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#### PREDICTION OF COMPOSITIONAL AND SENSORY CHARACTERISTICS USING 2 **RGB DIGITAL IMAGES AND MULTIVARIATE CALIBRATION TECHNIQUES**

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#### 12 **Abstract**

13 In the present paper, the possibility to use the information contained in RGB digital images to 14 gain a fast and inexpensive quantification of colour-related properties of food is explored. To 15 this aim, we present an approach which consists, as first step, in condensing the colour related 16 information contained in RGB digital images of the analysed samples in one-dimensional signals, named colourgrams. These signals are then used as descriptor variables in 17 18 multivariate calibration models. The feasibility of this approach has been tested using as a 19 benchmark a series of samples of pesto sauce, whose RGB images have been used to predict 20 both visual attributes defined by a panel test and the content of various pigments (chlorophylls 21 a and b, pheophytins a and b,  $\beta$ -carotene and lutein). The possibility to predict correctly the 22 values of some of the studied parameters suggests the feasibility of this approach for fast 23 monitoring of the main aspect-related properties of a food matrix. The values of the squared correlation coefficient computed in prediction on a test set  $(R^2_{Pred})$  for green and yellow hues 24 were greater than 0.75, while  $R^{2}_{Pred}$  values greater than 0.85 were obtained for the prediction 25 26 of total chlorophylls content and of chlorophylls/pheophytins ratio. The great flexibility of 27 this blind analysis method for the quantitative evaluation of colour related features of matrices 28 with an inhomogeneous aspect suggests that it is possible to implement automated, objective, 29 and transferable systems for fast monitoring of raw materials, different stages of the 30 manufacture and end products, not necessarily for the food industry only.

31 Keywords: RGB digital image analysis; multivariate calibration; wavelet transform; colour; 32 food aspect; pigments; sensory evaluation;

#### 34 1. Introduction

35 Visual aspect is one of the most important parameters for the assessment of food quality. 36 For this reason, food industry is more and more interested in optimising not only taste and 37 nutritional characteristics of a food product, but also its appearance, which is a complex 38 combination of different characteristics including colour, texture, defects, etc. The 39 identification of objective methods able to quantify visual aspect, or to codify some 40 characteristics like amounts and distribution of the colour, is therefore fundamental. For 41 example, correlations with sensory evaluation scores could help to implement automated and 42 transferable systems for on-line process control or to check the aspect of the final product, even at the supermarket. Moreover, the definition of mathematical models linking colour-43 44 related aspects to chemical parameters (e.g. pH values or concentration of pigments) could 45 help to explain the underlying mechanisms responsible for food appearance.

This research field is even more attractive because the instrumentation required to reach these goals is accessible at very low costs. In fact, many different types of digital cameras, webcams and scanners are able to convert the visual aspect of a food matrix in a series of data, i.e. the digital Red, Green and Blue (RGB) image. The key point is the definition of proper automated methods able to extract from RGB images the useful information and to employ it for calibration, classification, process monitoring, etc.

52 Scientific literature reports the use of digital images for the calibration of various food 53 properties. The major part of the published research works describes applications for the 54 prediction of technological and sensory aspects by means of various regression tools, 55 including Multiple Linear Regression (MLR), Partial Least Squares (PLS) and Artificial 56 neural Networks (ANN), in order to model the relationships between the information 57 contained in the digital images and the investigated properties [1-8]. The image features that 58 constitute the matrix of descriptors in the regression models are generally extracted by means 59 of Multivariate Image Analysis (MIA) based techniques, which essentially consist in PCA of 60 the unfolded image matrix. These techniques are able to convert images in objective and 61 transferable information [7-9]. In some research works, the extracted image features are 62 selected using different methods, such as Genetic Algorithms (GA) [2, 3] or Wavelet Transform (WT) [4]. 63

Moisture [4, 10, 11], sensory and mechanical parameters [3, 12, 13] are some of the most frequently studied food properties using image analysis based calibration methods. Automated procedures to estimate the number of bacteria or the yeast mass grown on a proper support have been also reported [14, 15]. 68 Only few research works concerning the image-based quantification of food components using multivariate calibration [7, 16] have been reported. The estimate of the 69 70 chemical characteristics of food based on RGB images seems a more difficult task, since few 71 works are available in the literature. Some Authors have modelled various properties (ranging 72 from the lipids and carotenoids content in wheat to the percentage of potato chip surface 73 covered by seasoning [17-20]) by means of univariate methods, obtaining satisfactory results. 74 However, these univariate methods are specifically designed for the problem at hand and 75 rarely can be transferred to a different application.

76 This short literature survey gives an idea of the wide possibilities offered by RGB 77 imaging as a tool for fast and non-destructive characterisation of food matrices. However, the 78 development of versatile methods, able to extract from the images a set of descriptors 79 independently of the specific nature of the analysed matrix, and that can be used to build 80 calibration models for a wide set of response variables, is not straightforward. In fact, many of 81 the cited applications are customised on a specific food matrix for the prediction of a 82 restricted group of variables. For example, the procedures that require image segmentation 83 generally involve the customisation of the image analysis method. In fact, segmentation is 84 often based on a problem-specific criterion, in order to isolate the sample from the 85 background or to extract the informative portion of the image [3, 4, 11, 12, 16, 21, 22]. In 86 other research works the customisation involves pretreatments like denoising, filtering, 87 scaling and transformation of the colour space, which use is driven by the specific nature of 88 the problem to be solved [4, 5, 7, 10, 11, 18, 23].

