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## 1. Introduction

Rheumatoid arthritis (RA) is a chronic inflammatory disease, characterized by synovial joint swelling and tenderness, with progressive disability and joint destruction<sup>1</sup>.

The interstitial lung disease (ILD) is the most severe extra-articular manifestation of RA, with impact on both therapeutic strategy and overall prognosis and survival<sup>2</sup>.

About 10% of the RA population develops a clinically significant ILD, responsible for decreased quality of life and progressive chronic disability, but also of 10-20% of all mortality associated to the disease, with a mean survival of 5-8 years<sup>3-6</sup>.

Although both genetic and environmental factors have been investigated, the pathogenesis of RA-associated ILD (RA-ILD) remains unclear<sup>7-8</sup>.

Moreover, the majority of conventional and biologic disease modifying anti-rheumatic drugs (DMARDs) have been associated to the development or progression of ILD<sup>9-10</sup>.

For these reasons, since no controlled studies are available, the therapeutic approach to RA-ILD is still debated and often empirical<sup>7, 11-13</sup>. Only few data are available about the safety and the efficacy of tocilizumab (TCZ), a humanized anti-interleukin 6 (IL6) antibody, in the treatment of patients with RA-ILD<sup>14-15</sup>.

In this retrospective study, we analysed the evolution of ILD in a population of RA patients treated with TCZ.

## 2. Patients and methods

In a national multicentre study, we retrospectively collected patients with ILD associated to RA treated with TCZ. All RA patients referred to the Rheumatology Units of 6 Italian centers after 2008 and treated with TCZ for at least 6 months were retrospectively evaluated to identify patients with ILD.

RA was diagnosed according to the 1987 or 2010 classification criteria depending on the year of diagnosis<sup>16, 17</sup>. The study was approved by the local Institutional Review Board.

The different patterns of interstitial lung involvement, defined by pulmonary biopsy or chest high resolution computer tomography (HRCT), were classified according to the standardized criteria of the American Thoracic Society/European Respiratory Society International Multidisciplinary Consensus Classification of the Idiopathic Interstitial Pneumonias<sup>18</sup> as follows: 1) definite or probable usual interstitial pneumonia (UIP), 2) non-specific interstitial pneumonia (NSIP); and 3) organizing pneumonia (OP) and mixed patterns.

RF was determined by nephelometry; anti-cyclic citrullinated peptides antibodies (ACPA) were detected by standard commercial enzyme-linked immunosorbent assays (ELISA).

The results of pulmonary function tests (PFT) were expressed as percentages of the predicted value of each parameter and corrected for age, gender and height. Pulmonary function was considered as abnormal if forced vital capacity (FVC) was <80% of predicted values. Single-breath diffusing capacity of the lung for carbon monoxide (DLCO-SB) and DLCO adjusted by the alveolar volume (DLCO-VA) were used to assess gas transfer. The last HRCT and the last PFT (within 12 and 3 months, respectively) performed before starting TCZ were recorded as baseline.

## **2.1 Outcome Variables**

A variation of 10% of FVC or DLCO compared to baseline was considered clinically significant<sup>19</sup>. Improvement, worsening or stability of HRCT were centrally evaluated in a blinded manner by an experienced thoracic radiologist (GDC). PFTs were collected at baseline and periodically assessed and for all patients was recorded the last available value (within 3 months from the end of follow-up). All patients but one repeated HRCT at the end of follow-up.

## **2.2 Statistical Analysis**

Results were expressed as median and interquartile range (IQR) 25%, 75%. Continuous variables were compared using unpaired or paired nonparametric tests (Mann Whitney or Wilcoxon test,

respectively). A p value less than 0.05 was considered significant. Statistical analyses were performed using the SPSS statistical software, version 17.0 (SPSS Inc., Chicago, IL, USA)<sup>20</sup>.

