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14 **Visual versus auditory Simon effect: a behavioural and physiological investigation**  
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35 **Running head:** Visual vs. Auditory Simon effect  
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**Abstract**

The present study investigated whether the visual and auditory Simon effects could be accounted for by the same mechanism. In a single experiment we performed a detailed comparison of the visual and the auditory Simon effects arising in behavioural responses and in pupil dilation, a psychophysiological measure considered as a marker of the cognitive effort induced by conflict processing. To address our question, we performed sequential and distributional analyses on both reaction times and pupil dilation. Results confirmed that the mechanisms underlying the visual and auditory Simon effects are functionally equivalent in terms of the interaction between unconditional and conditional response processes. The two modalities, however, differ with respect to the strength of their activation and inhibition. Importantly, pupillary data mirrored the pattern observed in behavioural data for both tasks, adding physiological evidence to the current literature on the processing of visual and auditory information in a conflict task.

**Keywords:** Simon effect, visual and auditory modalities, sequential effects, pupil dilation, Reaction Time distributions.

## Introduction

In daily life, our responses are guided by sensory stimuli presented in multiple modalities, and a crucial question within the field of cognitive neuroscience is how individuals process and respond to stimuli in the presence of irrelevant, and possibly conflicting, information in order to meet task goals, and how they continuously adjust performance to changing environmental demands. These abilities, known as cognitive control, have been studied by means of different tasks, such as the Eriksen flanker task (Eriksen & Eriksen, 1974), the Stroop color-naming task (Stroop, 1935) and the Simon task (Simon & Rudell, 1967), with the latter being extensively employed to explore the conflict encountered when different stimulus dimensions compete to activate a response (for reviews, Proctor & Vu, 2006; Rubichi, Vu, Nicoletti, & Proctor, 2006). In this task, participants are required to respond to a non-spatial stimulus dimension (e.g., colour) by pressing a spatially defined key (e.g., left or right). Even though stimulus location is task-irrelevant, responses are faster and more accurate when stimulus and response positions correspond (corresponding trials), compared to when they do not correspond (non-corresponding trials).

A large amount of evidence supports the notion that the Simon effect (i.e., the difference in terms of speed and accuracy between non-corresponding and corresponding trials) originates at the response-selection stage and results from the interaction between two parallel and independent processing routes connecting perception to action, that is an unconditional/direct route and a conditional/indirect route (e.g., De Jong, Liang, & Lauber, 1994; Kornblum, Hasbroucq, & Osman, 1990). In the unconditional route, the response is thought to be automatically activated by stimulus position through pre-existing stimulus-response (S-R) associations, which are independent from the instructions. Differently, in the conditional route, the required response is activated based on task-defined associations that connect a stimulus to a specific response. In corresponding trials, the two activated responses correspond and no conflict arises (Umiltà, Rubichi, & Nicoletti, 1999). The opposite holds for non-corresponding trials, when a conflict occurs because the two activated

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3 responses differ. In these trials, the incorrect response needs to be aborted thus causing a slowing of  
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5 response time and an increased number of errors.  
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7         The classic Simon effect has been replicated across different paradigm variations. In fact, it  
8  
9 has been shown to emerge with visual (e.g., Wühr & Ansorge, 2005), auditory (e.g., Simon &  
10 Small, 1969; Vu, Proctor, & Urcuioli, 2003; Buetti & Kerzel, 2008) and tactile (e.g., Salzer,  
11 Aisenberg, Oron-Gilad & Henik, 2013) stimuli and with motor (using both upper and lower limbs;  
12 Leuthold & Schröter, 2006), vocal (Wühr, 2006) and oculo-motor (e.g., Khalid & Ansorge, 2013;  
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Lugli, Baroni, Nicoletti, & Umiltà, 2016) responses. As regards to the comparison between the  
visual and auditory modalities, the observation that the auditory Simon effect is larger in magnitude  
with respect to the visual one (see, e.g., Pick & Proctor, 1999; Proctor & Pick, 1998) has been taken  
as an indication that the activation of spatially corresponding responses is stronger with auditory  
stimuli than with visual ones (e.g., Vu et al., 2003). However, it should be noted that the auditory  
Simon effect has been studied less than the visual Simon effect and the literature has not reached so  
far a general agreement on the mechanisms underlying the auditory Simon effect. Consequently, the  
debate on whether the two effects are generated by different mechanisms or simply differ in the  
strength of response activation is still open.

       In the present study we performed a detailed comparison of the visual and the auditory  
Simon effects by recording behavioural measures (reaction times, RTs, and error rate, ER) and  
pupil dilation (PD), with the aim of investigating the mechanisms underlying both effects. We  
specifically focused on those mechanisms responsible for shaping the RT distribution and for the  
sequential modulations evident in the visual and the auditory versions of the task.

       As regards the RT distribution, in the current literature, different explanations have been  
proposed. It is important to note that researches investigating the Simon effect typically focus on the  
comparison between corresponding and non-corresponding trials at the level of mean of RT.  
However, this analysis may mask relevant findings that can only be discovered when analyzing the  
RT distribution of interference effects (cf. De Jong et al., 1994; Ridderinkhof, 2002; for reviews see

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3 Dittrich, Kellen, & Stahl, 2014; Proctor, Miles, & Baroni, 2011). Indeed, this technique has  
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5 revealed that the Simon effect can show two different time courses: a decreasing (i.e., the  
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7 magnitude of the Simon effect decreases as RT increases) and an increasing or constant (i.e., the  
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9 magnitude of the Simon effect increases or remained unchanged as RT increases) time course. The  
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11 former is found when the unconditional response activation occurs soon after the stimulus onset and  
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13 then dissipates over time (e.g. see Proctor, Miles, & Baroni, 2011), while the latter is observed  
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15 when the irrelevant response needs more time to reach complete activation and thus to exert the  
16  
17 maximum influence on performance (e.g. Wascher, Schatz, Kuder, & Verleger, 2001; Wühr, 2006).  
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21 To explain these different effect functions, Wascher et al. (2001) proposed that the Simon  
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23 effect can be generated by two different and dissociable mechanisms: a visuomotor facilitation of  
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25 same-side responses and a cognitive interference of codes. The decreasing effect function, yielded  
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27 by the visuomotor process, would be generated by a 'natural' spatial-anatomical mapping, that is,  
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29 when the stimuli are visual and presented in the horizontal axis (Wascher et al., 2001, Experiment  
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31 1). On the contrary, the stable or increasing effect function, yielded by the cognitive process, would  
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33 be due to the lack of a 'natural' relation between a visual stimulus and anatomical effect, as, for  
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35 example, when the stimuli are presented in an auditory modality (Wascher et al., 2001, Experiment  
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37 2). Based on their results, Wascher et al. (2001) concluded that the visual Simon effects appeared to  
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39 be due to specific mechanisms of visuomotor information transmission, thus it is associated to the  
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41 automatic activation of the corresponding response through the unconditional route; while the  
42  
43 auditory Simon effect is attributed to cognitive interference arising within the conditional route  
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45 only, in which the relevant stimulus feature is translated into a response. Conversely, Leuthold and  
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47 Schröter (2006) proposed that the increasing Simon effect found with the auditory stimuli would  
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49 result from the interaction between the two processing routes, as occurs for the visual Simon effect.  
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51 Recently, Xiong and Proctor (2016) examined the auditory Simon effect as a function of tone  
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53 frequency and duration. They found a decreasing Simon effect only when auditory stimuli were  
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3 low-frequency tones (200 Hz and 500 Hz), with a short duration, suggesting that the automatic  
4 activation account proposed by Wascher et al. (2001) applies also to auditory stimuli.  
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7 For what concerns correspondence sequence, to our knowledge, a direct comparison  
8 between correspondence sequence effects in the visual and auditory Simon effects has not been  
9 performed so far. We believe it might provide insights on the mechanisms responsible for the two  
10 conditions. Specifically, it has been widely shown that the magnitude of the Simon effect depends  
11 on correspondence sequence: the effect is larger following a corresponding trial while it disappears  
12 or even reverses following a non-corresponding trial (e.g. Iani, Rubichi, Gherri, & Nicoletti, 2009;  
13 Iani, Stella, & Rubichi, 2014a; Soetens, Maetens, & Zeischka, 2010; Stürmer, Leuthold, Soetens,  
14 Schröter, & Sommer, 2002). According to some authors, whenever a conflict is detected, as occurs  
15 in non-corresponding trials, the mechanism selectively suppresses the unconditional route in order  
16 to avoid conflicts in subsequent trials (e.g., Stürmer et al., 2002; Iani et al., 2009). This reduces the  
17 costs of subsequent non-corresponding trials and the benefits of subsequent corresponding trials;  
18 hence the Simon effect is reduced or eliminated. However, since after corresponding trials no  
19 conflict is detected, nothing is preventing the activation of the irrelevant location-based response  
20 code. This enhances the costs of subsequent non-corresponding trials and the benefits of subsequent  
21 corresponding trials. It should be noted, however, that some authors have proposed alternative  
22 explanations of correspondence sequence considering them as reflecting S–R priming (Egner, 2007;  
23 Mayr, Awh, & Laurey, 2003) or binding effects (e.g., Hommel, Proctor, & Vu, 2004; Notebaert,  
24 Gevers, Verbruggen, & Liefoghe, 2006; Notebaert, Soetens, & Melis, 2001; Spapé, Band, &  
25 Hommel, 2011) rather than conflict-driven adaptations in cognitive control. In the typical Simon  
26 task, correspondence sequence is confounded when stimulus and response repetitions occur in  
27 consecutive trials. Specifically, whereas sequences of two corresponding trials and sequences of  
28 two non-corresponding trials are either complete repetitions of stimulus position and response or  
29 complete changes of both stimulus position and response, mixed sequences are always partial  
30 repetitions in which either stimulus position or response repeats. Since responses to both complete  
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3 repetitions and complete alternations are always faster than those to partial repetitions (Hommel,  
4 2004; Pashler & Baylis, 1991), the advantage of correspondence-level repetition may be accounted  
5 for by the repetition of specific stimulus and response features and, consequently, may be due to the  
6 absence of unbinding costs (i.e. featuring integration account; Hommel, 2004). For this reason, in  
7 the present study we used the same version of the Simon task that was previously shown to produce  
8 strong conflict-driven adaptations even when stimulus and response repetitions were controlled for  
9 (Iani et al., 2009; van Steenbergen & Band, 2013).

