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2011 New J. Phys. 13 063003

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Optical probing of the metal-to-insulator transition in a two-dimensional high-mobility electron gas

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New Journal of Physics **13** (2011) 063003 (8pp) Received 18 February 2011 Published 1 June 2011 Online at http://www.njp.org/ doi:10.1088/1367-2630/13/6/063003

Abstract. We study the quantum Hall liquid and the metal-insulator transition in a high-mobility two-dimensional electron gas, by means of photoluminescence and magnetotransport measurements. In the integer and fractional regime at $\nu > 1/3$, by analyzing the emission energy dispersion we probe the magneto-Coulomb screening and the hidden symmetry of the electron liquid. In the fractional regime above $\nu = 1/3$, the system undergoes metal-to-insulator transition, and in the insulating phase the dispersion becomes linear with evidence of an increased renormalized mass.

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1. Introduction

The two-dimensional electron gas (2DEG) in the quantum Hall regime is now the subject of intensive experimental and theoretical studies because this system is still revealing new, surprising properties. Indeed, recent studies evidence unexpected structures in the density of state spectra of the 2DEG in the fractional regime, which suggests that there exist core features of the system that are yet to be understood [1]. Other experimental works [2] also unveil the properties of quantum Hall states with non-Abelian statistics, which have been proposed as the basis for a fault-tolerant topological quantum computer. Recent studies showed that in a 2DEG with an intermediate electron density of about 10^{11} cm⁻² and mobility between 1×10^6 and 3×10^6 cm² V⁻¹ s⁻¹, the interplay between disorder and Coulomb interactions can reveal interesting interaction-related effects such as energetic anomalies of the charged excitons [3] and peculiar fractional states such as the $\nu = 3/8$ state [4].

The objective of this work is to probe the 2DEG at intermediate density by means of the complementary use of photoluminescence (PL) and transport measurements, in order to unveil new properties of the metal-to-insulator transition for the quantum Hall system in the fractional regime, focusing on the insulating phase, where we find evidence of an increased renormalized mass. Indeed, the use of complementary PL and transport experiments has proved to be an effective tool for the investigation of many-body interactions of 2DEG electrons [5, 6].

In an asymmetric modulation-doped quantum well (QW), the photo-excited holes are able to probe the plasma of interacting electrons, since the holes weakly affect the 2DEG when the separation between electrons and holes is large. In particular, a magnetic field B normal to the QW enhances many-body effects and gives access to quantum phenomena such as the integer quantum Hall effect (IQHE) and the fractional quantum Hall effect (FQHE), respectively. The PL of a modulation-doped QW in magnetic field strongly depends on the sheet electron density n of the 2DEG. Three regimes are usually observed: the low density regime ($n \le 10^{10} \, \mathrm{cm}^{-2}$), where the PL emission is mainly due to excitonic recombination; the intermediate density regime, also called the dilute regime ($n \sim 10^{11} \, \mathrm{cm}^{-2}$), where charged excitons, also named trions, dominate the spectrum; and the high density regime ($n > 10^{11} \, \mathrm{cm}^{-2}$), where the recombination of photo-excited holes is strongly influenced by the whole 2DEG [7].

When the magnetic field exceeds a critical value B_c , the system from a quantum Hall liquid exhibiting the quantum Hall plateau becomes insulating, characterized by a diverging longitudinal resistance, as the temperature vanishes. This transition to the insulating state, also called 2D metal-insulator transition, has been studied by electrical transport, and it has been found that for low-mobility samples, the transition occurs beyond the $\nu = 1$ quantum Hall state ($\nu = nh/(eB)$) being the filling factor), whereas in high-mobility GaAs-AlGaAs samples, the transition takes place beyond the $\nu = 1/3$ state or $\nu = 1/5$ state [8]. This liquid-to-insulator

transition has been then studied in detail by using electrical transport, in low-mobility samples such as InGaAs/InP and Ge/SiGe and in GaAs-AlGaAs high-mobility structures [8] and recently in graphene [9], whereas the high field regime has been studied optically by using PL concerning the emission energy and line shape [6, 10]. The nature of the insulating state is still an open question, since the Anderson localization (due to disorder) and the Mott localization (driven by the strong correlation between interacting electrons) are the two candidates for the explanation of the phenomenon [11, 12]. In a recent picture proposed by Camjayi *et al* [11], the lattice sites represent the precursors, in the fluid phase, of vacancies and interstitials in the Wigner crystal phase, and the key aspects of the phase diagram resulting within this picture reconcile the early viewpoints of Wigner and Mott. In this scenario, the metal-insulator transition in the 2DEG could be attributed to strong correlations that are effectively enhanced even far away from integer filling, due to incipient charge ordering driven by non-local Coulomb interactions.