89 Following these considerations, in order to create a more versatile approach, Antonelli 90 et al. [24] have developed an algorithm for the extraction of the overall colour-related 91 information of the image, which is coded in the form of a signal named colourgram. The 92 approach essentially consists in calculating the frequency distribution curves of a series of 93 colour related parameters: red, green, blue, hue, saturation, lightness, and scores from the 94 Principal Component Analysis (PCA) of the unfolded image data. The frequency distribution 95 curves and the loadings and eigenvectors from PCA are then merged in sequence to give a 96 4900 points-long one-dimensional signal, the colourgram, which codes the colour content of 97 the image.

A dataset composed by this kind of signals can then be used as an input to multivariate methods. For example, the most interesting features of a set of images can be investigated simply by calculating a PCA model on the corresponding matrix of colourgrams. Similarly, a 101 matrix of colourgrams can be used as a set of descriptor variables to build classification or 102 calibration models.

103 Compared to other approaches described in the literature, the main advantage offered by 104 colourgrams lies in the flexibility of their possible applications. This is mainly due to the fact 105 that colourgrams contain the whole information content of two different colour spaces (RGB 106 and HSI) and of quantities derived from the RGB space. Therefore, there are no *a priori* 107 assumptions on the features bringing the useful information for the specific problem under 108 investigation. On the contrary, an image fingerprint that reflects all the complex colour-109 related features typical of a given food matrix is considered.

Moreover, the conversion of the image in a one-dimensional signal before processing allows higher data compression and easier computation. In fact, starting from the millions of data of the original image, elaboration is performed on a 4900 points-long signal, which can be further significantly shortened, up to few units, using proper signal compression/feature selection methods. Moreover, the methods available for processing monodimensional signals are more numerous, widespread and fast than the algorithms for image analysis.

116 The first application of the colourgrams approach [24] was made on an Italian pasta 117 sauce, namely Pesto alla genovese. This food matrix was chosen as a benchmark, both since it 118 contains particles of different size and colour, thus showing an inhomogeneous aspect, and for 119 its colour instability, mainly due to the degradation of chlorophylls to pheophytins, which 120 causes a change of colour from bright green to dull green-brownish [25]. The aim of this first 121 work was to classify the digital images based on different pesto brands. The use of a feature 122 selection algorithm based on the Wavelet Transform (WT) [26] for the identification of the 123 most significant regions of the colourgrams allowed to reach a 100% classification efficiency 124 in the prediction of an external test set.

These satisfactory results encouraged us to go a step further, evaluating the possibility to employ colourgrams for calibration purposes. Therefore, in the present work, we used colourgrams to predict both sensory and chemical properties, merging the results of previous studies on sensory [27] and chemical analysis [28] with the information contained in RGB images. The same food matrix, pesto sauce, was used as a benchmark for the same reasons described above.

Multivariate calibration models were built using both PLS and a feature selection/calibration algorithm based on WT [29], namely Wavelet Interface for Linear Modelling Analysis (WILMA) [30, 31]. The WILMA algorithm takes advantage of the multiscale characteristics of the wavelet transform, which permits to consider both the shape of the

135 signal (i.e., its frequency content) and its local aspects, such as peak positions and 136 discontinuities. The variable selection implemented in WILMA allows to choose only those 137 colour-related characteristics, which are the most relevant ones for a specific calibration task. 138 The selected regions are generally contiguous, allowing an interpretation of the model based 139 on visual inspection of these portions of the colourgram. In addition, this allowed the 140 reconstruction of sample images displaying only the pixels with RGB values corresponding to 141 the selected regions. In other terms, the feature selection made by the algorithm has been 142 represented directly on the original images. Therefore, even though the colourgram contains 143 only colour related information, regardless of spatial information (i.e., the specific location of 144 each pixel is lost in the colourgram), it is still possible to have an "image-like" reconstruction 145 of the selected features, which also allows their interpretation in spatial terms.

The results of the use of colourgrams for calibration purposes are very encouraging, showing a wide range of possible applications. A common RGB camera, together with an appropriate data processing as described in this paper, is able to catch enough information to make it suitable for quality control both in on-line and in off-line applications.

150

#### 151 2. Experimental

## 152 2.1 Sampling and acquisition of digital images

In this study, twenty-four jars of pesto sauce from ten different producers have been considered. For nine producers two jars from single batches, indicated with letters from A to I have been analysed, while one producer supplied six jars from three different batches, indicated with letters from J to L.

157 After opening the jars, subsamples were used for image acquisition. Then, a part was kept and stored in a dark place at 4 °C to be analysed in the following days for the 158 159 determination of pigment concentration [28]. Another part was kept to fill identical glass pots, 160 identified by a numerical code, which were stored in a dark place at 4 °C as well, to be used 161 for the panel test sessions in the following days [27]. During this time no appreciable 162 degradation occurs, because the samples are stable under these storage conditions. In fact, 163 pigments degradation occurs during pesto processing, when the product is acidified and 164 pasteurised to improve microbiological stability.