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11 It is worth mentioning that RT distributions are affected by correspondence sequence. Ridderinkhof  
12 (2002), indeed, used RT distributional analyses to further investigate the dynamics of the activation-  
13 suppression processes in the visual Simon task. The author claimed that the active suppression  
14 process would be engaged to control the automatic activation of the unconditional route. More  
15 precisely, a decreasing time course of the Simon effect would be due to a relatively strong selective  
16 suppression (i.e., Simon effect following non-corresponding trials), while a constant or increasing  
17 function could be attributed to a weaker inhibition process (i.e., Simon effect following  
18 corresponding trials). The pattern of sequential modulations found in the auditory task (see  
19 Leuthold & Schroter, 2006) can be explained as well by the suppression account proposed by  
20 Stürmer et al. (2002) who postulated that the unconditional route is suppressed following non-  
21 corresponding stimuli, and the S-R processing is mainly mediated by the conditional route  
22 (resulting in the complete absence of interference across the entire RT distribution).

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24 Furthermore, it is noteworthy that we decided to measure PD because the current literature  
25 provides consistent evidence that the task-evoked pupillary response indexes cognitive effort,  
26 increasing its dilation as a function of task demands (i.e., more difficult is the task, larger is the PD;  
27 e.g., Beatty & Kahneman, 1966; Kahneman & Beatty, 1967; Loewenfeld, 1993; for a review see  
28 Beatty, 1982; Beatty & Lucero-Wagoner, 2000; Laeng, Sirois, & Gredebäck, 2012). In addition, in  
29 recent studies on interference between stimuli and responses, a conflict-related PD has been  
30 observed in the Stroop (see Laeng, Ørbo, Holmlund, & Miozzo, 2011), in the Eriksen flanker (van  
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3 Bochove, van der Haegen, Notebaert, & Verguts, 2013; Wendt, Kiesel, Geringswald, Purmann, &  
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5 Fischer, 2014) and in the Simon tasks (van Steenbergen & Band, 2013; D'Ascenzo, Iani, Guidotti,  
6  
7 Laeng & Rubichi, 2016). In particular, van Steenbergen and Band (2013) used a visual Simon task  
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9 to investigate whether the PD could be considered as an indirect marker either of conflict- or  
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11 control-related processes. The authors analyzed sequential effects emerging in RTs and PD and  
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13 found that PD increased when the conflict was higher, that is for non-corresponding trials with  
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15 respect to corresponding ones. Consistent with the conflict monitoring theory (Botvinick, Braver,  
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17 Barch, Carter, & Cohen, 2001), they observed sequential effects on both RTs and PDs. Hence, their  
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19 results indicate that increased PD could be interpreted as a sensitive marker of conflict-related  
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21 processing. It has been pointed out, though, that the authors employed a visual Simon paradigm,  
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23 while it has still to be investigated whether the same processes are involved with a different sensory  
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25 modality. To our knowledge, in fact, neither the auditory Simon effect by means of PD has been  
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27 examined so far, nor, consequently a comparison between visual and auditory PD Simon effect has  
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29 been provided.  
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34 To this aim, in the present study we implemented an experiment in which a group of  
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36 participants performed a Simon task with visual stimuli, while another group performed a Simon  
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38 task with auditory stimuli. RTs, ER and PD in the two conditions were analysed as a function of  
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40 correspondence sequence. Furthermore, for RTs we analysed the time course of sequential  
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42 modulations (for a review see Dittrich et al., 2014) and subsequently, we investigated how the PD  
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44 changed depending on the RTs distribution.  
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47 As regards RTs, since it has been shown that the auditory modality is more automatically  
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49 alerting than the visual modality (e.g., Posner, Nissen, & Klein, 1976), we expected to find overall  
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51 faster responses in the auditory condition compared to the visual one. For the same reason, we also  
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53 hypothesized that auditory stimuli would produce a stronger activation of the corresponding  
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55 response through the unconditional route, this resulting in a larger Simon effect for auditory than for  
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57 visual stimuli (Simon, 1990; Pick & Proctor, 1999; Proctor & Pick, 1998; Vu et al., 2003). As  
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3 regards PDs, in line with the hypotheses on RTs, we expected to find an overall reduced PD  
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5 amplitude for the auditory condition compared to the visual one, indicating that auditory stimuli  
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7 require less effort to be processed. In addition, we expected to find, for both visual and auditory  
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9 stimuli, an increased PD in non-corresponding trials as compared to corresponding ones, replicating  
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11 the previous studies on the visual condition (see Laeng, Ørbo, Holmlund, & Miozzo 2011; van  
12  
13 Steenbergen & Band, 2013) and, interestingly, adding new evidence on the auditory condition. For  
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15 what concerns the Simon effect, as hypothesized for RTs, we expected a modality specific effect on  
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17 PD amplitude. Specifically, due to the stronger activation produced by corresponding auditory  
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19 stimuli compared to the visual ones, we expected smaller PD with the former compared to the latter  
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21 stimuli, resulting in a larger Simon effect for auditory than for visual stimuli.  
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25 As regards the mechanism responsible for shaping the RT distributions, according to the  
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27 above-mentioned literature, we expected to find a decreasing Simon effect as a function of RTs for  
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29 the visual condition, resulting from the visuomotor process (see Wascher et al., 2001, Experiment  
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31 1), and an increasing Simon effect as a function of RTs for the auditory condition, resulting from  
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33 the cognitive process (see Wascher et al., 2001, Experiment 2)<sup>1</sup> For what concerns the time course  
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35 of sequential modulations (e.g., Ridderinkhof, 2002; Stürmer et al., 2002), we hypothesized that for  
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37 the visual Simon condition, in line with Ridderinkhof (2002), a stable or increasing time course  
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39 would emerge following a corresponding trials, while a decreasing time course would emerge  
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41 following a non-corresponding trial; for the auditory Simon condition, in line with Stürmer et al.  
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43 (2002), an increasing time course would emerge following a corresponding trials, while a stable  
44  
45 time course would emerge following a non-corresponding trial. In addition, we analyzed PD  
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47 depending on RT distributions for each condition. Considering that a larger PD is an index of  
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49 greater effort, we expected to find, for both conditions, larger PDs in those bins for which a larger  
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51 Simon effect for RTs was evident.  
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58 <sup>1</sup> To note Xiong and Proctor (2016) found a decreasing function for the RT Simon effect only when low frequency  
59 tones were used. We did not expect to find the same pattern since we used tones of both low and high frequency.  
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3 As regards the mechanism responsible for producing sequential modulations, we expected  
4 the magnitude of the Simon effect in RTs to be larger after a corresponding trial and smaller, absent  
5 or reversed after a non-corresponding trial. We hypothesized that, if the mechanisms underlying the  
6 visual and auditory Simon effects are comparable, the sequential modulation pattern should hold for  
7 both visual (e.g., Stürmer et al., 2002) and auditory stimuli (e.g., Leuthold & Schröter, 2006). As  
8 regards PD, we hypothesized that if the effects evident in the two modalities are due to the interplay  
9 between unconditional and conditional processing, than a modulation of PD by correspondence  
10 sequence should be evident in both conditions, with a larger Simon effect in PDs following  
11 corresponding trials and a smaller effect following non-corresponding ones.  
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## 25 **Methods**

