

Long-Term Stability and Safety of Transgenic Cultured Epidermal Stem Cells in Gene Therapy of Junctional Epidermolysis Bullosa

Laura De Rosa,^{1,5} Sonia Carulli,^{1,5} Fabienne Cocchiarella,¹ Daniela Quaglino,² Elena Enzo,¹ Eleonora Franchini,¹ Alberto Giannetti,³ Giorgio De Santis,⁴ Alessandra Recchia,¹ Graziella Pellegrini,¹ and Michele De Luca^{1,*}

¹Center for Regenerative Medicine “Stefano Ferrari,” Department of Life Sciences, University of Modena and Reggio Emilia, 41125 Modena, Italy

²Department of Life Sciences, University of Modena and Reggio Emilia, 41125 Modena, Italy

³Emeritus of Dermatology, University of Modena and Reggio Emilia, 41125 Modena, Italy

⁴Department of Medical and Surgical Sciences, University of Modena and Reggio Emilia, 41125 Modena, Italy

⁵These authors contributed equally to this work

*Correspondence: michele.deluca@unimore.it

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SUMMARY

We report a long-term follow-up (6.5 years) of a phase I/II clinical trial envisaging the use of autologous genetically modified cultured epidermal stem cells for gene therapy of junctional epidermolysis bullosa, a devastating genetic skin disease. The critical goals of the trial were to evaluate the safety and long-term persistence of genetically modified epidermis. A normal epidermal-dermal junction was restored and the regenerated transgenic epidermis was found to be fully functional and virtually indistinguishable from a normal control. The epidermis was sustained by a discrete number of long-lasting, self-renewing transgenic epidermal stem cells that maintained the memory of the donor site, whereas the vast majority of transduced transit-amplifying progenitors were lost within the first few months after grafting. These data pave the way for the safe use of epidermal stem cells in combined cell and gene therapy for genetic skin diseases.

INTRODUCTION

The human epidermis is renewed monthly, and daily occurring wounds need timely repair. The processes involved in this regeneration and repair rely on epidermal stem cells, which generate colonies known as holoclones (Barrandon and Green, 1987; Pellegrini et al., 1999a; Rochat et al., 1994). Holoclones produce meroclones and paraclones, which have properties expected of transit-amplifying progenitors (Barrandon and Green, 1987; Pellegrini et al., 1999a). The holoclone-forming cell is the only clonal type that possesses long-term regenerative potential, and is the stem cell of all human squamous epithelia (De Luca et al., 2006). Autologous keratinocyte cultures containing holoclones can permanently restore massive epithelial defects such as skin and ocular burns (Gallico et al., 1984; Pellegrini et al., 1997, 1999b, 2013; Rama et al., 2010; Ronfard et al., 2000).

Inherited epidermolysis bullosa (EB) is a family of rare genetic disorders characterized by structural and mechanical fragility of the integuments, leading to recurrent skin and mucosal blistering and erosions that severely impair the quality of life of EB patients (Fine et al., 2008). Junctional EB (JEB) is marked by blister formation at the level of the lamina lucida of the basement membrane and absence (or severe alteration) of hemidesmosomes. JEB has been divided into three categories: Herlitz (JEB-H), non-Herlitz

(JEB-nH), and JEB with pyloric atresia (JEB-PA). JEB-H is an early lethal form and is usually due to deleterious mutations in *LAMA3*, *LAMB3*, or *LAMC2* genes causing a total absence of laminin 332 (previously known as laminin 5), a heterotrimeric protein that consists of $\alpha 3$, $\beta 3$, and $\gamma 2$ chains, and links $\alpha 6\beta 4$ integrins to collagen VII dermal fibrils. Mutations of the same genes cause JEB-nH, which is characterized by reduced expression of laminin 332. JEB-nH can also arise from mutations in *COL17A1*, the gene encoding collagen XVII, whereas JEB-PA is due to mutations in genes encoding the $\alpha 6\beta 4$ integrin (Fine et al., 2008). There is no cure for EB; treatments are palliative and focused on relieving the devastating clinical manifestations (Carulli et al., 2013).

