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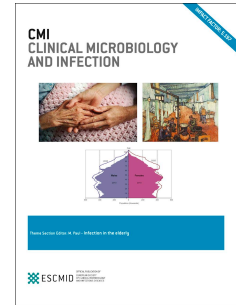
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Dynamics of adaptive and innate immunity in patients treated during Primary HIV Infection: results from MAIN randomised clinical trial

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1 **RESEARCH NOTE**

2

3 **FULL TITLE:**

4 Dynamics of adaptive and innate immunity in patients treated during Primary
5 HIV Infection: results from MAIN randomised clinical trial

6

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31

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43 **AUTHORSHIP/CONTRIBUTION**

44 MR and MP equally contributed to the work and wrote the manuscript. GT

45 designed the clinical trial. SN, MP, SC, MR followed the patients. LG

46 supervised statistical analysis and helped to write the manuscript. SP, MC and
47 GS performed B-cells and DC investigations. SDB and AC performed T-cells
48 staining. DDB and MM performed NK-cells staining.

49

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73 **ABSTRACT**

74 We evaluated the dynamics of innate and adaptive immunity in patients treated
75 with combined antiretroviral therapy (cART) during primary HIV infection
76 (PHI), enrolled in a prospective randomised trial (MAIN, EUDRACT 2008-
77 007004-29).

78 After 48 weeks of cART, we documented a reduction in activated B-cells and
79 CD8⁺ T-cells. Moreover, natural killer (NK) and dendritic cells (DC)
80 frequencies were measured, finding a decrease in CD16⁺CD56^{dim} with a
81 reciprocal rise in CD56^{high} NK and an increase in myeloid and plasmacytoid
82 DC.

83 In conclusion, 48 weeks of cART during PHI showed significant benefits on
84 both innate and adaptive immunity.

85

86 **KEYWORDS:**

87 Primary HIV-1 infection; B cells; NK cells; dendritic cells; immune activation

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92 **TEXT**

93 During Primary HIV Infection (PHI) the huge amount of viral replication
94 harms the immune system by a direct viral cytopathic effect, but mostly
95 through massive T-cell activation [1,2], responsible for severe CD4⁺ T-cell
96 depletion; combined antiretroviral therapy (cART) can only partially restore
97 this damage [3].

98 Few authors reported the dynamics of adaptive and innate immunity during
99 PHI, the effect of early initiation of cART and their impact on CD4⁺ T-cell
100 recovery. It has been shown that not only T- [3,4] but even B- [5-8], natural
101 killer (NK) [9,10] and dendritic cells (DC) [11] compartments are deranged
102 since PHI and that cART can improve, although not completely, these
103 impairments.

104 Aim of this study was to evaluate T, B, NK and DC subsets during PHI and to
105 assess the effect of cART on the adaptive and innate immune system.

106

107 We analyzed 19 patients treated during PHI enrolled in a monocenter,
108 randomised, open-label, proof-of-concept clinical trial (MAIN study,
109 EUDRACT number: 2008-007004-29). Patients started a protease-inhibitor-
110 based regimen and were randomized to maraviroc as associated drug. Blood
111 was collected at baseline (BL), and at week (W) 48 and processed to preserve
112 frozen Peripheral Blood Mononuclear Cells (PBMCs).

113 Staining and polychromatic flow-cytometry were performed on cryopreserved
114 PBMCs at BL and W48. Gating strategies are described in Figure 1. CD8⁺ and

115 CD4⁺ T-cells were defined as naive (NV), central memory (CM), effector
116 memory (EM), and terminally differentiated (TD) on the basis of CCR7 and
117 CD45RA expression; activated cells were defined by HLA-DR expression.
118 Treg were defined as CD4⁺CD8⁻CD25^{bright}FoxP3⁺CD127⁻. B-cells were
119 divided in transitional (TR), naive (NV), resting memory (RM), activated
120 memory (AM) and tissue-like memory (TLM) on the basis of CD27 and CD21
121 expression. Plasma cells (PC) were defined as CD27⁺CD38⁺. NK cells were
122 divided in CD56^{high} and CD56^{dim}CD16⁺ subsets. Among DC, CD11c⁺ myeloid
123 (mDC) and CD123⁺ plasmacytoid (pDC) DCs were identified.