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29 **Participants.** Sixty-nine undergraduates from the University of Bologna participated as volunteers  
30 to the experiment (41 female; two left handed; mean age: 20 years; standard deviation: 1.9 years).  
31 All of them reported normal or corrected-to-normal vision and normal hearing. Thirty-two  
32 participants took part in the visual condition and thirty-seven in the auditory condition. A different  
33 number of participants was run in the two conditions because we wanted to have a sample size of  
34 30<sup>2</sup> participants for each condition, after the application of the exclusion criteria, see Statistical  
35 analysis section below. The local ethics committee approved the study and written informed consent  
36 was obtained from all of the participants before participation.  
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47 **Apparatus and Stimuli.** Participants sat in front of a LCD monitor (1024x768) at a viewing  
48 distance of 70 cm in a dimly lit room. Stimulus presentation and response collection were controlled  
49 by the E-Prime® software system.  
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57 <sup>2</sup> A power analysis using G\*Power software (Faul et al., 2007) to calculate the sample size was conducted. It confirmed  
58 that at least 36 subjects would be needed as a total sample in order to detect a statistically significant difference (if it  
59 exists) between the two groups in the sequential modulations ( $\alpha$  level  $p=0.05$ , power 95%, effect size = 0.25).  
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3 The stimuli for the visual condition were black capital letters “M” and “W” that were presented  
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5 1.07° to the left or right of a central fixation cross on a dark grey background (average luminosity of  
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7 each stimulus including the background was 127 units in the RGB system). The stimuli for the  
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9 auditory condition were “high” (1050 Hz) or “low” (650 Hz) tones with the loudness approximately  
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11 of 60 dB, presented through loudspeakers placed 15 cm to the left and to the right side of the  
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13 computer monitor. For both conditions, responses were made by pressing the “z” or the “m” keys  
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15 on the QWERTY keyboard with the left or the right index finger, respectively. The keyboard was  
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17 located centrally with respect to the body midline.  
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20 **Procedure.** For the visual (see van Steenbergen & Band, 2013) and the auditory Simon conditions,  
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22 participants were instructed to respond as quickly and as accurately as possible to the type of letter  
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24 and to the tone pitch, respectively, while ignoring their location. In the visual condition, half of the  
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26 participants responded to the "M" letter with the left hand and to the "W" letter with the right hand,  
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28 while the other half experienced the opposite mapping. In the auditory condition, half of the  
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30 participants responded to the "low" tone with the left hand and to the "high" tone with the right  
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32 hand, while the other half experienced the inverse mapping.  
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36 Both conditions consisted of 384 trials that were divided into six blocks of 64 trials each,  
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38 preceded by 8 practice trials. In each block, the trial sequence was controlled so that each trial could  
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40 be preceded with an equal probability by a corresponding (C) or a non-corresponding (NC) trial.  
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42 Hence, four different trial sequences were created (C–C, C–NC, NC–C, NC–NC, with italics  
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44 denoting current trial correspondence).  
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47 Each trial started with a white fixation cross that, after 900 ms, turned yellow for 200 ms (warning  
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49 cue) and then returned white for the remaining duration of the trial. The stimulus appeared for 100  
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51 ms together with the white fixation cross, that remained visible on the screen for the following 900  
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53 ms. Responses up to 1000 ms after stimulus presentation were recorded. A trial lasted 2100 ms.  
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3 **Pupillometry.** Participant's right eye pupil diameter was measured using the iViewX Remote Eye  
4 Tracking Device (R.E.D.; SensoMotoric Instruments, SMI, Teltow, Germany). Data were recorded  
5 at a sampling rate of 60 Hz using the iView X Software (SMI, Teltow, Germany).  
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9 The illumination of the testing room was kept constant during the whole session. A standard 5-point  
10 eye tracker calibration routine was used at the beginning of the experiment. Participants were  
11 instructed to keep their head as steady as possible.  
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16 A single measure (in mm) of pupil diameter was obtained for each sample. Pupil data were  
17 pre-processed using a custom-made Python script to remove artefacts in the time series related to  
18 eye blinks: data points with physiologically unlikely pupil sizes (smaller than 2 mm or larger than 8  
19 mm,) together with the neighbouring data points (the preceding and following 80 ms) were  
20 removed. Also, samples having more than 2.5 SDs from the mean pupil size within a trial were  
21 removed from the time series. Trials with less than 50% of the data remaining after removal of  
22 outliers were not included for further analysis. Resulting gaps in the data were replaced by linear  
23 interpolation and the resulting time series were smoothed using a cubic spline. Baseline pupil  
24 diameter was calculated as the average pupil size during the 200 ms (warning cue) preceding each  
25 stimulus onset and was subtracted from the time series of the entire subsequent trial (0-1900 ms). If  
26 the warning cue was discarded, the baseline was calculated using first 200 ms after stimulus onset.  
27 We calculated the average of the trial's time duration as a function of the onset of the stimulus for  
28 each condition, without considering trials excluded from behavioural analysis. Baseline-corrected  
29 pupil diameter change in response to the stimulus is plotted in Figure 1, for the visual (A) and the  
30 auditory Simon condition (B).  
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51 (Figure 1 about here)  
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56 **Statistical Analyses.** Correct RTs, arcsine-transformed error rates (ERs) and mean PDs in the entire  
57 epoch (0-1900 ms) for both conditions were submitted to a repeated-measures Analysis of Variance  
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3 (ANOVA) with Current trial correspondence (corresponding vs. non-corresponding) and Previous  
4 trial correspondence (corresponding vs. non-corresponding) as within-participant factors and  
5 Condition (visual vs. auditory) as between-participants factor<sup>3</sup>. When necessary, comparisons were  
6 performed using Paired samples *t*-tests and by correcting the p-value for the number of comparisons  
7 (Bonferroni correction).  
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14 To compute the time course of the sequential modulations in RTs we applied the  
15 Vincentizing procedure (Ratcliff, 1979). For both the visual and the auditory conditions, individual  
16 RTs were rank ordered as a function of Current and Previous trial correspondence and divided into  
17 quintiles (bins). For each bin, we calculated the size of the Simon effect by subtracting the mean RT  
18 for the corresponding condition from the mean RT for the non-corresponding condition. The  
19 resulting values were submitted to a repeated-measure ANOVA with Bin (from 1 to 5) and Previous  
20 trial correspondence as within-participant factors and Condition as between-participants factor. It  
21 should be noted that, considering the way data were grouped, the main effect of Bin necessarily  
22 turned out to be significant in all the analyses; therefore, it will not be discussed here or later on.  
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34 In addition, for both the visual and the auditory conditions, considering the quintiles (bins)  
35 obtained by ranking RTs, we computed mean PD (baseline-corrected) in the entire epoch (0-1900  
36 ms) as a function of each RT bin for both Current and Previous trial correspondence. The resulting  
37 values were submitted to the same analysis reported above.  
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43 For the visual Simon condition, two participants were excluded from the analyses: one  
44 because of the ER being higher than 20% of the total trials, and the other because 50% of his/her  
45 total trials were discarded due to technical problems with the eye tracker recording. As a result, 30  
46 out of 32 participants were included in the behavioural and pupillary analyses. For the auditory  
47 Simon condition, seven participants were excluded from the analyses because of their high error  
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58 <sup>3</sup> We would like to point out that, to address our experimental questions, we decided to adopt a between-subject design to avoid practice effects from  
59 the task performed as first to the task performed as second. However, we performed a follow-up experiment using a within-subject design (see  
60 [Appendix for the details](#)).

rate (more than 20% of the total trials). As a result, 30 out of 37 participants were included in the behavioural and pupillary analyses.

For both the visual and auditory Simon conditions, incorrect responses (7.2% and 6.4% of the trials, for visual and auditory Simon condition, respectively) and latencies that were 2 standard deviations above (3.7 % and 10.6 % of the trials, for visual and auditory Simon conditions, respectively) or below (0.6% of the trials, for both visual and auditory Simon conditions) each participant's mean were excluded from the analyses.

The exclusion criteria were decided a priori based on previous studies employing the same task. For what concerns behavioural data see, for example, Iani, Milanese and Rubichi (2014b). For what concerns pupillary data see, for example, Laeng et al. (2011, 2012) and Alnaes, Sneve, Espeseth, Endstad, van de Pavert and Laeng (2014).

## Results

**RTs.** The analysis showed a significant main effect of Current trial correspondence,  $F(1, 58) = 106.00$ ,  $MSE = 49815$ ,  $p < .001$ ,  $\eta_p^2 = .65$ , with faster responses in corresponding ( $M = 349$ ;  $SD = 64$ ) than in non-corresponding ( $M = 378$ ;  $SD = 68$ ) trials. The main effects of Previous trial correspondence,  $F(1, 58) = 1.09$ ,  $MSE = 127.29$ , and Condition,  $F(1, 58) = 1.02$ ,  $MSE = 16011.07$ , did not reach significance.

The interaction between Current trial correspondence and Condition was significant,  $F(1, 58) = 5.79$ ,  $MSE = 2721$ ,  $p < .05$ ,  $\eta_p^2 = .09$ . T-tests showed that the difference between corresponding ( $M = 360$ ;  $SD = 61$ ;  $M = 338$ ;  $SD = 64$ ; for visual and auditory conditions, respectively) and non-corresponding trials ( $M = 382$ ;  $SD = 54$ ;  $M = 373$ ;  $SD = 80$ ; for visual and auditory conditions, respectively) (i.e., the Simon effect) was of 22 ms in the visual modality ( $t_{(29)} = 6.368$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and of 35 ms in the auditory modality ( $t_{(29)} = 8.090$ ,  $p_{\text{Bonferroni corrected}}$



< .001). T-test showed that the difference between the two effect sizes was significant, ( $t_{(58)} = -2.407, p < .05, d = -0.63$ ).

The interaction between Previous trial correspondence and Condition was also significant,  $F(1, 58) = 15.67, MSE = 1836, p < .001, \eta_p^2 = .21$ . T-tests showed that only in the visual condition responses were faster when the preceding trial was corresponding ( $M = 368; SD = 54$ ) than when it was non-corresponding ( $M = 375; SD = 53$ ),  $t_{(29)} = -4.712, p_{\text{Bonferroni corrected}} < .001$ . Importantly, the interaction between Current and Previous trial correspondence was significant,  $F(1, 58) = 237, MSE = 50726, p < .001, \eta_p^2 = .80$ . T-tests showed a significant Simon effect of 58 ms following a corresponding trial ( $t_{(59)} = 15.669, p_{\text{Bonferroni corrected}} < .001$ ) and a null effect following a non-corresponding trial ( $t_{(59)} = -0.072, p = .94$ ). Crucially, this two-way interaction was modulated by Condition,  $F(1, 58) = 25.53, MSE = 5466, p < .001, \eta_p^2 = .30$  (see Figure 2A, visual and auditory conditions in the leftmost and rightmost panel, respectively). T-tests showed that the visual Simon effect was of 61 ms when the preceding trial was corresponding ( $t_{(29)} = 14.888, p_{\text{Bonferroni corrected}} < .001$ ) and reversed to -16 ms when the preceding trial was non-corresponding ( $t_{(29)} = -3.345, p_{\text{Bonferroni corrected}} = .008$ ), while the auditory Simon effect was of 55 ms when the preceding trial was corresponding ( $t_{(29)} = 8.892, p_{\text{Bonferroni corrected}} < .001$ ) and decreased to 16 ms when the preceding trial was non-corresponding ( $t_{(29)} = 4.674, p_{\text{Bonferroni corrected}} < .001$ ). T-tests showed that the Simon effect evident after a non-corresponding trial was significantly smaller in the visual ( $M = -16, SD = 27.09$ ) than in the auditory ( $M = 16, SD = 18.77; t_{(58)} = -5.411, p_{\text{Bonferroni corrected}} < .001, d = -1.42$ ) condition. No difference between conditions was evident after a corresponding trial (visual:  $M = 61, SD = 22.33$ ; auditory:  $M = 55, SD = 33.92; t_{(58)} = 0.758, p = .45, d = 0.20$ ).