A phase I/II clinical trial showed that autologous epidermal cultures containing genetically modified holoclones restored a normal epidermis on both upper legs of a patient (Claudio) suffering from a severe form of laminin 332- $\beta 3$ -dependent JEBnH (Mavilio et al., 2006; the phase I/II clinical trial was authorized by the Italian Ministry of Health and approved by the ethics review board of the University of Modena). Epidermal keratinocytes were taken from his palm skin, which, at variance with other affected body sites, contained an appropriate number of holoclones (Mavilio et al., 2006). Cells were transduced ex vivo with a murine leukemia virus (MLV)-based retroviral (RV) vector expressing long terminal repeat (LTR)-driven *LAMB3*

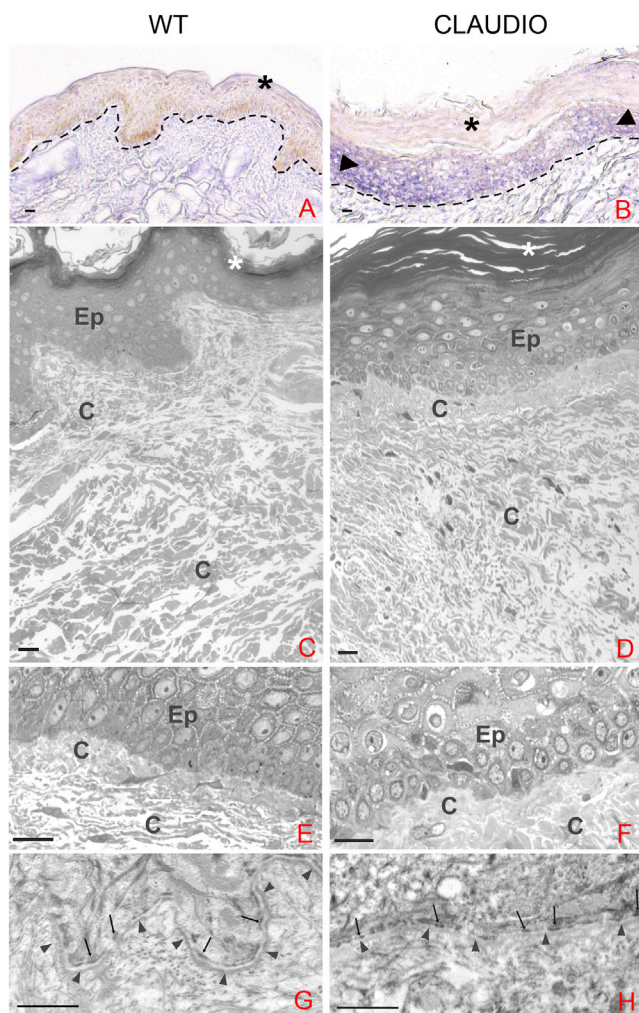


Figure 1. Regeneration of a Functional Transgenic Epidermis

(A and B) In situ hybridization with a vector-specific probe on 20- μ m-thick skin sections shows the homogeneous expression of laminin 332- β 3 transcripts in all epidermal layers (B, arrowheads). Sections from normal skin were used as a control (A). Dotted lines indicate the basal lamina. Asterisks mark the stratum corneum. Scale bars, 10 μ m.

(C-F) Light microscopy of 0.5 μ m sections from a skin biopsy of the upper leg of a healthy donor (C and E) and Claudio (D and F) were stained with toluidine blue. In both cases, normal-looking epidermis (Ep) and dermis with well-organized collagen bundles (c) are evident. Asterisks mark the stratum corneum, which is thicker in the regenerated epidermis. Scale bars, 10 μ m.

(G and H) Transmission electron microscopy of 70 nm skin sections shows that basement membranes (arrowheads) and hemidesmosomes (arrows) are clearly evident in both control (G) and transgenic (H) skin. Scale bars, 1 μ m.

cDNA and used to prepare transgenic epidermal grafts, which were transplanted onto surgically prepared regions of Claudio's upper legs. Synthesis of normal levels of func-

tional laminin 332 was observed together with the development of a firmly adherent epidermis that remained stable for 1-year follow-up in the absence of blisters, infections, inflammation, or immune response (Mavilio et al., 2006).

