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Abstract: We studied a suite of mantle xenoliths carried by Cenozoic volcanism in the Borborema Province, NE Brazil. These xenoliths sample a subcontinental lithospheric mantle affected by multiple continental convergence and rifting events since the Archean. Equilibrium temperatures indicate a rather hot geotherm, implying a ca. 80 km thick lithosphere. Most xenoliths have coarse-granular and coarseporphyroclastic microstructures, recording variable degrees of annealing following deformation. The high annealing degree and equilibrated pyroxene shapes in coarse-granular peridotites equilibrated at ~900°C indicate that the last deformation event that affected these peridotites is several hundreds of Ma old. Coarse-porphyroclastic peridotites equilibrated at 950-1100°C probably record younger (Cretaceous?) deformation in the deep lithospheric mantle. In addition, a few xenoliths show fine-porphyroclastic microstructures and equilibrium temperatures $\geq\!\!1200\,^\circ\text{C}\text{,}$ which imply a recent deformation, probably related to the dykes that fed the Cenozoic volcanism. Chemical and microstructural evidence for reactive percolation of melts is widespread. Variation in textural and chemical equilibrium among samples implies multiple melt percolation events well spaced in time (from Neoproterozoic or older to Cenozoic). Crystal preferred orientations of olivine and pyroxenes point to deformation controlled by dislocation creep with dominant activation of the [100](010) and [001]{0kl} slip systems in olivine and pyroxenes for all microstructures. Comparison of xenoliths' seismic properties to SKS splitting data in the nearby RCBR station together with the equilibrated microstructures in the low-temperature xenoliths point to coupled crustmantle deformation in the Neoproterozoic (Brasiliano) continental-scale shear zones, which is still preserved in the shallow lithospheric mantle. This implies limited reworking of the lithospheric mantle in response to

extension during the opening of the Equatorial Atlantic in the Cretaceous, which in the present sampling is restricted to the base of the lithosphere.

Research Data Related to this Submission There are no linked research data sets for this submission. The following reason is given: Data will be made available on request



Dear Editor,

Pleased find enclosed the revised version of the ms. "Crust-mantle coupling during continental convergence and break-up: Constraints from peridotite xenoliths from the Borborema Province, northeast Brazil" (previous title "The lithospheric mantle in a continental domain submitted to multiple tectonic events: Peridotite xenoliths from the Borborema Province, northeast Brazil").

We have carefully revised the ms. implementing all suggestions from the two reviewers. In short, we fully reorganized the data presentation and the discussion as suggested by reviewer 1 and revised all figures to enhance their readability. We also reduced the number of figures and transferred the table with the chemical analyses data to the Supplementary on line material.

The result is a slightly shorter article (by 1 page and 1 figure), which we hope is easier to read and better presents the data and conveys our conclusions.

Sincerely yours,

Shiran Liu and Andréa Tommasi on the behalf of all co-authors



Crust-mantle coupling during continental convergence and break-up: Constraints from peridotite xenoliths from the Borborema Province, northeast Brazil

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Abstract

We studied a suite of mantle xenoliths carried by Cenozoic volcanism in the Borborema Province, NE Brazil. These xenoliths sample a subcontinental lithospheric mantle affected by multiple continental convergence and rifting events since the Archean. Equilibrium temperatures indicate a rather hot geotherm, implying a ca. 80 km thick lithosphere. Most xenoliths have coarse-granular and coarse-porphyroclastic microstructures, recording variable degrees of annealing following deformation. The high annealing degree and equilibrated pyroxene shapes in coarse-granular peridotites equilibrated at ~900°C indicate that the last deformation event that affected these peridotites is several hundreds of Ma old. Coarseporphyroclastic peridotites equilibrated at 950-1100°C probably record younger (Cretaceous?) deformation in the deep lithospheric mantle. In addition, a few xenoliths show fineporphyroclastic microstructures and equilibrium temperatures $\geq 1200^{\circ}$ C, which imply a recent deformation, probably related to the dykes that fed the Cenozoic volcanism. Chemical and microstructural evidence for reactive percolation of melts is widespread. Variation in textural and chemical equilibrium among samples implies multiple melt percolation events well spaced in time (from Neoproterozoic or older to Cenozoic). Crystal preferred orientations of olivine and pyroxenes point to deformation controlled by dislocation creep with dominant activation of the [100](010) and [001]{0kl} slip systems in olivine and pyroxenes for all microstructures. Comparison of xenoliths' seismic properties to SKS splitting data in the nearby RCBR station together with the equilibrated microstructures in the low-temperature xenoliths point to coupled crust-mantle deformation in the Neoproterozoic (Brasiliano) continental-scale shear zones, which is still preserved in the shallow lithospheric mantle. This implies limited reworking of the lithospheric mantle in response to extension during the opening of the Equatorial Atlantic in the Cretaceous, which in the present sampling is restricted to the base of the lithosphere.

Dear editor,

Please find enclosed a point-by-point answers (in black) to the reviewers comments (in blue).

We have implemented all requested changes, with the exception of your suggestion of production of "a nice take-home message figure summarizing the results and allowing at once to capture the history (eg inflitrations, melting or deformation events), relative chronology and possible past location of the samples with respect to depth and major tectonic events (with of course appropriate question marks where needed)". We tried, but there is too much uncertainty in the timing of the different tectono-magmatic events in the mantle and in their correlation with the dated crustal record. We can clearly convey this uncertainty in the text. However, we feel that we cannot do it in a figure even if question marks were added (we would need to add question marks to all parts of the figure). It would also be difficult to indicate the variation in "robustness" of the various interpretations. A last problem in preparing such a figure is that, although we may infer the kinematics of the deformation frozen in the shallow lithospheric mantle based on the consistency between crustal deformation and SKS splitting data, we have no constraints on the kinematics of the possible Cretaceous deformation in the deep lithospheric mantle. The SKS splitting data is better explained if flow directions in the mantle are parallel to NNE over the entire lithospheric mantle and the asthenosphere. Yet such a direction is at high angle to all Cretaceous extensional structures... Therefore, we reworked the text in order to clarify our conclusions on the relative timing of the tectono-magmatic events in the mantle lithosphere and on the crust-mantle coupling during these events, but prefer not to produce a summary interpretative figure, which may lead the readers to imagine that these conclusions are more robust than they are really.

We fully reorganized the data presentation and the discussion as suggested by reviewer 1 and revised all figures to enhance their readability. The revision resulted in a slightly shorter article (by 1 page and 1 figure), which we hope is easier to read and better conveys our conclusions. All major changes are highlighted in the annotated version of the ms.

Comments by Sandra Piazolo.

The manuscript "The lithospheric mantle in a continental domain submitted to multiple tectonic events: Peridotite xenoliths from the Borborema Province, northeast Brazil" by Liu et al. represents an extensive dataset on a suite of xenoliths from the subcontinental lithosphere of NE Brazil. It is without doubt a lovely dataset well worth publishing, the authors collected both orientation and chemical data and relate the data to existing geophysical datasets. The data is in general very nicely presented and thoroughly interrogated (mostly in form of bulk data), however at present the manuscript feels a bit like an amalgamation of data with not a clear hypothesis or research question to test in mind. This also means that there are lots of different strands of information followed in the manuscript and it leaves the reader a bit lost. So currently - after a fast read (what most reader do) currently the reader learns that xenoliths are varied and the lithospheric mantle is heterogeneous with different annealing and metasomatic modifications. But I think there is more you can get out of the data set. I believe that this manuscript could highly benefit from streamlining towards a bigger question: The authors provide the question in the first paragraph of their introduction (as well as the last part of their discussion) - is the deformation of crust and mantle coupled or not? Are there times when there is decoupling prevalent and other times were there is coupling seen. This is then a

large-scale big picture question of interest to the general geological community. And very few datasets allow such an evaluation.

This would mean that the authors would need to link more explicitly some of the microstructures to the geotectonic setting known from the crustal parts of the lithosphere. Maybe in some xenoliths you have different domains so you can develop a time sequence - it looks to me that you could do that? At present the authors do it for the last event - linking the high stress recrystallization to melt intrusion (nice), however can one make some links for the other 900 C and >1000 C structures?

Overall the paper will benefit from streamlining with the big paper in mind, please have a think if you need all figures. Maybe you can put some in supplementary (see suggestions). After the revisions the paper will be ideally reduced by 10-15%. Here some shuffling of section will help.

We sincerely thank S. Piazolo for her throughout and very constructive review. We extensively reworked the presentation of the data and the discussion as suggested, so that we better streamline the presentation of the evidence and the discussion of the relative timing of the various tectono-magmatic events and their relation to the dated crustal structures. This allows to discuss the question of crust-mantle coupling during major tectonic events. In the present case, we propose that there is strong evidence for pervasive deformation of the entire lithosphere, with strong crust-mantle coupling, during the formation of the Borborema shear zone system in an intraplate setting during the late stages of the continental convergence that lead to the formation of the Gondwana supercontinent. In contrast, extension leading to continental break-up and formation of the Equatorial Atlantic Ocean in the Cretaceous seems to have resulted limited reworking of the lithospheric mantle, which in the present xenolith sampling is only recorded in a few xenoliths with equilibration temperatures >1000°C. Moreover, SKS splitting data does not support flow directions in the lithospheric mantle consistent with the extensional structures. Altogether, these observations point to decoupling between crustal and mantle deformation or to very localized deformation in response to extension in the lithospheric mantle.

Comments and suggestions:

1) Title: Have another look at it, can you provide a statement regarding coupling? Or deformation / metasomatism sequences you identify?

We revised the title as suggested. However, if the reviewers consider the new title too appealing, we are happy with the original one (The lithospheric mantle in a continental domain affected by multiple tectonic events: Peridotite xenoliths from the Borborema Province, northeast Brazil), which described precisely the observations presented in the article.

2) Abstract: needs some rephrasing to put up the question to be answered more clearly. Rework after defining more precisely which structures are probably related to which tectonic event. The current last two sentences are interesting but please add what that means - i.e. fabrics can be frozen in in the mantle part of the lithosphere over billions of years (if you argue for frozen in strike slip fabrics (actually not much about this in the current main body of text) - this is interesting because that would mean that such features would probably influence future tectonic events in orientation and deformation rate. In addition if that is true then there would be a clear direct coupling from continental crust to the continental lithosphere during strike slip - an important feature to know if one wants to understand large scale tectonics. Also what does it mean that there is a significant mismatch of calculated and measured seismic delay times? Does that mean that the xenolith only sample an old component and not current deformation state? (again not really discussed in the main text - please rectify) (Please note, these questions need to be addressed in the discussion and depending on outcome the abstract needs to be modified).

We have reworked the abstract to better convey the conclusions of the article concerning the relative timing of the deformation and metasomatism in the mantle and crust-mantle coupling. We also rephrased the part presenting the comparison with seismic anisotropy data leaving to the discussion and conclusion of the article the discussion of the fact that the xenoliths only sample the lithospheric anisotropy, which cannot account alone for the very high delay times measured in station RCBR, and the implications of this to the present-day asthenospheric deformation.

2) Introduction: If you want to link crustal and lithospheric deformation, then it becomes important to make sure that the reader knows about the main events as known from the crust. Therefore I suggest to keep the regional part of the introduction shorter in the introduction-you only need to argue that the province you chose is a nice testing ground for the question asked. (Hence move some of the regional information into the Geological background - this then needs to be more explicit)

We added a phrase explaining why direct observations on the mantle structures and compositions are needed in addition to seismic anisotropy to discuss crust-mantle coupling during major tectonic episodes and shortened the regional part.

3) Chapter 2.1. To help the reader, I strongly recommend to provide a summary table of tectonic events with little comics of structural movement at different times and intrusion - like a table that has the columns time, major tectonic event (name and character (extensional, compression), scale of deformation (10s km, 100 km etc.), igneous activity (yes/no/ what type) - then you can easily refer to different events later - and even a reader not familiar with the area (most readers) will be able to follow your links. Hopefully it will also help to streamline this section. Concentrate on the main events. (modify figure accordingly - see also below).

We added to Figure 1 a time line of the major tectono-magmatic episodes recorded in the crust. We also revised the map and its legend to better convey the temporal evolution.

4) Chapter 2.2.: Is there a possibility to have a summary geophysical diagram that shows the essentials of what we know right now? Alternatively make the geophysical signal clearer in the map please.

We added to Figure 1 magnetic anomaly and seismic tomography maps, which image the structures in the middle crust and the seismic velocity anomalies in the shallow sublithospheric mantle, respectively. We also made the SKS splitting data clearer by enhancing the size of the symbols and presenting it onto both the geological map and on the magnetic anomaly map. This should allow the reader to visualize the relation between crustal structures and seismic anisotropy in the upper mantle.

4) Sampling: In Figure 1, can you make the sampling sites more easy to see - using the abbreviations. Then you can shorten the text and refer the reader to the figure and the table.
5) Chapter 3.1. should then be only half of what it is now, can be easily streamlined by putting GPS data into the table etc.

We enhanced the size of the symbols presenting the sampling locations in Figure 1 and revised and shortened the text of section 3.1 (lines 316-331).

6) Sections 3.1/3.2/3.3. are nicely written and all necessary data needed is there.

7) Why don't you first have the modal percentages and the mineral compositions combined - they belong together. This should also help to streamline the two sections as well.
9) 4.2. - Why not start with the section (current line 479 and following) with the definition of the microstructural types and then provide what makes them typical, again this will help streamline the text and make it easier for the reader. It is easy to get confused. In the whole results section it will be useful to use the microstructure types as anchor points - then the structure is similar in each results section, making it easier for the reader.

We reorganized the data presentation as suggested. It now starts with the presentation of the microstructures (section 4.1), which allow to define the microstructural groups from the start, and use this classification to present the CPO (section 4.2) and composition data (sections 4.3 and 4.4). As suggested by the reviewer, modal compositions are now presented just before the mineral composition data.

For the statistics - for a porphyroclastic microstructure you need to divide the structure and the bulk statistics into two parts the porphyroclasts/blasts and the matrix. This is not done and therefore the statistical values that are provided and plotted in figures are misleading - hence produce two datasets for these microstructure type please. And then replot, it should become much clearer what is going on.

For the fine-porphyroclastic peridotites, we now present the CPO data separately for porphyroclasts and neoblasts (new Figs. 5 and 6). The coarse-porphyroclastic peridotites present a continuous variation in grain sizes and some annealing, which render the distinction of porphyroclasts and neoblasts very difficult. This is now clearly stated in the text (lines 500-501).

Since you have already presented the modal percentages and chemical data, can you please when you describe the microstructures of each microstructural type, also link in the modal percentages and chemistry. This is done in some case, please put it in systematically (just needs a half sentence here and there) - please make sure you refer to the relevant figures By reorganizing the text, we can now clearly describe the relation or not between

microstructures and modal compositions or mineral chemistry. This is done in lines 615-620 and 655-656.

We also now clearly state that there are no relations between sampling site and microstructures, modal compositions, or mineral chemistry. All types are sampled in the Pico do Cabugi and also in the other sampled Macau volcanics (lines 461-467, 612-613, 657-658).

I would make the maps in Figure 2 larger and use some arrows you can then backrefer to in the text).

Figures were re-organized in consequence of the changes in the text and EBSD maps are now presented together with the microstructures in new Fig. 3. This allows to better refer to these maps, which clearly illustrate the olivine and pyroxene grain shapes, in the microstructures description section.

10) Section 4.3. CPOs (see comments on figure 7) nicely done, I notice that in figure 8 you have "recrystallized areas" - is that for the fine porphyclastic ones? Please be explicit - they show a nice trend - so you have the data, show also in Fig. 7 please (did I miss it?) (Just a comment: I wonder how much of the CPO is inherited by metasomatic reactions? This might be its own contribution though - but would be good to know ...)

The CPO presentation figures (new Figs. 5 and 6) have been re-designed to clearly present olivine porphyroclasts and neoblasts data for the fine-porphyroclastic peridotites. The

neoblasts orientations are clearly inherited from the neoblasts ones (cf. pole figures in Fig. 6), but there is a strong dispersion, probably due to dynamic recrystallization. One cannot discard the influence of fluids or melts in this recrystallization, but there is no positive evidence for it, such crystallization of other mineral phases in the recrystallized domains (cf. Fig. 3g).

11) Discussion: 5.1. What would trigger the Cenozoic melt percolation? What is the geotectonic setting for that? I am a bit confused, on the one hand you say that the geotherm must be high (based on Figure 11, but then you say that the early equilibrium textures have survived and therefore high geotherms were prevalent in the Mesozoic ? So do the shallower sample - represent relatively recent high geotherm and the deep samples represent an earlier fabric, and an early high T geotherm? Please clarify. It would be good to streamline. Important for seismic interpretation as well ...

I think it would be better to have discussion point 5.2 first - as you can use the microstructures to argue for annealing/ long time at high T etc. - please switch around - hopefully it helps to streamline.

We completely reorganized the discussion following the reviewer suggestion. Now it starts by the discussion of the microstructures, then we discuss the thermal constraints and compare them to geophysical data and calculated melting conditions for the last two magmatic episodes, compare the seismic properties of the samples to seismic anisotropy data, and, at last try to use all this information to relate the mantle and crustal evolutions.

Concerning the questions above: the equilibrium temperatures and absence of garnet despite fertile compositions imply a rather hot geotherm in the Cenozoic, which is consistent with the calculated melting conditions for the most primitive Macau volcanics. Both datasets imply a hotter than normal sublithospheric mantle. Geophysical data points to a hotter than normal sublithospheric mantle. Geophysical data points to a hotter than normal sublithospheric mantle. Geophysical data points to a hotter than normal sublithospheric mantle. Geophysical data points to a hotter than normal sublithospheric mantle nowadays. One may infer therefore that the present-day conditions persist since the Cenozoic. For the pre-Cenozoic thermal state, the only constraints we have are the calculated melting conditions for the most primitive Cretaceous basalts of the Ceara-Mirim dykes indicate still shallower partial melting. Based on these data, we suggest that the lithosphere-asthenosphere boundary might have been shallower in the Cretaceous. In conclusion, the shallower samples represent old lithospheric mantle, but the deeper ones might represent material accreted to the lithosphere by cooling since the Cretaceous. We have re-written this section of the discussion (lines 1073-1088) to clarify this point.

12) 5.2. I think it would be best to go through the three microstructural types and discuss their general features, with a bit of "outliers" - but really you want to emphasis the general trends. Many of the arguments you bring up in the microstructures part you can directly link to the geotherm - so switch 5.1. and 5.2. around. If you show the matrix versus porphyroclast data - then you can discuss here in more detail, it will help in setting up the evolution of these samples better.

Done, see answer to previous point

13) 5.3. I think you should take this part out, not needed - alternatively, shorten considerably - just point out the main difference relatively uniform versus high variations in your studied samples.

We have eliminated this section as suggested. However, we kept the oceanic samples in Fig. 2, since we use the comparison in the annealing degree between the FN samples, for which we can infer a maximum age for their accretion to the lithosphere and hence for the freezing of the microstructures, with the one measured in the Borborema peridotites to try to constrain the age of the deformation frozen in the coarse-granular and coarse-porphyroclastic peridotites from the continental domain (lines 1194-1199 & 1242-1257).

14) 5.4. Nice section - just rewrite a little bit keeping the big question in mind.

15) 5.5. this is the BIG story discussion, all other discussion sections (5.1.-5.4) should be written to feed into this one. Currently you do not "feed" off a) the metasomatic story, b) the geotherm story - If the geotherm seen is Mesozoic and cenozoic - then how is the Neoproterozoic structure preserved - are the hT only static with very little deformation? Maybe the only deformation signature is actually the melt percolation and annealing, but no CPO development? This section needs a major rehaul, there is a lot more in there, thinking about what can trigger mantle metasomatism - and when in the annealing and deformation history of the xenoliths that metasomatism is inflicted should provide some pinpoints for a relative time - melt percolation - deformation - annealing path. If you can construct these for the three main microstructure types then I think you have a nice story, you have done that half or 2/3rd of the way, but it needs to be clear and explicit. This discussion part will feed directly in rephrasing and restructuring the other discussion parts.

Neoproterozoic structures are preserved in the shallow part of the lithospheric mantle, which after the Neoproterozoic deformation have only been affected by annealing and minor (localized) reactive melt percolation leading to refertilisation - the coarse-granular peridotites. Neither of these processes produces major changes in the olivine CPO.

The few low-temperature coarse-porphyroclastic samples might record more recent deformation, but strains are probably small and have not significantly modified the CPO. This last interpretation is based on two observations: (1) the lack of evidence for any high-stress, low-temperature (<1000°C), high-strain deformation in the studied sampling (considering that the geotherm in the Mesozoic was only slightly hotter than the Cenozoic one, temperatures in the shallow section of the lithospheric mantle would not have been very different) and (2) the seismic anisotropy data derived from both SKS splitting and anisotropic receiver functions, which point to a fabric subparallel to the Neoproterozoic crustal structures in the lithospheric mantle.

This section of the discussion has been reorganized and extensively re-written. The points above are presented in the discussion lines 1223-1265.

16) Conclusions - largely fine, but needs some reworking after 5.5. is redone. **Done.**

Figures:

Figure 1: Nice figure, but you need to add the tectonostratigraphic event table - Ideally you can link the two through numbers or colours (I think it will be worth the effort) We added to Figure 1 a time line of the major tectono-magmatic episodes recorded in the crust. We also revised the map and its legend to better convey the temporal evolution. We also added maps of the geophysical data illustrating the continuation of the Neoproterozoic structures in the middle crust and seismic velocity anomalies which point to higher than normal temperatures in the sublithospheric mantle temperature beneath the study area.

Figure 2. I am not sure that you need both figure 2a and b, they show the same data twice, I would opt for 2b. Then you can have the legend bigger so that it is legible. The white writing on the maps is intelligible, please make maybe black writing with a white background We have completely reorganized the order of figures to follow the new order of presentation of the data. The old Fig. 2, which is now Fig. 7 has been strongly simplified, since now the EBSD phase maps are presented together with the microstructures. We kept nevertheless the ternary diagram as an insert, since it is the most traditional way to present peridotites modes and as it is now, it does not use supplementary space.

Figure 3. No need in the manuscript (can be supplementary) Deleted. Grain sizes are presented in Table 2.

Figure 4-5. Please use some extra arrows to point out specific features, e.g. irregular boundaries between different phases (CPX-OI) if there are subgrains (I assume you have the EBSD data for each of these datasets - then point out these out - why not make for each one a little inset showing an EBSD map with typical GOS and subgrain boundaries marked. This would help a lot in the discussion and the understanding of the reader what you are focussing on. Will also help to streamline the text.

These figures, which are now Fig. 3 and 4 have been extensively revised. For lack of space, we do not show intragranular misorientation maps for all microstructures. However, we do it in Fig. 3 for the fine-porphyroclastic microstructures, where the contrast in intragranular misorientations between porphyroclasts and neoblasts is well marked.

We also added arrows to mark the most important features, such as 120° triple junctions, subgrains, and pyroxene shapes, in the detail microphotographs in Fig. 4.

Fig. 7 Can you add to each sample the modal percentages of each phase (could be put for example below sample no. Note: If you divide up the porphyroclstic ones into two data sets each, you will have to plot them separately, I think this will be very informative and maybe a good way to look through the last deformation - so you would get a CPO history?! Would be worth checking out?!

We did not add the modal percentages to this figure (now Fig. 6) because it is already crowded. The modal percentages for all samples are presented in Table 1.

As required, we now present separately the olivine CPO for porphyroclasts (points) on top of the neoblasts CPO (contours) for the fine-porphyroclastic peridotites. This allows to clearly observe the orientation inheritance relations, but higher spread of the neoblasts orientations.

Figure 9. They are very small, I think you could put the spinel related figures into supplementary and make the other larger, then it is easier for the reader to see and relate to text.

Done. This is now Fig. 8 and spinel graphs are now Supplementary Material Fig. S1.

Figure 10. There is too much data on it - trends (or lack of) are hard to see. I suggest that you take our the literature as point data, but show them as fields that underlie your data. What are the open circles and squares? It becomes then much clearer that the fine porphyrclastic ones are distinct, while the other ones show a large range.

We now only present Macau peridotites data in this figure (now Fig. 9). Moreover, the use of colors, instead of gray level, allows as in the other figures, to better distinguish the present study data from the literature. In choosing the colors we paid attention that when printed in gray levels the symbols for the different microstructures could still be distinguished.

Hope this helps to make this manuscript even better, Best wishes, Sandra Piazolo

28 Feb, 2019

Manuscript Number: TECTO13230

Title: The lithospheric mantle in a continental domain submitted tomultiple tectonic events: Peridotite xenoliths from the BorboremaProvince, northeast Brazil Authors: Liu et al.

This manuscript reported microtextures, CPO and major element compositions of mantle xenoliths from the Northern Borborema Province. The authors discussed P-T conditions and deformation-metasomatic history of the xenoliths, and finally discussed tectonic evolution of the studied mantle. The data quality is high, and interpretations and discussions are reasonably supported by their data coupled with previous data sets. The contents of the manuscript contain significant contributions to a link between petrological observations and geophysical interpretations. My decision for the present manuscript is, therefore, acceptable with minor revisions. To strengthen the manuscript, it might be better to discuss/describe two points before the authors go to discussions: (1) effects of infiltration of the host melt and (2) effects of heterogeneity of modal abundances for modal estimation.

1) Effects of infiltration of the host melt

Although the authors mentioned "Most xenoliths are small (on average 3 cm of diameter), but they are very fresh and display no macroscopic evidence for major interaction with the host lava. However, interstitial veins of altered glass, up to a few microns wide and often visible only by SEM, which could be sometimes followed to the contact with the basalt, were described in some xenoliths, such as AG6, AG7,"(307-311 lines), it is better to describe a bit more carefully what are fine-grained parts in deformed samples. According to Fig. 5., effects of infiltration of the host basalt are likely commonly observed (red arrows below). The reviewer is 100% correct. The xenoliths are small and the effects of infiltration of the basalt, in particular close to the borders of the xenoliths, were understated in the submitted version of the ms. We have re-written this paragraph (lines 331-338) to clearly describe them and to state that the zones that displayed, under the microscope, any evidence for such reactions were carefully avoided in the chemical analyses and that this melt infiltration does not affect the microstructural data, because it concerns very small areas.

Especially, AG6, a deformed one, would be highly affected by infiltration of the host basalt. Please describe mineral assemblages in fine-grained part in deformed sample (AG6 for example), whether or not glass presents. Any hydrous minerals? If hydrous minerals are in the fine-grained parts, the discussions might be changed. I just want to make sure that the fine-grained parts were formed by deformation rather than melt-rock interactions. I almost believe that the former (deformation) would be a case for the studied samples.

The recrystallized domains in the fine-porphyroclastic peridotites are clearly distinct from the basalt infiltration ones. To better show this we added in the new Fig. 3 a detail EBSD phase map of such a domain in AG6, which clearly shows that the domain is composed solely by recrystallized olivine, with neither interstitial pyroxenes nor amphiboles that would have crystallized in response to melt rock interactions. Moreover, comparison of the olivine CPO of porphyroclasts and neoblasts, which are now plotted separately in the new Fig. 6 show that the two CPO are related, pointing to orientation inheritance, which is expected for recrystallization, but not for crystallization from a melt under static conditions.

2) Mineral mode estimation

Mineral mode is a key to estimate physical properties of the samples. However, because of large grain size and macroscopic heterogeneity in thin section scale, mineral modes in the manuscript is OK for the thin sections, but might need care to estimate representative mineral

mode for the mantle in the studied area. For instance, samples of CA14 and CA11 in Fig. 2 are very heterogeneous in mineral distributions. If we measure modal abundances of left half of the samples, mineral mode would be significantly different from the right half. I would like to ask the authors to describe/discuss the effects of uncertainly in mineral mode for further discussions.