### 3. Results

We included 28 RA-ILD patients (18 females and 10 males, median age 64, IQR 15) treated with TCZ. The drug was administered at the standard dose, both intravenous (8 mg/kg every 4 weeks) and subcutaneously (162 mg weekly). For all patients, HRCT was available in the previous 12 months before the beginning and at the end of the therapy with TCZ, while PFTs were available in 25/28 patients.

Baseline characteristics of RA-ILD patients included in the study are summarized in Table 1.

The median follow-up was 30 months (range 6-90). All patients were positive for RF and all but 2 for ACPA.

An UIP pattern was described in 14/28 patients (50%), while a NSIP pattern was identified in other 13 patients. In the last case a combined pattern pulmonary fibrosis and emphysema was recorded.

All patients experienced therapies with synthetic or biologic DMARDs before TCZ. Twenty-five patients (89.3%) have been previously treated with methotrexate (MTX) and 10 with leflunomide (37%); among biologic DMARDs, 10 patients (37%) experienced a therapy with TNF inhibitors, 7 with rituximab (25.9%) and 3 with abatacept (10.7%).

TCZ was prescribed as monotherapy in 23 patients and in association to MTX in the other 5; on the other hand, 20 patients were treated with a low dose of prednisone (5 mg daily or equivalent). All patients but 3 were treated with subcutaneous TCZ, and 6 patients were switched from intravenous to subcutaneous route of administration.

The evolution of lung function and radiology are summarized in Figure 1.

PFTs were available at baseline and at the end of the follow-up in 25 patients. After a median follow-up of 30 months, FVC remained stable in 14 patients (56%), improved in 5 (20%) and worsened in 6

(24%). Mean FVC was stable during follow-up (99%, IQR 27 at baseline and 96%, IQR 26 at the end of follow-up).

DLCO showed a similar trend, remaining stable in 14 patients (56%), improving in 5 (20%) and worsening in 6 (24%), even though in 3 patients DLCO and FVC showed an opposite trend. Also mean DLCO remained stable during follow-up (58.5%, IQR 23 at baseline and 57%, IQR 31.5 at the end of follow-up).

HRCT was performed at the end of the follow-up in all 28 patients, it was stable in 25 cases, worsened in 2 and improved in the latter. The worsening was recorded in patients with UIP pattern, while the only case of improvement was observed in a patient with NSIP pattern. With regard to HRCT pattern, 4/5 patients with an improvement of FVC and DLCO showed a NSIP pattern, while only 1/5 had an UIP pattern.

No differences were recorded according to the duration of follow-up, the combination therapy with MTX or the previous therapies.

During the follow-up period, TCZ was withdrawn in 6/28 patients: for inefficacy in 3 cases and for adverse events in the other 3 for dizziness, thyroid cancer and urogenital cancer, respectively). No withdrawals were recorded for a worsening of ILD or for infections.

#### **4. Discussion**

Treatment of RA-ILD is challenging, due to the possible role of DMARDs in the progression of the disease and in the development of acute exacerbation (AE). In fact, both MTX and leflunomide have been associated to ILD progression and development; recently, Conway demonstrated a mild increased risk of respiratory adverse events (but not ILD) in RA patients treated with MTX compared with other conventional and biologic DMARDs<sup>21</sup>.

Moreover, some authors described a possible class effect of all anti-tumour necrosis factor inhibitors (TNFi) in the new onset or exacerbation of ILD secondary to RA<sup>22-29</sup>. Perez-Alvarez and colleagues

and the British Society of Rheumatology have specifically cautioned prescribing TNFi to patients with RA-ILD for the supposed increased risk of exacerbation of the ILD<sup>27, 30</sup>.

Nakashita didn't observe an increase of the prevalence of ILD progression in patients with TCZ and abatacept, whereas a prevalence of 3% of new ILD appearance and 24% of ILD worsening were described in TNFi users<sup>24, 29, 31</sup>. On the other side, Curtis et al. found no significant differences in the risk of ILD incidence and its related complications between patients exposed to tocilizumab, rituximab, or abatacept compared with TNFi therapies<sup>32</sup>. Moreover, some case reports have been reported about acute worsening of pre-existing RA-ILD in patients treated with TCZ<sup>33, 34</sup>.