The critical goals of this trial were to evaluate the safety and long-term persistence of the transgenic epidermis. Assessment of these parameters was crucial for continuing the trial, which has been halted since 2007 to allow our cell-culture facility to conform to the 2007 EU directive 1394 imposing Good Manufacturing Practices for any advanced therapy, and to develop ex vivo gene therapy for other forms of EB. We therefore analyzed the epidermis that regenerated on Claudio's upper legs after a very long (6.5 years) follow-up, during which time the transgenic epidermis underwent a minimum of 80 complete renewing cycles.

RESULTS AND DISCUSSION

Clinical Evaluation of the Transplanted Upper Legs

During the 6.5-year follow-up, the epidermis of both of Claudio's upper legs was normal looking, normally pigmented, and robust, and did not itch or form blisters, either spontaneously or after induced mechanical stress (such as biopsy withdrawal). Tactile and pain sensitivity was present in both legs. In contrast, blisters were consistently observed around the transplanted area. Approximately 3 years after grafting, the patient received a strong contusion on his right upper leg, which would have caused severe blistering in the diseased skin. Blisters did not appear on the bruised area. Three punch biopsies, representative of the whole transplanted area, were taken from Claudio's upper legs and used for further analyses.

Long-Term Restoration of a Normal Functional Epidermis and Dermal-Epidermal Junction

In situ hybridization using vector-specific laminin 332- β 3 probes showed that the regenerated epidermis consisted only of transgenic keratinocytes (Figures 1A and 1B, arrowheads). Of note, the stratum corneum of the transgenic epidermis was thicker than that observed in control leg skin (Figures 1A and 1B, asterisks).

As shown in Figures 1C-1F, the morphology and stratification of the transgenic epidermis (Figures 1D and F) were virtually indistinguishable from those of a normal control (Figures 1C and E), with the exception of the stratum corneum, which was thicker in the transgenic epidermis (Figure 1D, asterisks) as compared with a normal upper leg (Figure 1C, asterisks). The density and organization of collagen bundles in the papillary dermis were consistent with restoration of mechanical strength. Although the

