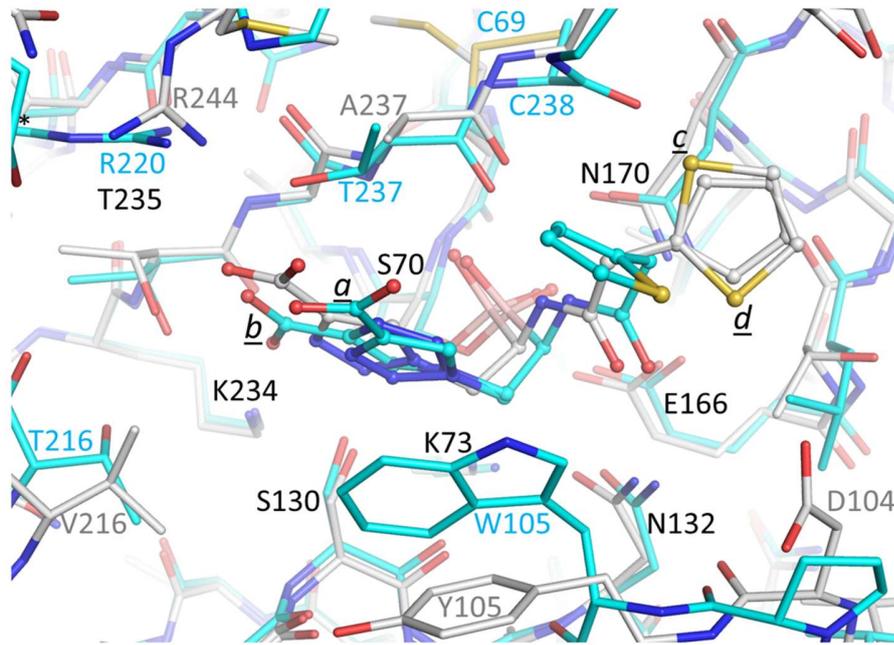
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396 **Figure 5.** Interactions of S02030 in the active site of SHV-1 β -lactamase.

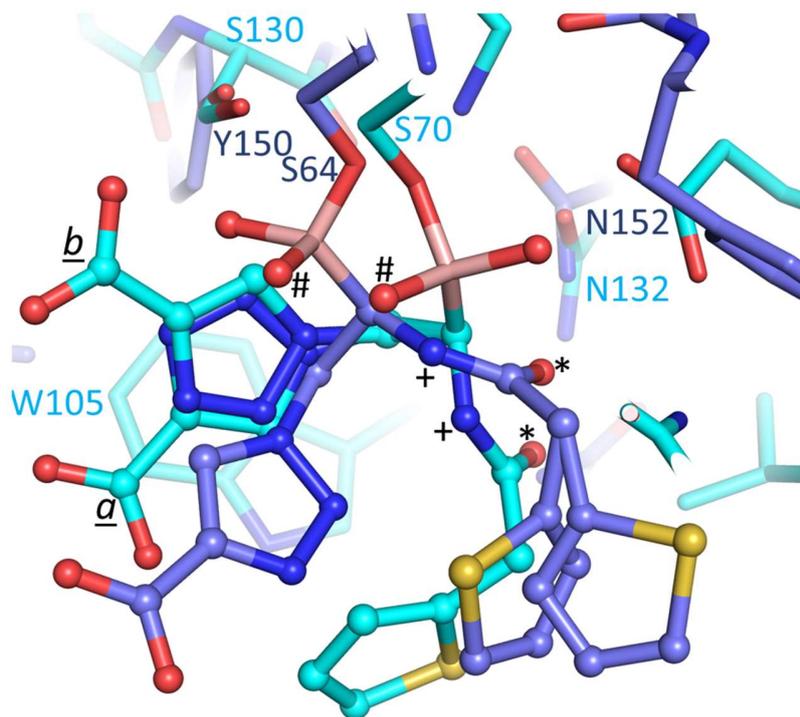
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399 **Figure 6.** Superposition of SHV-1 and KPC-2 bound S02030 structures. The protein atoms are
 400 shown in stick representation and the ligands are shown in ball-and-stick. The KPC-2 S02030
 401 structure is depicted with the carbon atoms in cyan; the SHV-1 S02030 structure with the white
 402 carbon atoms. The C α atoms 68-84, 121-140, 167-172, 233-238 of SHV-1 was superimposed on
 403 the identical residues of KPC-2 yielding an RMSD of 0.51Å.

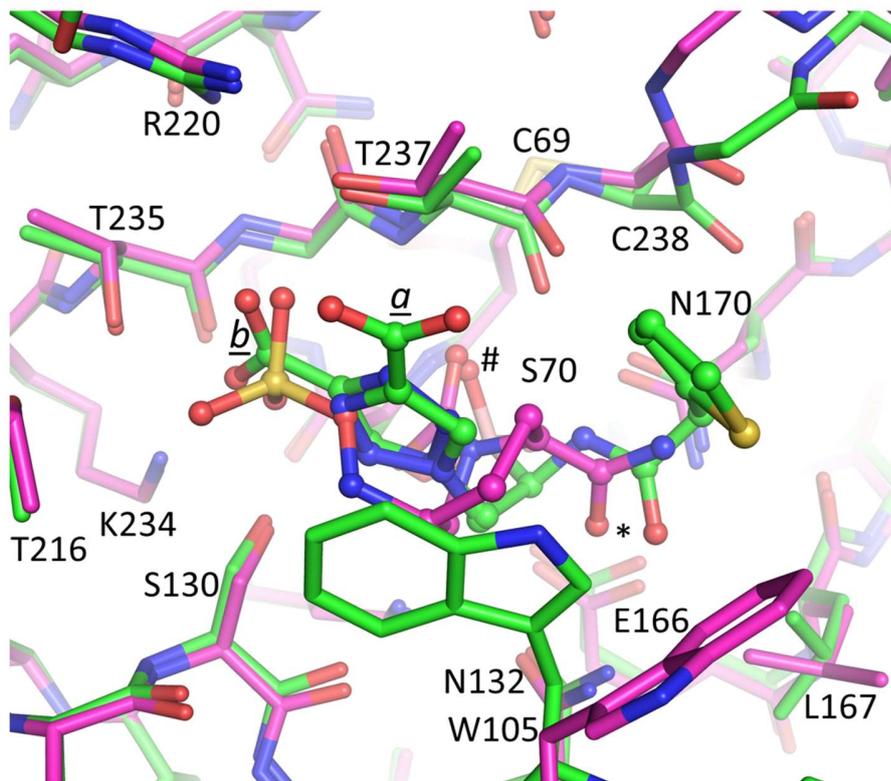
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406 **Figure 7.** Superposition of ADC-7 and KPC-2 bound S02030 structures. The protein is
 407 represented in stick and the inhibitors in ball-and-stick representation; KPC-2 is shown with the
 408 cyan colored carbon atoms whereas the ADC-7 carbon coordinates are shown with the medium
 409 blue color. The $C\alpha$ atoms of residues 67-84, 132-134, 231-238, 243-249 of KPC-2 were
 410 superimposed onto ADC-7 residues 61-78, 152-154, 309-316, 319-325, respectively, with an
 411 RMSD of 0.98Å.

412



413

414 **Figure 8.** Superposition of S02030 and avibactam bound KPC-2 structures. The protein is in
 415 stick representation whereas the inhibitors are in ball-and-stick representation; the KPC-
 416 2:S02030 carbon coordinates are in green whereas the KPC-2:avibactam structure has its carbon
 417 atoms colored magenta.

418