**ERs.** The analysis showed significant main effects of Current trial correspondence,  $F(1,58) = 67.28, MSE = 0.49, p < .001, \eta_p^2 = .53$ , and Previous trial correspondence,  $F(1, 58) = 9.81, MSE = 0.035, p < .005, \eta_p^2 = .14$ , with higher error rates in non-corresponding ( $M = 9.17\%; SD = 8.01\%$ ) than in corresponding trials ( $M = 4.72\%; SD = 4.08\%$ ), and after corresponding ( $M = 7.88\% SD = 8.04\%$ ) than after non-corresponding ( $M = 6.01\%; SD = 4.92\%$ ) trials. The interaction between

Current and Previous trial correspondence was significant,  $F(1, 58) = 103$ ,  $MSE = 0.50$ ,  $p < .001$ ,  $\eta_p^2 = .64$ . T-tests showed that the Simon effect was significant after a corresponding trial (9.10%;  $t_{(59)} = 10.075$ ,  $p_{\text{Bonferroni corrected}} < .001$ ), but did not reach significance after a non-corresponding trial (-0.21%,  $t_{(59)} = -0.049$ ,  $p = .96$ ). Importantly, the three-way interaction between Current and Previous trial correspondence and Condition was significant,  $F(1, 58) = 39.35$ ,  $MSE = 0.19$ ,  $p < .001$ ,  $\eta_p^2 = .40$  (see Figure 2B, visual and auditory conditions in the leftmost and rightmost panel, respectively). T-tests showed that the visual Simon effect was positive when the preceding trial was corresponding (12.5%;  $t_{(29)} = 9.450$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and negative when the preceding trial was non-corresponding (-2.3%;  $t_{(29)} = -3.371$ ,  $p_{\text{Bonferroni corrected}} = .012$ ). The auditory Simon effect was significant when the preceding trial was corresponding (5.7%;  $t_{(29)} = 6.125$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and failed to reach significance when the preceding trial was non-corresponding (1.9%;  $t_{(29)} = 2.587$ ,  $p_{\text{Bonferroni corrected}} = .09$ ). T-test showed that the Simon effect was comparable in the two conditions (visual:  $M = 5.1\%$ ,  $SD = 4$ ; auditory:  $M = 3.8\%$ ,  $SD = 5$ ;  $t_{(58)} = 0.679$ ,  $p = .92$ ,  $d = 0.18$ ). However, considering sequential modulations, t-tests showed that after a corresponding trial the Simon effect in the visual condition ( $M = 12.5\%$ ,  $SD = 8.57$ ) was significantly larger than in the auditory condition ( $M = 5.7\%$ ,  $SD = 3.68$ ;  $t_{(58)} = 3.906$ ,  $p_{\text{Bonferroni corrected}} < .001$ ,  $d = 1.03$ ). After a non-corresponding trial, the Simon effect in the visual condition ( $M = -2.3\%$ ,  $SD = 6.32$ ) was significantly smaller than in the auditory condition ( $M = 1.9\%$ ,  $SD = 4.87$ ;  $t_{(58)} = -4.044$ ,  $p_{\text{Bonferroni corrected}} < .001$ ,  $d = -1.06$ ). No other main effects or interactions reached significance ( $F_s < 1$ ).

**PD.** The analysis on mean PD (baseline-corrected) during the entire epoch (0-1900 ms) revealed a significant effect of Current trial correspondence,  $F(1, 58) = 9.51$ ,  $MSE = .00$ ,  $p < .005$ ,  $\eta_p^2 = .14$ , with larger PDs in non-corresponding ( $M = 0.079$  mm;  $SD = 0.04$ ) than in corresponding ( $M = 0.070$  mm;  $SD = 0.04$ ) trials. Current trial correspondence did not interact with Condition,  $F(1, 58) = 0.29$ ,  $MSE = 0.00$ , indicating that the difference between corresponding ( $M = 0.072$ ;  $SD = 0.03$ ;  $M = 0.069$ ;  $SD = 0.06$ ; for visual and auditory conditions, respectively) and non-corresponding trials ( $M = 0.079$ ;  $SD = 0.04$ ;  $M = 0.079$ ;  $SD = 0.06$ ; for visual and auditory

conditions, respectively) was comparable in the two conditions (visual:  $M = 0.007$  mm;  $SD = 0.018$ ; auditory:  $M = 0.010$  mm,  $SD = 0.023$ ).

Current and Previous trial correspondence interacted,  $F(1,58) = 8.37$ ,  $MSE = .01$ ,  $p < .005$ ,  $\eta_p^2 = .13$ . T-tests showed that the Simon effect was significant following a corresponding trial (0.018 mm;  $t_{(59)} = 4.133$ ,  $p_{\text{Bonferroni corrected}} < .001$ ), whereas it did not reach significance following a non-corresponding trial (-0.001 mm;  $t_{(59)} = -0.329$ ,  $p = .743$ ). This pattern was evident for both conditions (see Figure 2C, visual and auditory conditions in the leftmost and rightmost panel, respectively), as indicated by the lack of a significant three-way interaction involving the Condition factor,  $F(1, 58) = 1.58$ ,  $MSE = 0.00$ . No other main effects or interactions reached significance ( $F_s < 1$ ).

(Figure 2 about here)

**RT distributions.** This analysis revealed a significant interaction between Current trial correspondence, Bin and Condition,  $F(4, 232) = 60.144$ ,  $MSE = 13164$ ,  $p < .001$ ,  $\eta_p^2 = .50$ . T-test revealed that the visual Simon effect was significant in the first four bins ( $t_{s(29)} > -13.10$ ,  $p_s < .05$ ) but did not reach significance in the last bin ( $t_{(29)} = 0.91$ ;  $p = .37$ ). Differently, the auditory Simon effect was significant at all the bins ( $t_{s(29)} > 5.59$ ,  $p_s < .001$ ). Furthermore, Helmert contrasts showed that the size of the visual Simon effect decreased significantly from bin 1 to bin 5 (42, 35, 25, 10, and -5 ms, respectively),  $F_s(1, 29) > 52.70$ ,  $MSE_s > 20276.39$ ,  $p_s < .001$ , while the size of the auditory Simon effect increased significantly from bin 1 to bin 4 (18, 28, 36 and 47 ms, respectively),  $F_s(1,29) > 40.18$ ,  $p < .001$ , and remained stable from bin 4 to bin 5 (47 and 50 ms, respectively),  $F(1, 29) = .76$ ,  $p = .39$  (see Figure 3A, visual and auditory conditions in the leftmost and rightmost panel, respectively).

Interestingly, the interaction between Previous and Current trial correspondence, Bin and Condition was significant,  $F(4, 232) = 10.428$ ,  $MSE = 1091$ ,  $p < .001$ ,  $\eta_p^2 = .15$ . For the visual

Simon condition, t-test revealed that after a corresponding trial, the effect was significant across bins ( $t_{s(29)} > -20.04$ ,  $p_s < .001$ ), whereas after a non-corresponding trial, the effect was significant at bins 3, 4 and 5 ( $t_{s(29)} > 3.68$ ,  $p_s < .001$ ) and was not significant at bins 1 and 2 ( $t_{s(29)} < -1.37$ ,  $p_s > .180$ ). Helmert contrasts showed that the size of the Simon effect evident after a corresponding trial decreased significantly from bin 1 to bin 5 (78, 74, 67, 55 and 28 ms, respectively),  $F_s(1, 29) > 61.17$ ,  $p_s < .001$ . Whereas the size of the Simon effect after a non-corresponding trial decreased significantly from bin 1 to bin 4 (7, -7, -20, -29 ms, respectively),  $F_s(1, 29) > 33.39$ ,  $MSE_s = 26301.74$ ,  $p_s < .05$ , but it did not differ significantly between bins 4 and 5 (-29 and -32 ms, respectively),  $F(1, 29) = .495$ ,  $MSE = 310.02$  (see Figure 3B, leftmost panel for the visual condition).

For the auditory Simon condition, t-tests revealed that the Simon effect was significant at all bins irrespective of previous trial correspondence (respectively,  $t_{s(21)} > 5.91$ ,  $p_s < .001$ ;  $t_{s(21)} > 2.28$ ,  $p_s < .05$ ). Furthermore, Helmert contrasts showed that the size of the Simon effect evident after a corresponding trial increased significantly from bin 1 to bin 4 (27, 41, 59 and 71 ms, respectively),  $F_s(1, 29) > 62.59$ ,  $MSE_s > 34415.17$ ,  $p < .001$ , but did not differ between bins 4 and 5 (71 and 71 ms, respectively),  $F(1, 29) = .00$ ,  $MSE = 0.00$ ). Conversely, the size of the Simon effect evident after a non-corresponding trial increased significantly from bin 1 to bin 2 (7, and 14 ms, respectively),  $F(1, 29) = 5.57$ ,  $MSE = 3560.68$ ,  $p < .05$ , but did not differ significantly in the other bins (14, 14, 19 and 22 ms, respectively),  $F_s(1, 29) < 2.58$ ,  $MSE_s < 1234.41$ , (see Figure 3B, rightmost panel for the auditory condition).

**PD depending on RTs distributions.** The interaction between Current trial correspondence, Bin and Condition failed to reach significance  $F(4, 55) = .797$ ,  $MSE = .001$  (see Figure 4A, leftmost panel for the visual condition and rightmost panel for the auditory condition). Interestingly, the interaction between Previous and Current trial correspondence, Bin and Condition was significant  $F(4, 55) = 3.351$ ,  $MSE = .007$ ,  $p < .01$ ,  $\eta_p^2 = .22$ . For the visual Simon condition, t-test revealed that after a corresponding trial, the effect was significant at bins 2, 3 and 4 ( $t_{s(29)} > -3.008$ ,  $p_s < .05$ ), and

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 3 it was not significant at bins 1 and 5 ( $t_{s(29)} < -0.92$ ,  $p_s > .78$ ), whereas after a non-corresponding  
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 5 trial, the effect was close to significance at bin 5 ( $t_{(29)} = 1.83$ ,  $p = .078$ ) and it was not significant  
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 7 from bin 1 to bin 4 ( $t_{s(29)} < -0.788$ ,  $p_s > .43$ ). Helmert contrasts showed that the size of the Simon  
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 9 effect after a corresponding trial was stable across bins (-0.004, 0.022, 0.035, 0.022, 0.010 mm,  
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 11 from bin 1 to bin 5 respectively),  $F_s(1, 29) < 2.58$ ,  $MSE_s < 0.02$ . The size of the Simon effect after a  
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 13 non-corresponding trial was also stable across bins (0.008, -0.001, -0.008, -0.007, -0.022 mm, from  
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 15 bin 1 to bin 5 respectively),  $F_s(1, 29) < 1.78$ ,  $MSE_s < 0.01$  (see Figure 4B, leftmost panel for the  
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 17 visual condition). For the auditory Simon condition, t-tests revealed that after a corresponding trial,  
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 19 the effect was significant at bin 4 ( $t_{(29)} > -4.379$ ,  $p < .001$ ), and it was not significant at bins 1, 2, 3  
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 21 and 5 ( $t_{s(29)} < -0.28$ ,  $p_s = .77$ ) whereas after a non-corresponding trial, the effect was significant at  
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 23 bin 3 and 4 ( $t_{(29)} = 2.089$ ,  $p < .05$ ) and it was not significant at bin 1, 2 and 5 ( $t_{s(29)} < -0.576$ ,  $p_s =$   
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 25  $.56$ ). Helmert contrasts showed that the size of the Simon effect evident after a corresponding trial  
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 27 was stable across bins (0.003, 0.015, 0.005, 0.050, 0.009 mm, from bin 1 to bin 5 respectively),  
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 29  $F_s(1, 29) < 3.36$ ,  $MSE_s < 0.05$ , whereas the size of the Simon effect after a non-corresponding trial  
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 31 decreased significantly only from bin 3 to bin 4 (0.028, -0.023 mm, respectively),  $F_s(1, 29) = 8.32$ ,  
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 33  $MSE_s = 0.04$ ,  $p < .01$ , and it was stable at bins 1, 2 and 5 (0.006, -0.008, 0.007 mm, respectively),  
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 35  $F_s(1, 29) < 8.32$ ,  $MSE_s < 0.04$  (see Figure 4B, rightmost panel for the auditory condition).  
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(Figure 3 about here)

## General Discussion

The Simon effect has been widely investigated by employing visual stimuli, while the effect  
 emerging with auditory stimuli remains, at least for some aspects, less studied. Specifically, while it  
 is widely accepted that the visual Simon effect originates from the interplay between two processing  
 routes leading to response activation, there is still an ongoing debate regarding the mechanisms

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3 underlying the auditory Simon task (e.g., Wascher et al., 2001; Leuthold & Schröter, 2006; Xiong  
4 & Proctor, 2016).