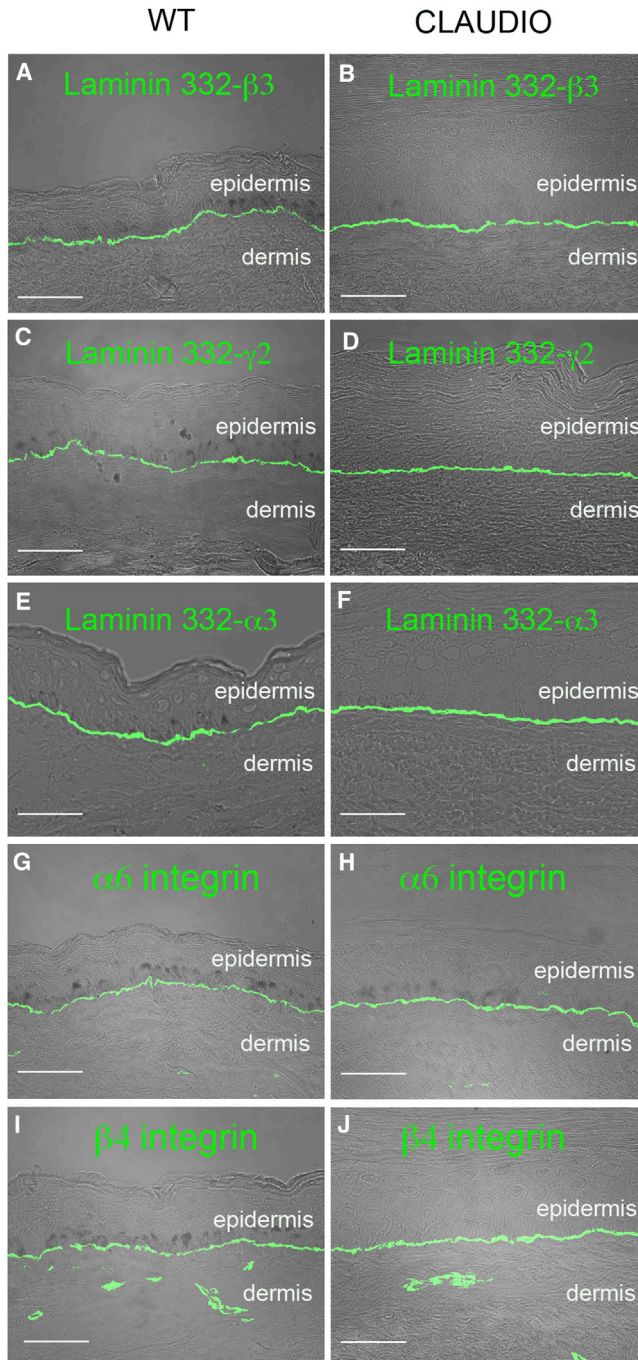


Figure 2. Expression of LAM332 and $\alpha 6\beta 4$ Integrins

(A–J) IF analysis of laminin 332- $\beta 3$ (A and B), 332- $\gamma 2$ (C and D), 332- $\alpha 3$ (E and F), $\alpha 6$ integrin (G and H), and $\beta 4$ integrin (I and J) in control (WT) and transgenic (Claudio) skin sections. The transgenic epidermis expresses normal amounts of laminin 332 and $\alpha 6\beta 4$ integrins properly located at the epidermal-dermal junction. Scale bars, 40 μm .

transgenic skin had a reduced number of dermal papillae, no blisters, ruptures, and/or detachment of the epidermis from the underlying dermis were ever observed. Transmission electron microscopy (Figures 1G and 1H) showed that the thickness and continuity of the basement membrane (arrowheads) and the number and morphology of hemidesmosomes (arrows) were virtually indistinguishable between control (Figure 1G) and transgenic (Figure 1H) skin, clearly demonstrating that a functional epidermal-dermal junction had been restored.

Laminin 332- $\beta 3$ was undetectable in the affected skin of Claudio, including the palm keratinocytes that were used to establish cell cultures. A tiny amount of the protein was detected only after immunoprecipitation on cultured cells (Mavilio et al., 2006). In contrast, control and transgenic epidermis expressed virtually identical amounts of laminin 332- $\beta 3$, which was properly located at the epidermal-dermal junction (Figures 2A and 2B). The absence of laminin 332- $\beta 3$ is associated with a decrease of $\alpha 3$ and $\gamma 2$ chains in the protein (and its $\alpha 6\beta 4$ integrin receptor) due to both reduced transcription and increased protein degradation (Matsui et al., 1998; McMillan et al., 1997; Ryan et al., 1999). As shown in Figure 2, the expression of laminin 332- $\gamma 2$ (Figures 2C and D), 332- $\alpha 3$ (Figures 2E and 2F), $\alpha 6$ integrin (Figures 2G and 2H), and $\beta 4$ integrin (Figures 2I and 2J) was identical in transgenic (Figures 2B, 2D, 2F, 2H, and 2J) and normal (Figures 2A, 2C, 2E, 2G, and 2I) epidermis.

As shown in Figures 3A–3D, the transgenic epidermis contained normal amounts of keratin 14 (K14, a marker of the epidermal proliferative compartment) and involucrin (INV, a keratinocyte differentiation marker), properly located in basal and suprabasal cells, respectively, suggesting that the balance between proliferation and differentiation had been restored. Elastin (ELN) was equally expressed in normal dermis (Figure 3E) and in the reticular dermis underlying the transgenic epidermis (Figure 3F). However, whereas the control dermis showed peculiar vertical fine fibers (elastin [arrowheads] and oxytalan [asterisks]; Uitto et al., 2013), Claudio's dermis contained a diffuse, not well organized elastin fiber network. Previous chronic inflammation and/or continuous wound healing may have caused this alteration of the fine morphology of the elastic fibers.

