

Patient outcome after implant of a cardioverter defibrillator in the ‘real world’: the key role of co-morbidities

Giuseppe Boriani* and Vincenzo Livio Malavasi

Cardiology Division, Department of Diagnostics, Clinical and Public Health Medicine, University of Modena and Reggio Emilia, Policlinico di Modena, Modena, Italy

This article refers to ‘The impact of co-morbidity burden on appropriate implantable cardioverter defibrillator therapy and all-cause mortality: insight from Danish nationwide clinical registers,’ by A.C. Ruwald *et al.*, published in this issue on pages 377–386.

The use of implantable cardioverter defibrillators (ICDs) has significantly evolved in the last decades, following the pioneering experiences of Mirowski ~35 years ago and related to very selected patients with a history of multiple cardiac arrests.¹ In a landmark trial on secondary prevention, the AVID trial, the ICD was found to be associated with a survival benefit, as compared with the control treatment group, which was affected by an occurrence of all-cause mortality of ~25% at 2 years and 36% at 3 years.² In the setting of primary prevention, the efficacy of ICDs was initially established in patients with previous myocardial infarction and LV dysfunction (MADIT I, MUSTT, and MADIT II trials),^{1,3} and was then extended to patients with LV dysfunction and heart failure (NYHA class II and III) of either ischaemic or non-ischaemic aetiology (SCD-HeFT trial).³ In terms of relative risk, the benefits of ICD therapy were additional to optimized pharmacological treatment, and appeared even greater in primary than in secondary prevention trials (Figure 1).

These findings were progressively translated into the recommendations for ICD implantation provided by consensus guidelines. Despite the solid evidence of benefit, the implementation of ICD therapy in the ‘real world’ was quite heterogeneous, and both financial and cultural issues could be considered in interpreting the extremely variable implant rates found in western countries and within Europe.⁴

While the evidence in support of a benefit of the ICD in improving survival is quite solid in appropriately selected patients with ischaemic heart disease, some degree of uncertainty has always characterized the setting of non-ischaemic heart disease. The most recent guidelines on management of ventricular tachyarrhythmias

and prevention of sudden cardiac death (SCD) reported that ICD therapy is recommended to reduce SCD in patients with symptomatic heart failure (NYHA class II–III) and LVEF $\leq 35\%$ after ≥ 3 months of optimal medical therapy, provided that the candidates are expected to survive for at least 1 year with good functional status. In these guidelines, the class and strength of the recommendation for ICD implant was IA for ischaemic and IB for non-ischaemic aetiologies, respectively.⁵

Recently a randomized controlled trial performed in Denmark evaluated the impact of ICD implant in the setting of symptomatic systolic heart failure (LVEF $\leq 35\%$) not caused by CAD.⁶ In a follow-up of >5.5 years, no survival benefit emerged in the ICD group as compared with usual care taking into account the whole cohort (Figure 1), although a significant reduction in the risk of all-cause death was found in the subgroup of patients younger than 68 years (36% relative risk reduction). It is noteworthy that 58% of patients received CRT but no significant interaction was found on ICD effects. In this study, SCD was actually significantly reduced by ICD treatment, since it was halved as compared with controls, but it accounted for only 35% of all-cause mortality in the control group. Overall, 31% of deaths were attributed to non-cardiovascular causes, and this type of death could have represented a competing risk with SCD, with a much higher influence in the elderly, where multiple co-morbidities presumably could strongly affect outcomes.

In this issue of the journal, Ruwald *et al.* report on data from the Danish registry taking into account patients implanted with an ICD from 2007 to 2012 in the setting of primary prevention (1873 patients) or of secondary prevention (2461 patients) of SCD.⁷ Patients implanted with a biventricular ICD for CRT were not included. At a mean follow-up of 2.5 years, an increasing co-morbidity burden (as detected through administrative and drug prescription data) was not associated with increased risk of appropriate ICD therapy, in terms of delivered shock or antitachycardia pacing. However, as expected, an increasing co-morbidity burden

The opinions expressed in this article are not necessarily those of the Editors of the *European Journal of Heart Failure* or of the European Society of Cardiology. doi: 10.1002/ejhf.685.