We agree that determination of mineral modes in such small samples is problematic, since mantle rocks are often compositionally heterogeneous. However, this is the best we can do given the size of xenoliths carried by these basalts. The only solution is to multiply the number of samples. Comparison with the present dataset with previous ones (which suffered from the same shortcomings) suggests, nevertheless, that the present sampling may be representative of the modal composition range in the lithospheric mantle in the region. Yet, because of the uncertainty in the determination of the modal composition of the individual samples, we only discuss the trends and use average samples for the calculation of seismic properties and comparison with the seismological data.

Minors:

266 lines typo

Significant digits for numbers in tables

Please consider significant digits for numbers in all tables. For instance, is it necessary to display the second decimal place for modal volume of minerals in Table 1?

Thanks for the remark. This was clearly our mistake. We have revised all tables to only show significant digits.

I hope these comments would improve the revised version of the manuscript. Best regards, Tomo MORISHITA, Kanazawa University

Highlights:

- Mainly coarse-granular and porphyroclastic peridotites with [100](010) olivine textures
- Equilibrium temperatures consistent with a 80 km thick lithosphere in the Cenozoic
- Extensive annealing implies that deformation frozen in the shallow mantle is 100s Ma old
- Mantle fabric related to Neoproterozoic shear zones may partially explain SKS splitting
- Limited reworking of the lithospheric mantle during Cretaceous rifting

1	Crust-mantle coupling during continental convergence and
2	break-up: Constraints from peridotite xenoliths from the
3	Borborema Province, northeast Brazil
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6	
7	
8	Shiran Liu ^{1,2} , Andréa Tommasi ¹ , Alain Vauchez ¹ , Maurizio Mazzucchelli ³
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13	France. ² Key Laboratory of Oregonia Dalta and Orvetal Evalution. Cabaal of Farth and
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25 Keywords:

- 26 Subcontinental mantle lithosphere
- 27 Crust-mantle coupling
- 28 Melt-rock interaction
- 29 Olivine crystal preferred orientation
- 30 Seismic anisotropy
- 31

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- 42
- 43

44 Abstract

45 We studied a suite of mantle xenoliths carried by Cenozoic volcanism in the Borborema Province, NE Brazil. These xenoliths sample a subcontinental 46 47 lithospheric mantle affected by multiple continental convergence and rifting 48 events since the Archean. Equilibrium temperatures indicate a rather hot 49 geotherm, implying a ca. 80 km thick lithosphere. Most xenoliths have 50 coarse-granular and coarse-porphyroclastic microstructures, recording variable degrees of annealing following deformation. The high annealing 51 degree and equilibrated pyroxene shapes in coarse-granular peridotites 52 equilibrated at ~900°C indicate that the last deformation event that affected 53 these peridotites is several hundreds of Ma old. Coarse-porphyroclastic 54 peridotites equilibrated at 950-1100°C probably record younger (Cretaceous?) 55 deformation in the deep lithospheric mantle. In addition, a few xenoliths show 56 fine-porphyroclastic microstructures and equilibrium temperatures ≥1200°C, 57 58 which imply a recent deformation, probably related to the dykes that fed the 59 Cenozoic volcanism. Chemical and microstructural evidence for reactive 60 percolation of melts is widespread. Variation in textural and chemical equilibrium among samples implies multiple melt percolation events well 61 spaced in time (from Neoproterozoic or older to Cenozoic). Crystal preferred 62 orientations of olivine and pyroxenes point to deformation controlled by 63 dislocation creep with dominant activation of the [100](010) and [001]{0kl} slip 64 systems in olivine and pyroxenes for all microstructures. Comparison of 65 xenoliths' seismic properties to SKS splitting data in the nearby RCBR station 66 together with the equilibrated microstructures in the low-temperature xenoliths 67

point to coupled crust-mantle deformation in the Neoproterozoic (Brasiliano)
 continental-scale shear zones, which is still preserved in the shallow
 lithospheric mantle. This implies limited reworking of the lithospheric mantle in
 response to extension during the opening of the Equatorial Atlantic in the
 Cretaceous, which in the present sampling is restricted to the base of the
 lithosphere.

75 **1. Introduction**

74

76 Continental plates have long-lived histories. They are usually composed 77 by crustal domains with varied tectonic ages. Tectonic reworking is a common feature in crustal exposures. Yet, major tectonic events such as convergence, 78 79 collision, and rifting involve the entire plate, not only the crust. By consequence, large volumes of the subcontinental lithospheric mantle must have been 80 affected by a succession of tectono-thermal events (cf. reviews in Tommasi 81 and Vauchez, 2015; Vauchez et al., 2012). In addition, during orogenic events, 82 83 the strain regime frequently changes across the belt, with contiguous domains accommodating transcurrent motions and others accommodating thrusting for 84 85 instance. However, how the deformation is accommodated in the lithospheric 86 mantle and the level of coupling between crust and mantle deformation during 87 these major tectonic episodes are still matter of debate.

Comparison between SKS splitting data and crustal structures points to 88 89 coherent kinematics, implying at least partial coupling between the crust and the mantle in many orogenic belts (Tikoff et al., 2004). As recognized since the 90 91 early times of seismic anisotropy measurements (e.g., Vauchez et al., 1991), 92 crust-mantle coupling is well documented beneath large-scale strike slip faults and transpressional belts, such as the San Andreas fault (Bonnin et al. 2012) 93 94 or the Pyrenees, the Appalachians, and the neoproterozoic Ribeira-Aracuai 95 belt of SE Brazil (cf. review in Vauchez et al., 2012). In contrast, in collisional domains submitted to large amounts of thickening, like the Alps and the 96 97 Southern Tibet, or in active continental rifts, such as the East African rift system, polarization directions of fast split SKS or SKKS waves are usually 98 99 parallel to the trend of the belts or rifts, but at high angle to the lineations marking flow directions in the ductile crust and to the slip directions in active 100 faults (e.g., Barruol et al., 2011; Wu et al., 2015; Hammond et al., 2014). This 101 102 suggests at least partial decoupling between the crust and lithospheric mantle 103 (Tommasi et al., 1999).

104 However, seismic anisotropy data only offer indirect information on the present-day structure of the upper mantle. Moreover, unless a variety of 105 methods based on waves with different incidence angles and propagation 106 107 directions are employed, localizing vertically the source of the anisotropy remains difficult. Analysis of mantle xenoliths, which are mantle fragments 108 (peridotite xenoliths) carried to the surface by volcanic episodes, allows for 109 110 direct sampling of the lithospheric mantle. This sampling is imperfect: it is discontinuous, non-oriented, and focused along the magmatic conduits. 111

Nevertheless, the characterization of the microstructures and associated 112 crystal preferred orientations, as well as of the chemical compositions and 113 mineralogy of the mantle xenoliths, allows determining the relative deformation, 114 annealing, and petrological (partial melting, reactive melt transport, solid-state 115 reequilibration) history, even if no dating is possible. Coupling petrophysical 116 117 analyses on mantle xenoliths to seismological data may allow better 118 constraining the deformation history of the lithospheric mantle and hence discussing crust-mantle coupling during major tectonic events. 119

In this article, we present a petrostructural study of peridotite xenoliths 120 entrained by Cenozoic volcanism in NE Brazil. Based on these data and on 121 published SKS splitting measurements (Assumpção et al., 2011; Bastow et al., 122 123 2015), we try to unravel the tectono-thermal evolution of the continental 124 lithospheric mantle in this region, which has been affected by multiple collisional and extensional episodes since the Archean. Analysis of the 125 126 presently outcropping crustal structures highlights а series of tectono-magmatic episodes, among which the most important and recent ones 127 are: (i) extensive intraplate deformation in a convergent setting, which 128 produced a continental-scale system of strike-slip shear zones and 129 130 transpressional belts accommodating lateral escape of this domain during the 131 formation of the Gondwana and (ii) extension, localized in a series of intracontinental basins, during the early stages of the opening of the Equatorial 132 133 Atlantic.

134

135 2. Geological and geophysical background

136 2.1 Evolution of the Northern Borborema Province (NBP)

The analysis of the crustal rocks outcropping in the Northern Borborema 137 Province (NBP) points to a complex tectonic evolution, which probably started 138 in the Archean, as indicated by U-Pb ages ranging from 3.4 to 2.7 Ga recorded 139 in the São José Massif (Souza et al., 2016), ca. 100 km to the east of the study 140 area and in smaller nuclei elsewhere in the province. Between 2.1-2.4 Ga, a 141 142 major crust formation event produced ca. of the 50% of the present-day outcropping rocks (Hollanda et al., 2011; Souza et al., 2016). The tectonic 143 setting for this extensive magmatic activity is discussed, but isotopic data 144 indicates significant recycling of pre-existing crustal material (Hollanda et al., 145 2011). 146

147 The Meso- to Neoproterozoic evolution of the Borborema Province is 148 characterized by a series of failed intracontinental rifting episodes. At 1.8-1.9 Ga, the Orós and Jaguaribe volcano-sedimentary basins formed to the west of 149 the study area (Fig. 1). Localized extension was accompanied by intrusion of 150 small volumes of alkaline granites and anorthosites elsewhere in the province, 151 which extended until 1.75 Ga (Sa et al., 1995; Hollanda et al., 2011). At 1-0.9 152 Ga, extension affected the central Borborema Province (Santos et al., 2010; 153 154 Van Schmus et al., 2008). The last Proterozoic extensional episode affected most of the province and formed the Seridó basin within the study area (Fig. 1). 155

The youngest sedimentation in this basin occurred at ~630-615 Ma, but early
 sedimentation may be as old as 1.8 Ga (Hollanda et al., 2015), suggesting an
 early rifting episode simultaneous with the formation of the Orós and Jaguaribe
 basins.

The major tectono-magmatic episode that affected the Borborema 160 161 Province, shaping its present-day geology, is, however, the compressional Brasiliano event between 595-540 Ma (Neves, 2003; Archanjo et al. 2008; 162 Viegas et al., 2014). This event resulted in extensive tectono-thermal 163 reworking of the province through the formation of a continental-scale 164 transcurrent shear zone system - the Borborema shear zone system, 165 transpressional inversion of the Proterozoic metasedimentary basins, and 166 167 widespread emplacement of granitoids (Fig. 1). The granitoids geochemistry indicates a dominant component of crustal reworking (Neves, 2003; Souza et 168 al., 2016), implying an intraplate setting for the Brasiliano deformation, with a 169 170 possible convergent plate boundary > 500 km to the NW from the study area (e.g., Caby et al., 1991; Ancelmi et al., 2015). The complex network of 171 anastomosing E-W and NE-SW trending ductile dextral strike-slip shear zones, 172 some up to ~25 km wide, and transpressional belts deformed under high 173 174 temperature, low pressure conditions that compose the Borborema shear zone 175 system (Vauchez et al., 1995) is therefore the expression of strain localization in an intraplate setting controlled by large-scale intraplate rheological 176 177 heterogeneities (the basins) in response to the convergence between São Francisco, Amazonian, and West African cratons during the construction of the 178 Gondwana (Tommasi et al., 1995). This shear zone system allowed for 179 tectonic extrusion of the Borborema Province towards the NE (Ganade de 180 Araújo et al., 2014, 2016), that is, towards the Saharan province, which, like 181 Borborema, had been weakened by tectono-magmatic activity during the 182 Meso- and Neoproterozoic. 183

In the early Cretaceous, the Borborema province experienced regional 184 extension before rifting localized at the present location of the equatorial 185 186 Atlantic Ocean (Darros de Matos, 1999). Structures associated with these early stages of rifting in the northern Borborema Province include several 187 intracontinental basins or aborted rifts and a large tholeiitic dyke swarm. The 188 basins formed as NW-SE trending asymmetric grabens (e.g., Potiguar, Rio do 189 Peixe, Araripe in Fig. 1) controlled by reactivation of the Brasiliano shear zones 190 191 (Darros de Matos, 1999; Castro et al., 2007, 2012; Margues et al., 2014). They 192 contain dominantly continental sediments of fluviatile and lacustrine origin, which attain thicknesses of 2000 m, deposited between 145-125 Ma. The 193 Potiguar basin is the best developed among these basins; its offshore domain 194 195 is the only one involved in the final opening of the Atlantic. The Ceará-Mirim dyke swarm is a >350-km-long tholeiitic dyke swarm with a dominantly E-W 196 trend that rotates to NE-SW at the southern termination of the Potiguar basin 197 (Fig. 1). The dykes have ages between 135 Ma and 120 Ma (Hollanda et al, 198 199 2018 and references therein). Their emplacement is therefore synchronous to the formation of the intracontinental basins. Geochemical data points to
 primary magmas formed by melting of an enriched mantle (EMI ± FOZO
 isotopic signatures) at shallow depths (<90 km; Ngonge et al., 2016).



204

Fig. 1. (a) Simplified geological map (after Vauchez et al., 1995 and Oliveira and Medeiros, 2018) displaying xenolith
sampling locations. (b) Time line of the major tectono-magmatic events in the Borborema Province. (c) Aeromagnetic
anomalies map (1st vertical derivative; Costa et al., 2016) imaging middle crust structures in and around the Seridó belt.
(d) P-wave tomography model for the Borborema Province at 100 km depth (Simões Neto et al., 2018) characterized
by a marked low velocity anomaly east of the Macau-Queimadas volcanic alignment (MQA) and north of the Patos
shear zone. SKS splitting data in (a) and (c) from Assumpção et al. (2011) and Bastow et al. (2015). RP: Rio do Peixe

211 Cretaceous basin. Se: Seridó, O:Orós, and J: Jaguaribe supracrustal belts.

212 The Cenozoic is characterized by a long-lived, but small-volume alkaline 213 volcanism (both onshore and offshore), tectonic inversion of the Mesozoic intracontinental basins, and uplift of the Borborema Plateau. The entire 214 Borborema Province sits nowadays on average at ~800m above the sea level 215 and the Araripe basin is topographically more than 500 m above the 216 Precambrian basement. Analysis of river profiles implies that most uplift 217 occurred within the last 30 Ma (Tribaldos et al., 2017), but earlier events may 218 have occurred (Margues et al., 2014; Nogueira et al., 2015). The present day 219 crustal thickness of 30-35 km implies some degree of sub-lithospheric support 220 for the uplift. The offshore Cenozoic volcanism forms an E-W volcanic 221 alignment that extends from the Ceará coast in northeast Brazil (the Mecejana 222 volcanic field) to the Fernando de Noronha archipelago. The Mecejana 223 224 volcanics yield K-Ar ages between 26 and 44 Ma (Mizusaki et al., 2002), 225 whereas volcanism in Fernando de Noronha has Ar-Ar ages between 12.5 and 6 Ma (Perlingeiro et al., 2013). The onshore Cenozoic volcanism is distributed 226 227 along a N-S trend, forming the Macau-Queimadas Alignment (MQA, Fig. 1). Emplacement ages range between 52 Ma and 7 Ma, with two peaks around 228 26-29 Ma and 7-9 Ma and no clear age progression along the MQA (Souza et 229 230 al., 2003; Silveira 2006; Knesel et al., 2011).

231 The Macau volcanics that entrained the studied mantle xenoliths (Fig. 1) erupted onto metasediments from the Neoproterozoic Seridó belt or 232 Mesoproterozoic gneisses that form their basement. In the study area, the 233 Brasiliano event is recorded by multiple NE-SW shear zones, which branch off 234 from the E-W Patos shear zone in the south, by transpressional structures in 235 the Seridó belt, and by intrusion of granitoids. The Cretaceous Ceará-Mirim 236 dyke swarm crosscuts the study area with an E-W trend and the Potiguar basin 237 238 outcrops to the north and west of it (Fig. 1).

239 240

2.2 Geophysical data

P-wave receiver functions, deep seismic refraction experiments, and 241 242 surface-wave dispersion data indicate that the crust is 30 ~ 32km thick in the 243 northern Borborema Province, but 36 ~ 38 km thick in the southern part of the province (Oliveira and Medeiros, 2012; Almeida et al., 2015; Lima et al., 2015; 244 Luz et al., 2015). This variation in crustal thickness is consistent with gravity 245 data (Oliveira and Medeiros, 2018) and correlated with topography: elevated 246 regions show thicker crust. Receiver function data also imply an intra-crustal 247 discontinuity at 9-18 depth, most often observed in domains with thinner crust, 248 249 such as the area sampled by the studied xenoliths (Almeida et al., 2015).

Gravity and magnetic data illuminate the structuration of the deep crust in
 the Borborema province (Oliveira and Medeiros, 2018). These data establish
 the Patos, Pernambuco, and Jaguaribe shear zones as major structures,
 which splay of from the Transbrasiliano Lineament, separating four crustal
 blocks with different geophysical characteristics: the Southern, the Transversal,

the Ceará, and the Rio Grande do Norte domains. The studied xenoliths 255 sample the mantle beneath the Rio Grande do Norte domain, which is 256 delimited by the Jaguaribe and Patos shear zones to the west and south, and 257 by the Atlantic Ocean to the north and east. This domain is characterized by 258 strong magnetic contrasts with E-W or NNE trends, correlated with the 259 260 outcropping Brasiliano structures (Fig. 1c). Magnetic anomalies also clearly define the Ceará-Mirim dykes (Fig. 1c). In addition, analysis of the Bouguer 261 gravity anomaly shows that most of the Rio Grande do Norte domain is 262 characterized by weak long wavelength positive anomalies, which follow the 263 264 trend of the coastline (cf. Fig. 7 of Oliveira and Medeiros, 2018), suggesting that crustal thinning related to the Atlantic opening affected most of the 265 266 domain.

The first kilometers of the lithospheric mantle structure may be probed by the analysis of Pn velocities, which decrease from 8.1 km/s to 7.9 km/s in a NW-SE refraction profile across the Borborema Province to the south of the study area (Lima et al., 2015). In the vicinity of the study area, Pn velocities are ~8.0 km/s, which for an isotropic mantle with a spinel-lherzolite composition would correspond to sub-Moho temperatures of 700-750°C (Schutt et al., 2018).

274 No seismic velocity data is available for the deeper sections of the lithospheric mantle, but a recent P-wave regional travel-time tomography 275 276 model (Simões Neto et al., 2019) imaged slower than average velocities at depths <150 km in the northeastern Borborema province, just east of the study 277 278 area (Fig. 1d). This low velocity anomaly coincides with a local geoid anomaly 279 of +10 m (Ussami et al. 1999). Slower than average P-wave velocities in the 280 shallow mantle beneath the study area are coherent with a rather shallow 281 Lithosphere Asthenosphere boundary (LAB), estimated at 80 km depth based on S receiver function data from the station RCBR (Heit et al., 2007). The 282 results of the regional P-wave tomography for the northeastern Borborema 283 Province are also consistent with global finite-frequency tomography models, 284 which image lower than average S-wave velocities in the mantle at 250-km 285 depth in this region (French et al. 2013). 286

SKS splitting data in the Borborema Province (Bastow et al., 2015) show a 287 complex pattern, with highly variable delay times and fast polarization 288 289 directions, which in some places closely follow the Neoproterozoic crustal 290 fabric, but are oblique to it in others (Fig. 1a,c). The station closest to the 291 xenolith sampling sites, RCBR (Fig. 1a.c), shows a NNE-oriented fast S-wave polarization subparallel to the Brasiliano transpressive structures, which 292 293 structure the northern Seridó belt and its gneissic basement, and a high delay 294 time (1.9±0.2 s) based on 9 individual measurements (Assumpção et al., 2011). 295

296

297

2.3 Previous studies of Macau peridotite xenoliths

298 The petrology and the geochemistry of Macau mantle xenoliths were

studied by Comin-Chiaramonti et al. (1986), Princivalle et al. (1994), Fodor et 299 al. (2002), Rivalenti et al. (2000; 2007). These studies identified two 300 301 microstructural groups: protogranular (the dominant type) and (rare) porphyroclastic (partially recrystallized) peridotites. Protogranular 302 microstructures are mainly observed in Iherzolites, whereas porphyroclastic 303 304 microstructures are usually observed in harzburgites. Protogranular peridotites 305 also yield lower two-pyroxene equilibrium temperatures (825±116°C) than porphyroclastic ones (>1150°C). Trace-element patterns and isotopic 306 compositions of the peridotites indicate variable degrees of metasomatism by 307 alkali-basaltic melts with EMI and minor EMII isotopic signatures, probably 308 309 during multiple metasomatic events (Rivalenti et al., 2000, 2007).

310

311 3. Methods

312 *3.1.* Sampling

We have studied 22 xenoliths from 5 different Macau volcanic sites, which 313 extend in a rough N-S trend, from the limit of the Potiguar basin to ~70 km 314 south of it (Fig. 1). Most xenoliths analyzed in the present study come from the 315 Pico do Cabugi basaltic neck (5°42.3'S, 36°19.4'W). The Pico do Cabugi 316 317 basalts yield Ar-Ar ages 24.6 ± 0.8 Ma (Souza et al., 2003 and references therein). Among the 16 xenoliths of the Pico do Cabugi in this study, 14 318 (marked as CA) have been collected by the authors in a field campaign in 2016 319 and two (marked as PC) were previously studied by Rivalenti et al. (2000). 320

321 We also analyzed 6 xenoliths previously studied by Rivalenti et al. (2007): two from the Serra Aguda neck (AG, 5°31'S, 36°17'W), ~30 km north of the 322 323 Pico do Cabugi, at the border of the Potiguar basin (Fig. 1), one from the Serra Preta do Bodó dykes (BO, 5°58'S, 36°22'W), ~20 km south of Pico do Cabugi, 324 and four from Fazenda Geroncio (GR, 5°58'S, 36°14'W) and Serra Verde 325 localities (SV, 6°06'S, 36°12'W), located ~30 and ~50 km south of Pico do 326 Cabugi (Fig. 1). There are no ages for the Fazenda Geroncio and Serra Verde 327 328 volcanics, but the Serra Aguda basalts were dated at 26 Ma (Silveira, 2006) 329 and those at Serra Preta do Bodó, at 7.1 Ma (Knesel et al, 2010).

330 Most xenoliths are small (on average 3 cm of diameter), but they are very fresh. The samples display no macroscopic evidence for major interaction with 331 the host lava. However, small pockets of fine-grained clinopyroxene, spinel, 332 and olivine, as well as melt films along grain boundaries were observed under 333 334 the microscope, in particular close to the borders of many xenoliths. In addition, 335 some xenoliths have pyroxenes with spongy borders, indicative of limited partial melting during extraction. These domains were carefully avoided during 336 microprobe analyses. They were sometimes included in the EBSD analyses, 337 338 but affect weakly the results because of their small area.

339

340 3.1 Electron-backscattered diffraction (EBSD) data acquisition and treatment
 341 Petrostructural analyses were performed on all samples. Crystallographic
 342 preferred orientations (CPOs) of olivine, pyroxenes, and spinel were measured

by indexing of electron-backscattered diffraction patterns in the SEM-EBSD 343 facility at Geosciences Montpellier, France, Data acquisition was performed 344 using a JEOL JSM 5600 scanning electron microscope with 17kV acceleration 345 voltage and 24mm working distance. We performed EBSD mapping over the 346 entire thin section (areas $\geq 2x3$ cm²) with step sizes between 14µm and 35 µm. 347 depending on grain size. Indexation rates varied between 84% and 97%. 348 349 Non-indexed points correspond mainly to fractures. During post-acquisition data treatment, we eliminated inaccurate indexing points (MAD > 1.3° and wild 350 spikes), corrected for rare olivine pseudo-symmetry misindexing, and filled up 351 non-indexed pixels with at least 6 coherent neighboring measurements with 352 the average of the neighbors' orientations. 353

354 The CPO data analysis, that is, the calculation of the orientation distribution functions (ODF) and of the misorientations, the computation of the 355 strength and symmetry of the olivine CPO, the plotting of pole figures, and the 356 quantification of the microstructure (grains' size, shape, and orientation) was 357 performed using the MTEX toolbox in Matlab (http://mtex-toolbox.github.io/; 358 Hielscher and Schaeben, 2008; Bachmann et al., 2010; Bachmann et al., 359 2011). The ODFs were calculated using a "de la Vallée Poussin" kernel 360 function with a half-width of 10°. The CPO is presented as one crystallographic 361 362 orientation per pixel in pole figures (lower hemispheric stereographic projections). Thin sections were cut in random orientations, because of the 363 small size of the xenoliths. To facilitate comparison between samples, we 364 rotated the CPO of all samples into a common reference frame, in which the 365 366 maximum concentration of [100] of olivine is parallel to the E-W direction and 367 the maximum concentration of [010] axis of olivine is parallel to the N-S direction of the pole figure. The choice of this reference frame can be justified 368 a-posteriori by the analysis of the CPO, which indicates that this reference 369 frame probably corresponds to the lineation and normal to the foliation 370 directions (cf. discussion). 371

372 The strength of the CPO was quantified using the dimensionless J-index 373 (Bunge, 1982). The J-index for a random CPO is 1; it can reach up to 20 in natural peridotites, with a peak at 3-5 (Tommasi and Vauchez, 2015). We use 374 the dimensionless BA-index, based on the eigenvalues of the [100] and [010] 375 axes orientation distributions (Mainprice et al., 2014), to characterize the 376 olivine CPO symmetry. This index allows classifying the olivine CPO into three 377 378 types: (i) fiber-[010], characterized by a [010] point maxima and girdle 379 distributions of [100] and [001] (BA-index < 0.35), (ii) orthorhombic. characterized maxima [010], 380 by point of [100], and [001] (0.35 < BA-index < 0.65) and (iii) fiber-[100], characterized by a point maxima 381 of [010] and girdle distributions of [010] and [001] (BA-index > 0.65). J- and 382 BA-indexes do not depend on the reference frame. 383

To analyze quantitatively the microstructure, we used the grain detection method in MTEX (Bachmann et al., 2011) defining grains boundaries by misorientation angles between adjacent pixels higher than 15°. Grains

composed by less than 10 pixels were not considered in the microstructural 387 analysis. The misorientation of each pixel relative to the mean orientation of 388 the grain (M2M) and the grain orientation spread (GOS) were calculated to 389 quantify the intracrystalline orientation gradients, which are a proxy of the 390 dislocation density. In addition, we characterized the sinuosity of the grain 391 392 boundaries by the shape factor, which is the ratio of the perimeter of the grain 393 over the perimeter of a circle with the same area. Both the intra-granular 394 misorientation (M2M and GOS) and the sinuosity of grain boundaries (shape factor) should decrease in response to recrystallization. 395

396

397 3.2 Mineral compositions and equilibrium temperatures

Mineral compositions of olivine, orthopyroxene, clinopyroxene, and spinel were analyzed in ten samples, selected based on their microstructure, so that all microstructural types were represented. Measurements were performed in a Cameca SX100 electron microprobe at the Microsonde Sud facility at the University of Montpellier, France at a 20kV accelerating voltage and a 10nA current. For each sample, we analyzed both rim and core composition in 3 to 4 grains for olivine, orthopyroxene, clinopyroxene, and spinel.

405 We calculated equilibrium temperatures based on the 406 clinopyroxene-orthopyroxene geothermometer (Taylor, 1998) and on the Ca-in-opx geothermometer (Brey and Kohler, 1990; revised by Nimis and 407 408 Grutter, 2010). Average rim and core equilibrium temperatures were calculated by averaging the temperatures calculated using 3-4 rim or core compositions 409 410 of individual opx grains or opx-cpx pairs for each sample. Since no reliable 411 barometers are available for spinel-facies peridotites, we chose arbitrarily 1.5 412 GPa (~46 km depth) as the pressure to calculate the two thermometers. 413 Changes in the assumed pressure produce a variation of ~10 °C per 0.5 GPa 414 (~11 km).