The $\Delta\text{Np}63\alpha$ transcription factor (Mills et al., 1999; Yang et al., 1998, 1999), which is an essential regulator of epithelial stem cell maintenance (Senoo et al., 2007), is highly expressed in holoclones (Di Iorio et al., 2005; Pellegrini et al., 2001), and is instrumental in the clinical performance and long-term persistence of epithelial cultures (Pellegrini et al., 2013; Rama et al., 2010), was expressed at similar levels and in a comparable number of cells in control (Figure 3G) and transgenic (Figure 3H) epidermis.

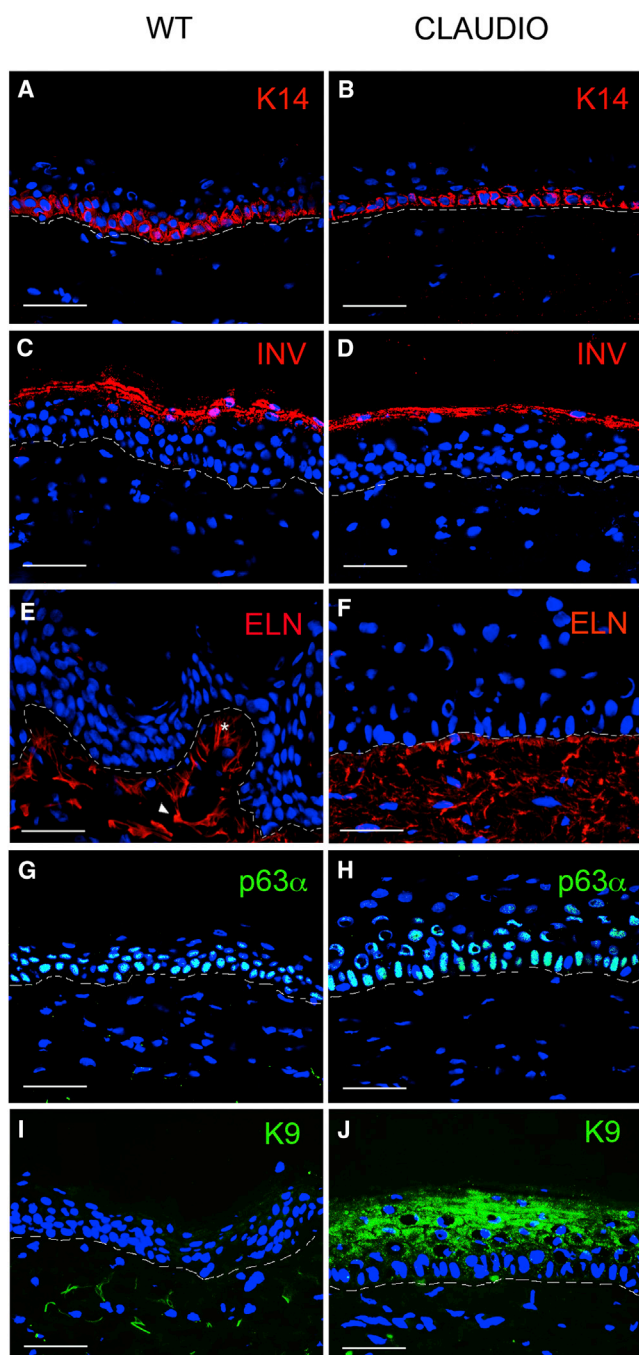


Figure 3. Expression of Epidermal Markers

(A–D) IF analysis of K14 (A and B) and involucrin (C and D) in control (WT) and transgenic (Claudio's) epidermis.

(E and F) IF analysis of elastin fibers. Network fibers (oxytalan [asterisk] and elaunin [arrowhead]) are expressed at comparable levels, but are differently organized in WT skin (E) and Claudio's skin (F).

(G and H) IF analysis shows that the Δ Np63 α transcription factor is expressed at similar levels and in a comparable number of cells in control (G) and transgenic (H) epidermis.

A Defined Number of Transduced Stem Cells Sustain the Regenerated Epidermis

The human epidermis is renewed monthly; hence, Claudio's epidermis underwent ~ 80 complete renewing cycles in 6.5 years. The long-term maintenance of the regenerated epidermis must be determined by the engraftment of self-renewing transduced epidermal stem cells.