For each one of the twenty-four original pesto jars, four aliquots of about 50 ml were separately collected and then spread on a flat ( $10 \times 10$ ) cm<sup>2</sup> surface to an approximately constant 5 mm thickness, for subsequent digital image acquisition. A dataset of 96 digital

168 images of pesto was acquired as 24 bit RGB (16.8 millions of colours) with a  $1280 \times 960$ 169 spatial resolution, using a common Fujifilm Finepix S5000 digital camera, and then 170 transferred to a personal computer as *jpeg* compressed image files (compression ratio 8:1). 171 The choice to use low spatial resolution compressed images (average file size 487 KB) 172 instead of higher resolution raw images (average file size 9 MB) was made on the basis of 173 preliminary trials, where the score plots obtained by the PCA on colourgrams of some sample 174 images acquired both in raw and in compressed modes at different resolutions were 175 compared, showing similar patterns. Even if raw images could give better results preserving 176 all the potentially useful information, the usability of the method (in terms of data storage and 177 of computational power requirements) was considered more important.

The image acquisition system consisted in a white painted illumination chamber, equipped with  $8 \times 25W$  equally spaced tungsten lamps (Philips 25 W 240 V SES Argenta Lustre). The digital camera was placed on the aperture on the top of the chamber, 40 cm above the sample. A scene area of about 10 cm  $\times$  10 cm was covered, which corresponds to the sample surface area, taking care to avoid the presence of background pixels in the image.

In order to evaluate the effect of possible variations of the illumination conditions, preliminary tests were performed on the acquired images. However, the correction of the raw RGB values by means of a standard has not led to improvements of the results. Reasonably, this is due to the fact the light source variations within the relatively short time interval needed for image acquisition have not caused effects detectable by the CCD device of the RGB camera.

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#### 190 2.2 Sensory and chemical analyses

191 Sixteen judges had been specifically trained on the visual appearance of pesto samples, 192 where the different attributes used to define aspect-related properties of pesto sauce were 193 discussed with the help of some examples. Descriptive analysis was carried out evaluating the 194 attributes listed in Table 1 on 10 cm long continuous line scales. In addition to six visual 195 attributes, the personal preference of the judge, which is a hedonic attribute, was also 196 included. Even if hedonic scales are usually reserved for consumer populations greater than 197 30, this hedonic attribute was included anyway, considering it as a sort of dummy variable. In 198 fact, the variance due to subjectivity of the single assessors would have made PR difficult to 199 estimate.

Each one of the twelve batches of pesto was submitted four times to the evaluation of each panellist during three separate sessions. In each session, twelve different samples and four replicates were evaluated following a Latin squares design. Finally, the scoring of each sample was calculated as the mean of the four evaluations. For a more detailed description of the sensory analysis, see reference [27].

205 On the same batches subjected to sensory evaluation, a double determination of the 206 amount of the main pigments has been conducted. In particular, the following species were 207 quantified: chlorophylls *a* and *b* (Chl *a* and Chl *b*), lutein (Lut),  $\beta$ -carotene (Car), pheophytins 208 *a* and *b* (Pht *a* and Pht *b*). All pigments were determined by Reversed-Phase High-209 Performance Liquid Chromatography (RP-HPLC), except for Car, which was directly 210 quantified on the purified extract by Vis spectrophotometry [28].

Beyond the concentration values of the single pigments, some derived compositional characteristics were also considered in the subsequent calibration models: total chlorophylls (ChlTOT, defined as Chl a + Chl b), total pheophytins (PhtTOT, defined as Pht a + Pht b) and chlorophylls/pheophytins ratio (Chl/Pht, defined as ChlTOT/PhtTOT). This latter quantity was considered since it expresses the extent of chlorophylls degradation.

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### 217 2.3 Extraction and quantification of colour-related information

218 The colour related information of each digital image was used to build the 219 corresponding colourgram. To this aim, the three way array corresponding to the RGB image, 220 having size {960, 1280, 3} (where 960 is the number of pixel rows, 1280 the number of pixel 221 columns, and 3 corresponds to the R, G and B colour channels) is unfolded to a  $\{(960 \times$ 222 1280), 3} bidimensional matrix containing all the pixels in rows and the R, G and B channels 223 in columns. Then, this matrix is expanded by adding a series of columns, corresponding to 224 parameters derived by R, G and B: Lightness (L), defined as the sum of the three channel 225 values, the relative colours (rR, rG and rB), defined as the ratio between each channel and L, 226 and the Hue, Saturation and Intensity values of the HSI colour space. Moreover, three PCA 227 models are calculated: the first one on the unfolded RGB data matrix without any data 228 pretreatment (raw), the second one after meancentering, and the last one after autoscaling. 229 The nine score vectors (three for each PCA model) are also added as further columns to the 230 data matrix. Then, for each one of the 19 columns of the resulting data matrix, the 231 corresponding 256 points-long frequency distribution curve is calculated. The 19 frequency 232 distribution curves are then joined in sequence to form a unique vector, and at the end the values of the three loading vectors (nine points) and of the eigenvalues of the three Principal Components (PCs) are added for all the three PCA models, to form a one-dimensional signal, the colourgram, of length equal to  $(256 \times 19 + 36) = 4900$  points, which describes the colour properties of the image. For a more detailed description of the algorithm used to build the colourgrams, the reader is referred to Antonelli et al. [24]. The matrix composed by the colourgrams (*colourgrams matrix*) was then used as predictor matrix for calibration purposes.