*Corresponding author. Cardiology Division, Department of Diagnostics, Clinical and Public Health Medicine, University of Modena and Reggio Emilia, Policlinico di Modena, Via del Pozzo, 71, 41124 Modena, Italy, Tel: +39 059 4225836, Fax: +39 059 4224498, Email: giuseppe.boriani@unimore.it

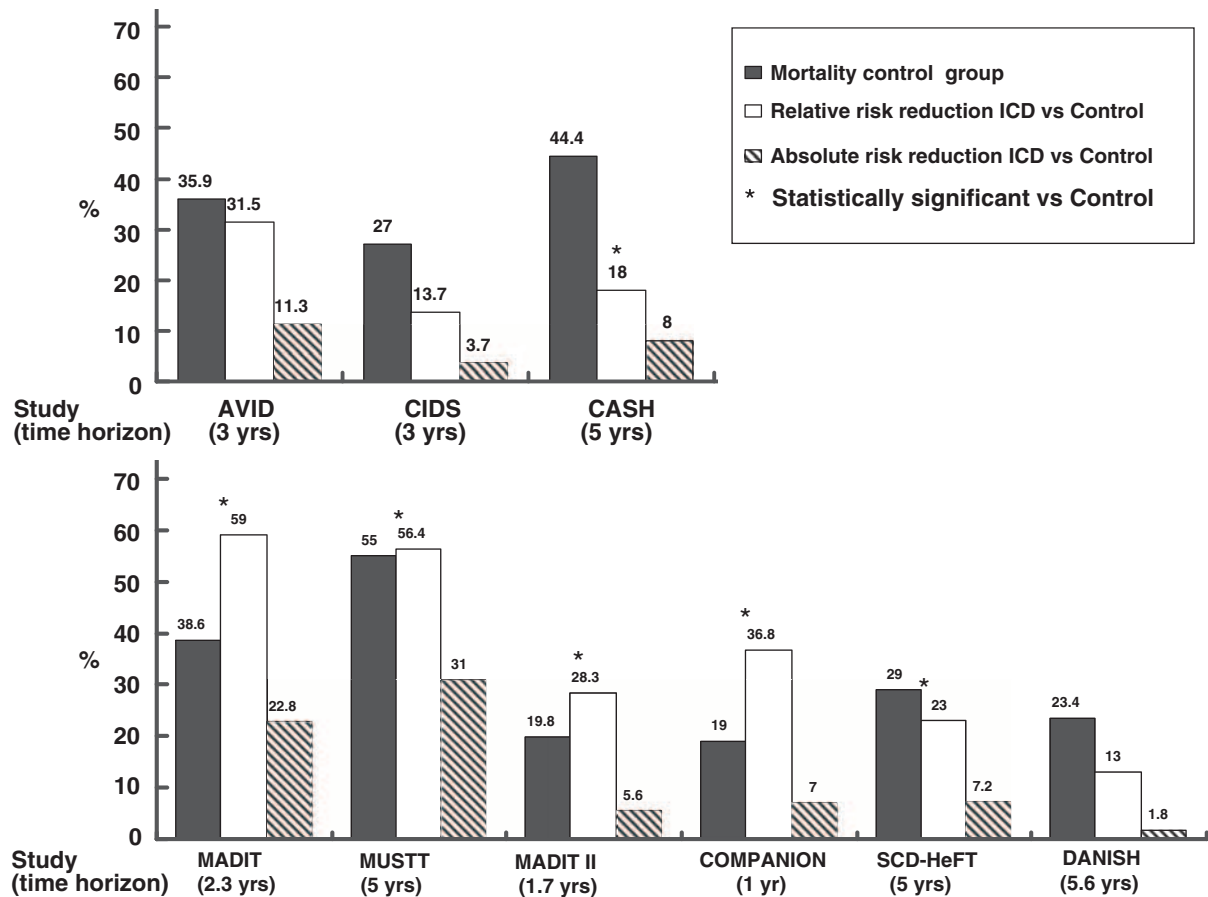


Figure 1 Results of randomized controlled studies that evaluated the efficacy of implantable cardioverter defibrillators (ICDs) in the setting of secondary prevention (top) and primary prevention (bottom) of sudden cardiac death. The bars show the overall mortality risk recorded for the control groups (during the given study periods), alongside the reductions in relative risk and absolute risk recorded in the corresponding ICD intervention groups.