A genome-wide analysis of RV integration sites was performed on DNA extracted from ~ 10 mm² of transgenic epidermis. Libraries of vector-genome junctions, generated by linker-mediated (LM) nested PCR and sequenced to saturation, retrieved six independent integrations unambiguously mapped on the human genome (Table 1). Proviruses were classified as intergenic when they occurred at a distance of >50 kb from any "known gene" (UCSC definition), perigenic when they occurred ≤ 50 kb upstream or downstream of the transcription start site (TSS) of a known gene, and intragenic when they occurred within the transcribed portion of at least one known gene (Table 1). Three out of six integrations were intergenic. One of the three intragenic integrations landed in a gene-dense region, since it was surrounded by five genes in a less than ± 40 kb window. None of these integrations belong to a comprehensive compilation of proto-oncogenes and genes associated with common insertion sites (CIS) in mouse tumors (<http://microb230.med.upenn.edu/protocols/cancergenes.html>). Three of the integrations, whose TSS is more proximal to the MLV integration site, are expressed (according to Affymetrix GeneChip analysis) on keratinocytes cultured under the same conditions used for transduction. The two intragenic integrations landed in the first and second introns of expressed genes, confirming the known integration preferences of γ -RV vectors in human cells (Cattoglio et al., 2010a; Cattoglio et al., 2010b).

Considering an average of two proviral copies per genome and an overall cloning efficiency of $\sim 30\%$, we estimate the presence of approximately five to ten independently transduced stem cells in 10 mm² of epidermis. Since virtually all keratinocytes contain LAM332- $\beta 3$ transcripts (Figure 1B), it is clear that the entire regenerated epidermis is sustained only by those few engrafted stem cells.

A 10 mm² sample of cultured epidermis contains $\sim 15,000$ keratinocytes, $\sim 3,000$ of which are clonogenic and the vast majority of which ($>95\%$) are transit-amplifying progenitors. Thus, <150 stem cells are usually contained in 10 mm² of a cultured graft. Despite years of clinical applications of epidermal cultures, we have no

(I and J) IF analysis shows that K9 is expressed in the upper layers of the transgenic epidermis (J), but is not detected in normal body epidermis (I).

Scale bars, 40 μ m. Dotted lines indicate the basal lamina.

**Table 1. List of Retroviral Integration Sites in Skin Biopsies 6.5 Years after Grafting**

ID #	Chromosome	Position	Target Gene	Gene ID	RefSeq	Location	Distance from TSS (kb)	Orientation	Expression
1sx	17q21.31	42286063	<i>UBTF</i>	7343	NM014233.3	intron 17	11.0	rev	intermediate
			<i>ATXN7L3</i>	56970	NM020218.1	upstream	-10.6	rev	intermediate
			<i>TMUB2</i>	79089	NM024107.2	downstream	21.7	for	intermediate
			<i>ASB16-AS1</i>	339201	NR049729.1	upstream	-22.0	rev	absent
			<i>ASB16</i>	92591	NM080863.4	downstream	38.0	for	absent
2sx	7q21.11	80704124				intergenic			
1dx	1p21.1	103642610				intergenic			
2dx	15q22.2	59637265	<i>MYO1E</i>	4643	NM004998.3	intron 1	27.8	for	high
3dx	9p22.3	16062571				intergenic			
4dx	10q22.2	75313406	<i>USP54</i>	159195	NM152586.3	intron 2	22.0	rev	intermediate

For each integration, columns indicate (from left to right) the identification number and biopsy of origin, chromosomal location, nucleotide position, target gene symbol, target gene identification, RefSeq identifier, position with respect to the hit gene, distance from the TSS, provirus orientation (for, forward; rev, reverse), and expression level in cultured keratinocytes as determined by Affymetrix microarray analysis. Expression values are classified as absent, low, intermediate, or high (Mavilio et al., 2006).