We are aware that the colour representation of the images by means of the whole colourgram is redundant; on the other hand, it must be emphasised that the 4900 points of a colourgram constitute anyway a small number, if compared to the  $(1280 \times 960 \times 3) =$ 3,686,400 data values of the original image array. Most important, in the same manner as the useful chemical information for a specific task is present only in specific portions of a NIR spectrum, also in this case the most relevant parts of the colourgrams for a given problem can be selected by means of proper signal processing and feature selection methods.

A simpler approach was also tested, using a *reduced matrix*, where for each digital image only 40 descriptor variables are calculated, corresponding to the mean, median, range and standard deviation values of parameters of the colourgram: R, G, B, L, rR, rG, rB, H, S, and I.

The same objects subdivision between training and test set, each one containing 48 objects, was done both for the *colourgrams matrix* and for the *reduced matrix*: for each batch all the replicates of the first jar were included in the training set and all the replicates of the second jar were included in the test set.

254

#### 255 2.4 Multivariate Calibration and Feature Selection

256 As a starting point, PLS1 models have been applied to the colourgrams matrix and to the 257 reduced matrix as predictors for each one of the 16 response variables. The combinations of 258 different column-wise and row-wise preprocessing methods were considered. In particular, 259 first order derivative (D1), second order derivative (D2) and standard normal variate (SNV) 260 were applied alone and in combination with mean centering (MNCN). The best preprocessing 261 and the optimal number of Latent Variables (LVs) were chosen on the basis of the results in 262 contiguous blocks (12 groups) cross-validation. This cross-validation method was chosen in a 263 way that the four replicates of each sample were contemporarily deleted during each cycle of 264 cross-validation. As for the reduced matrix, autoscaling (AUTO) was used for data

preprocessing, and also in this case the optimal number of LVs was chosen based oncontiguous blocks (12 groups) cross-validation.

267 Since the information contained in colourgrams could be overwhelmed by 268 uninformative variation, a feature selection technique was also used to compute the 269 calibration models on the colourgrams matrix.

270 To this aim, we used the WILMA algorithm, which is based on the Fast Wavelet 271 Transform (FWT) [29], a decomposition method in the WT domain. As a first step, i.e. at the 272 first decomposition level, FWT splits the low and the high frequency contents of the signal (in 273 this case, of each colourgram) into two orthogonal and complementary sub-spaces, called 274 approximation and detail vector, respectively. To this aim, a couple of filters (the high-pass 275 and low-pass wavelet filters) are used. Then, at the subsequent decomposition levels, it 276 recursively splits into the approximation and detail vectors the approximation vector of the 277 previous decomposition level, using the same wavelet filters. Therefore, at a given 278 decomposition level L, the signal is represented by the approximation vector at level L and by 279 all the detail vectors from level L to level 1. Each one of these vectors, which are defined in 280 the same domain of the original signal, can be considered as a filtered version of the original 281 signal, where only a restricted frequency (scale) range is kept. In other words, the set of 282 variables (namely the wavelet coefficients) that are obtained at the various decomposition 283 levels represent the contributions to the analysed signal at each position (in the original 284 domain) and frequency (or scale) value. This double representation, which is called signal 285 multiresolution, allows an efficient separation among all the signal features, which in turn 286 permits the selection of only those aspects that are the most relevant to model a given 287 response variable.

The wavelet coefficients, that constitute a set of independent variables derived from the colourgrams matrix, are then used in WILMA for the selection of the subset leading to the best predictive performance of the derived PLS/MLR regression models, evaluated by crossvalidation.

292 Schematically, the WILMA algorithm works as follows:

the matrix of signals (colourgrams) is decomposed by means of FWT using a particular
 wavelet (i.e. a particular couple of wavelet filters) until its maximum level of
 decomposition;

for each decomposition level (including level 0, which corresponds to the original
 signal) the wavelet coefficients are ranked according to a criterion chosen by the user; in

the present work, based on our previous experience, we have considered the squaredcovariance of each coefficient with the response variable;

for each decomposition level, the optimal number of wavelet coefficients is iteratively
 selected using either MLR or PLS with a proper cross-validation procedure; in the
 present work, since we wanted to keep all the images (thus, all the colourgrams) of pesto
 from a given jar in the same cross-validation groups, we have used contiguous blocks
 cross-validation with 12 groups;

the wavelet coefficients belonging to the decomposition level that furnishes the best
 results in cross-validation are selected to build the final (optimal) calibration model;

for interpretative purposes, only the selected wavelet coefficients are reconstructed into
 the original domain, allowing to point out the signal regions that contain the useful
 information.

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For a detailed description of the WILMA algorithm, the reader is referred to more comprehensive references [30, 31].

313 Since it is not possible to know in advance the combination of the WILMA parameters 314 that leads to the best results, it is appropriate to cycle over different possible combinations in 315 order to find the best calibration model. For this reason, 5 wavelets belonging to the symlet 316 family (sym4÷sym8), and both the MLR and the PLS regression techniques were used. For all 317 the sixteen modelled response variables, the combinations resulting from the parameters listed 318 above were tested, leading to 10 cycles of calculation (5 wavelets  $\times$  2 regression methods). 319 The optimal MLR and PLS regression models were selected based on their cross-validation 320 performance; finally, they were validated using the external test set samples.