was associated with increased mortality, with a higher proportion of patients dying without evidence of delivered device therapy for ventricular tachyarrhythmias. In particular, AF, diabetes, COPD, chronic renal disease, and peripheral vascular disease were independently associated with increased risk of death in both primary and secondary prevention ICD patients. Primary and secondary prevention patients had a similar 4-year cumulative risk of death of 7% if no co-morbidities were present at implant, but the risk of death progressively increased up to 52% in patients with three or more co-morbidities.⁷

There are complex inter-relationships between heart failure and co-morbidities, including also pathophysiological interactions, with a marked impact on outcomes, in terms of mortality and hospitalizations that can be more appropriately evaluated in observational studies and registries focused on the 'real world'.^{8–10} In unselected patients with heart failure, analysis of data derived from administrative data sets indicates that patient characteristics and treatment patterns differ from those reported by randomized clinical trials, and outcomes are particularly severe, with rehospitalizations within 1 year after an admission for heart failure occurring

in 57% of patients, with 49% of them due to non-cardiovascular morbidities.¹¹ The impact of co-morbidities can be evaluated with different approaches, quite commonly using the Charlson co-morbidity index, that can be assessed even using administrative data.⁸ Ruwald *et al.*⁷ did not apply this approach and limited the assessment of co-morbidities to conditions not related to an ICD indication, thus excluding previous myocardial infarction and heart failure.

In a regional registry from Italy including consecutive heart failure patients who underwent a first implant of an ICD, survival free from death/cardiac transplant was ~62% at 5 years.⁸ Co-morbidities, as evaluated by means of the Charlson co-morbidity index, had a significant impact on outcomes in terms of mortality/heart transplant, hospitalizations, and days spent alive and out of hospital. Also, patient age, implant during urgent, unplanned hospitalization, and a higher NYHA class had a significant negative impact on both hospitalizations and mortality.

These data suggest the need to consider age as an important factor affecting patient outcome and therefore the potential benefit of ICDs on sudden death. In a meta-analysis of five randomized

controlled trials evaluating the ICD in primary prevention, which had the limitation of a small sample of patients aged ≥ 75 years, the results showed that at a median follow-up of 2.6 years the benefit on survival, but not on hospitalizations, was significantly attenuated with increasing age.¹²

Among the various co-morbidities that can be present in a potential candidate for ICD therapy, chronic kidney disease is particularly challenging, since it can markedly influence both the decision to implant an ICD and the post-implant outcome.¹³ In the absence of dedicated randomized trials, there is still uncertainty about the benefit of the ICD for primary prevention of SCD in chronic kidney disease patients, especially in those with more advanced stages of renal dysfunction, and a thorough assessment of individual risk/benefit of ICD therapy is needed.¹³

In the study by Ruwald *et al.*,⁷ an increasing co-morbidity burden was not associated with increased risk of appropriate ICD therapy, and this suggests a limited impact of co-morbidities in modulating cardiac arrhythmogenesis. However, when more co-morbidities were present, there was an increased chance of observing lack of appropriate ICD therapy prior to death (this occurred in 72% of primary and 45% of secondary prevention patients with a high co-morbidity burden).⁷ This observation suggests a series of considerations, focused on potential improvement in patient selection, but also on the need to address this issue in observational studies using pre-defined device programming of detection settings and device therapies (antitachycardia pacing, shocks). It is also worth considering that delivery of appropriate device shocks is associated with worsening of the outcome, with a consistent five-fold increase in the risk of mortality as compared with patients without any shock, with heart failure constituting the main cause of subsequent death.¹⁴ Worsening of the outcome after delivered ICD therapy was not observed when antitachycardia pacing was the effective treatment delivered for ventricular tachyarrhythmias; however, prognosis was indeed better in patients without arrhythmic episodes.¹⁵ In this perspective, the arrhythmia itself should be considered as a powerful marker of underlying cardiac disease progression, in a complex inter-relationship between the rhythm and the myocardial mechanical performance.¹⁵