415

416 3.3 Seismic properties

417 Seismic properties of each sample were computed using the MTEX toolbox (Mainprice et al., 2011), using Voigt-Reuss-Hill averaging based on the 418 CPOs and modal content of olivine, orthopyroxene, and clinopyroxene derived 419 from the EBSD maps and on the single crystal elastic constant tensors of the 420 421 three minerals and their temperature and pressure derivatives (Abramson et 422 al., 1997; Anderson et al., 1992; Chai et al., 1997; Isaak et al., 2006; Jackson 423 et al., 2007; Sang and Bass, 2014). Average seismic properties for the lithospheric mantle beneath the study area were estimated by averaging the 424 elastic constant tensors of all samples with all CPO data rotated into a 425 426 common reference frame. The assumption is that the orientation of the lineation and foliation is constant both laterally and vertically. The average 427 seismic properties provide therefore an estimate of the maximum seismic 428 429 anisotropy that could be produced, if the xenolith sampling is representative of 430 the variability of compositions and CPO in the lithospheric mantle beneath the 131 studied area.

433 **4. Data**

4.1 Microstructures

436 Previous studies (Fodor et al., 2002; Rivalenti et al., 2000; Rivalenti et al., 2007) have correlated optical observations of the microstructures with 437 chemical compositions and equilibrium temperatures to divide the Macau 438 peridotite xenoliths into two groups: protogranular and porphyroclastic. In the 439 present study, we associated to the optical observations quantitative analysis 440 of the microstructural data derived from EBSD mapping. Based on these data, 441 442 in particular the intragranular misorientation of olivine and the olivine and 443 orthopyroxene grain shapes (Fig. 2), we classify the Macau peridotites into 444 three microstructural groups (Table 1): coarse-granular (6). coarse-porphyroclastic (12), and fine-porphyroclastic (4). The coarse-granular 445 and coarse-porphyroclastic groups correspond to a continuous variation in 446 microstructure (Figs. 2 and 3). They were described as protogranular in the 447 448 previous studies. The fine-porphyroclastic group is clearly different from the other two groups (Figs. 2 and 3); it was described as porphyroclastic in the 449 450 previous studies. 451







There is no relation between microstructure and sampling site. All three microstructures are represented among the Pico do Cabugi peridotites, which is the best-sampled site in the present study, and in at least one of the other sampling localities (Table 1). This observation is corroborated by the previous studies, which analyzed a larger number of peridotite xenoliths from other Macau volcanic centers (Fodor et al. 2002; Rivalenti et al. 2007). Coarse

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434 435 464 granular and coarse porphyroclastic microstructures predominate in all sites.
465 Fine-porphyroclastic peridotites are always minor. They were only recovered in
466 three sites, which are nevertheless >60 km apart (Fig. 1): Serra Aguda, Cabugi,
467 and Serrote Preto (a small plug ca. 30 km to the SSE of Pico do Cabugi, Fodor
468 et al. 2002).





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Fig. 3. Typical microstructures of Macau peridotite xenoliths: (a-b) coarse-granular, (c-d) coarse-porphyroclastic, and
(e-f) fine-porphyroclastic. (a,c,e) Photomicrographs in cross-polarized light; scale bar is the same in all
photomicrographs. (b,d,f,g) EBSD phase maps, which better illustrate the variation in shape of olivine and pyroxenes
between the three microstructures. (g) Detail of a partially recrystallized domain in fine-porphyroclastic lherzolite AG6.
(h) Misorientation relative to the mean orientation of the grain (M2M) map illustrating the difference in intragranular

- 477 misorientation in olivine between porphyroclasts (high M2M) and neoblasts (low M2M) in the same domain.
- 478

Coarse-granular peridotites are characterized by roughly equigranular
microstructures (Fig. 3a-b). Olivine and orthopyroxene pyroxene grains are on
average 1-3 mm, clinopyroxene slightly smaller: 0.8-1 mm (Table 2). Both
olivine and pyroxenes have curvilinear to straight grain boundaries, evolving
locally into polygonal shapes with 120° triple junctions (Fig. 4a), and very low
densities of intracrystalline deformation features, such as undulose extinction,
subgrains, or kinks (Fig. 3a and 4a). Quantitatively, these observations

translate into low shape factors \leq 1.85 for olivine and \leq 2 for orthopyroxene 486 and into low M2M values $\leq 2^{\circ}$ for olivine (Fig. 2). Lherzolite 16CA14 has an 487 intermediate microstructure characterized by polygonal olivine grains, but 488 pyroxenes with irregular shapes (Figs. 2 and 4b). Most coarse-granular 489 peridotites display a weak olivine shape-preferred orientation (SPO) (Fig. 3a), 490 491 but BO09 has higher olivine aspect ratios (Table 2) and a clear olivine SPO, 492 which results in a tabular microstructure. Pyroxene grains usually show no 493 exsolutions (Fig. 3a and 4a-b). Spinel grains have holy-leaf or interstitial shapes. Coarse holy-leaf spinels may contain rounded inclusions of olivine or 494 495 pyroxenes (Fig. 3b).

Coarse-porphyroclastic microstructures are characterized by variable 496 497 grain sizes. In most cases, the grain size variation is continuous and it is difficult to discriminate between porphyroclasts and neoblasts (Fig. 3c-d). 498 Olivine has more irregular grain shapes and clear evidence for intracrystalline 499 500 plastic deformation (Fig. 3c-d and 4c). Olivine grains have shape factors between 1.6 and 2.2, consistently with the more sinuous grain boundaries, and 501 higher M2M values ranging from 2° to 3.4° (except 16CA15), consistent with 502 the higher frequency of undulose extinction and subgrain boundaries (Fig. 2). 503 504 Orthopyroxene grains also have irregular shapes (Fig. 3c-d and 4c-d), which 505 are associated with shape factors from 1.6 to 2.4 (Fig. 2b). Orthopyroxene grain boundaries often show embayments or cuspate shapes at the contact 506 with olivine grains (Fig. 4c-d). Clinopyroxene grains also show irregular or 507 interstitial shapes (Fig. 3c-d). In some samples, orthopyroxene grains display 508 509 exsolutions (Fig. 3c). Undulose extinction and kinks are observed locally. 510 Spinel usually has irregular shapes and occurs in association with ortho- or 511 clinopyroxene (Fig. 3d).

512 Fine-porphyroclastic peridotites show a well-developed bimodal olivine grain size distribution, characterized by coexistence of coarse porphyroclasts 513 with serrated grain boundaries, undulose extinction, and closely-spaced 514 subgrain boundaries with a recrystallized matrix composed by polygonal 515 olivine neoblasts free of intracrystalline deformation (Figs. 3e-h and 4e-f). The 516 517 average size of the recrystallized grains varies between samples (Table 2): it is ~100 µm in AG6 (Fig. 4e), but >300 µm in the other fine-porphyroclastic 518 peridotites (Fig. 4f, Table 2). It is noteworthy that the recrystallized olivine 519 grains are not organized in a planar mode, marking a foliation, but either form 520 521 irregular pockets or vein-like structures (Fig. 3e-f). Orthopyroxene is usually 522 coarser than clinopyroxene (Fig. 3f). Exsolutions were not observed in this group. Shape factors of orthopyroxene vary between 1.89 and 2.56 (Fig. 2). In 523 AG6 and AG7, pyroxenes have rounded shapes (Fig. 3f), whereas in PC105 524 and PC109 fine-porphyroclastic samples, pyroxenes have irregular shapes, 525 with cusp-like terminations when in contact with olivine, similarly to pyroxenes 526 in coarse-porphyroclastic peridotites. Spinel occurs as fine rounded grains (Fig. 527 528 3f).



Fig. 4. Photomicrographs in cross-polarized light displaying typical features for the three microstructural groups. (a) Coarse granular Iherzolite displaying polygonal grains (black arrow marks a 120° triple junction) almost free of intracrystalline deformation features, white arrow indicates a relict subgrain boundary in olivine (ol); (b) Coarse granular Iherzolite 16CA14 displaying polygonal strain-free olivine grains, but irregularly-shaped orthopyroxene (opx) grains (highlighted by dashed line). (c-d) Coarse porphyroclastic Iherzolites 16CA11 and 16CA06 showing irregularly shaped olivine, orthopyroxene (highlighted by dashed line), and clinopyroxene (cpx) grains with interpenetrating 536 interphase boundaries, white arrows indicate subgrain boundaries in ol in (c) and mark cusp shaped opx in contact 537 with ol in (d); (e) Fine-porphyroclastic Iherzolite AG6 showing a matrix of very fine recrystallized olivine neoblasts 538 surrounding a coarse olivine porphyroclast with ondulose extinction and subgrains (white arrow); (f) 539 Fine-porphyroclastic harzburgite PC109 showing tabular, strain-free olivine neoblasts as well as relicts of olivine 540 porphyroclasts with undulose extinction (white arrow) within a strongly recrystallized domain between opx 541 porphyroclasts. Scale bar is the same in all photomicrographs.

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543 4.2 Crystallographic preferred orientations (CPO)

544 Quantitative data on the intensity and symmetry of the olivine CPO (J- and 545 BA-indexes) as well as pole figures of the olivine, orthopyroxene, and 546 clinopyroxene CPOs for all studied samples are presented in Figs. 5 and 6 and 547 Table 2. For the samples in which a foliation was observed, the latter is 548 displayed on the pole figures (Fig. 6). In these samples, the olivine [010] 549 maximum is normal to the foliation.

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Fig. 5. Olivine CPO symmetry (BA-index) vs. CPO strength (J-index). The variation in olivine CPO pattern as a function of BA-index is illustrated at the top of the diagram. For fine-porphyroclastic peridotites, we present data for the bulk rock and for porphyroclasts and neoblasts separately. Values are presented in Table 2. * indicates J-indexes that are probably overestimated, because <100 grains could be analyzed in the thin section. CPO data for Fernando de Noronha (FN) xenoliths (Liu et al., 2019) are displayed for comparison.

558 Olivine has moderate to strong CPO (Figs. 5 and 6). With exception of harzburgite AG7, which has a very high J-index of 16.6 due to a few coarse 559 560 olivine porphyroclasts that occupy most of the thin section, the J-index varies between 3.8 and 8.5, with a mean of 6.4. The CPO symmetry varies between 561 fiber-[010], orthorhombic, and fiber-[100] patterns. Fine-porphyroclastic 562 peridotites display olivine CPO patterns intermediate between fiber-[010] and 563 orthorhombic and the neoblasts have systematically weaker olivine CPO (Figs. 564 5 and 6a). There is no systematic variation of J-index or BA-index within the 565 566 two other microstructural groups. Coarse-granular samples display dominantly orthorhombic olivine CPO patterns with J-indexes around 6 (Figs. 5 and 6a). 567 Coarse-porphyroclastic samples have more variable CPO patterns and 568

intensities (Fig. 5). Four coarse-porphyroclastic harzburgites (16CA01, 569 16CA02, 16CA03, and 16CA18) have strong CPO with fiber-[100] patterns 570 (Fig. 6b). The remainder coarse-porphyroclastic peridotites display variable 571 CPO intensity orthorhombic patterns with a tendency towards fiber-[010], 572 573 expressed as girdle of [100] with a maximum within it and a point maximum of 574 [010] (Fig. 6b).





577 Fig. 6. Crystal preferred orientations (CPO) of olivine, orthopyroxene, and clinopyroxene for all studied 578 fine-porphyroclastic and coarse-granular peridotites and coarse-porphyroclastic peridotites. Lower hemisphere 579 stereographic projections with contours at 1 multiple of a uniform distribution intervals. The three pole figures for each 580 mineral are plotted using the same gray scale. For the fine-porphyroclastic peridotites, the CPO of olivine 581 porphyroclasts is presented as points and the CPO of olivine neoblasts is presented as contours. Black arrows 582 indicate pyroxene CPO that are well correlated with the olivine CPO and gray arrows indicate those partially correlated. 583 When the sample shows a olivine shape preferred orientation marking a foliation, the latter is displayed as a dashed



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Orthopyroxene CPO is consistent with the olivine CPO in the majority of 587 the studied peridotites. It is characterized by [001]_{opx} and [100]_{opx} (or [010]_{opx}) 588 589 maxima parallel to the [100]_{ol} and [010]_{ol} maxima, respectively, suggesting a deformation history (arrows Fig. 590 common in 6). However, coarse-porphyroclastic harzburgites 16CA01, 16CA02, 16CA03, 16CA18 and 591 coarse-granular lherzolite 16CA09 show weak orthopyroxene CPO that are not 592 consistent with the olivine CPO, characterized by a weak [001]_{opx} maximum at 593 high angle to a well-developed [100]_{ol} maximum. 594

595 Clinopyroxene shows more dispersed CPO, but which are, in many 596 samples, consistent with both olivine and orthopyroxene CPO patterns, 597 characterized by [001]_{cpx} and [010]_{cpx} maxima subparallel to [100]_{ol} and [010]_{ol} 598 maxima (arrows in Fig. 6). However, in most fine-porphyroclastic peridotites 599 and in coarse-granular lherzolite 16CA12, although the orthopyroxene CPO is 600 consistent with the olivine CPO, the clinopyroxene CPO is not. In 601 coarse-porphyroclastic harzburgites (16CA01, 16CA02, 16CA03, 16CA18) 602 neither the orthopyroxene nor the clinopyroxene CPO are correlated with the 603 olivine CPO.

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605 4.3 Modal compositions

Modal compositions were determined based on the area fraction of each mineral in the EBSD maps (Fig. 7 and Table 1). All samples are spinel-facies peridotites. Lherzolites predominate (14/22), but the sampling also includes 7 harzburgites and 1 wehrlite. Predominance of fertile peridotites with no dependence on sampling site was also observed in previous sampling of Macau xenoliths by Rivalenti et al. (2000, 2007; Fig. 7).

The compositions of the two microstructural groups overlap, but coarse-granular peridotites are on average more fertile (ol contents of 57-70%) than coarse-porphyroclastic peridotites (ol contents between 67-87%, with one outlier – 16CA04, which has 59% of olivine and an intermediate microstructure). Fine-porphyroclastic peridotites display variable olivine contents overlapping with the two other groups.

Comparison of the modal compositions to those predicted by various 618 partial melting models highlights that roughly half of the studied Macau 619 620 xenoliths are enriched in clinopyroxene or olivine relatively to the models' 621 predictions (Fig. 7). Even for those samples plotting along the partial melting trends, a large variability in partial melting degrees, from 1-20% melting, has to 622 be invoked to explain the full range of observed modal compositions. Such a 623 624 variation in partial melting is difficult to reconcile within the limited volume of the mantle sampled by these volcanic eruptions. This suggests reactive melt 625 leading to either crystallization of clinopyroxene and/or 626 percolation 627 orthopyroxene at the expenses of olivine (refertilization processes, e.g. Le Roux et al., 2007; represented by gray arrows in Fig. 7) or crystallization of 628 olivine at the expense of pyroxenes (dunitization processes, e.g., Berger and 629 Vannier, 1984; Kelemen, 1990; black arrows in Fig. 7). The observed 630 deviations in modal compositions relatively to partial melting trends are 631 632 consistent with microstructural evidence for reactive melt percolation, such as 633 the sinuous olivine-pyroxene boundaries and the cusp-like shapes of pyroxenes when in contact with two olivine grains (cf. Figs. 3 and 4). 634

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637 Fig. 7. Modal compositions of the studied peridotites presented as clinopyroxene/orthopyroxene ratio vs. olivine modal 638 content and as the traditional ol-opx-cpx ternary diagram (insert). Modal compositions of Cabugi and other Macau 639 volcanics peridotite xenoliths studied by Rivalenti et al. (2000) and (2007) are plotted for comparison. Black and gray 640 curves represent the evolution of the modal composition predicted by different partial melting models for an initial 641 fertile modal composition of 55% ol, 28.5% opx, and 16.5% cpx (Baker and Stolper, 1994; Kostopoulos, 1991; Niu, 642 1997; Walter et al., 1995). Gray arrows indicate evolution trends associated with cpx and/or opx crystallization at the 643 expense of ol (refertilization reactions). Black arrow indicates evolution trends associated with ol crystallization at the 644 expense of pyroxenes (dunitization reactions).

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646 4.4. Mineral compositions

647 The chemical compositions of main mineral phases in the studied Macau peridotite xenoliths are listed in Supplementary Material Table S1. 648 649 Representative results are displayed in Fig. 8 together with previous data for Macau peridotite xenoliths (Supplementary Material Table S2). Comparison 650 651 between the present and previous datasets indicates that our sampling is 652 representative of the variability in composition for these localities. Analysis of the present dataset indicates that there is no simple correlation between 653 microstructural types and chemical compositions (Fig. 8). There is also no 654 relation between mineral chemistry and sampling locality. 655

The Mg# number [Mg# = 100×Mg/(Fe + Mg), atomic ratio] of olivine, 656 orthopyroxene, and clinopyroxene ranges between 89.1 - 91.6 (average 90.0), 657 658 89.1 - 91.7 (average 90.4), and 87.9 – 92 (average 90.9), respectively (Figs. 8a.b). Core-rim variations in Mg# are weak for all three minerals in most 659 660 samples. Olivine and orthopyroxene Mg# within each sample show low dispersion and are positively correlated, with the orthopyroxene being slightly 661 enriched in Mg relatively to olivine (all data points, except fine-porphyroclastic 662 peridotites AG6 and AG7, plot slightly above the 1:1 line in Fig. 8b). 663 664 Clinopyroxene Mg# shows much higher variability at the sample scale, in particular within coarse-porphyroclastic harzburgites, indicating chemical 665 disequilibrium at the mm-scale. Moreover, average clinopyroxene Mg# in 666

neither cores nor rims does not display a simple correlation with the olivine
Mg# (Fig. 8a). Most measured Mg# spread between the 1:1 and the Fe-Mg
partition trend between olivine, clinopyroxene, and melt in oceanic troctolites
(Lissenberg and Dick 2008), but fine-porphyroclastic peridotites AG6 and AG7
have clinopyroxenes enriched in Fe relatively to olivine.

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674 Fig. 8. Chemical compositions for major rock-forming minerals: (a-c) Clinopyroxene Mg#, orthopyroxene Mg#, and 675 olivine Ca content (ppm) vs. olivine Mg#, (d) Clinopyroxene TiO2 content (wt.%) vs. modal content (%). Minerals 676 chemical compositions for Macau peridotite xenoliths previously studied by Rivalenti et al. (2000), (2007), and Fodor 677 et al. (2002) are plotted for comparison. The value of the depleted mantle (DM) from Workman and Hart (2005) is 678 displayed in (a) and (b). Fe-Mg partition between olivine and clinopyroxenes in troctolites (Lissenberg and Dick, 2008) 679 is plotted in (a). Partial melting and refertilization trends proposed by Le Roux et al. (2007) are plotted in (d). Hollow 680 symbols represent rim compositions and solid symbols represent core ones. Error bars represent the chemical 681 variation at the sample scale. Data is presented in Supplementary Material Table S1.

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683 Olivine cores show low Ca contents with a weak positive correlation with 684 Mg#, (Fig. 8c), except for the fine-porphyroclastic peridotites and the 685 coarse-porphyroclastic lherzolite GR1, which have high Ca contents. Olivine 686 rims in all studied peridotites are enriched in Ca and show a high variability at
687 the thin section (mm-cm) scale.

Clinopyroxene TiO₂ contents (wt.%) do not show the simple positive 688 correlation with cpx modal content (%) expected if partial melting controlled the 689 chemistry of the peridotites (Fig. 8d). Among the harzburgites, four have 690 Ti-poor clinopyroxene grains (TiO₂ contents < 0.2%), while the other two have 691 692 clinopyroxene grains with TiO₂ contents of 0.55%. All harzburgites show 693 marked variability in the Ti-content of clinopyroxene at the sample scale, 694 indicating disequilibrium. Half of the Iherzolites have clinopyroxenes with almost constant TiO₂ contents between 0.55-0.65%, independently of the 695 696 clinopyroxene modal content. The remaining lherzolites have rather Ti-poor 697 clinopyroxene grains.

Spinel Cr# [Cr# = 100×Cr/(Cr+AI) and Mg# contents correlate with the 698 modal composition. Harzburgites have spinel with Cr# ranging between 699 40-57.5 and Mg# ranging between 61-72, whereas lherzolites have spinel with 700 701 Cr# between 8-20 and Mg# between 73-84 (Supplementary Material Fig. S1a). 702 Yet, the lherzolites show a clear enrichment in Mg in spinel relatively to a 703 typical depleted mantle composition. Fine-porphyroclastic lherzolite AG6 has the highest spinel Mg# (83) and lowest Cr# (11). TiO₂ contents (wt. %) in spinel 704 705 are low (≤0.18%) in most lherzolites (Supplementary Material Fig. S1b). In 706 contrast, spinel in coarse-porphyroclastic harzburgites and lherzolite GR1 has 707 a wide range of average TiO₂ contents, which may reach 1.03%, with strong 708 variations within each sample, indicating disequilibrium. Spinel in fine-porphyroclastic lherzolite AG6 also has a fairly high TiO₂ content of 0.45%. 709

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711 4.5. Equilibrium temperatures and geotherm estimation

712 Most equilibrium temperatures determined using two-pyroxenes 713 geothermometer of Taylor (1998; TTA98) and the Ca in orthopyroxene 714 (Ca-in-opx) of Brey and Kohler (1990) revised by Nimis and Grutter (2010) agree within ±70 °C (gray dashed lines in Fig. 9). This suggests that they are 715 reliable. However, peridotites with cpx-opx temperatures < 800 °C show larger 716 717 discrepancies (>90 °C), with systematically higher Ca-in-opx equilibrium 718 temperatures. This inconsistency between the predictions of the two 719 thermometers is observed for both core and rim temperatures and is not correlated with the microstructure. It affects two coarse-porphyroclastic 720 peridotites (16CA06, 16CA11) and one coarse-granular lherzolite (16CA14). 721 722 The latter has the lowest temperature among all samples with strong 723 inconsistency between the predictions of the two thermometers (592°C and 790°C, Table 1). 724

725 Following Nimis and Grutter (2010), we use the temperature predictions 726 **TTA98** thermometer as the equilibrium temperatures. of All fine-porphyroclastic peridotites and the coarse-porphyroclastic peridotite GR1 727 have high equilibrium temperatures over 1200 °C. Coarse-porphyroclastic 728 729 peridotites have two ranges of equilibrium temperatures: harzburgites 730 (16CA01, 16CA03, 16CA18) as well as clinopyroxene-poor lherzolite SV8

have equilibrium temperatures between 900 and 1100 °C, whereas the 731 732 clinopyroxene-rich coarse-porphyroclastic lherzolites (16CA06. 16CA11, 16CA15) have lower equilibrium temperatures between 600 and 700 °C. 733 Coarse-porphyroclastic peridotites 16CA03, 16CA15, and 16CA18 display 734 core-rim variations in equilibrium temperature. In most cases, rim Ca-in-opx 735 736 temperatures are higher than core ones, but there is no systematic trend. All samples that display core-rim variations also show significant dispersion in 737 both core and rim temperatures at the sample scale (bars in Fig. 10). 738 739 Coarse-granular peridotites 16CA07, 16CA08, 16CA09, and BO9 have intermediate equilibrium temperatures between 800 and 900 °C and less 740 741 variations at sample scale as well as between cores and rims. Comparison 742 with previous thermometry data on Macau peridotite xenoliths indicates that 743 the present sampling is representative of the variability in this suite (Fig.9). Analysis of the full dataset also highlights that there is no relation between 744 equilibrium temperatures and sampling site. The full range of equilibrium 745 temperatures is observed both among Pico do Cabugi xenoliths, which is the 746 best sampled site, and among xenoliths from other Macau volcanics. 747



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Fig. 9. Average core and rim equilibrium temperatures calculated using the cpx-opx thermometer (TTA98, Taylor, 1998) and the Ca-in-opx thermometer (Ca-in-opx, Brey and Kohler, 1990, revised by Nimis and Grutter, 2010). Chemical compositions from Rivalenti et al. (2000) and (2007) and Fodor et al. (2002) were used to recalculate the equilibrium temperatures for their samples using the same thermometers (data is presented in Supplementary Material Tables S2 and S3). Error bars represent data spread within each sample. Gray dashed lines outline a ±70°C variation between the two thermometers.

765 To estimate the Cenozoic geotherm in the northern Borborema Province, 766 we plotted the TTA98 equilibrium temperatures of the studied xenoliths against 767 the peridotite phase diagram in the upper mantle (spinel-out, plagioclase-out, and garnet-in curves for different Cr contents from O'Neill, 1981 and Klemme, 768 2004), as well as equilibrium geotherms for different surface and reduced heat 769 flows (Fig. 11). The geotherms are calculated assuming a surface heat flow of 770 60 or 70 mW/m² (Hamza et al., 2018), a Moho depth of 32 km (Almeida et al., 771 2015), an exponential decrease of the radiogenic heat production with depth. 772 773 with a characteristic depth of 10 km, and a reduced heat flow of either 30 or 45 774 mW/m^2 . For comparison, indicate depth we also the of the lithosphere-asthenosphere boundary determined by P-S receiver functions for
seismic station RCBR (Heit et al., 2007) and the partial melting conditions
estimated based on the compositions of the most primitive melts of the
Cenozoic Macau and Mesozoic Ceará-Mirim suites (Ngonge et al, 2015a,b).

P-T equilibrium conditions of the peridotites are displayed as a fixed temperature corresponding to the TTA98 thermometry prediction, but as a pressure range, because there are no reliable barometers for spinel-facies peridotites. The range of equilibrium pressure conditions is defined by the occurrence of spinel in absence of plagioclase or garnet for the actual Cr content of each peridotite (O'Neill, 1981; Klemme, 2004).

The wide range of equilibrium temperatures suggests that the studied 785 786 peridotites represent a complete sampling of the subcontinental lithospheric 787 mantle. The absence of garnet in the entire suite, which is largely composed of peridotites with Cr# < 15, constrains a maximum equilibrium depth of the 788 studied peridotites shallower than 75 km (Fig. 10). By consequence, the 789 equilibrium conditions of the Macau peridotite xenoliths, except 16CA14 that 790 791 has the lowest and likely unreliable equilibrium temperature, are better fitted by 792 the hotter geotherm (green line in Figure 10).



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794 Fig. 10. P-T diagram comparing the equilibration conditions estimated for the studied Macau xenoliths to two possible 795 steady-state geotherms for the north Borborema province (surface / reduced heat flows indicated in parenthesis). 796 Xenolith data is better fitted by the hotter geotherm (surface and reduced heat flows of 75 and 45mW/m², respectively). 797 Since no barometers are available for spinel peridotites, equilibrium pressure ranges are presented as bars, based on 798 the absence of plagioclase and of garnet in the studied peridotites, considering their Cr# (Supplementary Information 799 Table S1) and the effect of Cr on the spinel-garnet phase transition (Klemme, 2004; O'Neill, 1981). Dry and wet solidus 800 with variable H₂O contents after Ringwood (1975). Moho and Lithosphere-Asthenosphere Boundary (LAB) depths 801 derived from S-P and P-S receiver function analyses (Almeida et al., 2015, Heit et al., 2007) as well as partial melting 802 conditions derived from the primitive melt compositions of the Cretaceous Ceará-Mirim dyke system (CMDS) and 803 Cenozoic Macau volcanics (Ngonge et al., 2016a,b) are displayed for comparison.

4.6. Seismic properties

For calculating seismic properties, we divided the xenoliths into two groups as a function of their TTA98 equilibrium temperature: low (T < 1000 °C) and high-temperature (T > 1000 °C). Then, we estimated the elastic tensors of the individual samples (Supplementary Material Table S3) for the pressure and temperature conditions predicted at 50 km (1.46 GPa and 916 °C) and 70 km depth (2.12 GPa and 1189°C) for the geotherm that best fits the xenolith data (Qs=70 mW/m² and Qm=45 mW/m², green line in Figure 10).