For an easier comparison among the performances obtained for the different response variables with the different calibration methods, we have reported the results using the  $R^2$ statistics, defined as:

324 
$$R^{2} = 1 - \frac{\sum (y - \hat{y})^{2}}{V_{y}(n - 1)}$$
(1)

where y are the experimentally measured values of the considered response variable,  $V_y$ is the corresponding variance,  $\hat{y}$  are the values calculated (for  $R^2_{Cal}$ ) or predicted (in crossvalidation for  $R^2_{CV}$  and on the test set for  $R^2_{Pred}$ ) by the model, and *n* is the number of considered objects (i.e. the number of the training set objects for  $R^2_{Cal}$  and  $R^2_{CV}$ , and of the test set objects for  $R^2_{Pred}$ ). For all the four methods used for calibration (PLS on colourgrams matrix, PLS on reduced matrix, WILMA-PLS and WILMA-MLR), the number of latent variables (PLS-based methods) or of selected variables (WILMA-MLR) that was included in the models was chosen based on the minimum error in cross-validation, up to a maximum value of 6.

334

## 335 3. Results and Discussion

336 Table 2 reports the performances of the best calibration models selected for each 337 response variable with each calibration method. In particular, Table 2.a reports the PLS1 338 models obtained using as X block both the colourgrams matrix (on the left side), composed by 339 4900 variables, and the reduced matrix (on the right side), composed by 40 variables, while 340 Table 2.b reports the results obtained with WILMA, considering separately the models 341 selected with WILMA-PLS (on the left side) and with WILMA-MLR (on the right side). For 342 a general comparison among the four calibration methods and to point out the response 343 variables characterised by acceptable correlations with the information from digital images, 344 the variable names and the model statistics for the method with best overall performance in prediction of the test set are highlighted in grey colour, when  $R^2_{Pred}$  is equal or greater than 345 346 0.6.

On the whole, acceptable models were obtained for 10 variables out of 16; better results
were obtained for the chemical variables (pigments concentrations) rather than for the sensory
ones.

As for the sensory attributes, the three colourgrams-based methods converged, giving good calibration models only for yellow and green hues (YH and GH), while the reduced matrix-based approach gave acceptable results also for white amount (WA). As for GH, these results are coherent with the observations made in a previous research work [27], where it was observed that this parameter has a prominent role in the definition of the multivariate structure of the sensory data. In other words, the contribution of GH to the overall aspect is much evident, therefore it is easier to quantify by means of digital imaging techniques.

Conversely, CH and PS cannot be predicted, regardless of the method used for calibration. To explain the failure of our approach in the prediction of these parameters, it must be recalled that colourgrams retain the colour information but lose the spatial information. This is the reason why is not possible to determine if the pixels of similar colour are grouped together in relatively large clusters (corresponding to coarse PS or low CH) or more uniformly distributed along the spatial dimensions (corresponding to fine PS or high 363 CH). The only texture-related information that could have been partly extrapolated by the colourgrams approach (and that justified our attempt to predict PS and CH) derives from 364 "boundary" pixels, i.e. from those pixels corresponding to the boundaries between particles 365 366 having different colour. Their "intermediate" colour properties could have allowed their 367 distinction from the "bulk" pixels and therefore their use to estimate PS and CH. However, 368 the experimental results confirm that this hypothesis is not verified, and that in order to obtain 369 textural information with a colourgrams-based approach further work is needed, to insert also 370 spatial information in the signals.

As expected, PR generally shows the worst performance in prediction (lowest  $R^2_{Pred}$ values). In fact, we recall that this hedonic attribute was considered as a sort of dummy variable, since the variance due to subjectivity of the single assessors would have made difficult to estimate PR based on images. Thus, these bad results in prediction can be considered as a sort of check of the correctness of the validation procedure.

As for the chemical variables, only those related to the pigments whose contribution to the overall colour (green) is more evident, i.e. pheophytins and chlorophylls, gave acceptable results. In particular, independently of the specific calibration method, the best models were obtained for the Chl/Pht ratio (highest  $R^2_{Pred} = 0.872$  with WILMA-PLS) and for the total amount of chlorophylls, ChlTOT (highest  $R^2_{Pred} = 0.876$  with WILMA-MLR).

381 Satisfactory models with all the calibration methods were obtained for Chl a and Chl b, 382 with the only exception of Chl a modelled with the reduced matrix. Pht a and Pht b gave 383 worse results, even though generally acceptable. Conversely, none of the four calibration 384 methods gave satisfactory results for the quantification of Lut and of Car. On the one hand, 385 this fact suggests that RGB images are not able to detect all the pigments, but only the ones 386 with the higher contribution to the overall colour of the samples, at least under our 387 experimental conditions. On the other hand, all the four calibration methods show a good 388 degree of convergence in predicting the same chemical variables, suggesting that the 389 approaches adopted for calibration are not prone to overfitting.

The performance of the different methods used to build the calibration models showed that the simplest approach, i.e. PLS on the reduced matrix, gave better results than expected. In fact, it led to the best models for three out of the sixteen response variables (WA, Pht *a* and PhtTOT). In particular, it is the only one able to correctly predict the values of WA. This fact suggests that the use of global parameters, like those of the reduced matrix, can be sometimes more effective than a detailed analysis of the frequency distribution curves (as it is done using WILMA).Figure 1 shows the VIP (Variable Importance in Projection) scores plot of the PLS 397 regression model of WA based on the reduced matrix. The VIP scores estimate the 398 importance of each variable in the projection used in a PLS model [32]; the "greater than one 399 rule", which derives from the fact that the average of squared VIP scores equals 1, helps to 400 determine whether a certain variable is actually significant to the model. From this figure it is 401 clear that the useful information to estimate WA consists mainly in the mean and median 402 values of the intensities of the single channels (R, G, and B, where G is the most important 403 one, as it could be expected based on the green dominant colour of pesto), of their sum (as 404 expressed by L), and of their maximum values (as expressed by I).