The intermediate value of n and the moderate-high mobility μ of our 2DEG allow us (i) to observe a deviation from the single-particle model with Landau level (LL) linear dispersion at low field, and the behavior typical of trions as well as of the sea of strong interacting electrons in the intermediate field regime; (ii) to probe the metal-to-insulator transition in the 2DEG at high magnetic field. The quantitative analysis of the experimental data gives the value of the renormalized mass in the insulating phase at critical density. The results indicate that this phase is compatible with the incipient charge ordering driven by non-local Coulomb interactions presented in recent theoretical models [11, 12].

2. Experimental and results

The experiments were performed on a 20-nm-thick single-sided modulation-doped GaAs/AlGaAs single QW with carrier density $n = 1.8 \times 10^{11} \, \mathrm{cm}^{-2}$ and mobility $\mu = 1.6 \times 10^6 \, \mathrm{cm}^2 \, \mathrm{V}^{-1} \, \mathrm{s}^{-1}$. Indium contacts were placed with a Hall bar geometry on a rectangular sample of millimeter size. The sample was excited with the light from a Ti:sapphire laser at the energy of 1.748 eV, with an excitation power smaller than 300 μ W and a laser spot size of $\sim 1 \, \mathrm{mm}^2$.

At zero magnetic field the PL of the sample consists of a broad peak whose width is approximately equal to the Fermi energy, which is typical for a 2DEG with density above $\sim 10^{11}$ cm⁻² [13]. Applying a magnetic field, additional emission lines come out from the high energy side of this peak, due to the recombination of electrons and photo-created holes from the quantized LLs.

In figure 1, we report the contour plot of the emission at $T \sim 40 \,\mathrm{mK}$ at low field. The strongest emission line, labeled (0, 0) in the notation of Rashba and Sturge [13], derives from the recombination of the 2DEG electrons and photo-generated holes in their fundamental LLs in conduction and valence bands, respectively. We can see emissions from higher LLs on the high energy side of (0, 0), which are marked with the corresponding index of electron and hole LLs. We note that the emissions labeled as (2, 2) from the third LLs and (3, 3) from the fourth LLs follow very well the single-particle linear dispersion. Moreover, we can see shifts in the position of the (0, 0) emission at magnetic fields close to and slightly smaller than the ones corresponding to integer values of ν , related to the discontinuities of Fermi level. We also observe that at the field of $\sim 3.8 \,\mathrm{T}$ the transition from (1, 0) disappears; this is due to the emptying of the electron LL that takes place for $\nu > 2$ because the Fermi level drops to the first LL, and allows us to identify the position of $\nu = 2$ at the field $B \sim 3.8 \,\mathrm{T}$ that we label as $B_{\nu=2}$. On the low energy

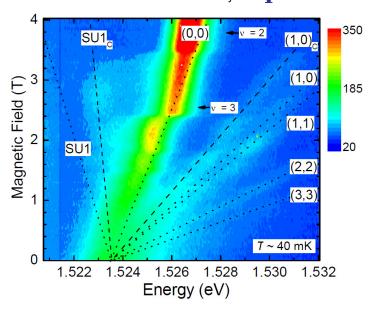


Figure 1. Contour plot of the emission of the 2DEG at $T \sim 40$ mK. The dotted lines are the calculated dispersions using LL behavior, whereas the dashed lines include a corrective coefficient for the SU and (1, 0).