Seismic anisotropy patterns of the individual samples vary slightly as a 812 function of the olivine CPO symmetry. As usual, the seismic anisotropy 813 intensity correlates positively with both the olivine CPO strength and olivine 814 modal content (Figure 11). On average, the peridotites equilibrated at 815 816 temperatures <1000°C tend to display lower anisotropy, due to both more 817 dispersed olivine CPO and lower olivine contents. However, seismic 818 anisotropy intensity does not increase linearly with increasing J-index. By consequence, overestimation of the olivine CPO intensity 819 for fine-porphyroclastic harzburgite AG7 does not result in similar overestimation 820 of its seismic anisotropy. The maximum S-wave polarization anisotropy varies 821 from 5.5 to 7.3% in the low-temperature peridotites and from 5.9 to 10% in the 822 823 hign-temperature ones (Fig. 11 and Table 3). Similarly, the maximum P-wave 824 propagation anisotropy varies from 7.4 to 10% and from 7.7 to 14.2% (Table 3). The maximum fast (S1) wave propagation anisotropy varies from 3.0 to 5.9% 825 in low-temperature samples and from 4.1 to 7.2% in high-temperature ones, 826 whereas the maximum slow (S2) wave propagation anisotropy varies from 3.4 827 828 to 5.2% and from 1.9 to 7.3%, respectively (Table 3).



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834 Since seismic waves average elastic properties over large volumes, we low-temperature 835 calculated average elastic tensors for the and high-temperature groups by assuming a coherent orientation of the past flow 836 directions and planes (assumed as parallel to the [100] and [010] maxima of 837 the olivine CPO, respectively - this assumption is justified by the analysis of 838

the CPO as discussed in the next section) over the entire lithosphere using a constant structural reference frame. These average seismic anisotropy patterns (Fig. 12) represent the maximum possible seismic anisotropy in the shallow (low-temperature group) and deep (high-temperature samples) sections of the lithospheric mantle, if the present sampling is representative of the volumes of the different microstructures and compositions in the lithospheric mantle in this region.



Fig. 12. Average seismic properties for shallow and deep sections of the lithospheric mantle beneath the study area calculated by averaging the elastic constant tensors of the eight low-temperature samples calculated for 916°C, 1.46GPa and of the nine high-temperature samples calculated for 1189°C, 2.12GPa. Lower hemisphere stereographic projections presenting the variation of the property as a function of the propagation direction relatively to the structural reference frame (flow direction (X) and normal to the flow plane (Z) shown by the insert). Black squares mark the highest values and white circles mark the lowest ones. Seismic properties data are listed in Table 3 and the elastic tensors, in Supplementary Material Table S4.

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Seismic anisotropy patterns for the shallow and deep lithospheric mantle 856 are similar, but as already discussed, the lower part of the lithosphere is more 857 anisotropic (Fig. 12). The average maximum P-wave propagation anisotropy is 858 10.5% with velocities ranging between 7.4 and 8.3 km/s, compared to 7.9% in 859 the shallow lithospheric mantle, where P-wave velocities vary between 7.6 860 km/s normal to the flow plane and 8.2 km/s parallel to the flow direction frozen 861 862 in the lithospheric mantle (Table 3). The average maximum S-wave polarization anisotropy in the lower lithospheric mantle is 7.7% compared to 863 5.2% in the shallower levels; in both cases it is observed for S-waves 864 propagating within the flow plane but at <45° of the flow direction. Low S-wave 865 polarization anisotropy is observed for all waves propagating at low angle to 866 the XZ plane, that is, the plane that contains both the flow direction and the 867 normal to the flow plane. For all propagation directions in which a significant 868 polarization anisotropy is observed, the fast S-wave is polarized in the plane 869 containing the flow direction. The average maximum S1-wave propagation 870

anisotropy increases from 3.6% to 5.8% from the shallow to the deep mantle 871 lithosphere. S1 velocity is maximum within the flow plane and minimum normal 872 to it. S1-waves propagating within this plane show a 90° periodicity in the 873 velocity variation. S2-waves average maximum propagation anisotropy 874 increases from 3.7% to 4.9% with depth. High S2 velocities are associated 875 876 with propagation within the XZ plane at ca. 45° to the flow direction (X) and low 877 velocities are observed for all propagation directions at high angle to X. The maximum Vp/Vs1 ratio anisotropy increases from 5.5% to 7.4% with depth. 878 Highest Vp/Vs1 ratios (1.78-1.8) are observed for waves propagating parallel 879 to the flow direction and the lowest Vp/Vs1 ratios for waves propagating 880 881 normal to the flow plane.

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883 5. Discussion

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5.1. Deformation, annealing, and reactive melt percolation

Coarse-granular peridotites show polygonal grain shapes for both olivine 886 and pyroxenes and low intragranular misorientations (olivine M2M values $< 2^{\circ}$, 887 Fig. 2). However, they have well-developed olivine CPO (Figs. 5 and 6). This 888 889 association supports that the deformation that produced the CPO was followed by annealing (static recrystallization), which effectively reduced dislocation 890 densities and re-equilibrated grain shapes. The analysis of the relative 891 intensity of the orientation of [100], [010], and [001] of olivine, of the relations 892 between olivine and pyroxene CPOs, and of the relations between olivine CPO 893 and SPO (when a SPO was observed) points to deformation by dislocation 894 895 creep with dominant activation of the [100](010) slip system in olivine, [001](100) in orthopyroxene, and [001](0kl) in clinopyroxene (Tommasi et al. 896 2000, Bascou et al. 2002). Coarse-granular peridotites have roughly constant 897 898 olivine CPO intensities, but variable symmetry, covering the entire range from fiber-[100] to fiber-[010]. Fiber-[100] and orthorhombic olivine CPO are the 899 usual patterns produced by simple shear under dry, high to moderate 900 901 temperatures, and low pressure in the upper mantle (Tommasi et al., 1999; 2000; Hansen et al. 2014). Fiber-[010] patterns, on the other hand, require 902 903 particular conditions, which may be: transpression (Tommasi et al., 1999), presence of melts during the deformation (Higgie and Tommasi, 2012, 2014; 904 Qi et al. 2018), changes in the olivine CPO by oriented growth during 905 recrystallization (e.g., Tommasi et al., 2008), or deformation under high stress 906 907 or high pressure conditions, which would lead to increased activation of [001] glide (Durham and Goetze, 1977; Mainprice et al., 2005; Demouchy et al. 908 2013). The mineralogy and microstructures and CPO of the coarse-grained 909 peridotites falsify the last hypothesis. However, the present data does not allow 910 for discriminating among the other hypotheses. The different olivine CPO 911 symmetry may therefore result from variations in deformation regime, with 912 913 fiber-[010] CPO recording transpression (which is the dominant deformation 914 regime in the Seridó belt during the Brasiliano event), presence or not of melts 915 during the deformation (all deformation events were accompanied by 916 magmatism), or different CPO evolution during recrystallization.

917 Analysis of the bulk rock Mg# vs. olivine modal content relation (Fig. 13) shows that the compositions of most coarse-granular peridotites deviate from 918 partial melting trends, suggesting the occurrence of refertilization processes. 919 920 Yet, most coarse-granular peridotites have coherent olivine and pyroxene 921 CPOs (Fig. 6), which indicate co-deformation of the two phases. Thus, if melt-rock reactions leading to refertilization occurred, they predated or were 922 concomitant to the deformation. Refertilization reactions produce sutured 923 924 pyroxene-olivine boundaries and irregular shapes for both minerals. The equilibrated pyroxenes grain shapes in most coarse-granular peridotites 925 926 indicate therefore that reactive melt percolation producing refertilization also predated annealing. It also implies that interphase grain boundary 927 rearrangements during annealing were effective. These rearrangements 928 929 depend on transport of ions along grain boundaries in a similar way to the growth of porphyroblasts in a metamorphic rock, but with weaker driving forces 930 (grain boundary energy reduction opposed to chemical gradients). Most 931 coarse-granular peridotites equilibrated around 900°C (Fig. 9). At this 932 933 temperature, given the diffusivity of Si along olivine and enstatite grain boundaries (≤10⁻²⁷m³/s; Fisler et al. 1997; Fei et al. 2016), grain boundary 934 equilibration at the 250 µm scale, which is the average amplitude of the 935 936 sinuosity of the olivine-pyroxene grain boundaries in the coarse-porphyroclastic peridotites (Fig. 3c,d), will occur on time scale of 937 938 several hundreds of Ma. In contrast, irregular pyroxene grain shapes (high orthopyroxene shape factor ~2°, Fig. 2) in 16CA14 and BO9 point to a later 939 stage of melt percolation. Indeed, in both samples, part of the pyroxenes 940 shows crystal orientations not coherent with the olivine CPO (Fig. 6). 941

942 Coarse-porphyroclastic peridotites show less equilibrated microstructures. All major phases show sinuous grain boundaries (higher 943 shape factors) as well as undulose extinction and subgrains, which translate 944 945 into higher M2M values (Fig. 2). This implies a less effective annealing due to either a more recent deformation or lower post-deformation temperatures. The 946 former hypothesis may apply for the coarse-porphyroclastic peridotites with 947 high equilibrium temperatures (≥1000°C, Fig. 9) and the latter, for those with 948 low equilibrium temperatures (<800°C, Fig. 9). Similarly to the coarse-granular 949 950 peridotites, olivine CPO in coarse-porphyroclastic peridotites is consistent with 951 deformation by dislocation creep with dominant activation of the [100](010) system. This interpretation is corroborated by the high frequency of (100) 952 subgrain boundaries. However, the CPO patterns and intensities are more 953 954 varied than those of coarse-granular peridotites (Figs. 5 and 6). Coarse-porphyroclastic harzburgites have strong fiber-[100] olivine CPOs, 955 typical of simple shear deformation (Tommasi et al., 1999; 2000; Bystricky et al, 956 2000; Hansen et al., 2014). Lherzolites have orthorhombic to fiber-[010] olivine 957 CPOs with variable strength. As for the coarse-granular peridotites, the 958

959 fiber-[010] olivine in these coarse-porphyroclastic peridotites may record either 960 a component of transpression or the presence of melts during the deformation.

Although some coarse-porphyroclastic peridotites plot along partial 961 melting trends in Fig. 13, evidence for reactive melt percolation leading to 962 963 crystallization of pyroxenes or olivine is widespread. It encompasses: (i) the 964 interpenetrating olivine-pyroxene grain boundaries and the locally interstitial shapes of pyroxenes (Figs. 3 and 4), which imply lack of microstructural 965 equilibrium, (ii) the high variability of the olivine and pyroxenes chemical 966 compositions both within grains and between grains in a sample (Fig. 8), which 967 indicates absence of chemical equilibration, and (iii) lack or weak consistency 968 between the olivine and the pyroxenes CPOs (Fig. 6). The latter feature is 969 970 specific to the coarse-porphyroclastic harzburgites, which also show the 971 highest equilibrium temperatures and least equilibrated mineral compositions among the coarse-porphyroclastic peridotites, implying that reactive melt 972 percolation in these rocks, which sample the lower lithospheric mantle section 973 in the province, postdates the deformation and is rather recent. 974

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977 Fig. 13. Olivine modal content (%) vs. bulk rock Mg# in the studied xenoliths compared to evolutions predicted for 978 partial melting and reactive melt percolation in the mantle. Gray lines represent the composition evolution predicted by 979 various partial melting models using a source composition with 89.3 Mg# and 55% of olivine up to complete 980 consumption of clinopyroxene (Bodinier & Godard, 2014). Colored lines represent different melt-rock reactions after 981 Bodinier & Godard (2014). Red solid lines correspond to precipitation of clino- and orthopyroxene at the expense of 982 olivine and melt with different mass ratio of crystallized minerals versus infiltrated melt (numbers of the top of the 983 curves). Green dashed lines show olivine-forming reactions with melts with different Mg# (numbers of the top of the 984 curves). Blue dotted lines represent multiple episodes of refertilization, starting with low Mg# melts ('primitive' melt 985 Mg#=74.5), in which the peridotites successively reacted with the evolved melt resulting from previous infiltration 986 stage (Bodinier et al., 2008). Compositions of Macau peridotite xenoliths previously studied by Rivalenti et al. (2000) 987 and (2007) are plotted for comparison.

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Fine-porphyroclastic peridotites show a bimodal olivine grain size 989 olivine distribution and strona intragranular misorientations in the 990 991 porphyroclasts characterized by dynamic recrystallization (Figs. 3 and 4). At the high equilibrium temperatures recorded by these peridotites (≥1200°C, Fig. 992 9), diffusion is fast. The low annealing level of the microstructures in these 993 994 peridotites, indicated by the high intragranular misorientations in the 995 porphyroclasts (Figs. 2 and 3), implies therefore that the deformation episode 996 that produced the recrystallization shortly predated their extraction from the mantle by the Macau volcanism. 997

The variation in recrystallized grain sizes between the various 998 fine-porphyroclastic peridotites might record variations in stress (from ~75 999 1000 MPa in AG6 to ~10 MPa using the recrystallized grain size paleopiezometer of Van der Wal et al., 1993), but the coarser recrystallized grain sizes result more 1001 probably from partial annealing. The stresses estimated for Iherzolite AG6 are 1002 high and, for the equilibrium temperature of 1200°C of these peridotites, imply 1003 extremely high strain rates of 10^{-6} to 10^{-9} s⁻¹ based on usual olivine flow laws 1004 1005 (Chopra and Paterson, 1981; Hirth and Kohlstedt, 2003; Gouriet et al. 2019). The microstructure of these peridotites is indeed very similar to the mosaic 1006 1007 microstructure of deep sheared kimberlite-borne peridotites, which has been 1008 traditionally attributed to the initial stages of kimberlite dyke formation, due to 1009 the high stresses and high rates inferred based on the recrystallized grain sizes and equilibrium temperatures (e.g., Green and Gueguen, 1974; Boullier, 1010 1977; Skemer and Karato, 2008; Baptiste et al. 2012). Similar microstructures 1011 1012 have been observed in the deepest mantle xenoliths from the Labait alkaline lavas in the Tanzanian craton (Vauchez et al., 2005) and from Malaita alnoites 1013 in the Ontong Java plateau (Tommasi and Ishikawa, 2014) with similar 1014 1015 interpretations proposed.

1016 Fine-porphyroclastic peridotites with high equilibration temperatures have also been described in Cenozoic peridotite xenoliths, which sample the mantle 1017 1018 beneath major Neoproterozoic shear zones at the border of the Hoggar swell, 1019 N Africa (Kourim et al., 2015). However, in the Hoggar peridotites, equilibration 1020 temperatures are lower (1000-1100°C) and olivine recrystallization was 1021 associated with crystallization of elongated aggregates of clinopyroxene and 1022 amphibole. The fine-porphyroclastic microstructures were therefore interpreted 1023 as resulting from ductile reactivation and melt channeling in Neoproterozoic 1024 shear zones in response to the development of the Hoggar swell in the 1025 Cenozoic. However, in the fine-porphyroclastic peridotites from the Borborema province, there is no evidence for neocrystallization of pyroxenes or 1026 amphiboles within the recrystallized domains. Moreover, the fact that the 1027 1028 recrystallized domains in the fine-porphyroclastic peridotites from the 1029 Borborema province do not align marking a foliation (Fig. 3e,f) and the lack of 1030 elongation of the pyroxenes suggests that the recrystallization was associated 1031 with high stresses, but low finite strains. This association, together with the 1032 equilibrium temperatures, which imply that these peridotites are derived from the base of the lithospheric mantle, is consistent with localized deformationassociated with the formation of the dykes that fed the Cenozoic magmatism.

1035 Analysis of the olivine modal composition relative to the bulk rock Mg# implies that Iherzolites AG7 and AG6 were affected by refertilization processes 1036 (Fig. 13). The Fe-rich compositions of olivine and pyroxenes in AG6 (Fig. 8a,b) 1037 1038 further point to high cumulated melt-rock ratios. Ortho- and clinopyroxenes in 1039 these two lherzolites have unusual irregular, but rounded shapes, which clearly 1040 differ from those in coarse-porphyroclastic peridotites (cf. EBSD phase maps in Fig. 3). Yet determining when this refertilization occurred is difficult. At the 1041 high temperatures at which these peridotites equilibrated, chemical diffusion is 1042 fast. Disequilibrium in mineral chemistry at the sample scale, which would 1043 1044 point to melt-rock interaction shortly before extraction is only observed in AG7. Harzburgites PC105 and PC109 plot along the partial melting trends in Fig. 13 1045 and have higher Mg# in olivine and pyroxenes (Fig. 8a,b), but they also display 1046 1047 chemical evidence for some melt-rock interaction, like enrichment in Ca in 1048 olivine (Fig. 8c).

5.2. Cenozoic geotherm and thermal evolution of the NBP lithospheric mantle

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1051 We do have evidence in this study supporting a rather hot Cenozoic 1052 geotherm beneath the North Borborema Province. As illustrated in Fig. 10, 1053 equilibrium conditions of the xenoliths are consistent with the surface heat flow of 60-70 mW/m² measured in the Borborema Province (Hamza et al., 2018) if 1054 the heat flow from the convective mantle is rather high (45mW/m^2) . This 1055 implies a slightly hotter than average sublithospheric mantle beneath the 1056 1057 Borborema Province, consistently with the low P-wave velocity anomaly imaged at 100 km depth beneath the Northern Borborema Province east of the 1058 Macau-Queimadas volcanic alignment (Simões Neto et al., 2019; Fig. 1) and 1059 1060 with the weak low S-wave velocity anomaly imaged beneath this region in a recent global full-waveform tomography model (ca. -2%; French et al., 2013). 1061 The equilibrium geotherm that best fits the equilibrium temperature and 1062 1063 pressure conditions of the Borborema Province is also consistent with the 1064 seismological constraints for the lithosphere-asthenosphere boundary (LAB) 1065 depth of 80km (Heit et al., 2007) and with the partial melting conditions calculated for the Macau most primitive basalts (1330-1415°C at 80-93km; 1066 Ngonge et al. 2015b; cf. Fig. 10). A hotter than average sublithospheric mantle 1067 may also account for the Cenozoic uplift of the Borborema Plateau (Almeida et 1068 1069 al., 2015; Luz et al., 2015; Klöcking et al., 2018). The equilibrium temperatures 1070 of the studied xenoliths may therefore represent an equilibrium geotherm established in the Cenozoic in response to a slightly hotter than normal 1071 1072 convective mantle temperature. Geophysical data imply that these conditions 1073 are still active today. Data on the xenoliths does not bring any constraints on 1074 the causes of the higher than average sublithospheric temperatures, which may result from a diffuse mantle upwelling, perturbation of the convective 1075 1076 pattern by the São Francisco craton, or, as suggested by Simoes Neto et al. 1077 (2018), lateral channeling of hot material from a mantle plume upwelling to the
 1078 SW of the Province.

The present data also do not constrain the evolution through time of the 1079 upper mantle temperatures beneath the North Borborema Province. The 1080 estimated melting conditions for the most primitive basalts of the Cretaceous 1081 1082 CMDS (ca. 1320 °C at 70 km depth; Ngonge et al., 2015a) are shallower than those for the Cenozoic Macau volcanics (Ngonge et al., 2015b, suggesting an 1083 1084 even shallower LAB beneath the North Borborema Province in the Mesozoic. This suggests that the lithosphere beneath the NBP has probably cooled and 1085 thickened after the Mesozoic extension. The deepest xenoliths might therefore 1086 1087 represent material accreted to the base of the lithosphere after the Mesozoic.

5.3. Seismic anisotropy in the lithospheric mantle: comparison with SKS splitting data

1091 Shear wave splitting data in the Borborema Province is highly 1092 heterogeneous and does not relate in a simple way to neither the outcropping geological structures nor the absolute plate motion of the South American 1093 plate (Bastow et al., 2015). However, the station RCBR, which is the closest to 1094 1095 sampling sites, being located ca. 50 km east of the Pico do Cabugi, displays a 1096 NNE-oriented fast S-wave polarization parallel to the trend of the Seridó belt and of the main Brasiliano shear zones in the region, and a high delay time 1097 1098 (1.9 s) based on 9 individual measurements (Assumpção et al., 2011).

1099 If we consider that at least part of the SKS splitting measured at RCBR is 1100 produced in the lithospheric mantle, the orientation of the fast polarization 1101 direction constrains the projection of the lineation on the horizontal plane to be oriented in the NNE direction (9±11°). However, there are no constraints on its 1102 1103 plunge or on the dip of the foliation. To draw constraints on the orientation of 1104 the foliation and lineation in the lithospheric mantle, which would allow to discuss possible coupling between crustal and mantle structures, we estimate 1105 the contribution of the lithospheric mantle to the SKS splitting delay time (Δt) 1106 1107 for three end-member orientations of the foliation and lineation in the 1108 lithospheric mantle, illustrated in Fig. 14, and compare these predictions to the 1109 observations at RCBR.

1110 Common conversion point (CCP) receiver function stacks support that the Moho is at ~32km (Almeida et al., 2015) and the LAB is at ~80km based on the 1111 1112 S receiver function data by Heit et al. (2007) in the Northern Borborema 1113 Province. The thickness of the lithospheric mantle in this region is therefore 48 km. Based on the thermobarometric data (Fig. 10), we divided the lithosphere 1114 into two layers and calculated the lithospheric mantle contribution to the 1115 1116 measured delay time using the average seismic anisotropy of the low 1117 temperature peridotites (32 - 56km, < 1000 °C) and of the high-temperature ones (56 - 80 km, > 1000 °C). 1118

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Fig. 14. Estimation of the maximum SKS splitting that may be produced in the lithospheric mantle for three end-member orientations of the flow directions and planes. Stereographic projections show the relation between the geographic (in black) and the structural (in red) reference frames in the three cases. The vertical is in all cases at the center of the diagram. The orientation of the fast SKS polarization (thick red arrow) is based on SKS splitting data for station RCBR.

1137 If the foliation is horizontal and the lineation NE-SW (case 1), the S-wave polarization anisotropy is 2.4% for upper lithospheric mantle and 3.0% for 1138 1139 lower lithospheric mantle. The cumulated SKS delay time is only ~0.30s. If both the foliation and lineation are vertical (case 2), the fast SKS polarization 1140 1141 constrains the direction of the foliation, and SKS waves propagate parallel to the lineation. The S-wave polarization anisotropy in this direction is low, like in 1142 1143 case 1. It is 2.9% for upper layer and 3.6% for lower one and the delay time 1144 that may be cumulated in the lithospheric mantle is ~0.34s. If the foliation is vertical but the lineation is horizontal and parallel to the fast SKS polarization 1145 1146 direction (case 3), the S-wave polarization anisotropy is higher. It is 5.2% in the upper lithospheric mantle and 6.6% for lower lithospheric mantle, leading to a 1147 1148 cumulate delay time in the lithospheric mantle of 0.64s.

In case 3, the lithospheric mantle has a fabric consistent with the crustal 1149 1150 deformation around station RCBR, which is dominated by dextral strike-slip in transpressional structures in the Seridó belt and in the shear zones that border 1151 it. This case would therefore imply a structuration in the lithospheric mantle 1152 coherent with the crustal deformation in the Brasiliano event. Seismic 1153 1154 anisotropy in the lithospheric mantle, with anisotropy directions parallel or 1155 subparallel to the main nearby Neoproterozoic shear zones is also required by 1156 receiver function analysis on most stations in the Borborema Province 1157 (Lamarque and Julià, 2019). At station RCBR, this study proposes horizontal anisotropy axis trending NNE in the lithospheric mantle, consistent with case 3. 1158

1159 It is important to note that even for case 3, the lithospheric mantle can 1160 contribute to < 1/3 of the SKS delay time measured at the RCBR station (1.9 \pm 1161 0.2 s, Assumpção et al., 2011). Thus a large part of the SKS signal at RCBR 1162 has to be produced in the asthenosphere and, for the contributions of the 1163 lithosphere and asthenosphere to add up, asthenospheric flow directions

should not deviate much from NNE. Present-day absolute plate motion (APM) 1164 directions for NE Brazil do not follow this direction. Hotspot reference frame 1165 models, such as HS3-Nuvel-1A, predict an ENE direction, whereas no-net 1166 rotation models predict a NNW direction (Gripp and Gordon, 2002). However, 1167 a NNE flow direction in the sublithospheric mantle beneath the Borborema 1168 1169 province is predicted by models in which the present-day mantle flow is 1170 calculated based on a density anomaly distribution derived from global seismic 1171 tomography models (cf. Fig. 8 in Assumpção et al., 2011).

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1173 5.4. Relations between the mantle structure and the geodynamical evolution of 1174 the Borborema Province

When did the deformation, annealing, and reactive melt percolation processes recorded by the xenoliths happen? How do they relate to the geodynamical evolution of NE Brazil? There are no ways of dating deformation processes in the mantle. However, we may try to use the data discussed in the previous sections to constrain the imprint of the different tectonic events that affected the Borborema Province in the lithospheric mantle.

1181 The coarse-granular microstructures, with their well-equilibrated 1182 pyroxene-olivine grain boundaries, imply effective annealing, which, at the 1183 equilibrium temperatures of these xenoliths, require very long time delays, on the order of several hundreds of Ma. Although the temperature may have 1184 varied since the deformation of the xenoliths, to re-equilibrate the 1185 pyroxene-olivine grain boundaries in <100 Ma, temperatures \geq 1100°C, that 1186 1187 is >200°C above those recorded by the xenoliths at the time of extraction, are 1188 needed. Coarse-granular microstructures are well expressed among the 1189 low-equilibrium temperature xenoliths, suggesting that the shallow part of the lithospheric mantle beneath the Macau volcanics records essentially old 1190 1191 tectonic events. The seismic anisotropy data in the region imply that this lithospheric structure results from Neoproterozoic dextral strike-slip and 1192 transpressional intraplate deformation. A pre-Mesozoic origin of this mantle 1193 1194 fabric is also suggested by the higher annealing degree of the microstructures 1195 of the Borborema xenoliths relative to the Fernando de Noronha ones (Fig. 2). 1196 Indeed, Fernando de Noronha mantle xenoliths record deformation frozen up in the oceanic lithosphere by plate cooling, which post-dates the opening of the 1197 Atlantic (Liu et al., 2019). 1198

1199 Some coarse-porphyroclastic lherzolites have lower equilibrium 1200 temperatures (≤800°C) than most coarse-granular peridotites. This is not a 1201 sampling location effect, since both types of peridotites were sampled in Pico do Cabugi. The higher olivine intragranular misorientations and less 1202 1203 equilibrated olivine and pyroxene grain shapes indicate less effective 1204 annealing, pointing to either slower annealing due to cooler temperatures or a more recent deformation event. In the first case, the deformation recorded by 1205 1206 these xenoliths may also be associated with the Brasiliano event. In the 1207 second, these peridotite may record Cretaceous or even younger deformation

preserved in the shallow lithospheric mantle. In any case, the studied xenolith 1208 suite exhibits no evidence of strong deformation under low temperature 1209 1210 conditions. There are no xenoliths displaying mylonitic microstructures, with marked grain size reduction of olivine and strong elongation of olivine and 1211 orthopyroxene porphyroclasts, which are usually observed in extensional 1212 1213 shear zones developed in peridotite massifs under low temperature conditions 1214 (<1000°C; e.g., Drury et al., 1991; Frets et al., 2014; Kaczmarek and Tommasi, 1215 2011). Although annealing could have partially obliterated the olivine deformation microstructure, it cannot change the aspect ratios of the 1216 orthopyroxenes in a time scale of 100 Ma. Thus if the low temperature 1217 1218 coarse-granular peridotites correspond to sections of the lithospheric mantle 1219 deformed during the Mesozoic, these are low strain zones and their olivine CPO and seismic anisotropy may still preserve orientations produced by the 1220 previous deformation episodes. 1221

1222 Based on the microstructures of the low equilibration temperature peridotites and on the seismic anisotropy data, we conclude therefore that the 1223 shallow sections of the lithospheric mantle of the Borborema province records 1224 coupled crust and mantle deformation during the formation of the Borborema 1225 1226 shear zone system in the Neoproterozoic. The present dataset has no 1227 evidence for extensive reworking of the shallow lithospheric mantle by the 1228 extensional deformation in the Cretaceous or during the Cenozoic uplift of the province. Yet, the absence of (annealed or not) low-temperature mylonites in 1229 the xenolith sampling does not imply that shear zones accommodating a 1230 1231 Mesozoic extension did not form in the shallow lithospheric mantle of the 1232 Borborema Province, since our sampling is punctual and such a deformation 1233 would be by nature heterogeneous.