The global number of acceptable results ( $R^2_{Pred} > 0.6$ ) obtained with the reduced matrix and with the colourgrams matrix is analogous. However, the overall performance in prediction of colourgrams-based models is better. In fact, with the reduced matrix only three variables lead to  $R^2_{Pred} > 0.7$  with a maximum of  $R^2_{Pred} = 0.773$  for ChITOT, while using colourgrams the number of models with  $R^2_{Pred} > 0.7$  ranges from 5 (PLS and WILMA-PLS) to 6 (WILMA-MLR) and the number of models with  $R^2_{Pred} > 0.8$  ranges from 1 (PLS) to 3 (WILMA-PLS and WILMA-MLR).

412 As for the colourgrams-based models obtained with PLS, it is evident (Table 2.*a*) that 413 there is not a specific pretreatment prevailing on the other ones, even though in general 414 derivatives, alone or combined with mean centering, perform better than SNV.

415 Figure 2 reports the VIP scores plot of the PLS model on colourgrams for GH (Figure 416 2.b) together with a mean colourgram of the training set (Figure 2.a). Six distinct colourgram 417 portions, corresponding to the frequency distribution curves of different parameters related to 418 the colour content of the image, have a strong influence on the model (VIP score values much 419 higher than 1). These portions, highlighted in grey colour, correspond to rG (1), H (2), PC3 420 scores of the PCA on raw (3), meancentered (4) and autoscaled (5) matrices, and to the 421 loadings and eigenvalues for all the three PCA models (6) [24]. The selection of the frequency 422 distribution curves of rG and H can be easily connected with the nature of the investigated 423 property. The interpretation of the selected distribution curves of the PCA scores of the third 424 component of the three PCA models and of the corresponding loadings and eigenvalues is 425 more difficult, but it highlights the need to account for the inner relations between the R, G 426 and B channels, in order to model properly the GH sensory attribute.

In general, the fact that only few narrow regions are necessary to model the response variable, suggested us how the implementation of feature selection techniques can be of great help in building efficient and at the same time parsimonious models, where – starting from the comprehensive description of the image colour content furnished by the colourgram – at the 431 end only few descriptors can be extracted for a specific task. In fact, the results obtained by 432 applying WILMA to the colourgrams matrix demonstrate that the selection of variables is 433 useful, not only since this often led to models more efficient than those obtained with PLS, 434 but also since the number of selected wavelet coefficients ("cfs" columns in Table 2.b) is 435 frequently very low. Beyond the aspects connected to predictive performance and robustness, 436 the advantages offered by the high reduction of useful descriptors is twofold. On the one 437 hand, simple models based on few variables are computationally very fast, which makes 438 easier their online implementation. On the other hand, the representation in the original 439 images of only those pixels having values included in the intervals selected on colourgrams 440 enables i) a direct visualisation of the choices made by the algorithm for model interpretation 441 purposes and ii) the monitoring of each analysed image, e.g. to check for possible outlying 442 samples or to check if an image defect affects the descriptors used for calibration.

All the five tested wavelets have been selected in the various models ("Wav" columns in Table 2.*b*), confirming that the optimal wavelet depends on the specific calibration task, even if sym6 and sym7 have been selected more frequently than the other ones. Also the optimal wavelet decomposition levels vary considerably ("Lev" columns in Table 2.*b*), including the extreme possibilities of the original signal (0) and of the maximum decomposition level (12).

448 As for the performance in prediction, the best results were obtained with WILMA-PLS, 449 which gives acceptable results ( $R^{2}_{Pred} > 0.6$ ) for nine out of the sixteen modelled variables. However, satisfactory results were obtained also with WILMA-MLR, with the advantage that 450 451 the number of selected wavelet coefficients (descriptors) is extremely reduced, ranging from 452 one to six. It is noteworthy the fact that, though based on different strategies for feature 453 selection, WILMA-MLR and WILMA-PLS converge for both the PS and PR models, where 454 the same unique wavelet coefficient is selected for each one of these response variables. The 455 tendency to converge towards the same (bad) solution, without finding any possible chance 456 correlation with a higher number of coefficients, demonstrates that the adopted feature 457 selection / cross-validation procedure is not prone to overfitting.

The overall best results in prediction were obtained using WILMA-MLR for the model of total chlorophylls content (ChlTOT). Figure 3 reports both the original colourgrams (Figure 3.*a*) and the reconstruction of the selected wavelet coefficients into the original domain (Figure 3.*b*). The three portions of the colourgram that have been selected correspond to the frequency distribution curves of H (1) and of the PC3 scores of the PCA models on the meancentered (2) and on the autoscaled (3) matrices. The comparison between this Figure and Figure 2.b, that reports the VIP scores of the PLS model for GH, shows the success of the blind analysis approach, suggesting how different algorithms converge to analogous signal
regions for correlated properties (the correlation coefficient between GH and
ChITOT = 0.8273).

The first one of the three regions highlighted in Figure 3 (H) is represented in more detail in Figure 4, where the training set objects are represented with black lines and the test set objects with grey lines. In Figure 4.*a* different shapes of the frequency distribution curves can be observed, which correspond to the different jars of pesto sauce. The reconstruction of the (unique) wavelet coefficient selected in the H region of the colourgram shows that this coefficient is located in a way to account for all the main differences between the various H frequency distribution curves.