124

125 An intention-to-treat analysis was performed. Results are described as median
126 (interquartile range) or frequency (%). Wilcoxon signed-rank test and
127 Spearman rank correlation coefficient were applied. All tests were two-sided
128 and a P-value<0.05 was considered as significant. Statistical analysis was
129 performed by SPSS 20 (IBM).

130

131 Patients BL characteristics are shown in table 1. Median HIV-RNA load was
132 5.8log₁₀cp/mL (5.2/6.4), and CD4:CD8 ratio was very low [0.2 (0.15/0.42)],
133 mainly because of massive CD8⁺ T-cell activation.

134 After 48W of cART, CD4⁺ T-cell significantly increased [349cell/μL
135 (241/398), p<0.001], while HIV-RNA decreased [-5.8log₁₀cp/ml (-6.4/-5.2),
136 p<0.001], reaching <50cp/ml in 18/19 patients.

137 At W48, among CD4⁺ T-cells we observed a decrease of NV and CM [NV: -
138 18.1% (-29.9/-8.0), CM: -28.9% (-41.5/-21.6); p<0.001] and an increase in TD
139 [62.5% (40.3/70.1), p<0.001]; among CD8⁺ T-cells, we documented an
140 increase in EM [20.8% (-10.6/32.7), p=0.030] and TD [9.7% (3.5/20.4),
141 p=0.005].

142 Cell activation was measured in both CD4⁺ and CD8⁺ T-cells. While
143 CD4⁺HLA-DR⁺ T-cells did not significantly change (p=0.053), we found a
144 significant reduction in activated CD8⁺ T-cell [-45.2% (-55.4/-30.1), p<0.001].

145 At baseline, both CD4⁺HLA-DR⁺ and CD8⁺HLA-DR⁺ T-cells were inversely
146 correlated with CD4:CD8 ratio (r=-0.505, p=0.027 and r=-0.728, p<0.001,
147 respectively). At W48 a greater reduction in CD4⁺HLA-DR⁺ T-cells was
148 associated with a higher gain of CD4⁺ T-cells (r=-0.460, p=0.048).

149 The T-reg population frequency did not significantly change after 48W of
150 treatment (p=0.091).

151 The analysis of the B-cell populations revealed a 48-week decrease in
152 activated subsets, TLM, AM and PC [TLM: -7.1% (-10.5/-3.0), p<0.001; AM:
153 -5.2% (-15.8/-3.3), p<0.001; PC: -8.7% (-13.0/-2.2), p<0.001], and an increase
154 in RM [9.9% (7.5/17.4), p<0.001].

155 At baseline, CD4:CD8 ratio inversely correlated with AM and TLM [r=-0.571,
156 p=0.011 and r=-0.715, p=0.001, respectively], while higher HIV-RNA was
157 associated with higher PC frequencies (r=0.519, p=0.023). Notably, after 48W
158 a greater reduction of HIV-RNA was associated to a more significant decrease
159 of PC (r=0.647, p=0.003).

160 Among NK cells, at 48W we observed a decrease in CD16⁺CD56^{dim} [-9.3% (-
161 18.4/2.9), p=0.011] and an increase in CD56^{high} cells [0.4% (-0.2-1.0),
162 p=0.033].

163 Finally, both pDC and mDC percentages increased at W48 [pDC: 0.1% (0.1-
164 0.2), p=0.001; mDC: 0.2% (0.1-0.3), p=0.003].