The coarse-porphyroclastic microstructures that characterize the lower 1234 1235 part of the lithospheric mantle (equilibrium temperatures > 1000°C) are more difficult to relate to a given tectonic episode. Partial melting conditions 1236 1237 estimated for the Ceará-Mirim basalts suggest that the 1238 lithosphere-asthenosphere boundary was shallower in the Cretaceous than in 1239 the Cenozoic (cf. Fig. 10 and discussion section 5.2). This would imply that the 1240 deep lithospheric mantle beneath Borborema might be composed by material accreted by cooling since the Cretaceous. Comparison between the 1241 microstructures of these coarse-porphyroclastic peridotites with those of 1242 1243 peridotite xenoliths from the nearby Fernando de Noronha (FN) archipelago, 1244 formed in response to Cenozoic volcanism onto 100-105 Ma old crust in the equatorial Atlantic (Liu et al., 2019) also favors a Mesozoic age for the 1245 deformation of these peridotites. Indeed, the olivine M2M and olivine and 1246 pyroxene shape factors of the Borborema peridotites overlap with the lower 1247 range of olivine M2M and shape factors, that is, with the most annealed 1248 microstructures of the FN peridotites (Fig. 2). The FN peridotites record an 1249 1250 asthenospheric deformation, which has been frozen in the oceanic lithosphere 1251 by cooling and evolved by annealing since then (Liu et al., 2019). Their

deformation is therefore younger than the opening of the Equatorial Atlantic. 1252 The similar to slightly stronger annealing degree of the coarse-porphyroclastic 1253 1254 Macau peridotites suggests that the deformation that produced these microstructures in the deep section of the lithospheric mantle beneath the 1255 Borborema province might be related to the Cretaceous extension. Thus, 1256 although this event did not result in widespread deformation of the shallow 1257 1258 levels of the lithospheric mantle, it might have reworked the base of the 1259 lithosphere (bottom-up lithospheric thinning?). Yet the high SKS delay times in station RCBR do not favor strong deviations in flow direction from NNE across 1260 the entire lithosphere-asthenosphere section, implying that either strains were 1261 small and did not change the Neoproterozoic CPO orientations or that flow 1262 1263 directions during extension were at high angle to the extensional structures in the Cretaceous basins and to the Equatorial Atlantic spreading directions. 1264

Finally, the very low annealing degree and high equilibration temperatures 1265 1266 of the fine-grained porphyroclastic microstructures necessarily imply 1267 deformation close in time to the Cenozoic Macau volcanism. Based on the microstructural evidence for high stresses, but low finite strains for this 1268 deformation, we propose that it is related to the formation of the dykes that 1269 1270 brought the Macau volcanics to the surface. The equilibration temperatures of 1271 the xenoliths imply a rather hot geotherm, leading to an 80-km thick 1272 lithosphere in the Cenozoic. This is consistent with melting depths inferred for 1273 the Macau basalts (Ngonge et al., 2015b). It is also coherent with the present-day thermal state of the lithospheric and sublithospheric mantle as 1274 1275 imaged by geophysical data.

Dating the reactive melt percolation events is even more difficult than the 1276 deformation ones. The equilibrated microstructures and the coherent olivine 1277 1278 and pyroxene CPOs in the coarse-granular peridotites undoubtedly point to a 1279 Brasiliano or older reactive melt percolation event. Coarse-porphyroclastic peridotites record younger melt percolation events, which in some cases 1280 predated or were synchronous to the main deformation recorded by the 1281 1282 samples. However, in other cases, like in the coarse-porphyroclastic 1283 harzburgites, melt percolation post-dated the main deformation. Finally, the 1284 non-equilibrated chemical compositions at the sample scale observed in many samples, in particular the high-temperature coarse-porphyroclastic 1285 harzburgites, point to a last event of melt percolation that shortly predated the 1286 1287 extraction of the peridotites. In summary, this peridotite suite recorded multiple 1288 reactive melt percolation events, probably well separated in time and related to the different magmatic episodes recorded in the crust. 1289

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1291 **6. Conclusion**

1292 Integrated analysis of microstructures, crystal preferred orientations, 1293 mineral chemical compositions, and equilibrium temperatures in a suite of 22 1294 peridotite xenoliths reveals that the lithospheric mantle beneath the Northern 1295 Borborema Province preserves microstructures related to different deformation

episodes since at least the Neoproterozoic. In all cases, olivine CPO points to 1296 deformation by dislocation creep with dominant activation of [100](010) slip 1297 1298 system. However, the deformation microstructures were modified by variable 1299 degrees of annealing. The analysis of the extent of the annealing considering the equilibration temperatures allows rough 'dating' of the deformation 1300 1301 episodes and relating them to the major deformation events recorded in the 1302 crust. The well-annealed olivine microstructures and pyroxene shapes in 1303 coarse-granular peridotites equilibrated at ca. 900°C indicate that the last deformation event that affected these peridotites is several hundreds of Ma old. 1304 In contrast, the fine-porphyroclastic peridotites, which have equilibrium 1305 temperatures ≥1200°C, have suffered a high stress deformation, which shortly 1306 1307 predated their extraction, probably related to the dykes that fed the Cenozoic volcanism. The coarse-porphyroclastic microstructures, which are observed 1308 both in the shallow and deep lithospheric mantle are more difficult to relate to a 1309 1310 given tectonic episode. Yet comparison between the microstructures of these 1311 peridotites and those of peridotite xenoliths from nearby Fernando de Noronha island, which sample the oceanic mantle lithosphere of an old domain of the 1312 1313 Equatorial Atlantic, suggest that the high-temperature coarse-porphyroclastic 1314 peridotites may record deformation related to the Cretaceous extension. 1315 Multiple reactive melt percolation events, probably well spaced in time, may 1316 also be inferred based on the microstructures, modal, and mineral compositions of the xenoliths. 1317

Comparison of the computed seismic anisotropy of the lithospheric mantle 1318 1319 based on the xenolith data to SKS splitting in nearby RCBR station supports 1320 that the strongest contribution of the lithospheric mantle to the measured anisotropy would correspond to a frozen strike-slip fabric parallel to the major 1321 NNE-NE Neoproterozoic shear zones in the region. A shallow lithospheric 1322 1323 mantle fabric parallel to the Neoproterozoic shear zones is also suggested by anisotropic receiver functions (Lamarque and Julia, 2019). These observations 1324 1325 corroborate the conclusion that the shallow lithospheric mantle in the Northern Borborema province still preserves a structure acquired by coupled 1326 1327 crust-mantle deformation during the formation of the Borborema shear zone system in the Neoproterozoic. It also suggests that Cretaceous extension, 1328 which seems to be recorded in the deeper sections of the lithosphere, did not 1329 produce pervasive reworking of the shallow lithospheric mantle, pointing to 1330 1331 'partial' or total crust-mantle decoupling during this event. However, even if the 1332 entire lithospheric mantle has a frozen strike-slip fabric parallel to the major NNE-NE Neoproterozoic shear zones in the region, it can produce <1/3 of the 1333 measured delay time of 1.9s in station RCBR. Thus most of the measured SKS 1334 1335 splitting in RCBR should record flow in the sublithospheric mantle, which also has a NNE orientation, which is not parallel to the APM, but is consistent with 1336 predictions of mantle circulation models for this region. 1337

Finally, equilibrium temperatures and petrological compositions of the xenoliths indicate a rather hot Cenozoic geotherm, implying a ca. 80 km thick lithosphere. This estimate is consistent with the melting conditions estimated
for the formation of the Macau basalts (Ngonge et al. 2015). It is also coherent
with geophysical data that point to a present-day 80-km thick lithosphere (Heit
et al. 2007) and hotter than average sublithospheric mantle beneath this region
(French et al., 2013; Simões Neto et al., 2019).

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1358 The data used in this article are presented in the figures, tables, and 1359 supporting material. The raw EBSD data are available from the corresponding 1360 author upon request.

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1	Crust-mantle coupling during continental convergence and
2	break-up: Constraints from peridotite xenoliths from the
3	Borborema Province, northeast Brazil
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25 Keywords:

- 26 Subcontinental mantle lithosphere
- 27 Crust-mantle coupling
- 28 Melt-rock interaction
- 29 Olivine crystal preferred orientation
- 30 Seismic anisotropy
- 31

32 Highlights:

- Mainly coarse-granular and porphyroclastic peridotites with [100](010)
 olivine textures
- Equilibrium temperatures consistent with a 80 km thick lithosphere in the
 Cenozoic
- Extensive annealing implies that deformation frozen in the shallow mantle
 is 100s Ma old
- Mantle fabric related to Neoproterozoic shear zones may partially explain
 SKS splitting
- Limited reworking of the lithospheric mantle during Cretaceous rifting
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43

44 Abstract

45 We studied a suite of mantle xenoliths carried by Cenozoic volcanism in the Borborema Province, NE Brazil. These xenoliths sample a subcontinental 46 47 lithospheric mantle affected by multiple continental convergence and rifting 48 events since the Archean. Equilibrium temperatures indicate a rather hot 49 geotherm, implying a ca. 80 km thick lithosphere. Most xenoliths have 50 coarse-granular and coarse-porphyroclastic microstructures, recording variable degrees of annealing following deformation. The high annealing 51 degree and equilibrated pyroxene shapes in coarse-granular peridotites 52 equilibrated at ~900°C indicate that the last deformation event that affected 53 these peridotites is several hundreds of Ma old. Coarse-porphyroclastic 54 peridotites equilibrated at 950-1100°C probably record younger (Cretaceous?) 55 deformation in the deep lithospheric mantle. In addition, a few xenoliths show 56 57 fine-porphyroclastic microstructures and equilibrium temperatures ≥1200°C, which imply a recent deformation, probably related to the dykes that fed the 58 59 Cenozoic volcanism. Chemical and microstructural evidence for reactive percolation of melts is widespread. Variation in textural and chemical 60 61 equilibrium among samples implies multiple melt percolation events well spaced in time (from Neoproterozoic or older to Cenozoic). Crystal preferred 62 orientations of olivine and pyroxenes point to deformation controlled by 63 dislocation creep with dominant activation of the [100](010) and [001]{0kl} slip 64 systems in olivine and pyroxenes for all microstructures. Comparison of 65 xenoliths' seismic properties to SKS splitting data in the nearby RCBR station 66 together with the equilibrated microstructures in the low-temperature xenoliths 67

68 point to coupled crust-mantle deformation in the Neoproterozoic (Brasiliano) 69 continental-scale shear zones, which is still preserved in the shallow 70 lithospheric mantle. This implies limited reworking of the lithospheric mantle in 71 response to extension during the opening of the Equatorial Atlantic in the 72 Cretaceous, which in the present sampling is restricted to the base of the 73 lithosphere.

74

75 **1. Introduction**

76 Continental plates have long-lived histories. They are usually composed by crustal domains with varied tectonic ages. Tectonic reworking is a common 77 feature in crustal exposures. Yet, major tectonic events such as convergence, 78 79 collision, and rifting involve the entire plate, not only the crust. By consequence, large volumes of the subcontinental lithospheric mantle must have been 80 affected by a succession of tectono-thermal events (cf. reviews in Tommasi 81 and Vauchez, 2015; Vauchez et al., 2012). In addition, during orogenic events, 82 83 the strain regime frequently changes across the belt, with contiguous domains accommodating transcurrent motions and others accommodating thrusting for 84 instance. However, how the deformation is accommodated in the lithospheric 85 86 mantle and the level of coupling between crust and mantle deformation during 87 these major tectonic episodes are still matter of debate.

Comparison between SKS splitting data and crustal structures points to 88 89 coherent kinematics, implying at least partial coupling between the crust and the mantle in many orogenic belts (Tikoff et al., 2004). As recognized since the 90 91 early times of seismic anisotropy measurements (e.g., Vauchez et al., 1991), 92 crust-mantle coupling is well documented beneath large-scale strike slip faults and transpressional belts, such as the San Andreas fault (Bonnin et al. 2012) 93 or the Pyrenees, the Appalachians, and the neoproterozoic Ribeira-Aracuai 94 95 belt of SE Brazil (cf. review in Vauchez et al., 2012). In contrast, in collisional domains submitted to large amounts of thickening, like the Alps and the 96 97 Southern Tibet, or in active continental rifts, such as the East African rift system, polarization directions of fast split SKS or SKKS waves are usually 98 99 parallel to the trend of the belts or rifts, but at high angle to the lineations marking flow directions in the ductile crust and to the slip directions in active 100 faults (e.g., Barruol et al., 2011; Wu et al., 2015; Hammond et al., 2014). This 101 102 suggests at least partial decoupling between the crust and lithospheric mantle 103 (Tommasi et al., 1999).

104 However, seismic anisotropy data only offer indirect information on the present-day structure of the upper mantle. Moreover, unless a variety of 105 methods based on waves with different incidence angles and propagation 106 107 directions are employed, localizing vertically the source of the anisotropy remains difficult. Analysis of mantle xenoliths, which are mantle fragments 108 (peridotite xenoliths) carried to the surface by volcanic episodes, allows for 109 110 direct sampling of the lithospheric mantle. This sampling is imperfect: it is discontinuous, non-oriented, and focused along the magmatic conduits. 111

Nevertheless, the characterization of the microstructures and associated 112 crystal preferred orientations, as well as of the chemical compositions and 113 mineralogy of the mantle xenoliths, allows determining the relative deformation, 114 annealing, and petrological (partial melting, reactive melt transport, solid-state 115 reequilibration) history, even if no dating is possible. Coupling petrophysical 116 117 analyses on mantle xenoliths to seismological data may allow better constraining the deformation history of the lithospheric mantle and hence 118 discussing crust-mantle coupling during major tectonic events. 119

In this article, we present a petrostructural study of peridotite xenoliths 120 entrained by Cenozoic volcanism in NE Brazil. Based on these data and on 121 published SKS splitting measurements (Assumpção et al., 2011; Bastow et al., 122 123 2015), we try to unravel the tectono-thermal evolution of the continental 124 lithospheric mantle in this region, which has been affected by multiple collisional and extensional episodes since the Archean. Analysis of the 125 presently outcropping crustal structures highlights series 126 а of tectono-magmatic episodes, among which the most important and recent ones 127 are: (i) extensive intraplate deformation in a convergent setting, which 128 129 produced a continental-scale system of strike-slip shear zones and transpressional belts accommodating lateral escape of this domain during the 130 131 formation of the Gondwana and (ii) extension, localized in a series of intracontinental basins, during the early stages of the opening of the Equatorial 132 133 Atlantic.

134

135 **2. Geological and geophysical background**

136 2.1 Evolution of the Northern Borborema Province (NBP)

The analysis of the crustal rocks outcropping in the Northern Borborema 137 138 Province (NBP) points to a complex tectonic evolution, which probably started in the Archean, as indicated by U-Pb ages ranging from 3.4 to 2.7 Ga recorded 139 in the São José Massif (Souza et al., 2016), ca. 100 km to the east of the study 140 area and in smaller nuclei elsewhere in the province. Between 2.1-2.4 Ga, a 141 142 major crust formation event produced ca. of the 50% of the present-day outcropping rocks (Hollanda et al., 2011; Souza et al., 2016). The tectonic 143 setting for this extensive magmatic activity is discussed, but isotopic data 144 indicates significant recycling of pre-existing crustal material (Hollanda et al., 145 2011). 146

147 The Meso- to Neoproterozoic evolution of the Borborema Province is 148 characterized by a series of failed intracontinental rifting episodes. At 1.8-1.9 Ga, the Orós and Jaguaribe volcano-sedimentary basins formed to the west of 149 the study area (Fig. 1). Localized extension was accompanied by intrusion of 150 small volumes of alkaline granites and anorthosites elsewhere in the province, 151 which extended until 1.75 Ga (Sa et al., 1995; Hollanda et al., 2011). At 1-0.9 152 Ga, extension affected the central Borborema Province (Santos et al., 2010; 153 Van Schmus et al., 2008). The last Proterozoic extensional episode affected 154 most of the province and formed the Seridó basin within the study area (Fig. 1). 155

The youngest sedimentation in this basin occurred at ~630-615 Ma, but early sedimentation may be as old as 1.8 Ga (Hollanda et al., 2015), suggesting an early rifting episode simultaneous with the formation of the Orós and Jaguaribe basins.

The major tectono-magmatic episode that affected the Borborema 160 161 Province, shaping its present-day geology, is, however, the compressional Brasiliano event between 595-540 Ma (Neves, 2003; Archanjo et al. 2008; 162 Viegas et al., 2014). This event resulted in extensive tectono-thermal 163 reworking of the province through the formation of a continental-scale 164 transcurrent shear zone system - the Borborema shear zone system, 165 transpressional inversion of the Proterozoic metasedimentary basins, and 166 167 widespread emplacement of granitoids (Fig. 1). The granitoids geochemistry indicates a dominant component of crustal reworking (Neves, 2003; Souza et 168 al., 2016), implying an intraplate setting for the Brasiliano deformation, with a 169 170 possible convergent plate boundary > 500 km to the NW from the study area (e.g., Caby et al., 1991; Ancelmi et al., 2015). The complex network of 171 anastomosing E-W and NE-SW trending ductile dextral strike-slip shear zones, 172 some up to ~25 km wide, and transpressional belts deformed under high 173 174 temperature, low pressure conditions that compose the Borborema shear zone 175 system (Vauchez et al., 1995) is therefore the expression of strain localization in an intraplate setting controlled by large-scale intraplate rheological 176 177 heterogeneities (the basins) in response to the convergence between São Francisco, Amazonian, and West African cratons during the construction of the 178 179 Gondwana (Tommasi et al., 1995). This shear zone system allowed for 180 tectonic extrusion of the Borborema Province towards the NE (Ganade de Araújo et al., 2014, 2016), that is, towards the Saharan province, which, like 181 Borborema, had been weakened by tectono-magmatic activity during the 182 Meso- and Neoproterozoic. 183

In the early Cretaceous, the Borborema province experienced regional 184 extension before rifting localized at the present location of the equatorial 185 Atlantic Ocean (Darros de Matos, 1999). Structures associated with these 186 early stages of rifting in the northern Borborema Province include several 187 intracontinental basins or aborted rifts and a large tholeiitic dyke swarm. The 188 basins formed as NW-SE trending asymmetric grabens (e.g., Potiguar, Rio do 189 Peixe, Araripe in Fig. 1) controlled by reactivation of the Brasiliano shear zones 190 191 (Darros de Matos, 1999; Castro et al., 2007, 2012; Marques et al., 2014). They 192 contain dominantly continental sediments of fluviatile and lacustrine origin, which attain thicknesses of 2000 m, deposited between 145-125 Ma. The 193 Potiguar basin is the best developed among these basins; its offshore domain 194 is the only one involved in the final opening of the Atlantic. The Ceará-Mirim 195 dyke swarm is a >350-km-long tholeiitic dyke swarm with a dominantly E-W 196 trend that rotates to NE-SW at the southern termination of the Potiguar basin 197 (Fig. 1). The dykes have ages between 135 Ma and 120 Ma (Hollanda et al, 198 199 2018 and references therein). Their emplacement is therefore synchronous to

the formation of the intracontinental basins. Geochemical data points to
primary magmas formed by melting of an enriched mantle (EMI ± FOZO
isotopic signatures) at shallow depths (<90 km; Ngonge et al., 2016).



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Fig. 1. (a) Simplified geological map (after Vauchez et al., 1995 and Oliveira and Medeiros, 2018) displaying xenolith sampling locations. (b) Time line of the major tectono-magmatic events in the Borborema Province. (c) Aeromagnetic anomalies map (1st vertical derivative; Costa et al., 2016) imaging middle crust structures in and around the Seridó belt.
(d) P-wave tomography model for the Borborema Province at 100 km depth (Simões Neto et al., 2018) characterized by a marked low velocity anomaly east of the Macau-Queimadas volcanic alignment (MQA) and north of the Patos shear zone. SKS splitting data in (a) and (c) from Assumpção et al. (2011) and Bastow et al. (2015). RP: Rio do Peixe

211 Cretaceous basin. Se: Seridó, O:Orós, and J: Jaguaribe supracrustal belts.

212 The Cenozoic is characterized by a long-lived, but small-volume alkaline 213 volcanism (both onshore and offshore), tectonic inversion of the Mesozoic intracontinental basins, and uplift of the Borborema Plateau. The entire 214 Borborema Province sits nowadays on average at ~800m above the sea level 215 and the Araripe basin is topographically more than 500 m above the 216 Precambrian basement. Analysis of river profiles implies that most uplift 217 occurred within the last 30 Ma (Tribaldos et al., 2017), but earlier events may 218 have occurred (Margues et al., 2014; Nogueira et al., 2015). The present day 219 crustal thickness of 30-35 km implies some degree of sub-lithospheric support 220 for the uplift. The offshore Cenozoic volcanism forms an E-W volcanic 221 alignment that extends from the Ceará coast in northeast Brazil (the Mecejana 222 volcanic field) to the Fernando de Noronha archipelago. The Mecejana 223 224 volcanics yield K-Ar ages between 26 and 44 Ma (Mizusaki et al., 2002), 225 whereas volcanism in Fernando de Noronha has Ar-Ar ages between 12.5 and 6 Ma (Perlingeiro et al., 2013). The onshore Cenozoic volcanism is distributed 226 227 along a N-S trend, forming the Macau-Queimadas Alignment (MQA, Fig. 1). Emplacement ages range between 52 Ma and 7 Ma, with two peaks around 228 26-29 Ma and 7-9 Ma and no clear age progression along the MQA (Souza et 229 230 al., 2003; Silveira 2006; Knesel et al., 2011).

231 The Macau volcanics that entrained the studied mantle xenoliths (Fig. 1) erupted onto metasediments from the Neoproterozoic Seridó belt or 232 Mesoproterozoic gneisses that form their basement. In the study area, the 233 Brasiliano event is recorded by multiple NE-SW shear zones, which branch off 234 from the E-W Patos shear zone in the south, by transpressional structures in 235 the Seridó belt, and by intrusion of granitoids. The Cretaceous Ceará-Mirim 236 dyke swarm crosscuts the study area with an E-W trend and the Potiguar basin 237 238 outcrops to the north and west of it (Fig. 1).

239 240

2.2 Geophysical data

P-wave receiver functions, deep seismic refraction experiments, and 241 242 surface-wave dispersion data indicate that the crust is 30 ~ 32km thick in the 243 northern Borborema Province, but 36 ~ 38 km thick in the southern part of the province (Oliveira and Medeiros, 2012; Almeida et al., 2015; Lima et al., 2015; 244 Luz et al., 2015). This variation in crustal thickness is consistent with gravity 245 246 data (Oliveira and Medeiros, 2018) and correlated with topography: elevated regions show thicker crust. Receiver function data also imply an intra-crustal 247 discontinuity at 9-18 depth, most often observed in domains with thinner crust, 248 249 such as the area sampled by the studied xenoliths (Almeida et al., 2015).

Gravity and magnetic data illuminate the structuration of the deep crust in the Borborema province (Oliveira and Medeiros, 2018). These data establish the Patos, Pernambuco, and Jaguaribe shear zones as major structures, which splay of from the Transbrasiliano Lineament, separating four crustal blocks with different geophysical characteristics: the Southern, the Transversal,

the Ceará, and the Rio Grande do Norte domains. The studied xenoliths 255 sample the mantle beneath the Rio Grande do Norte domain, which is 256 delimited by the Jaguaribe and Patos shear zones to the west and south, and 257 by the Atlantic Ocean to the north and east. This domain is characterized by 258 strong magnetic contrasts with E-W or NNE trends, correlated with the 259 260 outcropping Brasiliano structures (Fig. 1c). Magnetic anomalies also clearly define the Ceará-Mirim dykes (Fig. 1c). In addition, analysis of the Bouquer 261 gravity anomaly shows that most of the Rio Grande do Norte domain is 262 characterized by weak long wavelength positive anomalies, which follow the 263 trend of the coastline (cf. Fig. 7 of Oliveira and Medeiros, 2018), suggesting 264 265 that crustal thinning related to the Atlantic opening affected most of the 266 domain.

The first kilometers of the lithospheric mantle structure may be probed by the analysis of Pn velocities, which decrease from 8.1 km/s to 7.9 km/s in a NW-SE refraction profile across the Borborema Province to the south of the study area (Lima et al., 2015). In the vicinity of the study area, Pn velocities are ~8.0 km/s, which for an isotropic mantle with a spinel-lherzolite composition would correspond to sub-Moho temperatures of 700-750°C (Schutt et al., 2018).

274 No seismic velocity data is available for the deeper sections of the lithospheric mantle, but a recent P-wave regional travel-time tomography 275 276 model (Simões Neto et al., 2019) imaged slower than average velocities at depths <150 km in the northeastern Borborema province, just east of the study 277 278 area (Fig. 1d). This low velocity anomaly coincides with a local geoid anomaly 279 of +10 m (Ussami et al. 1999). Slower than average P-wave velocities in the 280 shallow mantle beneath the study area are coherent with a rather shallow 281 Lithosphere Asthenosphere boundary (LAB), estimated at 80 km depth based on S receiver function data from the station RCBR (Heit et al., 2007). The 282 results of the regional P-wave tomography for the northeastern Borborema 283 Province are also consistent with global finite-frequency tomography models, 284 which image lower than average S-wave velocities in the mantle at 250-km 285 depth in this region (French et al. 2013). 286

SKS splitting data in the Borborema Province (Bastow et al., 2015) show a 287 complex pattern, with highly variable delay times and fast polarization 288 289 directions, which in some places closely follow the Neoproterozoic crustal 290 fabric, but are oblique to it in others (Fig. 1a,c). The station closest to the 291 xenolith sampling sites, RCBR (Fig. 1a.c), shows a NNE-oriented fast S-wave polarization subparallel to the Brasiliano transpressive structures, which 292 293 structure the northern Seridó belt and its gneissic basement, and a high delay 294 time (1.9±0.2 s) based on 9 individual measurements (Assumpção et al., 2011). 295

296

297

2.3 Previous studies of Macau peridotite xenoliths

298 The petrology and the geochemistry of Macau mantle xenoliths were

studied by Comin-Chiaramonti et al. (1986), Princivalle et al. (1994), Fodor et 299 al. (2002), Rivalenti et al. (2000; 2007). These studies identified two 300 microstructural groups: protogranular (the dominant type) and (rare) 301 porphyroclastic (partially recrystallized) peridotites. Protogranular 302 microstructures are mainly observed in Iherzolites, whereas porphyroclastic 303 304 microstructures are usually observed in harzburgites. Protogranular peridotites 305 also yield lower two-pyroxene equilibrium temperatures (825±116°C) than porphyroclastic ones (>1150°C). Trace-element patterns and isotopic 306 compositions of the peridotites indicate variable degrees of metasomatism by 307 alkali-basaltic melts with EMI and minor EMII isotopic signatures, probably 308 309 during multiple metasomatic events (Rivalenti et al., 2000, 2007).