sense of the number of stem cells that can engraft on the wound bed. It is possible that many stem cells are lost during engraftment owing to a hostile in vivo microenvironment. A slightly higher, though comparable, number of stem cells (i.e., 36 and 26 per 10 mm²) was identified at 1- and 4-month follow-up, respectively (Mavilio et al., 2006). However, the initial genome-wide analysis performed after such a short-term follow-up cannot rule out the presence of residual transit-amplifying cells that are still endowed with a significant proliferative potential and/or stem cells at the end of their natural lifespan. That said, the presence of approximately five to ten stem cells per 10 mm² of epidermis is not far from the estimated stem cell content of a normal epidermis (Pellegrini et al., 1999b; Rochat et al., 1994) and is compatible with the presence of an almost normal repertoire of genetically corrected epidermal stem cells in the regenerated skin. The remarkable proliferative and self-renewal potential of epithelial stem cells (Barbaro et al., 2007; Rochat et al., 1994) is likely to sustain the regenerated transgenic epidermis for the lifetime of the patient.

MLV-RV vectors raised some concerns about the genotoxic risk associated with their uncontrolled insertion into the genome. Insertional activation of a T cell proto-oncogene has been correlated with the occurrence of lymphoproliferative disorders in gene therapy trials of X-linked severe combined immunodeficiency (X-SCID) (Hacein-Bey-Abina et al., 2003, 2008) and Wiscott-Aldrich syndrome (WAS) (Aiuti et al., 2012; Boztug et al., 2010). Such adverse events were not reported when MLV-RV-transduced hematopoietic stem cells were used to treat adenosine deaminase (ADA)-SCID (Aiuti et al., 2009). Thus, specific risk factors may have contributed to the malignant

progression observed in X-SCID or WAS. Although MLV-RV integrates preferentially into active regions of the genome (Bushman et al., 2005; Maruggi et al., 2009), insertional mutagenesis might require other oncogenetic factors, which may be related to the cell type, patient's genetic background, disease, and transgene or other mutations, to determine the onset of a tumor (Cavazza et al., 2013; Howe et al., 2008).

We did not observe tumor development or obtain any evidence of clonal expansion in vivo. Although every biopsy has a unique pattern of integration, the notion that the transgenic epidermis is sustained by only a few engrafted stem cells (five to ten per 10 mm²) indeed minimizes the potential (theoretical) risk of insertional oncogenesis, which has never been reported in human epidermal keratinocytes. Furthermore, transforming human keratinocytes in vitro is quite an awkward task. In evaluating the risk/benefit ratio, one should also consider that severely affected EB patients usually develop aggressive skin cancer as a consequence of the progression of the disease (Fine et al., 2008), and the epidermis can be easily removed if necessary.

Epidermal Stem Cell Plasticity

Epidermal grafts were prepared from palm-derived keratinocytes (Mavilio et al., 2006). Keratin 9 (K9) is expressed in palm and sole keratinocytes, but not in the epidermis covering all other body sites (Langbein et al., 1993). Semi-quantitative PCR analysis using K9-specific primers showed that K9 transcripts were equally expressed in control palm keratinocytes and in the transgenic epidermis at 4-month follow-up (not shown). As shown in Figure 3, K9 was still expressed in the upper layers of the transgenic



epidermis (Figure 3J) after 6.5 years, whereas it was undetectable in normal body skin (Figure 3I). These findings are consistent with the presence of a thick stratum corneum, which is another hallmark of palm and sole epidermis, and demonstrate that epidermal stem cells maintain the memory of their origin even after 80 complete renewing cycles *in vivo*, even if they have been transplanted onto a virtually undamaged dermis.

This observation is relevant to all somatic human stem cells. It has been suggested that some somatic stem cells might be capable of differentiating across tissue lineage boundaries and hence might represent versatile effectors of therapeutic tissue regeneration. However, studies proposing such “plasticity” remain very controversial, and existing evidence suggests that such transformations are exceedingly rare (if they occur at all) *in vivo* and can be accounted for by alternative explanations (Bianco et al., 2013). The notion that palm-derived epidermal stem cells do not possess sufficient plasticity to generate a body epidermis makes one reconsider the supposed plasticity of any somatic stem cell. It formally confirms that the *in vivo* potential of a stem cell is system restricted and cell autonomous, and strengthens the concept that a stem cell’s function should be verified by its ability to reconstitute a tissue *in vivo* (Bianco et al., 2013).