side of the (0,0) line, we identify the emission deriving from a shake-up (SU) process that also follows a linear dispersion. This SU process is explained as a single-particle excitation in which an electron is excited from an LL to a higher LL with the simultaneous absorption of energy from another electron of the 2DEG which is recombining with a photo-created hole. This loss of energy, namely $(N+1)\hbar\omega_{c-e}$ (ω_{c-e} being the electronic cyclotron frequency and N the LL index), is the cause of the red shift in energy of the emitted photon. Other authors report that at higher electron density the many-body interactions transform this excitation into a magneto-plasmon, which has a more complicated dispersion and a greater energy shift, $\sim 1.6\hbar\omega_c$ [14]. In our measurements, the red shift of the SU transition is of the same amount of the blue shift of the (1,0) one, indicating that they involve, respectively, the loss and gain of the same energy. Even though, theoretically, only transitions that satisfy the selection rule N=0 are allowed, a small coupling can lead to the presence of several forbidden transitions in the experimental spectra [15].

As mentioned previously, for low fields the PL emission approximately follows a linear dispersion close to the non-interacting single-particle energy dispersion given by the formula for the energy of LLs, $E_L = \hbar(N + (1/2))(\omega_e + \omega_h)$, where N = 0, 1, 2, 3, ... is an integer that labels the LLs and $\omega_{e,h}$ are the cyclotron frequencies defined as $eB/m_{e,h}^*$ with m_e^* and m_h^* being the effective mass of electrons and holes, respectively. The dotted lines in figure 1 indicate the theoretical position of the main transitions. We can see that the theoretical dispersion for the (N, N) with N = 1, 2 and 3 correspond well to observed transitions, whereas in the case of the SU process and the (1, 0), these theoretical dispersions overestimate the experimental ones. We found that if these dispersions for the SU and (1, 0) are corrected by the same multiplicative factor ~ 0.7 , the resulting dispersions (dashed lines of figure 1), labeled as SU_C and $(1, 0)_C$, well describe the emissions closer to the (0, 0), at the left and right energy sides, respectively. The fact that the corrective factor is the same for the SU and (1, 0), i.e. that SU and (1, 0) lie at the

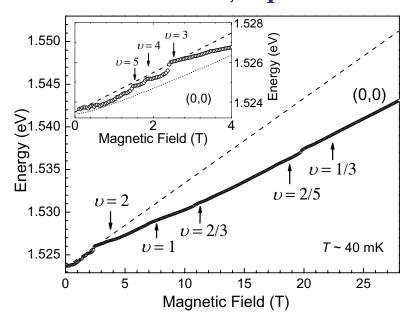


Figure 2. Energy position of the (0, 0) PL line versus B at $T \sim 40$ mK. The dashed line is the Landau behavior. The low field region is expanded in the inset, where the dotted line takes into account the $E_{\rm C}$ contribution.

same energetic distance with respect to the (0, 0), is coherent with the fact that SU and (1, 0) are energetically related to the (0, 0) in terms of the order of ω_e .

In figure 2, we report the energy position of the emission (0,0) as a function of B. On the whole, we can identify two different regions: a low field region for $B < B_{\nu=2}$ where the (0,0) emission energy approximately follows the LL dispersion, and a high field region for $B > B_{\nu=2}$ where the dispersion deviates and stays well below the linear behavior $1/2(\hbar\omega_{c-e} + \hbar\omega_{c-h})$. At low fields, the experimental dispersion can be described by introducing the magneto-Coulomb energy $E_C = e^2/(\varepsilon l_0)$ [13] that takes into account the Coulomb interaction and corrects the energy dispersion of the (0,0), SU, (1,0) and (1,1). In this expression, ε is the permittivity of GaAs and $l_0 = (\hbar/eB)^{1/2}$ is the magnetic length, and the fit of the energy dispersion curve at low field is improved by multiplying E_C by a suitable numeric coefficient [13].