310

311 3. Methods

312 *3.1.* Sampling

We have studied 22 xenoliths from 5 different Macau volcanic sites, which 313 extend in a rough N-S trend, from the limit of the Potiguar basin to ~70 km 314 south of it (Fig. 1). Most xenoliths analyzed in the present study come from the 315 Pico do Cabugi basaltic neck (5°42.3'S, 36°19.4'W). The Pico do Cabugi 316 317 basalts yield Ar-Ar ages 24.6 ± 0.8 Ma (Souza et al., 2003 and references 318 therein). Among the 16 xenoliths of the Pico do Cabugi in this study, 14 (marked as CA) have been collected by the authors in a field campaign in 2016 319 and two (marked as PC) were previously studied by Rivalenti et al. (2000). 320

321 We also analyzed 6 xenoliths previously studied by Rivalenti et al. (2007): 322 two from the Serra Aguda neck (AG, 5°31'S, 36°17'W), ~30 km north of the 323 Pico do Cabugi, at the border of the Potiguar basin (Fig. 1), one from the Serra Preta do Bodó dykes (BO, 5°58'S, 36°22'W), ~20 km south of Pico do Cabugi, 324 and four from Fazenda Geroncio (GR, 5°58'S, 36°14'W) and Serra Verde 325 localities (SV, 6°06'S, 36°12'W), located ~30 and ~50 km south of Pico do 326 Cabugi (Fig. 1). There are no ages for the Fazenda Geroncio and Serra Verde 327 328 volcanics, but the Serra Aguda basalts were dated at 26 Ma (Silveira, 2006) 329 and those at Serra Preta do Bodó, at 7.1 Ma (Knesel et al, 2010).

Most xenoliths are small (on average 3 cm of diameter), but they are very 330 fresh. The samples display no macroscopic evidence for major interaction with 331 the host lava. However, small pockets of fine-grained clinopyroxene, spinel, 332 333 and olivine, as well as melt films along grain boundaries were observed under 334 the microscope, in particular close to the borders of many xenoliths. In addition, 335 some xenoliths have pyroxenes with spongy borders, indicative of limited partial melting during extraction. These domains were carefully avoided during 336 337 microprobe analyses. They were sometimes included in the EBSD analyses, 338 but affect weakly the results because of their small area.

339

340 3.1 Electron-backscattered diffraction (EBSD) data acquisition and treatment

341 Petrostructural analyses were performed on all samples. Crystallographic 342 preferred orientations (CPOs) of olivine, pyroxenes, and spinel were measured

by indexing of electron-backscattered diffraction patterns in the SEM-EBSD 343 facility at Geosciences Montpellier. France, Data acquisition was performed 344 using a JEOL JSM 5600 scanning electron microscope with 17kV acceleration 345 voltage and 24mm working distance. We performed EBSD mapping over the 346 entire thin section (areas $\geq 2x3$ cm²) with step sizes between 14µm and 35 µm. 347 depending on grain size. Indexation rates varied between 84% and 97%. 348 349 Non-indexed points correspond mainly to fractures. During post-acquisition data treatment, we eliminated inaccurate indexing points (MAD > 1.3° and wild 350 spikes), corrected for rare olivine pseudo-symmetry misindexing, and filled up 351 non-indexed pixels with at least 6 coherent neighboring measurements with 352 the average of the neighbors' orientations. 353

354 The CPO data analysis, that is, the calculation of the orientation distribution functions (ODF) and of the misorientations, the computation of the 355 strength and symmetry of the olivine CPO, the plotting of pole figures, and the 356 quantification of the microstructure (grains' size, shape, and orientation) was 357 performed using the MTEX toolbox in Matlab (http://mtex-toolbox.github.io/; 358 Hielscher and Schaeben, 2008; Bachmann et al., 2010; Bachmann et al., 359 2011). The ODFs were calculated using a "de la Vallée Poussin" kernel 360 function with a half-width of 10°. The CPO is presented as one crystallographic 361 362 orientation per pixel in pole figures (lower hemispheric stereographic projections). Thin sections were cut in random orientations, because of the 363 small size of the xenoliths. To facilitate comparison between samples, we 364 rotated the CPO of all samples into a common reference frame, in which the 365 366 maximum concentration of [100] of olivine is parallel to the E-W direction and 367 the maximum concentration of [010] axis of olivine is parallel to the N-S direction of the pole figure. The choice of this reference frame can be justified 368 a-posteriori by the analysis of the CPO, which indicates that this reference 369 frame probably corresponds to the lineation and normal to the foliation 370 directions (cf. discussion). 371

372 The strength of the CPO was quantified using the dimensionless J-index 373 (Bunge, 1982). The J-index for a random CPO is 1; it can reach up to 20 in natural peridotites, with a peak at 3-5 (Tommasi and Vauchez, 2015). We use 374 the dimensionless BA-index, based on the eigenvalues of the [100] and [010] 375 axes orientation distributions (Mainprice et al., 2014), to characterize the 376 olivine CPO symmetry. This index allows classifying the olivine CPO into three 377 378 types: (i) fiber-[010], characterized by a [010] point maxima and girdle 379 distributions of [100] and [001] (BA-index < 0.35), (ii) orthorhombic. characterized maxima [010], 380 by point of [100], and [001] (0.35 < BA-index < 0.65) and (iii) fiber-[100], characterized by a point maxima 381 of [010] and girdle distributions of [010] and [001] (BA-index > 0.65). J- and 382 BA-indexes do not depend on the reference frame. 383

To analyze quantitatively the microstructure, we used the grain detection method in MTEX (Bachmann et al., 2011) defining grains boundaries by misorientation angles between adjacent pixels higher than 15°. Grains

composed by less than 10 pixels were not considered in the microstructural 387 analysis. The misorientation of each pixel relative to the mean orientation of 388 the grain (M2M) and the grain orientation spread (GOS) were calculated to 389 quantify the intracrystalline orientation gradients, which are a proxy of the 390 dislocation density. In addition, we characterized the sinuosity of the grain 391 392 boundaries by the shape factor, which is the ratio of the perimeter of the grain 393 over the perimeter of a circle with the same area. Both the intra-granular 394 misorientation (M2M and GOS) and the sinuosity of grain boundaries (shape factor) should decrease in response to recrystallization. 395

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397 3.2 Mineral compositions and equilibrium temperatures

Mineral compositions of olivine, orthopyroxene, clinopyroxene, and spinel were analyzed in ten samples, selected based on their microstructure, so that all microstructural types were represented. Measurements were performed in a Cameca SX100 electron microprobe at the Microsonde Sud facility at the University of Montpellier, France at a 20kV accelerating voltage and a 10nA current. For each sample, we analyzed both rim and core composition in 3 to 4 grains for olivine, orthopyroxene, clinopyroxene, and spinel.

405 We calculated equilibrium temperatures based on the 406 clinopyroxene-orthopyroxene geothermometer (Taylor, 1998) and on the Ca-in-opx geothermometer (Brey and Kohler, 1990; revised by Nimis and 407 408 Grutter, 2010). Average rim and core equilibrium temperatures were calculated by averaging the temperatures calculated using 3-4 rim or core compositions 409 410 of individual opx grains or opx-cpx pairs for each sample. Since no reliable 411 barometers are available for spinel-facies peridotites, we chose arbitrarily 1.5 412 GPa (~46 km depth) as the pressure to calculate the two thermometers. 413 Changes in the assumed pressure produce a variation of ~10 °C per 0.5 GPa 414 (~11 km).

415

416 3.3 Seismic properties

417 Seismic properties of each sample were computed using the MTEX toolbox (Mainprice et al., 2011), using Voigt-Reuss-Hill averaging based on the 418 CPOs and modal content of olivine, orthopyroxene, and clinopyroxene derived 419 from the EBSD maps and on the single crystal elastic constant tensors of the 420 421 three minerals and their temperature and pressure derivatives (Abramson et 422 al., 1997; Anderson et al., 1992; Chai et al., 1997; Isaak et al., 2006; Jackson 423 et al., 2007; Sang and Bass, 2014). Average seismic properties for the lithospheric mantle beneath the study area were estimated by averaging the 424 elastic constant tensors of all samples with all CPO data rotated into a 425 426 common reference frame. The assumption is that the orientation of the lineation and foliation is constant both laterally and vertically. The average 427 seismic properties provide therefore an estimate of the maximum seismic 428 429 anisotropy that could be produced, if the xenolith sampling is representative of 430 the variability of compositions and CPO in the lithospheric mantle beneath the
431 studied area.

432

433 **4. Data**

434

435 *4.1 Microstructures*

436 Previous studies (Fodor et al., 2002; Rivalenti et al., 2000; Rivalenti et al., 2007) have correlated optical observations of the microstructures with 437 chemical compositions and equilibrium temperatures to divide the Macau 438 peridotite xenoliths into two groups: protogranular and porphyroclastic. In the 439 present study, we associated to the optical observations quantitative analysis 440 of the microstructural data derived from EBSD mapping. Based on these data, 441 442 in particular the intragranular misorientation of olivine and the olivine and 443 orthopyroxene grain shapes (Fig. 2), we classify the Macau peridotites into three microstructural groups (Table 1): coarse-granular 444 (6). coarse-porphyroclastic (12), and fine-porphyroclastic (4). The coarse-granular 445 and coarse-porphyroclastic groups correspond to a continuous variation in 446 microstructure (Figs. 2 and 3). They were described as protogranular in the 447 448 previous studies. The fine-porphyroclastic group is clearly different from the other two groups (Figs. 2 and 3); it was described as porphyroclastic in the 449 450 previous studies.

451





Fig. 2. Microstructural parameters calculated from EBSD data: (a) Olivine shape factor vs. intragranular misorientation
relative to the mean orientation of the grain (M2M); (b) Orthopyroxene shape factor vs. olivine M2M. All quantities are
average values at the sample scale weighted by the grains' area (cf. Table 2). Similar data for Fernando de Noronha
(FN) xenoliths, which sample an old domain of the equatorial Atlantic (Liu et al., 2019), are presented for comparison.

There is no relation between microstructure and sampling site. All three microstructures are represented among the Pico do Cabugi peridotites, which is the best-sampled site in the present study, and in at least one of the other sampling localities (Table 1). This observation is corroborated by the previous studies, which analyzed a larger number of peridotite xenoliths from other Macau volcanic centers (Fodor et al. 2002; Rivalenti et al. 2007). Coarse 464 granular and coarse porphyroclastic microstructures predominate in all sites.
465 Fine-porphyroclastic peridotites are always minor. They were only recovered in
466 three sites, which are nevertheless >60 km apart (Fig. 1): Serra Aguda, Cabugi,
467 and Serrote Preto (a small plug ca. 30 km to the SSE of Pico do Cabugi, Fodor
468 et al. 2002).

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- 471

Fig. 3. Typical microstructures of Macau peridotite xenoliths: (a-b) coarse-granular, (c-d) coarse-porphyroclastic, and (e-f) fine-porphyroclastic. (a,c,e) Photomicrographs in cross-polarized light; scale bar is the same in all photomicrographs. (b,d,f,g) EBSD phase maps, which better illustrate the variation in shape of olivine and pyroxenes between the three microstructures. (g) Detail of a partially recrystallized domain in fine-porphyroclastic lherzolite AG6. (h) Misorientation relative to the mean orientation of the grain (M2M) map illustrating the difference in intragranular misorientation in olivine between porphyroclasts (high M2M) and neoblasts (low M2M) in the same domain.

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Coarse-granular peridotites are characterized by roughly equigranular
microstructures (Fig. 3a-b). Olivine and orthopyroxene pyroxene grains are on
average 1-3 mm, clinopyroxene slightly smaller: 0.8-1 mm (Table 2). Both
olivine and pyroxenes have curvilinear to straight grain boundaries, evolving
locally into polygonal shapes with 120° triple junctions (Fig. 4a), and very low
densities of intracrystalline deformation features, such as undulose extinction,
subgrains, or kinks (Fig. 3a and 4a). Quantitatively, these observations

translate into low shape factors \leq 1.85 for olivine and \leq 2 for orthopyroxene 486 and into low M2M values $\leq 2^{\circ}$ for olivine (Fig. 2). Lherzolite 16CA14 has an 487 intermediate microstructure characterized by polygonal olivine grains, but 488 pyroxenes with irregular shapes (Figs. 2 and 4b). Most coarse-granular 489 peridotites display a weak olivine shape-preferred orientation (SPO) (Fig. 3a), 490 491 but BO09 has higher olivine aspect ratios (Table 2) and a clear olivine SPO, 492 which results in a tabular microstructure. Pyroxene grains usually show no 493 exsolutions (Fig. 3a and 4a-b). Spinel grains have holy-leaf or interstitial shapes. Coarse holy-leaf spinels may contain rounded inclusions of olivine or 494 495 pyroxenes (Fig. 3b).

Coarse-porphyroclastic microstructures are characterized by variable 496 497 grain sizes. In most cases, the grain size variation is continuous and it is difficult to discriminate between porphyroclasts and neoblasts (Fig. 3c-d). 498 Olivine has more irregular grain shapes and clear evidence for intracrystalline 499 plastic deformation (Fig. 3c-d and 4c). Olivine grains have shape factors 500 between 1.6 and 2.2, consistently with the more sinuous grain boundaries, and 501 higher M2M values ranging from 2° to 3.4° (except 16CA15), consistent with 502 the higher frequency of undulose extinction and subgrain boundaries (Fig. 2). 503 504 Orthopyroxene grains also have irregular shapes (Fig. 3c-d and 4c-d), which 505 are associated with shape factors from 1.6 to 2.4 (Fig. 2b). Orthopyroxene grain boundaries often show embayments or cuspate shapes at the contact 506 with olivine grains (Fig. 4c-d). Clinopyroxene grains also show irregular or 507 interstitial shapes (Fig. 3c-d). In some samples, orthopyroxene grains display 508 509 exsolutions (Fig. 3c). Undulose extinction and kinks are observed locally. 510 Spinel usually has irregular shapes and occurs in association with ortho- or 511 clinopyroxene (Fig. 3d).

512 Fine-porphyroclastic peridotites show a well-developed bimodal olivine grain size distribution, characterized by coexistence of coarse porphyroclasts 513 with serrated grain boundaries, undulose extinction, and closely-spaced 514 subgrain boundaries with a recrystallized matrix composed by polygonal 515 olivine neoblasts free of intracrystalline deformation (Figs. 3e-h and 4e-f). The 516 517 average size of the recrystallized grains varies between samples (Table 2): it is ~100 µm in AG6 (Fig. 4e), but >300 µm in the other fine-porphyroclastic 518 peridotites (Fig. 4f, Table 2). It is noteworthy that the recrystallized olivine 519 grains are not organized in a planar mode, marking a foliation, but either form 520 521 irregular pockets or vein-like structures (Fig. 3e-f). Orthopyroxene is usually 522 coarser than clinopyroxene (Fig. 3f). Exsolutions were not observed in this group. Shape factors of orthopyroxene vary between 1.89 and 2.56 (Fig. 2). In 523 AG6 and AG7, pyroxenes have rounded shapes (Fig. 3f), whereas in PC105 524 and PC109 fine-porphyroclastic samples, pyroxenes have irregular shapes, 525 with cusp-like terminations when in contact with olivine, similarly to pyroxenes 526 in coarse-porphyroclastic peridotites. Spinel occurs as fine rounded grains (Fig. 527 528 3f).





530 Fig. 4. Photomicrographs in cross-polarized light displaying typical features for the three microstructural groups. (a) 531 Coarse granular lherzolite displaying polygonal grains (black arrow marks a 120° triple junction) almost free of 532 intracrystalline deformation features, white arrow indicates a relict subgrain boundary in olivine (ol); (b) Coarse 533 granular lherzolite 16CA14 displaying polygonal strain-free olivine grains, but irregularly-shaped orthopyroxene (opx) 534 grains (highlighted by dashed line). (c-d) Coarse porphyroclastic lherzolites 16CA11 and 16CA06 showing irregularly 535 shaped olivine, orthopyroxene (highlighted by dashed line), and clinopyroxene (cpx) grains with interpenetrating 536 interphase boundaries, white arrows indicate subgrain boundaries in ol in (c) and mark cusp shaped opx in contact 537 with ol in (d); (e) Fine-porphyroclastic lherzolite AG6 showing a matrix of very fine recrystallized olivine neoblasts 538 surrounding a coarse olivine porphyroclast with ondulose extinction and subgrains (white arrow); (f) 539 Fine-porphyroclastic harzburgite PC109 showing tabular, strain-free olivine neoblasts as well as relicts of olivine 540 porphyroclasts with undulose extinction (white arrow) within a strongly recrystallized domain between opx 541 porphyroclasts. Scale bar is the same in all photomicrographs.

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543 4.2 Crystallographic preferred orientations (CPO)

Quantitative data on the intensity and symmetry of the olivine CPO (J- and BA-indexes) as well as pole figures of the olivine, orthopyroxene, and clinopyroxene CPOs for all studied samples are presented in Figs. 5 and 6 and Table 2. For the samples in which a foliation was observed, the latter is displayed on the pole figures (Fig. 6). In these samples, the olivine [010] maximum is normal to the foliation.

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Fig. 5. Olivine CPO symmetry (BA-index) vs. CPO strength (J-index). The variation in olivine CPO pattern as a function of BA-index is illustrated at the top of the diagram. For fine-porphyroclastic peridotites, we present data for the bulk rock and for porphyroclasts and neoblasts separately. Values are presented in Table 2. * indicates J-indexes that are probably overestimated, because <100 grains could be analyzed in the thin section. CPO data for Fernando de Noronha (FN) xenoliths (Liu et al., 2019) are displayed for comparison.

558 Olivine has moderate to strong CPO (Figs. 5 and 6). With exception of harzburgite AG7, which has a very high J-index of 16.6 due to a few coarse 559 560 olivine porphyroclasts that occupy most of the thin section, the J-index varies between 3.8 and 8.5, with a mean of 6.4. The CPO symmetry varies between 561 fiber-[010], orthorhombic, and fiber-[100] patterns. Fine-porphyroclastic 562 peridotites display olivine CPO patterns intermediate between fiber-[010] and 563 orthorhombic and the neoblasts have systematically weaker olivine CPO (Figs. 564 5 and 6a). There is no systematic variation of J-index or BA-index within the 565 566 two other microstructural groups. Coarse-granular samples display dominantly orthorhombic olivine CPO patterns with J-indexes around 6 (Figs. 5 and 6a). 567 Coarse-porphyroclastic samples have more variable CPO patterns and 568

intensities (Fig. 5). Four coarse-porphyroclastic harzburgites (16CA01, 569 16CA02, 16CA03, and 16CA18) have strong CPO with fiber-[100] patterns 570 (Fig. 6b). The remainder coarse-porphyroclastic peridotites display variable 571 CPO intensity orthorhombic patterns with a tendency towards fiber-[010], 572 573 expressed as girdle of [100] with a maximum within it and a point maximum of 574 [010] (Fig. 6b).



576

577 Fig. 6. Crystal preferred orientations (CPO) of olivine, orthopyroxene, and clinopyroxene for all studied 578 fine-porphyroclastic and coarse-granular peridotites and coarse-porphyroclastic peridotites. Lower hemisphere 579 stereographic projections with contours at 1 multiple of a uniform distribution intervals. The three pole figures for each 580 mineral are plotted using the same gray scale. For the fine-porphyroclastic peridotites, the CPO of olivine 581 porphyroclasts is presented as points and the CPO of olivine neoblasts is presented as contours. Black arrows 582 indicate pyroxene CPO that are well correlated with the olivine CPO and gray arrows indicate those partially correlated. 583 When the sample shows a olivine shape preferred orientation marking a foliation, the latter is displayed as a dashed



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Orthopyroxene CPO is consistent with the olivine CPO in the majority of 587 the studied peridotites. It is characterized by [001]_{opx} and [100]_{opx} (or [010]_{opx}) 588 589 maxima parallel to the [100]_{ol} and [010]_{ol} maxima, respectively, suggesting a deformation history (arrows Fig. 590 common in 6). However, coarse-porphyroclastic harzburgites 16CA01, 16CA02, 16CA03, 16CA18 and 591 coarse-granular lherzolite 16CA09 show weak orthopyroxene CPO that are not 592 consistent with the olivine CPO, characterized by a weak [001]_{opx} maximum at 593 high angle to a well-developed [100]_{ol} maximum. 594

595 Clinopyroxene shows more dispersed CPO, but which are, in many 596 samples, consistent with both olivine and orthopyroxene CPO patterns, 597 characterized by $[001]_{cpx}$ and $[010]_{cpx}$ maxima subparallel to $[100]_{ol}$ and $[010]_{ol}$ 598 maxima (arrows in Fig. 6). However, in most fine-porphyroclastic peridotites 599 and in coarse-granular lherzolite 16CA12, although the orthopyroxene CPO is 600 consistent with the olivine CPO, the clinopyroxene CPO is not. In 601 coarse-porphyroclastic harzburgites (16CA01, 16CA02, 16CA03, 16CA18) 602 neither the orthopyroxene nor the clinopyroxene CPO are correlated with the 603 olivine CPO.

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605 4.3 Modal compositions

Modal compositions were determined based on the area fraction of each mineral in the EBSD maps (Fig. 7 and Table 1). All samples are spinel-facies peridotites. Lherzolites predominate (14/22), but the sampling also includes 7 harzburgites and 1 wehrlite. Predominance of fertile peridotites with no dependence on sampling site was also observed in previous sampling of Macau xenoliths by Rivalenti et al. (2000, 2007; Fig. 7).

The compositions of the two microstructural groups overlap, but coarse-granular peridotites are on average more fertile (ol contents of 57-70%) than coarse-porphyroclastic peridotites (ol contents between 67-87%, with one outlier – 16CA04, which has 59% of olivine and an intermediate microstructure). Fine-porphyroclastic peridotites display variable olivine contents overlapping with the two other groups.

Comparison of the modal compositions to those predicted by various 618 partial melting models highlights that roughly half of the studied Macau 619 620 xenoliths are enriched in clinopyroxene or olivine relatively to the models' 621 predictions (Fig. 7). Even for those samples plotting along the partial melting trends, a large variability in partial melting degrees, from 1-20% melting, has to 622 be invoked to explain the full range of observed modal compositions. Such a 623 624 variation in partial melting is difficult to reconcile within the limited volume of the mantle sampled by these volcanic eruptions. This suggests reactive melt 625 leading to either crystallization of clinopyroxene and/or 626 percolation 627 orthopyroxene at the expenses of olivine (refertilization processes, e.g. Le Roux et al., 2007; represented by gray arrows in Fig. 7) or crystallization of 628 olivine at the expense of pyroxenes (dunitization processes, e.g., Berger and 629 Vannier, 1984; Kelemen, 1990; black arrows in Fig. 7). The observed 630 deviations in modal compositions relatively to partial melting trends are 631 632 consistent with microstructural evidence for reactive melt percolation, such as 633 the sinuous olivine-pyroxene boundaries and the cusp-like shapes of pyroxenes when in contact with two olivine grains (cf. Figs. 3 and 4). 634

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637 Fig. 7. Modal compositions of the studied peridotites presented as clinopyroxene/orthopyroxene ratio vs. olivine modal 638 content and as the traditional ol-opx-cpx ternary diagram (insert). Modal compositions of Cabugi and other Macau 639 volcanics peridotite xenoliths studied by Rivalenti et al. (2000) and (2007) are plotted for comparison. Black and gray 640 curves represent the evolution of the modal composition predicted by different partial melting models for an initial 641 fertile modal composition of 55% ol, 28.5% opx, and 16.5% cpx (Baker and Stolper, 1994; Kostopoulos, 1991; Niu, 642 1997; Walter et al., 1995). Gray arrows indicate evolution trends associated with cpx and/or opx crystallization at the 643 expense of ol (refertilization reactions). Black arrow indicates evolution trends associated with ol crystallization at the 644 expense of pyroxenes (dunitization reactions).

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646 4.4. Mineral compositions

647 The chemical compositions of main mineral phases in the studied Macau peridotite xenoliths are listed in Supplementary Material Table S1. 648 649 Representative results are displayed in Fig. 8 together with previous data for Macau peridotite xenoliths (Supplementary Material Table S2). Comparison 650 651 between the present and previous datasets indicates that our sampling is 652 representative of the variability in composition for these localities. Analysis of the present dataset indicates that there is no simple correlation between 653 microstructural types and chemical compositions (Fig. 8). There is also no 654 655 relation between mineral chemistry and sampling locality.

656 The Mg# number $[Mg# = 100 \times Mg/(Fe + Mg)$, atomic ratio] of olivine, orthopyroxene, and clinopyroxene ranges between 89.1 - 91.6 (average 90.0), 657 658 89.1 - 91.7 (average 90.4), and 87.9 – 92 (average 90.9), respectively (Figs. 8a.b). Core-rim variations in Mg# are weak for all three minerals in most 659 samples. Olivine and orthopyroxene Mg# within each sample show low 660 dispersion and are positively correlated, with the orthopyroxene being slightly 661 enriched in Mg relatively to olivine (all data points, except fine-porphyroclastic 662 peridotites AG6 and AG7, plot slightly above the 1:1 line in Fig. 8b). 663 664 Clinopyroxene Mg# shows much higher variability at the sample scale, in particular within coarse-porphyroclastic harzburgites, indicating chemical 665 disequilibrium at the mm-scale. Moreover, average clinopyroxene Mg# in 666

neither cores nor rims does not display a simple correlation with the olivine
Mg# (Fig. 8a). Most measured Mg# spread between the 1:1 and the Fe-Mg
partition trend between olivine, clinopyroxene, and melt in oceanic troctolites
(Lissenberg and Dick 2008), but fine-porphyroclastic peridotites AG6 and AG7
have clinopyroxenes enriched in Fe relatively to olivine.

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674 Fig. 8. Chemical compositions for major rock-forming minerals: (a-c) Clinopyroxene Mg#, orthopyroxene Mg#, and 675 olivine Ca content (ppm) vs. olivine Mg#, (d) Clinopyroxene TiO2 content (wt.%) vs. modal content (%). Minerals 676 chemical compositions for Macau peridotite xenoliths previously studied by Rivalenti et al. (2000), (2007), and Fodor 677 et al. (2002) are plotted for comparison. The value of the depleted mantle (DM) from Workman and Hart (2005) is 678 displayed in (a) and (b). Fe-Mg partition between olivine and clinopyroxenes in troctolites (Lissenberg and Dick, 2008) 679 is plotted in (a). Partial melting and refertilization trends proposed by Le Roux et al. (2007) are plotted in (d). Hollow 680 symbols represent rim compositions and solid symbols represent core ones. Error bars represent the chemical 681 variation at the sample scale. Data is presented in Supplementary Material Table S1.

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683 Olivine cores show low Ca contents with a weak positive correlation with 684 Mg#, (Fig. 8c), except for the fine-porphyroclastic peridotites and the 685 coarse-porphyroclastic lherzolite GR1, which have high Ca contents. Olivine 686 rims in all studied peridotites are enriched in Ca and show a high variability at 687 the thin section (mm-cm) scale.

Clinopyroxene TiO₂ contents (wt.%) do not show the simple positive 688 correlation with cpx modal content (%) expected if partial melting controlled the 689 chemistry of the peridotites (Fig. 8d). Among the harzburgites, four have 690 Ti-poor clinopyroxene grains (TiO₂ contents < 0.2%), while the other two have 691 692 clinopyroxene grains with TiO₂ contents of 0.55%. All harzburgites show 693 marked variability in the Ti-content of clinopyroxene at the sample scale, 694 indicating disequilibrium. Half of the Iherzolites have clinopyroxenes with almost constant TiO₂ contents between 0.55-0.65%, independently of the 695 696 clinopyroxene modal content. The remaining lherzolites have rather Ti-poor 697 clinopyroxene grains.