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7 In the present study we performed a detailed comparison of the visual and the auditory  
8 Simon effects recording behavioural measures (reaction times, RTs, and error rate, ER) and pupil  
9 dilation (PD), which is considered as a physiological index of the cognitive effort induced by  
10 conflict-related processing (i.e., van Steenbergen & Band, 2013), aiming to investigate the  
11 mechanisms underlying both effects. We specifically focused on those mechanisms responsible for  
12 shaping the RT distributions and for the sequential modulations evident in the visual and the  
13 auditory versions of the task. Importantly, to our knowledge, this is the first study that examined  
14 conflict processing by means of the pupillary response in the auditory Simon task. It should be  
15 noted that to achieve our aim and to avoid practice effects from the task performed as first to the  
16 task performed as second, a between-subjects design has been performed. However, in order to  
17 investigate if practice effects could influence the subsequent task also in this specific paradigm, a  
18 follow-up experiment using a within-subjects design was performed (see Appendix). Results of the  
19 two experiments are in line, but the within-subjects design revealed an effect of the Order of  
20 presentation of the task, supporting the notion that Simon task performance can be affected by a  
21 similar task performed before (e.g., Iani et al., 2009; Marini, Iani, Nicoletti, & Rubichi, 2011;  
22 Tagliabue, Zorzi, Umiltà, & Bassignani, 2000). Specifically, performing the auditory condition as  
23 first speeded up performance as compared to when the visual condition was executed as first. This  
24 result shows that performing the same task in a specific modality (i.e., auditory) can influence  
25 performance of the same task in a different modality (i.e., visual modality; see for example Vu et  
26 al., 2003). Consequently, we decided to focus our discussion on the results of the between-subjects  
27 experiment.

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54 Our behavioural results were in line with those of previous studies (e.g., Wühr & Ansorge,  
55 2005; Leuthold & Schröter, 2006; Pick & Proctor, 1999): the Simon effect emerged for both visual  
56 and auditory modalities. As hypothesized, despite we did not find an overall advantage of the  
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3 auditory condition compared to the visual one, the auditory Simon effect was significantly larger as  
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5 compared to the visual one (35 vs. 24 ms). Crucially, the analysis of sequential modulations showed  
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7 that after a corresponding trial the Simon effect for RTs did not differ across modalities (61 vs. 55  
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9 ms, respectively), while it was larger for the visual than for the auditory Simon condition on ERs  
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11 (12.5% vs. 5.7%, respectively). Differently, after a non-corresponding trial, the Simon effect in both  
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13 RTs and ERs was reversed in the visual modality and only reduced in the auditory modality (-16 ms  
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15 vs. 16 ms and -2.3% vs. 1.9 %, respectively). Overall, the sequential modulations replicated those of  
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17 previous studies using visual and auditory stimuli (e.g., Iani et al., 2009, 2012; Stürmer et al., 2002;  
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19 Leuthold & Schröter, 2006), hence supporting the idea that the conflict experienced in a trial  
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21 triggers adaptations aimed at eliminating the impact of spatial S–R correspondence on response  
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23 selection in the following trial. Specifically, these findings are consistent with the proposal by  
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25 Stürmer et al. (2002) that the unconditional processing route is under the control of an ancillary  
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27 monitoring mechanism (AMM), which detects response conflict and subsequently suppresses the  
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29 unconditional route (see also Ridderinkhof, 2002). It should, however, be noted that sequential  
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31 modulations have been observed by Hommel et al. (2004) in the absence of conflict adaptation  
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33 effects. The authors showed persistent sequential modulations in versions of the Simon task in  
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35 which the occurrence of response conflict on the previous trial was precluded and concluded that  
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37 congruency sequence effects in the Simon task do not require conflict-driven cognitive control  
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39 processes. Subsequently, Wühr (2005) showed that sequential modulations can also be obtained in  
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41 the absence of feature integration effects, concluding that, in a typical Simon task (as used in the  
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43 present study), sequential modulations might reflect additive effects of conflict adaptation and  
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45 feature integration (see also Wühr & Ansorge, 2005). Thus, it is not possible to exclude that our  
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47 results were influenced by repetition effect, since, with the present paradigm, it is not possible to  
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49 completely disentangle feature integration and conflict adaptation effects, and both may contribute  
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51 to the observed sequential modulations. Interestingly, the direct comparison between sequential  
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53 modulations in the visual and auditory versions of the Simon task provides some insights on the  
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3 mechanism responsible for these modulations in the two conditions. Indeed, if we consider  
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5 performance after a non-corresponding trial, we can notice that the visual Simon effect reversed  
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7 while the auditory Simon effect was simply reduced. This finding may suggest that in the two  
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9 conditions the same suppression mechanism produces different outcomes, probably because of the  
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11 different strength of the activation produced by stimuli in the two modalities. Specifically, the  
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13 activation of the corresponding response through the unconditional route produced by auditory  
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15 stimuli, that is thought to be stronger than the one produced by visual stimuli (see Vu et al., 2003),  
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17 could be more difficult to suppress, resulting in a reduced auditory Simon effect after non-  
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19 corresponding trials. Differently, since visual stimuli produce a weaker activation than auditory  
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21 stimuli, suppression may result in a reversed visual Simon effect after non-corresponding trials.  
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23 This inference is consistent with the notion that visual and auditory information processing differs  
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25 in many regards, as also manifested by relevant differences between visual and auditory spatial  
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27 attention (e.g., Neumann, van der Heijden, & Allport, 1986; Kanwisher & Wojciulik, 2000; Spence  
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29 & Driver, 1994; Wu, Weissman, Roberts, & Woldorff, 2007).

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32 The difference reported in the behavioural results between the two conditions emerged partially also  
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34 for the pupillary data. Specifically, we did not find an overall reduced PD amplitude for the  
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36 auditory stimuli compared to visual stimuli. In addition, we replicated the previous finding in which  
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38 PD was larger in non-corresponding than in corresponding trials in the visual condition.  
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40 Interestingly, we found that the PD Simon effect was present also in the auditory condition but,  
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42 differently from RTs, no difference emerged between the magnitude of the visual and the auditory  
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44 PD Simon effects, suggesting that, probably, the two conditions required the same amount of  
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46 cognitive effort. Along with the results of previous studies (in which visual stimuli were used),  
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48 these results showed that pupil diameter might be used as an indirect marker of the cognitive effort  
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50 induced by conflict monitoring (e.g., Laeng et al., 2011; van Steenbergen & Band, 2013; Wendt et  
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52 al., 2014) independently of the stimulus modality. In addition, the results of the analysis of  
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54 sequential modulations showed that, in both conditions, a Simon effect emerged following a  
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3 corresponding trial, whereas a null effect was evident following a non-corresponding trial,  
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5 irrespective of the nature (visual or auditory) of the stimuli. Overall, this analysis revealed a pattern  
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7 of results that is in line with a previous study on the visual Simon effect (van Steenbergen & Band,  
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9 2013), and added new empirical evidences on the conflict-related processing of auditory stimuli.