At fields higher than $B_{\nu=2}$ the energy dispersion of (0, 0) emission strongly deviates from the theoretical (0, 0) linear emission, with two small inflections/shifts at $\nu=2/3$ and between $\nu=2/5$ and $\nu=1/3$, which have been also observed in other works [5] related to the FQHE and interpreted as originating from the interaction of the two flux quanta in a composite fermion with a recombining exciton. These features are typically observed at high electron density and disappear on decreasing the density as shown by Bar-Joseph [14]. In our sample, we observed and studied the suppression of PL emission due to interacting composite fermions in the fractional quantum Hall regime [4], where the shifts and suppressions of PL imply significant correlations and a many-body interaction between the electrons of the 2DEG. Actually the magnetic field range between $B_{\nu=2/5}$ and $B_{\nu=1/3}$ is interesting not only because of the shifts in energy, but also because we observe another change in the overall behavior of the energy dispersion, as will be discussed in the following.

In figure 3(a), we report the difference between the experimental energy dispersion and its quadratic best fit calculated from 3.5 T up to the maximum field of 28 T. We can see that apart

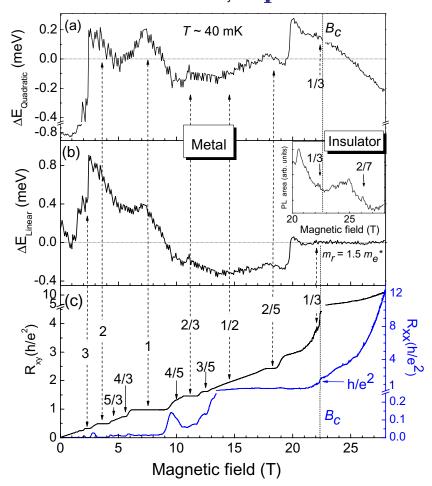


Figure 3. The upper (middle) panel reports the energy $\Delta E_{\text{Quadratic}}$ (ΔE_{Linear}) obtained by subtracting from the experimental dispersion its quadratic (linear) best fit calculated above 19 T (from 3.5 T to 28 T). The inset of the middle panel shows the PL area above $\nu = 1/3$. The bottom panel shows R_{xx} and R_{xy} versus B. The measurements are taken at $T \sim 40 \, \text{mK}$.

from the features at $\nu=1,2/5$ and 1/3, the curve lies around zero, indicating that the quadratic behavior is good up to 19 T. A quadratic best fit in the reduced region (3.5 T < B < 19 T) indeed gives better agreement. Actually, the quadratic dispersion is typical for electron-hole bound states such as neutral or charged excitons (trions). Indeed, Yoon *et al* [7] observed similar behavior with a dispersion close to that of the singlet state of the trion, either at low or intermediate density. A transition from linear to quadratic dispersion at $B_{\nu=2}$ has been observed by several authors with samples in the intermediate regime of density and symmetric QW, and the common explanation invokes the occurrence of the so-called hidden symmetry, which requires the conditions of a strictly 2D system in the limit of infinite B, occupation of the lowest LL and charge symmetric interaction of electrons and holes [11]. This hidden symmetry entails that the only possible transition is the excitonic recombination at k=0 at the energy that the exciton would have in the absence of the 2DEG liquid. Usually asymmetric QW does not exhibit a clear kink at $\nu=2$ (it has been argued that the hidden symmetry cannot hold in this case) but this is not in contradiction to our finding, since as demonstrated by Hayne *et al* [16], also for an

asymmetric QW it is possible to observe this strong shift as well as the quadratic behavior if the band has been flattened by photo-irradiation or when the width of the QW is not too wide.

Recently, Blokland *et al* [10] studied the PL emission in the fractional quantum Hall regime, analyzing the fine structure arising from the recombination of fractional charged elementary excitations on the 2DEG and the itinerant valence band holes. The experiments reveal a splitting of the emission band into three lines at v = 2/3 and in the region 2/5 > v > 1/3, with a lowest-energy emission between v = 2/5 and v = 1/3 from magneto-roton-assisted transitions from the ground state of the photo-excited fractional quantum Hall system. While our 2DEG is placed in a QW, the samples studied by Blokland *et al* are single heterojunctions (HJ) with electron density similar to that of our sample, but with higher mobility ($\mu > 5 \times 10^6$ cm² V⁻¹ s⁻¹). The different potential profiles of HJ and QW samples and the different mobilities can lead to a different interplay between many-body interactions and localization that results in the diverse dispersions and line splitting observed in the experiments.