475 Given the importance of this colourgram region to model ChITOT, considered that it 476 corresponds to a limited number of H values, we have then verified whether these values 477 effectively correspond to image pixels pertaining to the parts of pesto sauce where 478 chlorophylls are still present. To this aim, we have considered two images, one taken on a 479 sample with a high ChITOT value (sample K) and another one taken on a sample with a low 480 ChITOT value (sample G). For both images the H values were calculated for each pixel, and 481 only those pixels having H values lying within the selected range were maintained, the 482 remainder ones being set to black (R=G=B=0). Figure 5 reports the original images (on the 483 left side) and the reconstructed ones (on the right side) for sample K (a1 and a2) and for 484 sample G (b1 and b2). It is evident the high difference in the number of selected pixels for the 485 two samples, which is the quantity that the calibration model correlates to ChITOT. A more 486 accurate comparison between the original and the reconstructed images reveals that the 487 selected pixels essentially correspond to the green particles of the sample. This kind of 488 representation, in a more explicit manner than Figure 4.b, allows to see what the algorithm 489 actually considered (as for the contribution of H), and to "resume" the spatial information that 490 in the colourgram was lost.

In view of possible implementations of the method for quality control in a laboratory and, after a proper engineering, also on a production line, images like those in Figure 5 give additional information for the interpretation of results. In addition, these outputs are also accessible to people not necessarily expert in the technical (chemometric) aspects of the image elaboration. Moreover, the variables selection process allows a drastic lowering of the number of informative features extracted by means of the colourgram. This fact suggests that, once the proper model able to work on a few variables has been developed, it should be 498 possible to process each image in a very short time and contemporarily to monitor the499 analysed samples in real time.

500

#### 501 4. Conclusions

502 The information relative to the colour of a sample, codified in the form of a signal 503 extracted from the RGB image data, allowed to build reliable multivariate calibration models 504 with several chemical and sensory properties of pesto sauce, which has been chosen as a 505 benchmark food matrix. Although in this preliminary research work calibration models were 506 obtained on a relatively small set of samples, satisfactory results were gained for the 507 properties that mainly contribute to the sample aspect, i.e. the sensory properties GH and YH, 508 and the chlorophylls and chlorophylls/pheophytins ratio as for the pigments content.

509 These results suggest the possibility to implement quantitative models in automated 510 monitoring systems of raw materials, different stages of the manufacture and end products, to 511 check the sensory quality and the state of preservation, which is reflected into the pigment 512 amount.

It is important to stress that the application to the colourgrams of algorithms that perform feature selection allows to extract, from a signal containing a wide range of potentially useful information, only a small number of useful variables, without specific *a priori* assumptions on the types of features to be considered. This implies a great flexibility in the possible uses, including all applications on any kind of sample having an inhomogeneous aspect, where a colour-related problem has to be handled.

519 Considering the continuous improvements of the image data quality and of the 520 commercially available computational power and data storage capabilities, the possibility to 521 use raw images at higher resolutions could help in enhancing the quality of the analysed data 522 and therefore of the calibration models.

523 Finally, the reported results also suggest possible further developments of this approach, 524 like the inclusion into the colourgrams of additional information, which could include both 525 global parameters such as means and/or standard deviations, and also other variables 526 describing texture-related aspects of the image.

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577

#### Captions to Tables and Figures

578

- 579 Table 1. List of the visual attributes, together with the corresponding abbreviations and580 ranges.
- Table 2. Best calibration results *a*) from PLS models obtained on Colourgrams matrix and
  on reduced matrix, and *b*) from WILMA models obtained using PLS or MLR as
  regression methods on Colourgrams matrix. Grey background indicates the overall
  best model for a specific property. A separation between sensory and chemical
  variables is highlighted.
- 586 587
- 588 Figure 1. Plot of the VIP scores of the PLS model on the reduced matrix for the prediction589 of WA.
- 590 Figure 2. Green hue (PLS model): a) mean colourgram for training set; b) VIP scores where
  591 the regions that are significant to model GH are highlighted.
- 592 Figure 3. Selected signal regions for ChITOT (WILMA-MLR model): a) original
  593 colourgrams; b) reconstructed signal where the regions significant to model
  594 ChITOT are highlighted.
- Figure 4. Zoom on the selected region n. 1 of Figure 3, corresponding to the frequency
  distribution curve of the Hue values. The rectangles plotted with dotted lines
  define the property intervals that were selected for the further image
  reconstruction.
- Figure 5. Examples on K and G samples of image reconstruction for ChITOT (WILMA-MLR
  model). Original sample images (a1 and b1), and corresponding images
  reconstructed with selected Hue values (a2 and b2).