11 The analysis of the RT distributions showed differences between the visual and auditory  
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13 conditions. More precisely, the visual Simon effect was present for fast RTs (bins 1 to 4) and  
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15 decreased with longer RTs (e.g., Ridderinkhof, 2002; Wascher et al., 2001), whereas the auditory  
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17 Simon effect was present at all bins and, compared to the visual Simon effect, increased with longer  
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19 RTs (e.g., Wascher et al., 2001) showing a longer-lasting effect. Furthermore, the RT distributions  
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21 were differently modulated by previous trial correspondence. Specifically, with visual stimuli, the  
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23 [visual](#) Simon effect following a corresponding trial was present at all bins and decreased with  
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25 increasing RTs, whereas, following a non-corresponding trial, it was absent at the fastest RTs and  
26  
27 reversed at longer RTs, showing a decreasing function. We interpreted these results in light of the  
28  
29 activation/inhibition account proposed by Ridderinkhof (2002). That is, the decreasing time course  
30  
31 evident after both corresponding and non-corresponding trials may have been due to a relatively  
32  
33 strong selective suppression of the unconditional route. Furthermore, it should be noted that after  
34  
35 non-corresponding trials the [visual](#) Simon effect reversed at the longer bins. Hence, it seems that the  
36  
37 inhibition process following non-corresponding trials was stronger compared to that after  
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39 corresponding trials (Ridderinkhof, 2002). However, the finding of a reverse [visual](#) Simon effect  
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41 following a non-corresponding trial could be explained by the fact that complete repetitions of non-  
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43 corresponding trials produce shorter RTs compared to partial repetitions. For auditory stimuli,  
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45 following a corresponding trial, the [auditory](#) Simon effect was present at all bins and increased with  
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47 increasing RTs, whereas, it increased in the first two bins to become stable with longer RTs  
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49 following a non-corresponding trial. This flat time course following non-corresponding trials is in  
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51 line with the account proposed by Stürmer et al. (2002), according to which after a non-  
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3 corresponding trial the direct route is suppressed and the response activation process is mediated by  
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5 the indirect route (see also Dittrich et al., 2014; Leuthold & Schröter, 2006).  
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8 It is important to note that such qualitatively different processes that have been inferred to exist for  
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10 the two conditions of the Simon task might be re-interpreted in the light of the Diffusion Model for  
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12 Conflict tasks (DMC) recently proposed by Ulrich, Schröter, Leuthold, and Birngruber (2015). The  
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14 model takes into account the idea of two simultaneous processes: one process (i.e., controlled  
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16 process) operates on task-relevant information while the other (i.e., automatic process) operates on  
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18 task-irrelevant information. Through a simulation model, the authors demonstrated that the shape of  
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20 the time course function depends on how the automatic activation spreads out in time. If this  
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22 automatic activation reaches its maximum relatively quickly, the time course will show a decreasing  
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24 trend. If, however, the maximum of the automatic activation is reached relatively late, the resulting  
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26 time course will be increasing. Ulrich and colleagues (2015) combined the concept of diffusion  
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28 processes with the idea of dual processing in conflict tasks to provide a novel account of the delta  
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30 functions. It should be noted that, if we consider the modulation of the RT distribution by previous  
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32 trial correspondence (sequential modulations), the model predicts the reduction of the Simon effect  
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34 when the amplitude of the automatic activation is diminished. However, it does not predict the  
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36 reversal of the Simon effect after a non-corresponding trial for slower responses, a result that we  
37  
38 found in our visual condition. For this reason, we believe that this model, although interesting,  
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40 needs to be further integrated.  
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44 In addition, the analysis of PD depending on the RT distributions showed that the **visual** Simon  
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46 effect in PD increased in the second bin to then decrease. The **auditory Simon** effect slightly  
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48 increased at the beginning and then decreased. It is possible that the difference in the time course  
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50 between the two stimulus modalities evident in RT did not emerge in PD because this index does  
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52 not reflect the different strength of activation characterizing the two modalities. Moreover,  
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54 considering the previous trial correspondence, in both conditions, the PD time course was stable  
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56 after both corresponding and non-corresponding trials; however it seems that it reflected the RT  
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3 distribution, with a larger PD for slower RTs. This result might confirm the role of PD as an index  
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5 of cognitive effort. However, it should be noted that PD, compared to RT, presents very small  
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7 variations and tends to be noisy, and, in this analysis, dividing the number of trial into five bins may  
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9 have decreased the statistical power to detect the effect.  
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11 To conclude, taken together the findings of the present study confirmed the widely accepted  
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13 account that the visual Simon effect can be explained in the framework of the dual-route model of  
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15 information processing. In addition, they seem to support the proposal that the visual and auditory  
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17 Simon effects rely on the same mechanism, with both involving automatic response activation  
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19 through the unconditional route (Leuthold & Schröter, 2006). Although the results of the RT  
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21 distributional analysis are in line with those of Wascher et al. (2001), with a decreasing effect  
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23 function emerging in the visual condition and an increasing effect function emerging in the auditory  
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25 condition, the results of our analysis of the sequential modulations of the RT distributions add more  
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27 evidence to the proposal of a control mechanism that, in both modalities, suppresses the  
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29 unconditional processing route following the detection of a conflict. In other words, our data seem  
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31 to indicate that, even though these modalities seem to differ with respect to the strength of the  
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33 activation and inhibition processes characterizing them, a common mechanism could underlie the  
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35 Simon effect arising in the two modalities (see also Buetti & Kerzel, 2008). Importantly, these  
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37 results were also corroborated by the pupillary measure that, in both conditions mirrored the pattern  
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39 observed in RTs and ERs.  
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**Figure captions:**

**Figure 1** Baseline-corrected mean pupil dilation (PD) in the (A) visual and (B) auditory Simon conditions. Time 0 represents the onset of each stimulus. The vertical lines represent the point in time of each condition's mean reaction time. C, corresponding; NC, non-corresponding

**Figure 2** Mean reaction time, RT (A), mean Error Rate, ER (B), and mean Pupil Dilation, PD (C), for Current trial correspondence as a function of Previous trial correspondence in the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean. Asterisks denote significant values (\* $p < .05$ ; \*\*  $p < .005$ ). C, corresponding; NC, non-corresponding

**Figure 3** The Simon effect as a function of mean RT for each Bin considering (A) Current trial correspondence, and (B) Previous and Current trial correspondence, for the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean.

**Figure 4** The Simon effect as a function of PD depending on RTs distributions for each Bin considering (A) Current trial correspondence, and (B) Previous and Current trial correspondence, for the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean.

## APPENDIX

### Visual vs. Auditory Simon effect: follow-up experiment using a within-subject design

In this experiment ( $N = 24$ ), we balanced the order of presentation of the visual and the auditory Simon task across participants so that half of the participants performed the visual Simon task as first and the other half performed the auditory Simon task as first. Correct reaction times (RTs), arcsine-transformed error rates (ERs) and mean pupil dilation (PD) in the entire epoch (0-1900 ms) were submitted to a repeated-measures Analysis of Variance (ANOVA) with Condition (visual vs. auditory), Current trial correspondence (corresponding vs. non-corresponding) and Previous trial correspondence (corresponding vs. non-corresponding) as within-participant factors and Order of presentation (visual/auditory vs. auditory/visual) as between-participants factor. In addition, we performed a distributional analysis of RTs. For both the visual and the auditory conditions, individual RTs were rank ordered as a function of Current and Previous trial correspondence and divided into quintiles (bins). The resulting values were submitted to a repeated-measure ANOVA with Condition, Bin (from 1 to 5), Previous trial correspondence and Current trial correspondence as within-participant factors and Order of presentation as between-participants factor.

**RTs.** The analysis showed a significant main effect of Order of presentation,  $F(1, 22) = 37.44$ ,  $MSE = 1777.26$ ,  $p < .001$ ,  $\eta_p^2 = .63$ , with faster responses for the auditory/visual order ( $M = 382$ ;  $SD = 59$ ) than for the visual/auditory order ( $M = 338$ ;  $SD = 53$ ). There were also main effects of Current trial correspondence,  $F(1, 22) = 228.15$ ,  $MSE = 29230$ ,  $p < .001$ ,  $\eta_p^2 = .91$ , with faster responses in corresponding ( $M = 348$ ;  $SD = 58$ ) than in non-corresponding ( $M = 373$ ;  $SD = 60$ ) trials, and Previous trial correspondence,  $F(1, 22) = 37.44$ ,  $MSE = 1777.26$ ,  $p < .001$ ,  $\eta_p^2 = .63$ , with faster responses after a corresponding ( $M = 357$ ;  $SD = 58$ ) than after a non-corresponding ( $M = 363$ ;  $SD = 58$ ) trial. The main effect of Condition did not reach significance,  $F(1, 22) < 1$ .

The interaction between Current trial correspondence and Order of presentation was significant,  $F(1, 22) = 13.12$ ,  $MSE = 1680.76$ ,  $p < .005$ ,  $\eta_p^2 = .37$ . T-tests showed that the difference between corresponding ( $M = 367$ ;  $SD = 58$ ;  $M = 329$ ;  $SD = 52$ ; for visual/auditory and auditory/visual,

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3 respectively) and non-corresponding ( $M = 397$ ;  $SD = 56$ ;  $M = 348$ ;  $SD = 53$ ; for visual/auditory and  
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5 auditory/visual, respectively) trials (i.e., the Simon effect) was of 30 ms when participants  
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7 performed the visual/auditory order ( $t_{(11)} = 13.030$ ,  $p_{\text{Bonferroni corrected}} < .001$ ), and of 19 ms when  
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9 participants performed the auditory/visual order ( $t_{(11)} = 8.254$ ,  $p_{\text{Bonferroni corrected}} < .001$ ). The  
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11 interaction between Previous trial correspondence and Order of presentation was also significant,  
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13  $F(1, 22) = 5.95$ ,  $MSE = 282.45$ ,  $p < .05$ ,  $\eta_p^2 = .21$ . T-tests showed that responses after a  
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15 corresponding trial were faster for the auditory/visual order ( $M = 337$ ;  $SD = 55$ ) than for the  
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17 visual/auditory order ( $M = 378$ ;  $SD = 63$ ,  $t_{(23)} = 2.549$ ,  $p_{\text{Bonferroni corrected}} < .05$ ), while responses after  
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19 a non-corresponding trial were faster for the auditory/visual order ( $M = 340$ ;  $SD = 52$ ) than for the  
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21 visual/auditory order ( $M = 386$ ;  $SD = 55$ ),  $t_{(23)} = 2.835$ ,  $p_{\text{Bonferroni corrected}} < .05$ ).  
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25 The difference between corresponding ( $M = 353$ ;  $SD = 52$ ;  $M = 343$ ;  $SD = 63$ ; for visual and  
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27 auditory conditions, respectively) and non-corresponding trials ( $M = 382$ ;  $SD = 47$ ;  $M = 364$ ;  $SD =$   
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29  $70$ ; for visual and auditory conditions, respectively) (i.e., the Simon effect) was of 28 ms in the  
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31 visual modality and of 21 ms in the auditory modality. These two effects did not differ as indicated  
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33 by the lack of a significant interaction between Current trial correspondence and Condition,  $F(1, 22)$   
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35  $= 3.23$ ,  $p = .08$ . The interaction between Previous trial correspondence and Condition was  
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37 significant,  $F(1, 22) = 8.54$ ,  $MSE = 855.13$ ,  $p < .01$ ,  $\eta_p^2 = .28$ . T-tests showed that only in the visual  
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39 condition responses were faster when the preceding trial was corresponding ( $M = 362$ ;  $SD = 56$ )  
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41 than when it was non-corresponding ( $M = 373$ ;  $SD = 46$ ),  $t_{(23)} = 4.976$ ,  $p_{\text{Bonferroni corrected}} < .001$ .  
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43 Importantly, the interaction between Current and Previous trial correspondence was significant,  $F(1,$   
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45  $22) = 151.74$ ,  $MSE = 24392$ ,  $p < .001$ ,  $\eta_p^2 = .87$ . T-tests showed a significant Simon effect of 47 ms  
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47 following a corresponding trial ( $t_{(23)} = 16.349$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and a null effect of 2 ms  
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49 following a non-corresponding trial ( $t_{(23)} = 0.851$ ,  $p = .40$ ). Crucially, this two-way interaction was  
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51 modulated by Condition,  $F(1, 22) = 131.30$ ,  $MSE = 13358$ ,  $p < .001$ ,  $\eta_p^2 = .85$ . T-tests showed that  
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53 the visual Simon effect was of 67 ms when the preceding trial was corresponding ( $t_{(23)} = 16.261$ ,  $p$   
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55  $\text{Bonferroni corrected} < .001$ ) and reversed to -11 ms when the preceding trial was non-corresponding ( $t_{(23)}$   
56  
57  $\text{Bonferroni corrected} < .001$ ) and reversed to -11 ms when the preceding trial was non-corresponding ( $t_{(23)}$   
58  
59  $\text{Bonferroni corrected} < .001$ ) and reversed to -11 ms when the preceding trial was non-corresponding ( $t_{(23)}$   
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= -2.683,  $p_{\text{Bonferroni corrected}} = .05$ ), while the auditory Simon effect was of 27 ms when the preceding trial was corresponding ( $t_{(23)} = 7.879$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and decreased to 15 ms when the preceding trial was non-corresponding ( $t_{(23)} = 5.623$ ,  $p_{\text{Bonferroni corrected}} < .001$ ). No other interaction was significant.