We are facing a progressive ageing of the population, and this is reflected in the candidacy for interventional procedures. As found in the Danish registry, there is a trend towards increasing patients age at implant in primary prevention indications.⁷ This is an additional observation that stresses the importance of further evaluations of patient outcome after ICD implant, of its determinants, and of the many modulating factor that may interact in a complex and dynamic way. Since randomized trials obviously imply some patient selection, collection of high-quality 'real-world data' appears mandatory and should represent one of the next targets of our community.^{16–19}

The issue of co-morbidities is crucial in all the aspects of heart failure management, even beyond selection of ICD candidates, and supports the need for a holistic, patient-centred clinical approach taking into account the available scientific knowledge, the individual patient context and expected outcome, as well as patient preferences and values. The famous quote of Sir William Osler, dated 1904, turns out always to be valid: 'It is much more important to

know what sort of patient has a disease than what sort of disease a patient has.'

Conflict of interest: G.B. received speaker's fees (small amounts) from Boston Scientific and Medtronic. V.L.M. has no conflicts to declare.

References

- Boriani G, Biffi M, Martignani C, Camanini C, Grigioni F, Rapezzi C, Branzi A. Cardioverter-defibrillators after MADIT-II: the balance between weight of evidence and treatment costs. *Eur J Heart Fail* 2003;**5**:419–425.
- A comparison of antiarrhythmic-drug therapy with implantable defibrillators in patients resuscitated from near-fatal ventricular arrhythmias. The Antiarrhythmics versus Implantable Defibrillators (AVID) Investigators. *N Engl J Med* 1997;**337**:1576–1583.
- Boriani G, Biffi M, Martignani C, Diemberger I, Valzania C, Bertini M, Branzi A. Expenditure and value for money: the challenge of implantable cardioverter defibrillators. *QJM* 2009;**102**:349–356.
- Valzania C, Torbica A, Tarricone R, Leyva F, Boriani G. Implant rates of cardiac implantable electrical devices in Europe: a systematic literature review. *Health Policy* 2016;**120**:1–15.
- Priori SG, Blomström-Lundqvist C, Mazzanti A, Blom N, Borggrefe M, Camm J, Elliott PM, Fitzsimons D, Hatala R, Hindricks G, Kirchhof P, Kjeldsen K, Kuck KH, Hernandez-Madrid A, Nikolaou N, Norekvål TM, Spaulding C, Van Veldhuisen DJ. 2015 ESC Guidelines for the management of patients with ventricular arrhythmias and the prevention of sudden cardiac death: the Task Force for the Management of Patients with Ventricular Arrhythmias and the Prevention of Sudden Cardiac Death of the European Society of Cardiology (ESC). Endorsed by: Association for European Paediatric and Congenital Cardiology (AEPC). *Eurpace* 2015;**17**:1601–1687.
- Køber L, Thune JJ, Nielsen JC, Haarbø J, Videbæk L, Korup E, Jensen G, Hildebrandt P, Steffensen FH, Bruun NE, Eiskjær H, Brandes A, Thøgersen AM, Gustafsson F, Egstrup K, Videbæk R, Hassager C, Svendsen JH, Høfsten DE, Torp-Pedersen C, Pehrson S; DANISH Investigators. Defibrillator implantation in patients with nonischemic systolic heart failure. *N Engl J Med* 2016;**375**:1221–1230.
- Ruwald AC, Vinther M, Gislason GH, Johansen JB, Nielsen JC, Petersen HH, Riahi S, Jons C. The impact of co-morbidity burden on appropriate implantable cardioverter defibrillator therapy and all-cause mortality: insight from Danish nationwide clinical registers. *Eur J Heart Fail* 2017;**19**:377–386.
- Boriani G, Berti E, Belotti LM, Biffi M, De Palma R, Malavasi VL, Bottoni N, Rossi L, De Maria E, Mantovan R, Zardini M, Casali E, Marconi M, Bandini A, Tomasi C, Boggian G, Barbato G, Toselli T, Zennaro M, Sassone B; RERA (Registry of Emilia Romagna on Arrhythmia Interventions) Investigators. Cardiac device therapy in patients with left ventricular dysfunction and heart failure: 'real-world' data on long-term outcomes (mortality, hospitalizations, days alive and out of hospital). *Eur J Heart Fail* 2016;**18**:693–702.
- Crespo-Leiro MG, Anker SD, Maggioni AP, Coats AJ, Filippatos G, Ruschitzka F, Ferrari R, Piepoli MF, Delgado Jimenez JF, Metra M, Fonseca C, Hradec J, Amir O, Logeart D, Dahlström U, Merkely B, Drozd J, Gonçalvesova E, Hassanein M, Chioncel O, Lainscak M, Seferovic PM, Tousoulis D, Kavoloniene A, Fruhwald F, Fazlibegovic E, Temizhan A, Gatzov P, Erglis A, Laroche C, Mebazaa A; Heart Failure Association (HFA) of the European Society of Cardiology (ESC). European Society of Cardiology Heart Failure Long-Term Registry (ESC-HF-LT): 1-year follow-up outcomes and differences across regions. *Eur J Heart Fail* 2016;**18**:613–625.
- Tripodkiadis F, Giamouzis G, Parisis J, Starling RC, Boudoulas H, Skoularigis J, Butler J, Filippatos G. Reframing the association and significance of co-morbidities in heart failure. *Eur J Heart Fail* 2016;**18**:744–758.
- Maggioni AP, Orso F, Calabria S, Rossi E, Cinconze E, Baldasseroni S, Martini N; ARNO Observatory. The real-world evidence of heart failure: findings from 41 413 patients of the ARNO database. *Eur J Heart Fail* 2016;**18**:402–410.
- Hess PL, Al-Khatib SM, Han JY, Edwards R, Bardy GH, Bigger T, Buxton A, Cappato R, Dorian P, Hallstrom A., Kadish AH, Kudenchuk PJ, Lee KL, Mark DB, Moss AJ, Steinman R, Inoue LYT. Survival benefit of the primary prevention implantable cardioverter-defibrillator among older patients: does age matter? An analysis of pooled data from 5 clinical trials. *Circ Cardiovasc Qual Outcomes* 2015;**8**:179–186.
- Boriani G, Savelieva I, Dan GA, Deharo JC, Ferro C, Israel CW, Lane DA, La Manna G, Morton J, Mitjans AM, Vos MA, Turakhia MP, Lip GY. Chronic kidney disease in patients with cardiac rhythm disturbances or implantable electrical devices: clinical significance and implications for decision making-a