## TABLES

Abbreviation	Variable name	Range (from <i>0</i> to <i>10</i> )					
GH	<u>G</u> reen <u>H</u> ue	from dull to bright					
YH	<u>Y</u> ellow <u>H</u> ue	from <i>low</i> to high					
BH	<u>B</u> rown <u>H</u> ue	from <i>low</i> to high					
WA	<u>W</u> hite <u>A</u> mount	from <i>low</i> to high					
СН	<u>C</u> olour <u>H</u> omogeneity	from low to high					
PS	<u>P</u> article <u>S</u> ize	from <i>fine</i> to <i>coarse</i>					
PR	PR eference	from <i>low</i> to high					

# 

# Table 1

# 611 a)

	PLS on	PLS on reduced matrix								
Variable	Pretreatment	LVs	$R^{2}_{Cal}$	R <sup>2</sup> <sub>CV</sub> R <sup>2</sup> <sub>Pred</sub>		Pretreatment	LVs R <sup>2</sup> <sub>Cal</sub>		R <sup>2</sup> <sub>CV</sub>	R <sup>2</sup> <sub>Pred</sub>
GH	D2	2	0.837	0.630	0.781	AUTO	6	0.967	0.843	0.674
YH	SNV	1	0.789	0.522	0.757	AUTO	6	0.976	0.750	0.696
BH	D1+MNCN	3	0.731	0.068	0.527	AUTO	2	0.595	0.281	0.366
WA	D1+MNCN	4	0.909	0.537	0.491	AUTO	3	0.837	0.632	0.683
СН	D1+MNCN	3	0.793	0.064	0.344	AUTO	5	0.853	0.333	0.402
PS	D2	1	0.282	-0.439	0.145	AUTO	6	0.895	-0.289	-0.413
PR	D1	1	0.282	-0.383	0.160	AUTO	6	0.877	-0.145	-1.945
Car	D2+MNCN	1	0.399	0.045	0.467	AUTO	6	0.942	0.481	0.509
Lut	SNV+MNCN	1	0.432	0.020	0.496	AUTO	5	0.908	0.339	0.516
Chl a	D2	2	0.748	0.495	0.650	AUTO	4	0.909	0.290	0.374
Chl b	D1	3	0.916	0.842	0.718	AUTO	1	0.845	0.725	0.732
ChITOT	D2	6	0.979	0.877	0.733	AUTO	1	0.803	0.567	0.773
Pht a	D2	6	0.879	0.352	0.378	AUTO	5	0.944	0.667	0.665
Pht b	SNV+MNCN	1	0.567	0.273	0.607	AUTO	3	0.786	0.419	0.313
PhtTOT	D1	3	0.662	0.196	0.432	AUTO	5	0.925	0.574	0.641
Chl/Pht	D1	5	0.961	0.817	0.803	AUTO	4	0.972	0.822	0.738

#### b)

Variable	WILMA-PLS								WILMA-MLR					
	cfs	Wav	Lev	LVs	R <sup>2</sup> <sub>Cal</sub>	R <sup>2</sup> cv	R <sup>2</sup> <sub>Pred</sub>	cfs	Wav	Lev	$R^{2}_{Cal}$	R <sup>2</sup> cv	R <sup>2</sup> <sub>Pred</sub>	
GH	34	sym6	3	1	0.865	0.765	0.745	4	sym8	1	0.888	0.817	0.777	
YH	117	sym4	3	1	0.822	0.750	0.731	4	sym8	5	0.876	0.720	0.766	
BH	43	sym7	5	3	0.741	0.325	0.554	6	sym4	2	0.599	0.113	-0.391	
WA	20	sym4	9	3	0.901	0.698	0.469	4	sym7	3	0.840	0.697	-0.520	
СН	1	sym7	1	1	0.329	0.078	0.298	3	sym8	5	0.739	0.428	-0.082	
PS	1	sym6	2	1	0.258	0.047	0.239	1	sym6	2	0.258	0.047	0.239	
PR	1	sym7	2	1	0.232	0.011	0.129	1	sym7	2	0.232	0.011	0.129	
Car	767	sym7	3	2	0.790	0.283	0.206	4	sym6	1	0.625	0.327	0.322	
Lut	767	sym7	3	2	0.787	0.306	0.286	2	sym4	0	0.436	0.229	0.509	
Chl a	3	sym5	1	3	0.880	0.678	0.674	3	sym6	1	0.881	0.623	0.713	
Chl b	24	sym5	3	1	0.926	0.892	0.803	1	sym4	2	0.901	0.828	0.802	
ChITOT	26	sym6	3	6	0.991	0.924	0.839	4	sym6	1	0.970	0.938	0.876	
Pht a	122	sym6	2	1	0.615	0.506	0.627	4	sym7	1	0.702	0.506	0.495	
Pht b	89	sym5	2	1	0.658	0.470	0.627	2	sym5	1	0.579	0.425	0.617	
PhtTOT	150	sym8	2	1	0.602	0.498	0.608	4	sym4	0	0.685	0.478	0.542	
Chl/Pht	13	sym7	12	5	0.972	0.919	0.872	3	sym6	1	0.978	0.935	0.872	

Table 2

#### **FIGURES**

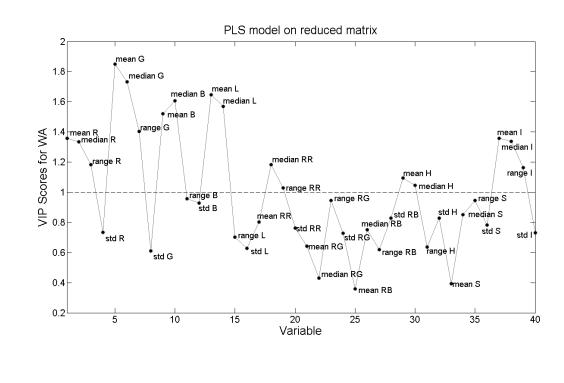


Figure 1