**ERs.** The analysis showed significant main effects of Current trial correspondence,  $F(1,22) = 59.09$ ,  $MSE = 0.30$ ,  $p < .001$ ,  $\eta_p^2 = .73$ , and Condition,  $F(1,22) = 19.07$ ,  $MSE = 0.12$ ,  $p < .001$ ,  $\eta_p^2 = .46$  with higher error rates in non-corresponding ( $M = 8.42\%$ ;  $SD = 7.03$ ) compared to corresponding ( $M = 4.72\%$ ;  $SD = 4.20$ ) trials, and in the visual ( $M = 8.09\%$ ;  $SD = 7.36$ ) compared to the auditory ( $M = 5.05\%$ ;  $SD = 3.88\%$ ) condition.

The interaction between Condition and Order of presentation was significant,  $F(1,22) = 5.80$ ,  $MSE = 0.035$ ,  $p < .05$ ,  $\eta_p^2 = .21$ , showing that for the visual/auditory order, errors did not differ between the visual ( $M = 7.40\%$ ;  $SD = 6.63$ ) and the auditory ( $M = 5.86\%$ ;  $SD = 4.63$ ) conditions ( $t_{(11)} = 2.151$ ,  $p_{\text{Bonferroni corrected}} = .11$ ), while for the auditory/visual order, participants made more errors in the visual ( $M = 8.79\%$ ;  $SD = 7.96$ ) than in the auditory ( $M = 4.23\%$ ;  $SD = 2.72$ ) condition ( $t_{(11)} = 6.766$ ,  $p_{\text{Bonferroni corrected}} < .001$ ).

The interaction between Current trial correspondence and Condition was significant,  $F(1,22) = 8.45$ ,  $MSE = 0.067$ ,  $p < .01$ ,  $\eta_p^2 = .28$ . Participants made more errors in non-corresponding than in corresponding trials in both the visual ( $M = 11.09\%$ ;  $SD = 8.31$ ;  $M = 5.10\%$ ;  $SD = 4.60$ , respectively;  $t_{(23)} = 6.533$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and auditory condition ( $M = 5.75\%$ ;  $SD = 3.91$ ,  $M = 4.3\%$ ;  $SD = 3.72$ , respectively;  $t_{(23)} = 2.802$ ,  $p_{\text{Bonferroni corrected}} = .04$ ). In non-corresponding trials, participants made more errors in the visual than in the auditory condition ( $t_{(23)} = 6.773$ ,  $p_{\text{Bonferroni corrected}} < .001$ ), while no difference emerged for corresponding trials ( $t_{(23)} = 0.896$ ,  $p = .38$ ).

The interaction between Previous trial correspondence and Condition was also significant,  $F(1,22) = 4.18$ ,  $MSE = 0.014$ ,  $p = .053$ ,  $\eta_p^2 = .16$ . In the visual condition, participants made more errors when the previous trial was corresponding ( $M = 9.68\%$ ;  $SD = 9.12$ ) than when it was non-corresponding ( $M = 6.51\%$ ;  $SD = 4.48$ ;  $t_{(11)} = -4.996$ ,  $p_{\text{Bonferroni corrected}} < .001$ ). In the auditory

condition, errors did not differ as a function of previous trial correspondence ( $M = 5.06\%$ ;  $SD = 3.78$ ;  $M = 5.04\%$ ;  $SD = 3.98$ , respectively;  $t_{(11)} = -0.251$ ,  $p = .80$ ).

Importantly, the interaction between Current and Previous trial correspondence was significant,  $F(1,22) = 104.38$ ,  $MSE = 0.43$ ,  $p < .001$ ,  $\eta_p^2 = .83$ . T-tests showed that the Simon effect was significant after a corresponding trial ( $8.3\%$ ;  $t_{(23)} = 11.386$ ,  $p_{\text{Bonferroni corrected}} < .001$ ), but not after a non-corresponding trial ( $-0.91\%$ ,  $t_{(23)} = -1.653$ ,  $p = .11$ ). In addition, the three-way interaction between Current and Previous trial correspondence and Condition was significant,  $F(1,22) = 37.68$ ,  $MSE = 0.17$ ,  $p < .001$ ,  $\eta_p^2 = .63$ . T-tests showed that the visual Simon effect was positive when the preceding trial was corresponding ( $13.8\%$ ;  $t_{(23)} = 10.909$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) but did not reach significance when the preceding trial was non-corresponding ( $-1.82\%$ ;  $t_{(23)} = -1.544$ ,  $p = .14$ ). The auditory Simon effect was significant when the preceding trial was corresponding ( $2.82\%$ ;  $t_{(23)} = 4.748$ ,  $p_{\text{Bonferroni corrected}} < .001$ ) and it was null when the preceding trial was non-corresponding ( $-0.0004\%$ ;  $t_{(23)} = 0.350$ ,  $p = .73$ ).

**PD.** The analysis on mean PD (baseline-corrected) during the entire epoch (0-1900 ms) revealed a significant interaction between Current and Previous trial correspondence,  $F(1,22) = 28.49$ ,  $MSE = .008$ ,  $p < .001$ ,  $\eta_p^2 = .56$ . T-tests showed that the Simon effect was significant following a corresponding trial ( $0.016$  mm;  $t_{(23)} = 3.38$ ,  $p_{\text{Bonferroni corrected}} < .01$ ), whereas it failed to reach significance following a non-corresponding trial ( $-0.009$  mm;  $t_{(23)} = -0.023$ ,  $p_{\text{Bonferroni corrected}} = .094$ ). This pattern was evident for both conditions, as indicated by the lack of a significant three-way interaction involving the Condition factor,  $F(1, 22) = 1.68$ ,  $MSE = 0.00$ .

The interaction between Previous trial correspondence and Order of presentation was also significant,  $F(1, 22) = 5.77$ ,  $MSE = .003$ ,  $p < .05$ ,  $\eta_p^2 = .21$ . T-tests showed that for the visual/auditory order pupil dilation was larger after a corresponding trial ( $M = 0.076$  mm;  $SD = 0.051$ ) than after non-corresponding trial ( $M = 0.066$  mm;  $SD = 0.057$ ,  $t_{(11)} = -2.958$ ,  $p_{\text{Bonferroni corrected}} = .05$ ). No other main effects or interactions reached significance ( $F_s < 1$ ).

**RT distributions.** This analysis revealed a significant interaction between Condition, Bin and Current trial correspondence,  $F(4, 19) = 25.819$ ,  $MSE = 8851.97$ ,  $p < .001$ ,  $\eta_p^2 = .54$ . T-tests revealed that the visual and the auditory Simon effect were significant at all bins ( $t_{s(23)} > 2.586$ ,  $p_s < .05$ ;  $t_{s(23)} > 3.337$ ,  $p_s < .001$ , respectively). Furthermore, Helmert contrasts showed that the size of the visual Simon effect decreased significantly from bin 1 to bin 5 (39, 38, 31, 22 and 12 ms, respectively),  $F_s(1, 23) > 16.245$ ,  $MSEs > 4145.864$ ,  $p_s < .001$ , while the size of the auditory Simon effect increased significantly from bin 1 to bin 4 (11, 17, 20 and 27 ms respectively),  $F_s(1,23) > 6.409$ ,  $p < .05$ , and remained stable from bin 4 to bin 5 (27 and 28 ms, respectively),  $F(1, 23) = .12$ ,  $p = .73$ .

The interaction between Bin, Previous and Current trial correspondence was significant,  $F(4, 19) = 5.938$ ,  $MSE = 650.627$ ,  $p < .001$ ,  $\eta_p^2 = .21$ . T-tests revealed that after a corresponding trial, the effect was significant across bins ( $t_{s(23)} > 8.765$ ,  $p_s < .001$ ), whereas after a non-corresponding trial, the effect was close to significance at bin 1 ( $t_{(23)} = 1.978$ ,  $p = .06$ ) and it was not significant in the other bins ( $t_{s(23)} < 1.451$ ,  $p_s < .16$ ). Helmert contrasts showed that the size of the Simon effect, evident after a corresponding trial, was stable from bin 1 to bin 4 (45, 50, 50 and 51 ms, respectively),  $F_s(1, 23) > 0.848$ ,  $p_s < .37$ , and it decreased significantly from bin 4 to bin 5 (51 and 39 ms, respectively),  $F(1, 23) = 21.686$ ,  $p < .001$ . Differently, the size of the Simon effect after a non-corresponding trial remained stable from bin 1 to bin 5 (5, 4, 1, -1 and 2 ms, respectively),  $F_s(1, 23) > 0.208$ ,  $p_s < .65$ .