698 Spinel Cr# [Cr# = $100 \times Cr/(Cr+AI)$ and Mg# contents correlate with the modal composition. Harzburgites have spinel with Cr# ranging between 699 40-57.5 and Mg# ranging between 61-72, whereas lherzolites have spinel with 700 701 Cr# between 8-20 and Mg# between 73-84 (Supplementary Material Fig. S1a). 702 Yet, the lherzolites show a clear enrichment in Mg in spinel relatively to a 703 typical depleted mantle composition. Fine-porphyroclastic lherzolite AG6 has the highest spinel Mg# (83) and lowest Cr# (11). TiO₂ contents (wt. %) in spinel 704 705 are low (≤0.18%) in most lherzolites (Supplementary Material Fig. S1b). In 706 contrast, spinel in coarse-porphyroclastic harzburgites and lherzolite GR1 has 707 a wide range of average TiO₂ contents, which may reach 1.03%, with strong 708 variations within each sample, indicating disequilibrium. Spinel in fine-porphyroclastic lherzolite AG6 also has a fairly high TiO₂ content of 0.45%. 709

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711 *4.5. Equilibrium temperatures and geotherm estimation*

712 Most equilibrium temperatures determined using two-pyroxenes 713 geothermometer of Taylor (1998; TTA98) and the Ca in orthopyroxene 714 (Ca-in-opx) of Brey and Kohler (1990) revised by Nimis and Grutter (2010) agree within ±70 °C (gray dashed lines in Fig. 9). This suggests that they are 715 reliable. However, peridotites with cpx-opx temperatures < 800 °C show larger 716 717 discrepancies (>90 °C), with systematically higher Ca-in-opx equilibrium 718 temperatures. This inconsistency between the predictions of the two 719 thermometers is observed for both core and rim temperatures and is not correlated with the microstructure. It affects two coarse-porphyroclastic 720 peridotites (16CA06, 16CA11) and one coarse-granular lherzolite (16CA14). 721 722 The latter has the lowest temperature among all samples with strong 723 inconsistency between the predictions of the two thermometers (592°C and 724 790°C, Table 1).

725 Following Nimis and Grutter (2010), we use the temperature predictions 726 **TTA98** thermometer as the equilibrium temperatures. of All fine-porphyroclastic peridotites and the coarse-porphyroclastic peridotite GR1 727 have high equilibrium temperatures over 1200 °C. Coarse-porphyroclastic 728 729 peridotites have two ranges of equilibrium temperatures: harzburgites 730 (16CA01, 16CA03, 16CA18) as well as clinopyroxene-poor lherzolite SV8

have equilibrium temperatures between 900 and 1100 °C, whereas the 731 732 clinopyroxene-rich coarse-porphyroclastic lherzolites (16CA06. 16CA11, 16CA15) have lower equilibrium temperatures between 600 and 700 °C. 733 Coarse-porphyroclastic peridotites 16CA03, 16CA15, and 16CA18 display 734 core-rim variations in equilibrium temperature. In most cases, rim Ca-in-opx 735 736 temperatures are higher than core ones, but there is no systematic trend. All samples that display core-rim variations also show significant dispersion in 737 both core and rim temperatures at the sample scale (bars in Fig. 10). 738 Coarse-granular peridotites 16CA07, 16CA08, 16CA09, and BO9 have 739 intermediate equilibrium temperatures between 800 and 900 °C and less 740 741 variations at sample scale as well as between cores and rims. Comparison 742 with previous thermometry data on Macau peridotite xenoliths indicates that 743 the present sampling is representative of the variability in this suite (Fig.9). 744 Analysis of the full dataset also highlights that there is no relation between equilibrium temperatures and sampling site. The full range of equilibrium 745 temperatures is observed both among Pico do Cabugi xenoliths, which is the 746 best sampled site, and among xenoliths from other Macau volcanics. 747





Fig. 9. Average core and rim equilibrium temperatures calculated using the cpx-opx thermometer (TTA98, Taylor, 1998) and the Ca-in-opx thermometer (Ca-in-opx, Brey and Kohler, 1990, revised by Nimis and Grutter, 2010). Chemical compositions from Rivalenti et al. (2000) and (2007) and Fodor et al. (2002) were used to recalculate the equilibrium temperatures for their samples using the same thermometers (data is presented in Supplementary Material Tables S2 and S3). Error bars represent data spread within each sample. Gray dashed lines outline a ±70°C variation between the two thermometers.

765 To estimate the Cenozoic geotherm in the northern Borborema Province, 766 we plotted the TTA98 equilibrium temperatures of the studied xenoliths against 767 the peridotite phase diagram in the upper mantle (spinel-out, plagioclase-out, and garnet-in curves for different Cr contents from O'Neill, 1981 and Klemme, 768 2004), as well as equilibrium geotherms for different surface and reduced heat 769 flows (Fig. 11). The geotherms are calculated assuming a surface heat flow of 770 60 or 70 mW/m² (Hamza et al., 2018), a Moho depth of 32 km (Almeida et al., 771 2015), an exponential decrease of the radiogenic heat production with depth. 772 773 with a characteristic depth of 10 km, and a reduced heat flow of either 30 or 45 774 mW/m^2 . For comparison, indicate depth we also the of the

lithosphere-asthenosphere boundary determined by P-S receiver functions for
seismic station RCBR (Heit et al., 2007) and the partial melting conditions
estimated based on the compositions of the most primitive melts of the
Cenozoic Macau and Mesozoic Ceará-Mirim suites (Ngonge et al, 2015a,b).

P-T equilibrium conditions of the peridotites are displayed as a fixed temperature corresponding to the TTA98 thermometry prediction, but as a pressure range, because there are no reliable barometers for spinel-facies peridotites. The range of equilibrium pressure conditions is defined by the occurrence of spinel in absence of plagioclase or garnet for the actual Cr content of each peridotite (O'Neill, 1981; Klemme, 2004).

The wide range of equilibrium temperatures suggests that the studied 785 786 peridotites represent a complete sampling of the subcontinental lithospheric 787 mantle. The absence of garnet in the entire suite, which is largely composed of peridotites with Cr# < 15, constrains a maximum equilibrium depth of the 788 studied peridotites shallower than 75 km (Fig. 10). By consequence, the 789 equilibrium conditions of the Macau peridotite xenoliths, except 16CA14 that 790 791 has the lowest and likely unreliable equilibrium temperature, are better fitted by 792 the hotter geotherm (green line in Figure 10).



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794 Fig. 10. P-T diagram comparing the equilibration conditions estimated for the studied Macau xenoliths to two possible 795 steady-state geotherms for the north Borborema province (surface / reduced heat flows indicated in parenthesis). 796 Xenolith data is better fitted by the hotter geotherm (surface and reduced heat flows of 75 and 45mW/m², respectively). 797 Since no barometers are available for spinel peridotites, equilibrium pressure ranges are presented as bars, based on 798 the absence of plagioclase and of garnet in the studied peridotites, considering their Cr# (Supplementary Information 799 Table S1) and the effect of Cr on the spinel-garnet phase transition (Klemme, 2004; O'Neill, 1981). Dry and wet solidus 800 with variable H₂O contents after Ringwood (1975). Moho and Lithosphere-Asthenosphere Boundary (LAB) depths 801 derived from S-P and P-S receiver function analyses (Almeida et al., 2015, Heit et al., 2007) as well as partial melting 802 conditions derived from the primitive melt compositions of the Cretaceous Ceará-Mirim dyke system (CMDS) and 803 Cenozoic Macau volcanics (Ngonge et al., 2016a,b) are displayed for comparison.

4.6. Seismic properties

For calculating seismic properties, we divided the xenoliths into two groups as a function of their TTA98 equilibrium temperature: low (T < 1000 °C) and high-temperature (T > 1000 °C). Then, we estimated the elastic tensors of the individual samples (Supplementary Material Table S3) for the pressure and temperature conditions predicted at 50 km (1.46 GPa and 916 °C) and 70 km depth (2.12 GPa and 1189°C) for the geotherm that best fits the xenolith data (Qs=70 mW/m² and Qm=45 mW/m², green line in Figure 10).

Seismic anisotropy patterns of the individual samples vary slightly as a 812 function of the olivine CPO symmetry. As usual, the seismic anisotropy 813 intensity correlates positively with both the olivine CPO strength and olivine 814 modal content (Figure 11). On average, the peridotites equilibrated at 815 816 temperatures <1000°C tend to display lower anisotropy, due to both more 817 dispersed olivine CPO and lower olivine contents. However, seismic 818 anisotropy intensity does not increase linearly with increasing J-index. By consequence, overestimation of the olivine CPO intensity 819 for fine-porphyroclastic harzburgite AG7 does not result in similar overestimation 820 of its seismic anisotropy. The maximum S-wave polarization anisotropy varies 821 from 5.5 to 7.3% in the low-temperature peridotites and from 5.9 to 10% in the 822 823 hign-temperature ones (Fig. 11 and Table 3). Similarly, the maximum P-wave 824 propagation anisotropy varies from 7.4 to 10% and from 7.7 to 14.2% (Table 3). The maximum fast (S1) wave propagation anisotropy varies from 3.0 to 5.9% 825 in low-temperature samples and from 4.1 to 7.2% in high-temperature ones, 826 whereas the maximum slow (S2) wave propagation anisotropy varies from 3.4 827 828 to 5.2% and from 1.9 to 7.3%, respectively (Table 3).



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834 Since seismic waves average elastic properties over large volumes, we 835 calculated average elastic tensors for the low-temperature and high-temperature groups by assuming a coherent orientation of the past flow 836 directions and planes (assumed as parallel to the [100] and [010] maxima of 837 the olivine CPO, respectively - this assumption is justified by the analysis of 838

the CPO as discussed in the next section) over the entire lithosphere using a constant structural reference frame. These average seismic anisotropy patterns (Fig. 12) represent the maximum possible seismic anisotropy in the shallow (low-temperature group) and deep (high-temperature samples) sections of the lithospheric mantle, if the present sampling is representative of the volumes of the different microstructures and compositions in the lithospheric mantle in this region.



Fig. 12. Average seismic properties for shallow and deep sections of the lithospheric mantle beneath the study area calculated by averaging the elastic constant tensors of the eight low-temperature samples calculated for 916°C, 1.46GPa and of the nine high-temperature samples calculated for 1189°C, 2.12GPa. Lower hemisphere stereographic projections presenting the variation of the property as a function of the propagation direction relatively to the structural reference frame (flow direction (X) and normal to the flow plane (Z) shown by the insert). Black squares mark the highest values and white circles mark the lowest ones. Seismic properties data are listed in Table 3 and the elastic tensors, in Supplementary Material Table S4.

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Seismic anisotropy patterns for the shallow and deep lithospheric mantle 856 are similar, but as already discussed, the lower part of the lithosphere is more 857 anisotropic (Fig. 12). The average maximum P-wave propagation anisotropy is 858 10.5% with velocities ranging between 7.4 and 8.3 km/s, compared to 7.9% in 859 the shallow lithospheric mantle, where P-wave velocities vary between 7.6 860 km/s normal to the flow plane and 8.2 km/s parallel to the flow direction frozen 861 862 in the lithospheric mantle (Table 3). The average maximum S-wave polarization anisotropy in the lower lithospheric mantle is 7.7% compared to 863 5.2% in the shallower levels; in both cases it is observed for S-waves 864 propagating within the flow plane but at <45° of the flow direction. Low S-wave 865 polarization anisotropy is observed for all waves propagating at low angle to 866 the XZ plane, that is, the plane that contains both the flow direction and the 867 868 normal to the flow plane. For all propagation directions in which a significant polarization anisotropy is observed, the fast S-wave is polarized in the plane 869 containing the flow direction. The average maximum S1-wave propagation 870

anisotropy increases from 3.6% to 5.8% from the shallow to the deep mantle 871 lithosphere. S1 velocity is maximum within the flow plane and minimum normal 872 to it. S1-waves propagating within this plane show a 90° periodicity in the 873 velocity variation. S2-waves average maximum propagation anisotropy 874 increases from 3.7% to 4.9% with depth. High S2 velocities are associated 875 876 with propagation within the XZ plane at ca. 45° to the flow direction (X) and low 877 velocities are observed for all propagation directions at high angle to X. The maximum Vp/Vs1 ratio anisotropy increases from 5.5% to 7.4% with depth. 878 Highest Vp/Vs1 ratios (1.78-1.8) are observed for waves propagating parallel 879 to the flow direction and the lowest Vp/Vs1 ratios for waves propagating 880 881 normal to the flow plane.

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883 **5. Discussion**

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5.1. Deformation, annealing, and reactive melt percolation

Coarse-granular peridotites show polygonal grain shapes for both olivine 886 and pyroxenes and low intragranular misorientations (olivine M2M values $< 2^{\circ}$, 887 Fig. 2). However, they have well-developed olivine CPO (Figs. 5 and 6). This 888 889 association supports that the deformation that produced the CPO was followed 890 by annealing (static recrystallization), which effectively reduced dislocation densities and re-equilibrated grain shapes. The analysis of the relative 891 intensity of the orientation of [100], [010], and [001] of olivine, of the relations 892 between olivine and pyroxene CPOs, and of the relations between olivine CPO 893 and SPO (when a SPO was observed) points to deformation by dislocation 894 895 creep with dominant activation of the [100](010) slip system in olivine, [001](100) in orthopyroxene, and [001](0kl) in clinopyroxene (Tommasi et al. 896 2000, Bascou et al. 2002). Coarse-granular peridotites have roughly constant 897 olivine CPO intensities, but variable symmetry, covering the entire range from 898 fiber-[100] to fiber-[010]. Fiber-[100] and orthorhombic olivine CPO are the 899 usual patterns produced by simple shear under dry, high to moderate 900 901 temperatures, and low pressure in the upper mantle (Tommasi et al., 1999; 2000; Hansen et al. 2014). Fiber-[010] patterns, on the other hand, require 902 particular conditions, which may be: transpression (Tommasi et al., 1999), 903 presence of melts during the deformation (Higgie and Tommasi, 2012, 2014; 904 Qi et al. 2018), changes in the olivine CPO by oriented growth during 905 906 recrystallization (e.g., Tommasi et al., 2008), or deformation under high stress 907 or high pressure conditions, which would lead to increased activation of [001] glide (Durham and Goetze, 1977; Mainprice et al., 2005; Demouchy et al. 908 2013). The mineralogy and microstructures and CPO of the coarse-grained 909 910 peridotites falsify the last hypothesis. However, the present data does not allow for discriminating among the other hypotheses. The different olivine CPO 911 symmetry may therefore result from variations in deformation regime, with 912 913 fiber-[010] CPO recording transpression (which is the dominant deformation 914 regime in the Seridó belt during the Brasiliano event), presence or not of melts 915 during the deformation (all deformation events were accompanied by 916 magmatism), or different CPO evolution during recrystallization.

917 Analysis of the bulk rock Mg# vs. olivine modal content relation (Fig. 13) shows that the compositions of most coarse-granular peridotites deviate from 918 partial melting trends, suggesting the occurrence of refertilization processes. 919 920 Yet, most coarse-granular peridotites have coherent olivine and pyroxene 921 CPOs (Fig. 6), which indicate co-deformation of the two phases. Thus, if melt-rock reactions leading to refertilization occurred, they predated or were 922 concomitant to the deformation. Refertilization reactions produce sutured 923 924 pyroxene-olivine boundaries and irregular shapes for both minerals. The equilibrated pyroxenes grain shapes in most coarse-granular peridotites 925 926 indicate therefore that reactive melt percolation producing refertilization also predated annealing. It also implies that interphase grain boundary 927 rearrangements during annealing were effective. These rearrangements 928 929 depend on transport of ions along grain boundaries in a similar way to the growth of porphyroblasts in a metamorphic rock, but with weaker driving forces 930 (grain boundary energy reduction opposed to chemical gradients). Most 931 coarse-granular peridotites equilibrated around 900°C (Fig. 9). At this 932 933 temperature, given the diffusivity of Si along olivine and enstatite grain boundaries (≤10⁻²⁷m³/s; Fisler et al. 1997; Fei et al. 2016), grain boundary 934 equilibration at the 250 µm scale, which is the average amplitude of the 935 936 sinuosity of the olivine-pyroxene grain boundaries in the coarse-porphyroclastic peridotites (Fig. 3c,d), will occur on time scale of 937 938 several hundreds of Ma. In contrast, irregular pyroxene grain shapes (high orthopyroxene shape factor ~2°, Fig. 2) in 16CA14 and BO9 point to a later 939 stage of melt percolation. Indeed, in both samples, part of the pyroxenes 940 shows crystal orientations not coherent with the olivine CPO (Fig. 6). 941

942 Coarse-porphyroclastic peridotites show less equilibrated microstructures. All major phases show sinuous grain boundaries (higher 943 shape factors) as well as undulose extinction and subgrains, which translate 944 945 into higher M2M values (Fig. 2). This implies a less effective annealing due to either a more recent deformation or lower post-deformation temperatures. The 946 former hypothesis may apply for the coarse-porphyroclastic peridotites with 947 high equilibrium temperatures (≥1000°C, Fig. 9) and the latter, for those with 948 low equilibrium temperatures (<800°C, Fig. 9). Similarly to the coarse-granular 949 950 peridotites, olivine CPO in coarse-porphyroclastic peridotites is consistent with 951 deformation by dislocation creep with dominant activation of the [100](010) system. This interpretation is corroborated by the high frequency of (100) 952 subgrain boundaries. However, the CPO patterns and intensities are more 953 954 varied than those of coarse-granular peridotites (Figs. 5 and 6). Coarse-porphyroclastic harzburgites have strong fiber-[100] olivine CPOs, 955 typical of simple shear deformation (Tommasi et al., 1999; 2000; Bystricky et al, 956 2000; Hansen et al., 2014). Lherzolites have orthorhombic to fiber-[010] olivine 957 CPOs with variable strength. As for the coarse-granular peridotites, the 958

959 fiber-[010] olivine in these coarse-porphyroclastic peridotites may record either 960 a component of transpression or the presence of melts during the deformation.

Although some coarse-porphyroclastic peridotites plot along partial 961 melting trends in Fig. 13, evidence for reactive melt percolation leading to 962 963 crystallization of pyroxenes or olivine is widespread. It encompasses: (i) the 964 interpenetrating olivine-pyroxene grain boundaries and the locally interstitial shapes of pyroxenes (Figs. 3 and 4), which imply lack of microstructural 965 equilibrium, (ii) the high variability of the olivine and pyroxenes chemical 966 compositions both within grains and between grains in a sample (Fig. 8), which 967 indicates absence of chemical equilibration, and (iii) lack or weak consistency 968 between the olivine and the pyroxenes CPOs (Fig. 6). The latter feature is 969 970 specific to the coarse-porphyroclastic harzburgites, which also show the 971 highest equilibrium temperatures and least equilibrated mineral compositions among the coarse-porphyroclastic peridotites, implying that reactive melt 972 percolation in these rocks, which sample the lower lithospheric mantle section 973 in the province, postdates the deformation and is rather recent. 974

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977 Fig. 13. Olivine modal content (%) vs. bulk rock Mg# in the studied xenoliths compared to evolutions predicted for 978 partial melting and reactive melt percolation in the mantle. Gray lines represent the composition evolution predicted by 979 various partial melting models using a source composition with 89.3 Mg# and 55% of olivine up to complete 980 consumption of clinopyroxene (Bodinier & Godard, 2014). Colored lines represent different melt-rock reactions after 981 Bodinier & Godard (2014). Red solid lines correspond to precipitation of clino- and orthopyroxene at the expense of 982 olivine and melt with different mass ratio of crystallized minerals versus infiltrated melt (numbers of the top of the 983 curves). Green dashed lines show olivine-forming reactions with melts with different Mg# (numbers of the top of the 984 curves). Blue dotted lines represent multiple episodes of refertilization, starting with low Mg# melts ('primitive' melt 985 Mg#=74.5), in which the peridotites successively reacted with the evolved melt resulting from previous infiltration 986 stage (Bodinier et al., 2008). Compositions of Macau peridotite xenoliths previously studied by Rivalenti et al. (2000) 987 and (2007) are plotted for comparison.

Fine-porphyroclastic peridotites show a bimodal olivine grain size 989 olivine distribution and strona intragranular misorientations in the 990 991 porphyroclasts characterized by dynamic recrystallization (Figs. 3 and 4). At the high equilibrium temperatures recorded by these peridotites (≥1200°C, Fig. 992 9), diffusion is fast. The low annealing level of the microstructures in these 993 994 peridotites, indicated by the high intragranular misorientations in the 995 porphyroclasts (Figs. 2 and 3), implies therefore that the deformation episode 996 that produced the recrystallization shortly predated their extraction from the mantle by the Macau volcanism. 997

The variation in recrystallized grain sizes between the various 998 fine-porphyroclastic peridotites might record variations in stress (from ~75 999 1000 MPa in AG6 to ~10 MPa using the recrystallized grain size paleopiezometer of Van der Wal et al., 1993), but the coarser recrystallized grain sizes result more 1001 probably from partial annealing. The stresses estimated for Iherzolite AG6 are 1002 high and, for the equilibrium temperature of 1200°C of these peridotites, imply 1003 extremely high strain rates of 10^{-6} to 10^{-9} s⁻¹ based on usual olivine flow laws 1004 1005 (Chopra and Paterson, 1981; Hirth and Kohlstedt, 2003; Gouriet et al. 2019). The microstructure of these peridotites is indeed very similar to the mosaic 1006 1007 microstructure of deep sheared kimberlite-borne peridotites, which has been 1008 traditionally attributed to the initial stages of kimberlite dyke formation, due to 1009 the high stresses and high rates inferred based on the recrystallized grain sizes and equilibrium temperatures (e.g., Green and Gueguen, 1974; Boullier, 1010 1977; Skemer and Karato, 2008; Baptiste et al. 2012). Similar microstructures 1011 1012 have been observed in the deepest mantle xenoliths from the Labait alkaline 1013 lavas in the Tanzanian craton (Vauchez et al., 2005) and from Malaita alnoites in the Ontong Java plateau (Tommasi and Ishikawa, 2014) with similar 1014 1015 interpretations proposed.

1016 Fine-porphyroclastic peridotites with high equilibration temperatures have also been described in Cenozoic peridotite xenoliths, which sample the mantle 1017 1018 beneath major Neoproterozoic shear zones at the border of the Hoggar swell, 1019 N Africa (Kourim et al., 2015). However, in the Hoggar peridotites, equilibration 1020 temperatures are lower (1000-1100°C) and olivine recrystallization was 1021 associated with crystallization of elongated aggregates of clinopyroxene and 1022 amphibole. The fine-porphyroclastic microstructures were therefore interpreted 1023 as resulting from ductile reactivation and melt channeling in Neoproterozoic 1024 shear zones in response to the development of the Hoggar swell in the 1025 Cenozoic. However, in the fine-porphyroclastic peridotites from the Borborema province, there is no evidence for neocrystallization of pyroxenes or 1026 amphiboles within the recrystallized domains. Moreover, the fact that the 1027 1028 recrystallized domains in the fine-porphyroclastic peridotites from the 1029 Borborema province do not align marking a foliation (Fig. 3e,f) and the lack of elongation of the pyroxenes suggests that the recrystallization was associated 1030 1031 with high stresses, but low finite strains. This association, together with the 1032 equilibrium temperatures, which imply that these peridotites are derived from the base of the lithospheric mantle, is consistent with localized deformationassociated with the formation of the dykes that fed the Cenozoic magmatism.

1035 Analysis of the olivine modal composition relative to the bulk rock Mg# implies that Iherzolites AG7 and AG6 were affected by refertilization processes 1036 (Fig. 13). The Fe-rich compositions of olivine and pyroxenes in AG6 (Fig. 8a,b) 1037 1038 further point to high cumulated melt-rock ratios. Ortho- and clinopyroxenes in 1039 these two lherzolites have unusual irregular, but rounded shapes, which clearly 1040 differ from those in coarse-porphyroclastic peridotites (cf. EBSD phase maps in Fig. 3). Yet determining when this refertilization occurred is difficult. At the 1041 high temperatures at which these peridotites equilibrated, chemical diffusion is 1042 1043 fast. Disequilibrium in mineral chemistry at the sample scale, which would 1044 point to melt-rock interaction shortly before extraction is only observed in AG7. Harzburgites PC105 and PC109 plot along the partial melting trends in Fig. 13 1045 and have higher Mg# in olivine and pyroxenes (Fig. 8a,b), but they also display 1046 1047 chemical evidence for some melt-rock interaction, like enrichment in Ca in 1048 olivine (Fig. 8c). 1049

1050 5.2. Cenozoic geotherm and thermal evolution of the NBP lithospheric mantle

1051 We do have evidence in this study supporting a rather hot Cenozoic 1052 geotherm beneath the North Borborema Province. As illustrated in Fig. 10, 1053 equilibrium conditions of the xenoliths are consistent with the surface heat flow of 60-70 mW/m² measured in the Borborema Province (Hamza et al., 2018) if 1054 the heat flow from the convective mantle is rather high (45mW/m^2) . This 1055 implies a slightly hotter than average sublithospheric mantle beneath the 1056 1057 Borborema Province, consistently with the low P-wave velocity anomaly imaged at 100 km depth beneath the Northern Borborema Province east of the 1058 Macau-Queimadas volcanic alignment (Simões Neto et al., 2019; Fig. 1) and 1059 1060 with the weak low S-wave velocity anomaly imaged beneath this region in a recent global full-waveform tomography model (ca. -2%; French et al., 2013). 1061 The equilibrium geotherm that best fits the equilibrium temperature and 1062 1063 pressure conditions of the Borborema Province is also consistent with the 1064 seismological constraints for the lithosphere-asthenosphere boundary (LAB) 1065 depth of 80km (Heit et al., 2007) and with the partial melting conditions calculated for the Macau most primitive basalts (1330-1415°C at 80-93km; 1066 Ngonge et al. 2015b; cf. Fig. 10). A hotter than average sublithospheric mantle 1067 may also account for the Cenozoic uplift of the Borborema Plateau (Almeida et 1068 1069 al., 2015; Luz et al., 2015; Klöcking et al., 2018). The equilibrium temperatures 1070 of the studied xenoliths may therefore represent an equilibrium geotherm established in the Cenozoic in response to a slightly hotter than normal 1071 1072 convective mantle temperature. Geophysical data imply that these conditions 1073 are still active today. Data on the xenoliths does not bring any constraints on 1074 the causes of the higher than average sublithospheric temperatures, which 1075 may result from a diffuse mantle upwelling, perturbation of the convective 1076 pattern by the São Francisco craton, or, as suggested by Simoes Neto et al. 1077 (2018), lateral channeling of hot material from a mantle plume upwelling to the1078 SW of the Province.

1079 The present data also do not constrain the evolution through time of the upper mantle temperatures beneath the North Borborema Province. The 1080 estimated melting conditions for the most primitive basalts of the Cretaceous 1081 1082 CMDS (ca. 1320 °C at 70 km depth; Ngonge et al., 2015a) are shallower than those for the Cenozoic Macau volcanics (Ngonge et al., 2015b, suggesting an 1083 1084 even shallower LAB beneath the North Borborema Province in the Mesozoic. This suggests that the lithosphere beneath the NBP has probably cooled and 1085 1086 thickened after the Mesozoic extension. The deepest xenoliths might therefore 1087 represent material accreted to the base of the lithosphere after the Mesozoic. 1088

1089 5.3. Seismic anisotropy in the lithospheric mantle: comparison with SKS1090 splitting data

1091 Shear wave splitting data in the Borborema Province is highly 1092 heterogeneous and does not relate in a simple way to neither the outcropping geological structures nor the absolute plate motion of the South American 1093 1094 plate (Bastow et al., 2015). However, the station RCBR, which is the closest to 1095 sampling sites, being located ca. 50 km east of the Pico do Cabugi, displays a 1096 NNE-oriented fast S-wave polarization parallel to the trend of the Seridó belt and of the main Brasiliano shear zones in the region, and a high delay time 1097 1098 (1.9 s) based on 9 individual measurements (Assumpção et al., 2011).

If we consider that at least part of the SKS splitting measured at RCBR is 1099 1100 produced in the lithospheric mantle, the orientation of the fast polarization 1101 direction constrains the projection of the lineation on the horizontal plane to be oriented in the NNE direction (9±11°). However, there are no constraints on its 1102 plunge or on the dip of the foliation. To draw constraints on the orientation of 1103 1104 the foliation and lineation in the lithospheric mantle, which would allow to discuss possible coupling between crustal and mantle structures, we estimate 1105 the contribution of the lithospheric mantle to the SKS splitting delay time (Δt) 1106 1107 for three end-member orientations of the foliation and lineation in the 1108 lithospheric mantle, illustrated in Fig. 14, and compare these predictions to the 1109 observations at RCBR.