- position paper of the European Heart Rhythm Association endorsed by the Heart Rhythm Society and the Asia Pacific Heart Rhythm Society. *Europace* 2015;**17**:1169–1196.
14. Poole JE, Johnson GW, Hellkamp AS, Anderson J, Callans DJ, Raitt MH, Reddy RK, Marchlinski FE, Yee R, Guarneri T, Talajic M, Wilber DJ, Fishbein DP, Packer DL, Mark DB, Lee KL, Bardy GH. Prognostic importance of defibrillator shocks in patients with heart failure. *N Engl J Med* 2008;**359**:1009–1017.
 15. Landolina M, Lunati M, Boriani G, Ricci RP, Proclemer A, Facchin D, Rordorf R, Morani G, Maines M, Gasparini G, Molon G, Turrini P, Gasparini M. Ventricular antitachycardia pacing therapy in patients with heart failure implanted with a cardiac resynchronization therapy defibrillator device: efficacy, safety, and impact on mortality. *Heart Rhythm* 2016;**13**:472–480.
 16. Filippatos G, Khan SS, Ambrosy AP, Cleland JG, Collins SP, Lam CS, Angermann CE, Ertl G, Dahlström U, Hu D, Dickstein K, Perrone SV, Ghadanfar M, Bermann G, Noe A, Schweizer A, Maier T, Gheorghide M. International REgistry to assess medical Practice with lOngitudinal obseRvation for Treatment of Heart Failure (REPORT-HF): rationale for and design of a global registry. *Eur J Heart Fail* 2015;**17**:527–533.
 17. Greene SJ, Fonarow GC, Butler J. REPORT-HF: the unique blend of global heart failure registry and longitudinal cohort study. *Eur J Heart Fail* 2015;**17**:472–474.
 18. Parisis J, Farmakis D, Triposkiadis F. Heart failure registries: how far can we go? *Eur J Heart Fail* 2016;**18**:626–628.
 19. Tavazzi L, Ventura C. 'Observational medicine': registries and Electronic Health Recording for science and health systems governance. *Eur J Heart Fail* 2016;**18**:1093–1095.