The interaction between Condition, Bin, Previous and Current trial correspondence did not reach significance,  $F(4, 19) < 1$   $p = .94$ . For the visual Simon condition, t-tests revealed that after a corresponding trial, the effect was significant across bins ( $t_{s(23)} > 7.223$ ,  $p_s < .001$ ), whereas after a non-corresponding trial, the effect was significant at bins 3, 4 and 5 ( $t_s(23) > -2.223$ ,  $p_s < .05$ ) but not at bins 1 and 2 ( $t_s(23) < 0.485$ ,  $p_s > .63$ ). Helmert contrasts showed that the size of the Simon effect, evident after a corresponding trial, increased significantly from bin 1 to bin 2 and it decreased significantly from bin 2 to bin 5 (76, 77, 73, 66 and 47 ms, respectively),  $F_s(1, 23) >$



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3 4.813,  $p_s < .05$ . Differently, the size of the Simon effect after a non-corresponding trial decreased  
4 significantly from bin 1 to bin 4 (2, -2, -10, and -21 ms, respectively),  $F_s(1, 23) > 13.170$ ,  $MSEs =$   
5 3034.056,  $p_s < .001$ , but it did not differ significantly between bins 4 and 5 (-21 and -23 ms,  
6 respectively),  $F(1, 23) = .264$ ,  $MSE = 104.262$ . For the auditory Simon condition, t-tests revealed  
7 that after a corresponding trial, the Simon effect was significant at all bins ( $t_s(23) > 4.16$ ,  $p_s < .001$ ),  
8 whereas after a non-corresponding trial, the effect was significant from bin 2 to bin 5 ( $t_s(23) >$   
9 2.794,  $p_s < .05$ ) but not at bin 1 ( $t_{(23)} = 1.792$ ,  $p = .08$ ). Furthermore, Helmert contrasts showed that  
10 the size of the Simon effect evident after a corresponding trial increased significantly from bin 1 to  
11 bin 3 (15, 24 and 28 ms, respectively),  $F_s(1, 23) > 3.938$ ,  $MSEs > 1535.79$ ,  $p < .059$ , but did not  
12 differ among the last three bins (28, 36 and 30 ms, respectively),  $F_s(1, 23) > 1.405$ ,  $MSEs >$   
13 711.629. Conversely, the size of the Simon effect evident after a non-corresponding trial increased  
14 significantly from bin 1 to bin 5 (8, 10, 13, 19 and 26 ms, respectively),  $F(1, 23) > 4.091$ ,  $MSE =$   
15 1954.35,  $p < .055$ . Neither the main effect of Order of presentation nor the interactions involving  
16 this factor reached significance ( $F_s < 1$ ).  
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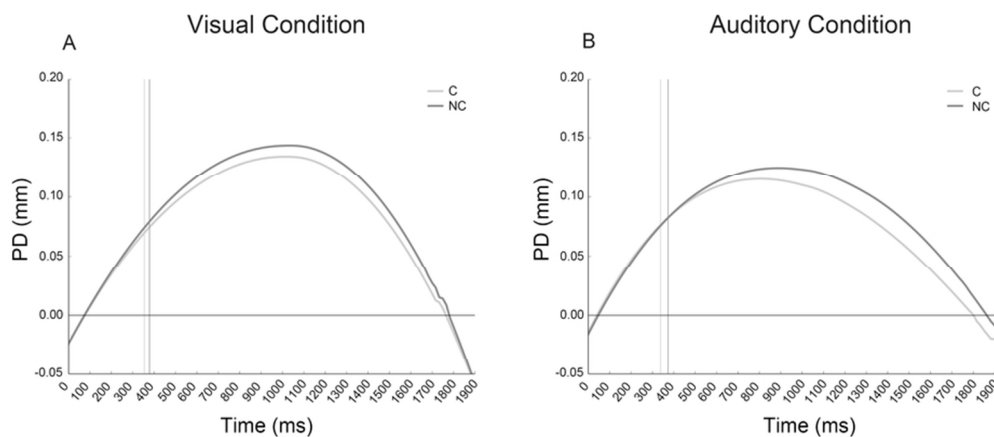


Figure 1 Baseline-corrected mean pupil dilation (PD) in the (A) visual and (B) auditory Simon conditions. Time 0 represents the onset of each stimulus. The vertical lines represent the point in time of each condition's mean reaction time. C, corresponding; NC, non-corresponding

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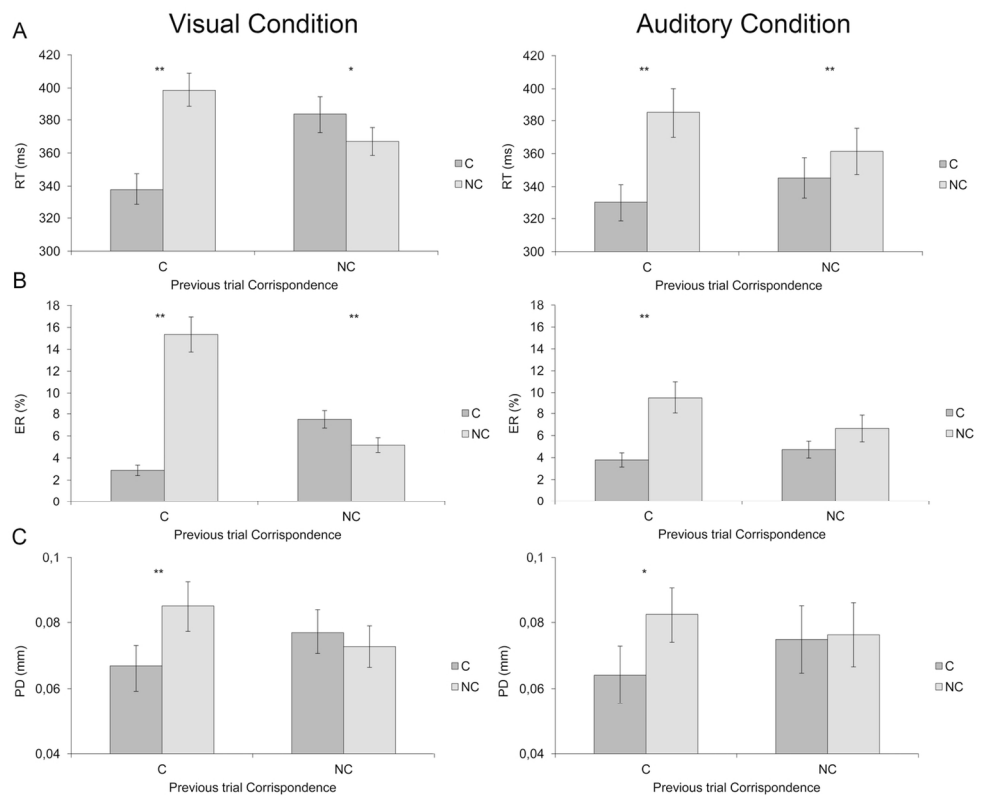


Figure 2 Mean reaction time, RT (A), mean Error Rate, ER (B), and mean Pupil Dilation, PD (C), for Current trial correspondence as a function of Previous trial correspondence in the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean. Asterisks denote significant values (\*p < .05; \*\* p < .005). C, corresponding; NC, non-corresponding

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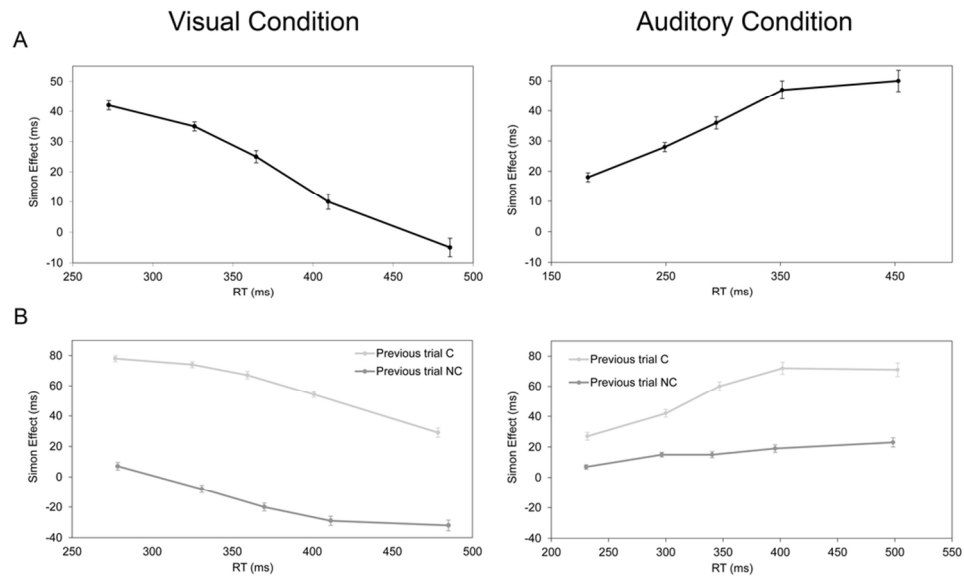


Figure 3 The Simon effect as a function of mean RT for each Bin considering (A) Current trial correspondence, and (B) Previous and Current trial correspondence, for the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean.

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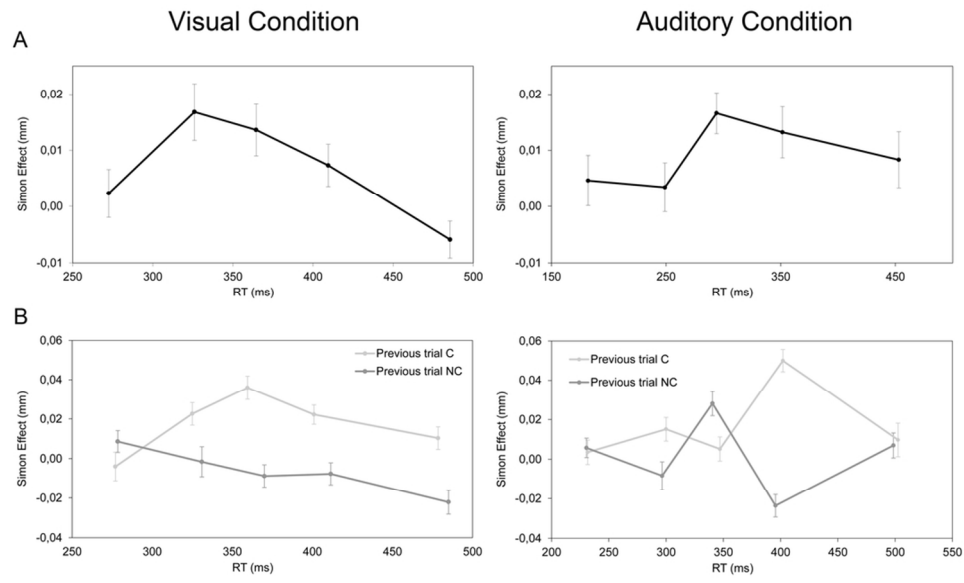


Figure 4 The Simon effect as a function of PD depending on RTs distributions for each Bin considering (A) Current trial correspondence, and (B) Previous and Current trial correspondence, for the visual and auditory Simon conditions (leftmost and rightmost panel, respectively). Error bars indicate standard errors of the mean.

94x55mm (300 x 300 DPI)