Despite the lack of evidence, the use of MTX is usually not recommended in patients with RA-ILD<sup>35-37</sup>. In this regard, TCZ could represent a possible safe drug in these patients, considering its efficacy in RA also as monotherapy<sup>38</sup>.

In our population the majority of the patients showed a stability of pulmonary function and about 20% showed an improvement of PFTs and less than a quarter of patients showed a deterioration of lung function. At the same time, only 2/28 patients showed a worsening of HRCT.

Our study shows some limitation, the low number of patients, the retrospective design and lack of standardization in some procedures, such as HRCT. However, this is first multicentre study exploring the possible effectiveness of TCZ in RA-ILD patients.

On the whole TCZ demonstrated a good safety profile in patients with RA-ILD and a good efficacy on the stabilization of the lung involvement<sup>14, 15</sup>. In small case series, other authors observed similar efficacy in RA-ILD patients treated with other biologic DMARDs. In particular, Md Yusof showed the improvement or the stability of ILD in 30/44 RA patients treated with rituximab, however describing a high number of infections<sup>39</sup>; more recently, Fernández-Díaz et al described a stability of ILD in the majority of 63 RA patients treated with abatacept with or without conventional DMARDS<sup>40</sup>. Data on abatacept were confirmed in 55 Japanese RA-ILD patients, despite the authors observed a high risk of deterioration of lung function in patients treated with a combination therapy with MTX<sup>41</sup>.

Finally, no post-marketing reports have been published about the possible appearance of ILD in patients treated with Janus Kinases inhibitors<sup>42, 43</sup>.

## **5. Conclusions**

In conclusion, we cannot exclude that some biologic DMARDs, such as TCZ, abatacept, RTX, poorly influence the natural clinical history of RA-ILD.

It should be essential, to minimize the risk of progression and acute exacerbation of ILD, to reduce the risk of infection, providing the available vaccinations to all patients.

The management of RA-ILD patients remains a critical unmet medical need. In absence of prospective controlled studies, biologic DMARDs, namely IL-6 inhibitors, abatacept, and probably Janus kinases inhibitors, seem to have a good safety profile in RA-ILD<sup>3, 11, 15, 42, 43</sup> and should be preferred in this specific population.

Finally, an early diagnosis of ILD in RA patients is mandatory to understand the natural history of ILD, its possible predictive factors, and to evaluate the real involvement of some DMARDs, such as MTX, in the development and progression of this severe extra-articular complication<sup>44</sup>.

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**Figure 1.** Evolution of lung function and radiology during follow-up.

**Table 1. Demographic, clinical and serological features of patients at baseline**

Mean age	64 (15)
Female/Male ratio	2.25/1
Disease duration	11.5 (13)
ILD duration before TCZ therapy (months)	12 (34)
Follow-up (months)	30 (44)
Rheumatoid factor	28 (100%)
ACPA	26 (92.8%)
HRCT pattern	
UIP	14 (50%)
NSIP	13 (46.5%)
CPFE	1 (3.5%)
Forced vital capacity (%)	99 (27)
Diffusion lung CO (%)	58.5 (23)
Use of cDMARDs before TCZ	28 (100%)
Methotrexate	25 (89.3%)
Leflunomide	10 (37%)
TNFalpha inhibitors	10 (37%)
Rituximab	7 (25.9%)
Abatacept	2 (7.4%)
TCZ mono-therapy	23 (82.1%)
TCZ + methotrexate	5 (17.8%)
Corticosteroids	20 (71.4%)

Continuous data are reported as median (IQR).

ACPA: anti-cyclic citrullinated peptides antibodies, UIP: usual interstitial pneumonia, NSIP: nonspecific interstitial pneumonia, CPFE: combined pulmonary fibrosis and emphysema, cDMARDs: conventional diseases modifying anti-rheumatic drugs, TCZ: tocilizumab, IQR: interquartile range.