At *B* above \sim 21 T, the emission follows another dispersion law that we found to be linear, as can be seen in figure 3(b) where we have plotted the curve obtained by subtracting from the experimental dispersion its linear best fit, calculated above 21 T; the slope of the linear fit is 0.71 times the slope of the theoretical LL line reported in figure 2 (dashed line), and both lines intercept approximately the same zero-field emission energy \sim 1.524 eV. From the factor 0.71 that accounts for the changed slope of the linear dispersion above $\nu = 1/3$, we obtain an m_r that is 1.5 times the band electron mass, a value that is in good agreement with temperature-dependent conductivity experiments [17, 18]. Usually the exciton exhibits quadratic behavior from B = 0 up to a field $B_{\rm Ryd}$ at which the LL energy is equal to the excitonic Rydberg energy, and in our case $B_{\rm Ryd}$ is calculated to be 16.1 T. However, if we calculate the crossing between the 2D effective Rydberg and the LL energy weighted with the factor 0.71, we obtain B = 22.5 T, a value very close to that at which we observe the beginning of linear behavior.

The change in behavior of the emission energy from the 2DEG can be interpreted also in the light of the results of magnetotransport experiments that we have realized on the same sample, measuring the longitudinal (R_{xx}) and Hall (R_{xy}) resistances. In figure 3(c), R_{xx} and R_{xy} measured at $T \sim 40 \,\mathrm{mK}$ are reported, and several fractional states can be observed, together with the integer ones. At fields above $B_{\nu=1/3}$ the resistance R_{xx} increases rapidly, showing that the system becomes insulating. Indeed the transition from the quantum Hall liquid to the insulator is interpreted as a quantum phase transition, characterized by a critical magnetic field B_c [8] at which $R_{xx} = h/e^2$. In the insulating phase ideally R_{xx} shows exponential growth, whereas R_{xy} is expected to remain quantized (in the low-mobility samples at the value h/e^2 above $\nu = 1$ and in the high-mobility samples at the value of $h/3e^2$ above $\nu = 1/3$). However, a small Hall contact results in the growth of R_{xy} , which moves away from its quantized value, as it appears in our figure 3(c), due to the contribution of the exponentially growing R_{xx} [8]. This deviation of R_{xy} from the quantized value affects the measurements in GaAs-AlGaAs highmobility samples and in low-mobility InGaAs-InP and Ge-SiGe, and to a certain degree can be circumvented by symmetrizing the measurement, i.e. reversing the magnetic field B orientation as the contribution of R_{xx} is symmetric in B as opposed to antisymmetric for R_{xy} [8]. Moreover, we see that R_{xx} does not really vanish in correspondence to the Hall plateaus in R_{xy} , due to parallel conduction effects. However, we noted that the optical results are not affected by the parallel conduction, since they are obtained from an analysis of the (0, 0) emission of the 2DEG in the QW, whereas the carriers involved in the parallel conduction lie in regions different from the QW.

3. Conclusions

In conclusion, we studied the optical emission and transport in a high-mobility 2DEG at intermediate density in the quantum Hall regime. At low fields for $\nu > 2$, the emission follows a Landau dispersion with a screened magneto-Coulomb contribution, whereas for $2 > \nu$ the hidden symmetry manifests itself. For the values of mobility and electron density of the sample, the interplay between disorder and Coulomb interactions reveals interesting interaction-related effects. In particular, beyond $\nu = 1/3$ when the system enters the insulating phase, we find that the energy dispersion of the emission becomes linear, with the value of the increased renormalized mass in agreement with experiments on strongly interacting electron systems at the critical density. These results show that the transition to the insulating phase could be attributed to the incipient charge ordering driven by non-local Coulomb interactions revealed by recent theoretical models in which the lattice sites represent the precursors, in the fluid phase, of vacancies and interstitials in the Wigner crystal phase.

Acknowledgments

This work was financially supported by the Cariplo Foundation (the QUANTDEV project), by MEC grant numbers FIS2009-07880, PPT310000-2009-3 and JCYL SA049A10-2 and by EuroMagNET under the EU contract number 228043.

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