CONCLUSIONS

In summary, these data demonstrate that (1) the regenerated transgenic epidermis is fully functional and virtually indistinguishable from a normal epidermis, (2) the vast majority of transduced keratinocytes are transit-amplifying progenitors that are lost within a few months after grafting, and (3) the regenerated epidermis is sustained by a discrete number of engrafted, long-lasting, self-renewing transgenic stem cells. These data pave the way for the safe use of epidermal stem cells in combined cell and gene therapy for genetic skin diseases.

EXPERIMENTAL PROCEDURES

Light Microscopy, Transmission Electron Microscopy, and Immunofluorescence

Skin biopsies were fixed in 2.5% glutaraldehyde in Tyrode’s saline pH 7.2 (24 hr at 4°C), postfixed in 1% osmium tetroxide (Electron Microscopy Sciences) for 2 hr at room temperature, dehydrated in ethanol and propylene oxide, and embedded in Spurr resin (Polysciences) as previously described (Quaglini et al., 1991). Semithin sections were stained with toluidine blue and observed with a Zeiss Axiophot light microscope. Ultrathin sections were collected on copper grids, stained with uranyl acetate and lead citrate, and observed with a Jeol 1200 EXII (Jeol) electron microscope.

For immunofluorescence (IF), skin samples were embedded in optimal cutting temperature compound, frozen, and sectioned. IF was performed on 7 μ m skin sections as previously described (Mavilio et al., 2006) using laminin 332- β 3 6F12 monoclonal antibody (mAb; Acris Antibodies), 332- γ 2 D4B5 mAb (Chemicon), 332- α 3 BM165 mAb (a gift from Patricia Rousselle, IBCP), 332- α 6 450-30A mAb and 332- β 4 450-9D mAb (Thermo Fisher Scientific), rabbit purified anti-p63 α immunoglobulin G (IgG; PRIMM) (Diorio et al., 2005), K10 and K14 guinea pig antisera (Progen), K9 sc-58743 mAb (Santa Cruz Biotechnology), elastin MAB2503 mAb (Millipore), and human involucrin mAb (Leica Biosystems). Alexa Fluor 488 goat anti-mouse or Alexa Fluor 568 goat anti rabbit (Life Technologies) conjugated secondary antibodies were used for detection. Cell nuclei were stained with DAPI.

Fluorescent signals were monitored under a Zeiss confocal microscope LSM510meta with a Zeiss EC Plan-Neofluar \times 40/1.3 oil immersion objective, and analyses were done with the LSM510 Confocal Analyzer (Zeiss). Elastin staining was monitored using an Axio Imager A1 with a Zeiss EC-Plan Neofluar \times 40, and analyses were done using Axiovision Rel. 4.8 software.

In Situ Hybridization

The probe sequence was obtained by PCR reaction on Claudio’s genomic DNA using 5’-AGTAACGCCATTTTGAAGG-3’ and 5’-AACAGAAGCGAGAAGCGAAC-3’ primers cloned in pCRII-topoVector (TOPO TA cloning kit; Promega). *In situ* hybridization was performed as previously described (Brancaccio et al., 2004). Digoxigenin-labeled cRNAs were synthesized using the DIG RNA labeling kit (Roche) according to the manufacturer’s instructions. The antisense RNA probe was transcribed with T7 polymerase, and the control sense probe was transcribed with SP6 polymerase.

Analysis of RV Integration Sites

Integration sites were cloned by LM-PCR as previously described (Recchia et al., 2006). Genomic DNA was digested with MseI and PstI, and ligated to an MseI double-strand linker. LM-PCR was performed with nested primers specific for the LTR and the linker. PCR products were shotgun cloned by the TOPO TA cloning kit (Invitrogen/Life Technologies) into libraries of integration junctions, which were sequenced to saturation. Sequences were mapped onto the human genome by the BLAT genome browser (UCSC Human Genome Project Working Draft, Feb 2009, hg19; <http://www.genome.ucsc.edu>).

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