1110 Common conversion point (CCP) receiver function stacks support that the Moho is at ~32km (Almeida et al., 2015) and the LAB is at ~80km based on the 1111 1112 S receiver function data by Heit et al. (2007) in the Northern Borborema 1113 Province. The thickness of the lithospheric mantle in this region is therefore 48 km. Based on the thermobarometric data (Fig. 10), we divided the lithosphere 1114 into two layers and calculated the lithospheric mantle contribution to the 1115 1116 measured delay time using the average seismic anisotropy of the low temperature peridotites (32 - 56km, < 1000 °C) and of the high-temperature 1117 ones (56 - 80 km, > 1000 °C). 1118

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Fig. 14. Estimation of the maximum SKS splitting that may be produced in the lithospheric mantle for three end-member orientations of the flow directions and planes. Stereographic projections show the relation between the geographic (in black) and the structural (in red) reference frames in the three cases. The vertical is in all cases at the center of the diagram. The orientation of the fast SKS polarization (thick red arrow) is based on SKS splitting data for station RCBR.

1137 If the foliation is horizontal and the lineation NE-SW (case 1), the S-wave polarization anisotropy is 2.4% for upper lithospheric mantle and 3.0% for 1138 1139 lower lithospheric mantle. The cumulated SKS delay time is only ~0.30s. If both the foliation and lineation are vertical (case 2), the fast SKS polarization 1140 1141 constrains the direction of the foliation, and SKS waves propagate parallel to the lineation. The S-wave polarization anisotropy in this direction is low, like in 1142 1143 case 1. It is 2.9% for upper layer and 3.6% for lower one and the delay time 1144 that may be cumulated in the lithospheric mantle is ~0.34s. If the foliation is vertical but the lineation is horizontal and parallel to the fast SKS polarization 1145 1146 direction (case 3), the S-wave polarization anisotropy is higher. It is 5.2% in the upper lithospheric mantle and 6.6% for lower lithospheric mantle, leading to a 1147 cumulate delay time in the lithospheric mantle of 0.64s. 1148

In case 3, the lithospheric mantle has a fabric consistent with the crustal 1149 deformation around station RCBR, which is dominated by dextral strike-slip in 1150 transpressional structures in the Seridó belt and in the shear zones that border 1151 it. This case would therefore imply a structuration in the lithospheric mantle 1152 coherent with the crustal deformation in the Brasiliano event. Seismic 1153 anisotropy in the lithospheric mantle, with anisotropy directions parallel or 1154 1155 subparallel to the main nearby Neoproterozoic shear zones is also required by receiver function analysis on most stations in the Borborema Province 1156 1157 (Lamarque and Julià, 2019). At station RCBR, this study proposes horizontal anisotropy axis trending NNE in the lithospheric mantle, consistent with case 3. 1158

1159 It is important to note that even for case 3, the lithospheric mantle can 1160 contribute to < 1/3 of the SKS delay time measured at the RCBR station (1.9 \pm 1161 0.2 s, Assumpção et al., 2011). Thus a large part of the SKS signal at RCBR 1162 has to be produced in the asthenosphere and, for the contributions of the 1163 lithosphere and asthenosphere to add up, asthenospheric flow directions

should not deviate much from NNE. Present-day absolute plate motion (APM) 1164 directions for NE Brazil do not follow this direction. Hotspot reference frame 1165 1166 models, such as HS3-Nuvel-1A, predict an ENE direction, whereas no-net rotation models predict a NNW direction (Gripp and Gordon, 2002). However, 1167 a NNE flow direction in the sublithospheric mantle beneath the Borborema 1168 1169 province is predicted by models in which the present-day mantle flow is 1170 calculated based on a density anomaly distribution derived from global seismic 1171 tomography models (cf. Fig. 8 in Assumpção et al., 2011).

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5.4. Relations between the mantle structure and the geodynamical evolution ofthe Borborema Province

When did the deformation, annealing, and reactive melt percolation processes recorded by the xenoliths happen? How do they relate to the geodynamical evolution of NE Brazil? There are no ways of dating deformation processes in the mantle. However, we may try to use the data discussed in the previous sections to constrain the imprint of the different tectonic events that affected the Borborema Province in the lithospheric mantle.

1181 The coarse-granular microstructures, with their well-equilibrated 1182 pyroxene-olivine grain boundaries, imply effective annealing, which, at the 1183 equilibrium temperatures of these xenoliths, require very long time delays, on the order of several hundreds of Ma. Although the temperature may have 1184 varied since the deformation of the xenoliths, to re-equilibrate the 1185 pyroxene-olivine grain boundaries in <100 Ma, temperatures \geq 1100°C, that 1186 1187 is >200°C above those recorded by the xenoliths at the time of extraction, are 1188 needed. Coarse-granular microstructures are well expressed among the 1189 low-equilibrium temperature xenoliths, suggesting that the shallow part of the lithospheric mantle beneath the Macau volcanics records essentially old 1190 1191 tectonic events. The seismic anisotropy data in the region imply that this lithospheric structure results from Neoproterozoic dextral strike-slip and 1192 1193 transpressional intraplate deformation. A pre-Mesozoic origin of this mantle 1194 fabric is also suggested by the higher annealing degree of the microstructures 1195 of the Borborema xenoliths relative to the Fernando de Noronha ones (Fig. 2). 1196 Indeed, Fernando de Noronha mantle xenoliths record deformation frozen up in the oceanic lithosphere by plate cooling, which post-dates the opening of the 1197 1198 Atlantic (Liu et al., 2019).

1199 Some coarse-porphyroclastic lherzolites have lower equilibrium 1200 temperatures (≤800°C) than most coarse-granular peridotites. This is not a sampling location effect, since both types of peridotites were sampled in Pico 1201 do Cabuqi. The higher olivine intragranular misorientations and less 1202 1203 equilibrated olivine and pyroxene grain shapes indicate less effective 1204 annealing, pointing to either slower annealing due to cooler temperatures or a more recent deformation event. In the first case, the deformation recorded by 1205 1206 these xenoliths may also be associated with the Brasiliano event. In the 1207 second, these peridotite may record Cretaceous or even younger deformation

preserved in the shallow lithospheric mantle. In any case, the studied xenolith 1208 1209 suite exhibits no evidence of strong deformation under low temperature 1210 conditions. There are no xenoliths displaying mylonitic microstructures, with marked grain size reduction of olivine and strong elongation of olivine and 1211 orthopyroxene porphyroclasts, which are usually observed in extensional 1212 1213 shear zones developed in peridotite massifs under low temperature conditions 1214 (<1000°C; e.g., Drury et al., 1991; Frets et al., 2014; Kaczmarek and Tommasi, 1215 2011). Although annealing could have partially obliterated the olivine deformation microstructure, it cannot change the aspect ratios of the 1216 orthopyroxenes in a time scale of 100 Ma. Thus if the low temperature 1217 1218 coarse-granular peridotites correspond to sections of the lithospheric mantle 1219 deformed during the Mesozoic, these are low strain zones and their olivine CPO and seismic anisotropy may still preserve orientations produced by the 1220 1221 previous deformation episodes.

1222 Based on the microstructures of the low equilibration temperature 1223 peridotites and on the seismic anisotropy data, we conclude therefore that the shallow sections of the lithospheric mantle of the Borborema province records 1224 1225 coupled crust and mantle deformation during the formation of the Borborema 1226 shear zone system in the Neoproterozoic. The present dataset has no 1227 evidence for extensive reworking of the shallow lithospheric mantle by the 1228 extensional deformation in the Cretaceous or during the Cenozoic uplift of the 1229 province. Yet, the absence of (annealed or not) low-temperature mylonites in 1230 the xenolith sampling does not imply that shear zones accommodating a 1231 Mesozoic extension did not form in the shallow lithospheric mantle of the 1232 Borborema Province, since our sampling is punctual and such a deformation 1233 would be by nature heterogeneous.

1234 The coarse-porphyroclastic microstructures that characterize the lower 1235 part of the lithospheric mantle (equilibrium temperatures > 1000°C) are more difficult to relate to a given tectonic episode. Partial melting conditions 1236 1237 Ceará-Mirim estimated for the basalts suggest that the 1238 lithosphere-asthenosphere boundary was shallower in the Cretaceous than in 1239 the Cenozoic (cf. Fig. 10 and discussion section 5.2). This would imply that the 1240 deep lithospheric mantle beneath Borborema might be composed by material 1241 accreted by cooling since the Cretaceous. Comparison between the microstructures of these coarse-porphyroclastic peridotites with those of 1242 1243 peridotite xenoliths from the nearby Fernando de Noronha (FN) archipelago, 1244 formed in response to Cenozoic volcanism onto 100-105 Ma old crust in the equatorial Atlantic (Liu et al., 2019) also favors a Mesozoic age for the 1245 deformation of these peridotites. Indeed, the olivine M2M and olivine and 1246 1247 pyroxene shape factors of the Borborema peridotites overlap with the lower range of olivine M2M and shape factors, that is, with the most annealed 1248 microstructures of the FN peridotites (Fig. 2). The FN peridotites record an 1249 1250 asthenospheric deformation, which has been frozen in the oceanic lithosphere 1251 by cooling and evolved by annealing since then (Liu et al., 2019). Their

deformation is therefore younger than the opening of the Equatorial Atlantic. 1252 The similar to slightly stronger annealing degree of the coarse-porphyroclastic 1253 1254 Macau peridotites suggests that the deformation that produced these microstructures in the deep section of the lithospheric mantle beneath the 1255 Borborema province might be related to the Cretaceous extension. Thus, 1256 1257 although this event did not result in widespread deformation of the shallow levels of the lithospheric mantle, it might have reworked the base of the 1258 1259 lithosphere (bottom-up lithospheric thinning?). Yet the high SKS delay times in station RCBR do not favor strong deviations in flow direction from NNE across 1260 1261 the entire lithosphere-asthenosphere section, implying that either strains were 1262 small and did not change the Neoproterozoic CPO orientations or that flow 1263 directions during extension were at high angle to the extensional structures in the Cretaceous basins and to the Equatorial Atlantic spreading directions. 1264

Finally, the very low annealing degree and high equilibration temperatures 1265 1266 of the fine-grained porphyroclastic microstructures necessarily imply 1267 deformation close in time to the Cenozoic Macau volcanism. Based on the microstructural evidence for high stresses, but low finite strains for this 1268 deformation, we propose that it is related to the formation of the dykes that 1269 1270 brought the Macau volcanics to the surface. The equilibration temperatures of 1271 the xenoliths imply a rather hot geotherm, leading to an 80-km thick 1272 lithosphere in the Cenozoic. This is consistent with melting depths inferred for 1273 the Macau basalts (Ngonge et al., 2015b). It is also coherent with the 1274 present-day thermal state of the lithospheric and sublithospheric mantle as 1275 imaged by geophysical data.

1276 Dating the reactive melt percolation events is even more difficult than the deformation ones. The equilibrated microstructures and the coherent olivine 1277 1278 and pyroxene CPOs in the coarse-granular peridotites undoubtedly point to a 1279 Brasiliano or older reactive melt percolation event. Coarse-porphyroclastic peridotites record younger melt percolation events, which in some cases 1280 predated or were synchronous to the main deformation recorded by the 1281 1282 samples. However, in other cases, like in the coarse-porphyroclastic 1283 harzburgites, melt percolation post-dated the main deformation. Finally, the non-equilibrated chemical compositions at the sample scale observed in many 1284 1285 samples, in particular the high-temperature coarse-porphyroclastic 1286 harzburgites, point to a last event of melt percolation that shortly predated the 1287 extraction of the peridotites. In summary, this peridotite suite recorded multiple 1288 reactive melt percolation events, probably well separated in time and related to the different magmatic episodes recorded in the crust. 1289

1290

1291 **6. Conclusion**

1292 Integrated analysis of microstructures, crystal preferred orientations, 1293 mineral chemical compositions, and equilibrium temperatures in a suite of 22 1294 peridotite xenoliths reveals that the lithospheric mantle beneath the Northern 1295 Borborema Province preserves microstructures related to different deformation

episodes since at least the Neoproterozoic. In all cases, olivine CPO points to 1296 deformation by dislocation creep with dominant activation of [100](010) slip 1297 1298 system. However, the deformation microstructures were modified by variable degrees of annealing. The analysis of the extent of the annealing considering 1299 the equilibration temperatures allows rough 'dating' of the deformation 1300 1301 episodes and relating them to the major deformation events recorded in the 1302 crust. The well-annealed olivine microstructures and pyroxene shapes in 1303 coarse-granular peridotites equilibrated at ca. 900°C indicate that the last deformation event that affected these peridotites is several hundreds of Ma old. 1304 In contrast, the fine-porphyroclastic peridotites, which have equilibrium 1305 1306 temperatures ≥1200°C, have suffered a high stress deformation, which shortly 1307 predated their extraction, probably related to the dykes that fed the Cenozoic volcanism. The coarse-porphyroclastic microstructures, which are observed 1308 both in the shallow and deep lithospheric mantle are more difficult to relate to a 1309 1310 given tectonic episode. Yet comparison between the microstructures of these 1311 peridotites and those of peridotite xenoliths from nearby Fernando de Noronha island, which sample the oceanic mantle lithosphere of an old domain of the 1312 1313 Equatorial Atlantic, suggest that the high-temperature coarse-porphyroclastic 1314 peridotites may record deformation related to the Cretaceous extension. 1315 Multiple reactive melt percolation events, probably well spaced in time, may also be inferred based on the microstructures, modal, and mineral 1316 1317 compositions of the xenoliths.

Comparison of the computed seismic anisotropy of the lithospheric mantle 1318 1319 based on the xenolith data to SKS splitting in nearby RCBR station supports 1320 that the strongest contribution of the lithospheric mantle to the measured 1321 anisotropy would correspond to a frozen strike-slip fabric parallel to the major NNE-NE Neoproterozoic shear zones in the region. A shallow lithospheric 1322 1323 mantle fabric parallel to the Neoproterozoic shear zones is also suggested by anisotropic receiver functions (Lamargue and Julia, 2019). These observations 1324 1325 corroborate the conclusion that the shallow lithospheric mantle in the Northern 1326 Borborema province still preserves a structure acquired by coupled 1327 crust-mantle deformation during the formation of the Borborema shear zone system in the Neoproterozoic. It also suggests that Cretaceous extension, 1328 which seems to be recorded in the deeper sections of the lithosphere, did not 1329 1330 produce pervasive reworking of the shallow lithospheric mantle, pointing to 1331 'partial' or total crust-mantle decoupling during this event. However, even if the 1332 entire lithospheric mantle has a frozen strike-slip fabric parallel to the major NNE-NE Neoproterozoic shear zones in the region, it can produce <1/3 of the 1333 measured delay time of 1.9s in station RCBR. Thus most of the measured SKS 1334 1335 splitting in RCBR should record flow in the sublithospheric mantle, which also has a NNE orientation, which is not parallel to the APM, but is consistent with 1336 predictions of mantle circulation models for this region. 1337

Finally, equilibrium temperatures and petrological compositions of the xenoliths indicate a rather hot Cenozoic geotherm, implying a ca. 80 km thick lithosphere. This estimate is consistent with the melting conditions estimated
for the formation of the Macau basalts (Ngonge et al. 2015). It is also coherent
with geophysical data that point to a present-day 80-km thick lithosphere (Heit
et al. 2007) and hotter than average sublithospheric mantle beneath this region
(French et al., 2013; Simões Neto et al., 2019).

1345

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1358 The data used in this article are presented in the figures, tables, and 1359 supporting material. The raw EBSD data are available from the corresponding 1360 author upon request.

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1363 8. References

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	Location	Rock type	Microstructure	Modal compositions (%)			Thermometry (°C)						Bulk			
Sample								Two-pyroxene			Ca-in-opx			buik		
				ol	орх	срх	sp	Rim	sd	Core	sd	Rim	sd	Core	sd	Mg#*
16CA07	Pico Cabugi	Lz		61	22	15	2	816	52	834	9	903	22	878	11	89.0
16CA08	Pico Cabugi	Lz		58	26	15	1	834	21	830	27	905	13	869	6	89.0
16CA09	Pico Cabugi	Lz	Coarse granular	70	23	7	1	923	17	920	18	970	5	958	7	90.3
16CA12	Pico Cabugi	Lz	Coarse-granulai	69	19	10	2									
16CA14	Pico Cabugi	Lz		58	28	12	2	627	38	592	51	836	148	790	16	89.4
BO9^^	Bodo	Lz		63	22	15	<1	946		914		899		893		90.6
16CA01	Pico Cabugi	Hz		79	18	3	1	985	72	1026	180	955	3	970	41	90.9
16CA02	Pico Cabugi	Hz		82	13	5	1									
16CA03	Pico Cabugi	Hz		87	10	3	<1	1024	8	1111	87	1119	148	1028	149	90.7
16CA04	Pico Cabugi	Lz		59	23	15	4									
16CA05	Pico Cabugi	Lz		71	15	14	<1									
16CA06	Pico Cabugi	Lz	Coarse-	67	21	11	1	703	5	710	16	778	9	846	46	90.2
16CA11	Pico Cabugi	Lz	porphyroclastic	72	8	18	2	693	8	691	25	813	21	809	18	89.5
16CA15	Pico Cabugi	Lz		67	19	13	1	887	152	730	112	856	29	785	76	89.5
16CA18	Pico Cabugi	Hz		82	16	2	1	1036	121	952	110	1005	106	942	8	90.9
GR1^^	Fazenda Geroncio	Lz		76	16	7	1	1202	14	1183	36	1178	6	1180	7	90.0
SV8^^	Serra Verde	Lz		77	13	9	1	1026		1008		1038		1034		90.6
SV14^^	Serra Verde	We		53	0	45	1									91.0
AG6^^	Serra Aguda	Lz		61	24	13	1			1186	1			1192	10	89.4
AG7^^	Serra Aguda	Hz	Fine-	76	20	3	<1			1215	6			1220	3	90.7
Pc105^	Pico Cabugi	Hz	porphyroclastic	72	25	4	<1			1210				1220		91.3
Pc109^	Pico Cabugi	Hz		74	21	4	<1			1225				1233		90.4

Table 1 Microstructures	modal compositions	thermometry	and bulk rock Ma# of M	Macau volcanice	naridatita vanalithe
	, mouai compositiona	s, uternomeny	, and built fock $\log \pi$ of t		periodule verionilis

Hz: Harzburgite; Lz: Lherzolite; We: Wehrlite; ^ Samples from Rivalenti et al. (2000); ^^ Samples from Rivalenti et al, (2007) * estimated by average chemical composition and the modal contents (area.%) of each mineral except Pc105, Pc109, AG6, BO9 and SV8 for which whole rock chemical data were available
Qamala	Microstructure Rex area fraction					Olivine	(Orthopyroxen	Clinopyroxene					
Sample			J-index	BA-index	M2M (°)	GOS (°)	Shape factor^	Grain size^ (µm)	Aspect ratio^	Shape factor^	Grain size^ (µm)	Aspect ratio^	Grain size^ (µm)	Aspect ratio^
16CA07	Coarse-granular	Bulk-rock	5.8	0.34	1.56	1.27	1.67	1381	1.81	1.69	1126	1.61	827	1.61
16CA08		Bulk-rock	6.6	0.35	1.36	0.74	1.56	1493	1.69	1.51	1322	1.57	936	1.62
16CA09		Bulk-rock	6.4	0.46	1.14	1.61	1.59	2233	1.55	1.46	1401	1.53	872	1.60
16CA12		Bulk-rock	6.3	0.62	1.73	1.33	1.65	2134	1.59	1.49	1190	1.51	926	1.67
16CA14		Bulk-rock	4.7	0.33	1.46	1.06	1.64	1899	1.55	2.01	2688	1.57	1007	1.61
BO9^^		Bulk-rock	6.5	0.84	1.41	0.88	1.85	1577	2.04	2.00	1669	1.80	1008	1.80
16CA01	υ	Bulk-rock	6.6	0.77	2.60	1.27	1.90	2428	1.86	1.64	1088	1.83	799	1.61
16CA02		Bulk-rock	6.2	0.81	2.67	1.52	1.73	2001	1.66	1.61	1194	1.62	636	1.70
16CA03		Bulk-rock	6.8	0.73	2.90	1.34	1.74	2140	1.78	1.95	894	1.94	248	1.86
16CA04	asti	Bulk-rock	4.8	0.45	2.08	1.90	1.61	1813	1.56	2.14	2678	1.54	1124	1.63
16CA05	oc	Bulk-rock	3.8	0.47	2.22	1.39	1.64	1856	1.62	1.85	2503	1.96	1074	1.61
16CA06	lyhc	Bulk-rock	7.3	0.27	2.23	1.17	1.80	2192	1.64	2.12	3376	1.82	925	1.59
16CA11	por	Bulk-rock	5.8	0.31	3.35	1.48	1.71	2281	1.63	1.75	3298	1.58	1131	1.70
16CA15	se-	Bulk-rock	5.1	0.48	1.66	0.78	1.90	1837	1.66	2.38	3279	1.76	947	1.69
16CA18	oar	Bulk-rock	8.0	0.82	2.44	1.36	1.80	2416	1.93	1.78	1197	2.02	459	1.89
GR1	0	Bulk-rock	8.5	0.45	2.28	1.37	1.83	2507	1.87	1.75	1378	1.62	1048	1.52
SV8		Bulk-rock	9.0*	0.27	2.33	2.26	1.91	1589	2.04	1.75	1337	1.85	775	1.56
SV14		Bulk-rock	6.8	0.37	2.22	1.38	2.22	1655	1.68				1713	1.56
AG6		Bulk-rock	4.6	0.44	5.64	1.96	3.02	1221	1.69	1.89	1646	1.45	920	1.73
		Porphyroclasts	9.5	0.55	8.07	5.66	4.04	2143	1.74	1.89	1672	1.45	965	1.73
		Neoblasts (30%)	2.2	0.27	2.51	1.50	1.77	117	1.61	1.69	157	1.59	175	1.72
AG7	stic	Bulk-rock	16.6*	0.40	3.05	0.89	2.83	3062	1.67	2.01	1301	1.62	729	1.63
	clas	Porphyroclasts	24.6*	0.41	3.67	4.05	3.17	3814	1.67	2.06	1511	1.56	906	1.61
	J	Neoblasts (22%)	2.8	0.38	0.57	0.49	1.60	365	1.65	1.82	540	1.82	418	1.66
Pc105	e-porph	Bulk-rock	6.4	0.25	6.18	1.47	2.20	2133	1.68	2.06	2772	1.75	748	1.52
		Porphyroclasts	8.2	0.26	7.22	5.30	2.36	2538	1.70	2.10	2919	1.74	865	1.48
	Fin	Neoblasts (15%)	2.1	0.26	0.54	0.56	1.49	311	1.57	1.48	464	1.91	435	1.61
Pc109		Bulk-rock	7.5	0.18	5.25	1.48	2.34	2330	1.74	2.56	1881	2.04	1082	1.95
		Porphyroclasts	9.2	0.18	5.96	4.86	2.48	2682	1.78	2.63	2046	2.04	1231	2.01
		Neoblasts (14%)	2.6	0.20	0.61	0.59	1.55	358	1.52	1.90	476	2.09	358	1.65

Table 2 Quantitative texture and microstructure parameters derived from EBSD mapping for olivine, orthopyroxene, and clinopyroxene

All values are averages over the entire EBSD map, weighted by the grain area

M2M: Misorientation relative to mean orientation of the grain; GOS: Grain orientation spread; Rex: recrystallized

^ Grain sizes, aspect ratios, and shape factors are apparent 2D values. Aspect ratios may be underestimated since many sections were not cut on the XY structural plane.

* marks J-index values that are probably overestimated due to the small number of coarse grains in the thin section (small samples)

				Seismic properties (velocity in km/s and anisotropy in %)												
	Sample	Rock type	Microstructures	Vp	Vp	Avp	AVs	Vs1	Vs1	AV/s1	Vs2 max	Vs2 min	AVs2	Vp/Vs1 max	Vp/Vs1 min	AVp/Vs1
				max	min			max	min	7.001						
Low-temperature samples (<1000°C) P=1.46GPa T=916°C	16CA07	Lz	Coarse-granular	8.2	7.4	10.0	7.1	4.7	4.4	5.2	4.5	4.3	4.4	1.8	1.7	6.3
	16CA08	Lz	Coarse-granular	8.2	7.5	9.2	6.7	4.7	4.5	4.2	4.5	4.3	4.1	1.8	1.7	5.7
	16CA09	Lz	Coarse-granular	8.2	7.6	7.8	5.8	4.7	4.5	3.5	4.5	4.4	4.0	1.8	1.7	7.7
	16CA14	Lz	Coarse-granular	8.1	7.5	7.8	5.5	4.7	4.5	4.2	4.5	4.4	3.4	1.8	1.7	5.4
	BO9	Lz	Coarse-granular	8.3	7.6	9.3	6.0	4.7	4.5	3.0	4.6	4.3	5.2	1.8	1.7	9.2
	16CA06	Lz	Coarse-porph	8.2	7.5	9.1	7.3	4.7	4.4	5.9	4.6	4.3	4.6	1.8	1.7	5.5
	16CA11	Lz	Coarse-porph	8.2	7.6	7.7	6.5	4.7	4.5	3.8	4.5	4.4	3.2	1.8	1.7	5.5
	16CA15	Lz	Coarse-porph	8.2	7.6	7.4	5.6	4.6	4.5	3.4	4.5	4.4	4.3	1.8	1.7	5.3
	Average	-	-	8.2	7.6	7.9	5.2	4.7	4.5	3.6	4.6	4.4	3.7	1.8	1.7	5.5
High-temperature samples (>1000°C) >=2.12GPa T=1189°C	16CA01	Hz	Coarse-porph	8.4	7.4	12.3	8.1	4.6	4.4	4.1	4.5	4.2	7.1	1.9	1.7	10.9
	16CA03	Hz	Coarse-porph	8.5	7.4	14.0	10.0	4.7	4.4	6.3	4.5	4.2	7.3	1.9	1.6	13.5
	16CA18	Hz	Coarse-porph	8.6	7.4	14.2	9.7	4.7	4.4	5.7	4.5	4.2	7.2	1.9	1.6	14.7
	GR1	Lz	Coarse-porph	8.2	7.4	10.4	8.2	4.6	4.4	5.9	4.5	4.2	5.4	1.8	1.7	7.3
	SV8	Lz	Coarse-porph	8.2	7.4	11.3	9.0	4.7	4.3	7.2	4.5	4.2	5.8	1.8	1.7	6.6
	AG6	Lz	Fine-porph	8.0	7.4	8.2	6.3	4.6	4.4	5.0	4.4	4.3	3.6	1.8	1.7	5.9
	PC105	Hz	Fine-porph	8.0	7.4	7.7	5.9	4.6	4.3	5.7	4.4	4.3	1.9	1.8	1.7	3.5
	PC109	Hz	Fine-porph	8.1	7.4	9.1	7.4	4.6	4.3	6.4	4.4	4.3	3.0	1.8	1.7	4.3
	AG7	Hz	Fine-porph	8.2	7.4	10.4	8.7	4.7	4.4	6.3	4.5	4.2	5.8	1.8	1.7	9.3
LL.	Average	-	-	8.3	7.4	10.5	7.7	4.7	4.4	5.8	4.5	4.3	4.9	1.8	1.7	7.4

Table 3. Calculated seismic properties for the individual and for the average low- and high-temperature Macau peridotites

Coarse-porph: Coarse-porphyroclastic; Fine-porph: Fine-porphyroclastic; Hz: Harzburgite; Lz: Lherzolite

AVp: Maximum P-wave propagation anisotropy; AVs: Maximum S-wave polarization anisotropy; AVs1: Maximum fast S-wave propagation anisotropy; AVs2: Maximum slow S-wave propagation anisotropy; AVs1/Vp: Maximum anisotropy of Vp/Vs1 ratio

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