165

166 Aims of this study were to assess alterations of innate and adaptive immunity
167 during PHI and to evaluate cART impact on different cellular subsets.
168 Antiretroviral therapy was able to decrease CD8⁺ T-cell activation in patients
169 with PHI, while no effect was documented on CD4⁺HLA-DR⁺ T-cells. A
170 greater decrease of CD4⁺HLA-DR⁺ was correlated to a more significant
171 increase in CD4⁺ T-cells, while no relationships were found between decrease
172 in CD8⁺HLA-DR⁺ T-cells and CD4⁺ T-cell gain. Interestingly, we observed a
173 decrease in NV and CM CD4⁺ T-cells, coupled with an increase in TD CD4⁺
174 and CD8⁺ T-cells, in contrast to what is described in chronic infected patients
175 [12].

176 Few reports described B-cells kinetics in PHI [13-15]. Notably, at the onset of
177 infection we observed increased frequencies of activated B-cells. Dysregulated
178 B-cell subsets, such as TLM, AM and PC, decreased significantly at W48,
179 suggesting a possible role of cART in preserving humoral immunity.
180 Moreover, we documented a significant increase in RM B-cells, responsible
181 for an efficient secondary immune response.

182 We also studied some parameters to assess the innate immunity in patients
183 treated during PHI: a reciprocal variation of CD16⁺CD56^{dim} and CD56^{high} NK
184 was observed, while among DC we documented an increase in both mDCs and
185 pDCs, usually reduced during CHI [16].

186

187 We showed that during PHI a lower CD4:CD8 ratio was significantly
188 associated not only to a more significant CD4⁺ and CD8⁺ T-cell activation, but
189 also to higher levels of B-cell dysregulated subsets, as measured by AM and
190 TLM. This finding underlines the importance of CD4:CD8 ratio as a surrogate
191 marker of immune function during HIV infection.

192 Despite the small sample size, due to the well-known underestimated
193 incidence of patients diagnosed during PHI, our study showed that during PHI
194 both the adaptive and the innate immune system cell subsets were skewed
195 toward an activated phenotype. Immune activation was significantly
196 dampened by cART, in particular for CD8⁺ T-cells, B-cells and NK cells.
197 Moreover, our findings suggested that CD4:CD8 ratio could be a useful
198 parameter to indirectly assess baseline immune activation during primary HIV
199 infection.

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247 4906(13)00156-7
- 248
- 249
- 250

251 **FIGURE CAPTIONS:**

252 **Figure 1 – Gating strategies**

253 FSC: forward scatter; SSC: side scatter.

254 A) T-cells. NV: naïve; CM: central memory; EM: effector memory. TD:
255 terminally differentiated.

256 B) Treg.

257 C) B-cells. TR: transitional; NV: naïve; RM: resting memory; AM: activated
258 memory; TLM: tissue-like memory; PC: plasma cells.

259 D) NK cells.

260 E) Dendritic cells. pDC: plasmacytoid dendritic cells; mDC: myeloid dendritic
261 cells.

Table 1 - Baseline characteristics

Characteristics (N=19)			
Age, years		39 (33/41)	
Sex			
	M	18 (95%)	
	F	1 (5%)	
Risk factor			
	MSM	12 (63%)	
	Heterosexuals	7 (37%)	
Fiebig			
	III	7 (37%)	
	IV	1 (5%)	
	V	11 (58%)	
		W0	W48
CD4⁺ T-cells, cells/μL		382 (269/470)	705 (614/854)
CD4⁺ T-cells %		12.7 (10.1/22.1)	35.2 (32.2/39.3)
CD8⁺ T-cells, cells/μL		1539 (884/2697)	733 (587/1263)
CD8⁺ T-cells %		70.7 (53.0/72.9)	36.7 (32.7/45.1)
CD4:CD8 ratio		0.20 (0.15/0.42)	1.01 (0.72/1.13)
HIV-RNA, log₁₀ cp/ml		5.8 (5.2/6.4)	0.0 (0.0/1.6)

M: males; F: females; MSM: men who have sex with men; W: week

