

This is a pre print version of the following article:

An exciting path ahead: Comment on “Path integrals, particular kinds, and strange things” by Friston K., Da Costa L., Sakthivadivel D.A.R., Heins C., Pavliotis G.A., Ramstead M., and Parr T / Pagnoni, G.. - In: PHYSICS OF LIFE REVIEWS. - ISSN 1571-0645. - 47:(2023), pp. 139-141. [10.1016/j.plrev.2023.10.030]

Terms of use:

The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.

06/10/2024 00:29

(Article begins on next page)

An exciting path ahead

Comment on “Path integrals, particular kinds, and strange things” by Friston K, Da Costa L, Sakthivadivel DAR, Heins C, Pavliotis GA, Ramstead M, and Parr T

Giuseppe Pagnoni

Department of Biomedical, Metabolic and Neural Sciences
Neuromorphic Intelligence LABoratory,
University of Modena & Reggio Emilia, Modena, Italy

ARTICLE INFO

Keywords:
free energy principle
FEP
active inference

ABSTRACT

xxx
xxx
xxx

It is almost two decades ago that Karl Friston presented the core ideas of the Free Energy Principle (FEP) at the opening keynote lecture of the 2006 Human Brain Mapping meeting in Florence. The talk introduced to a community of neuroimaging researchers a novel, broad-scope theoretical perspective on neural function described in two papers that had just been published [1, 2]. I remember being equally intrigued and taken aback by the talk, a sentiment seemingly shared by the majority of the audience. While the FEP remained indeed somewhat under the radar of the scientific community for a while, it has recently become one of the more actively developed and exciting area of theoretical neuroscience (see Figure 1). Its scope has also crossed the boundaries of neuroscience, with researchers adopting it to try and understand self-organizing adaptive systems [3] in areas as diverse as cell biology [4], botany [5, 6], evolutionary biology and developmental dynamics [7], social behavior [8, 9], and ecology [10].

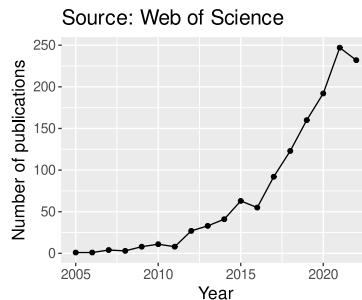



Figure 1: Number of scientific articles published in the years 2005–2022 with ‘free energy principle’ or ‘active inference’ in their keyword list.

The FEP was conceived as an original formulation of the processes underlying action and perception in living beings, unifying them as different manifestations of the same general mechanics of Bayesian beliefs. The main idea is that systems that are able to preserve their overall structure and dynamics can be seen as minimizing the probability of incurring into ‘surprising’ states, where surprise is defined with respect to a generative model of the world embodied by the organism. This can be done either by updating the organism’s beliefs or by generating actions that bring about the sensory data that the organism is expecting. Both of these strategies, in fact, minimize variational free energy, which is just a computationally-tractable upper bound to surprise. This framework was subsequently extended to account for the cognitive faculties of planning and decision-making, thereby conceiving also future sequences of actions (*policies*) as beliefs that need to be inferred by the agent on the basis of predicted sensory data. The inferential process underlying policy selection is thus guided by the minimization of not only variational free energy, but also of the *expected free energy* as a foreseen consequence of undertaking a given policy. Furthermore, the identification of a system as a distinct

 giuseppe.pagnoni@unimore.it (G. Pagnoni)
ORCID(s):

‘thing’ from the rest of the world was formalized by the notion of *Markov blanket*, a statistical membrane partitioning the state space into a sparse coupling structure between external, sensory, internal, and active states.

The article by Friston et al. [11] in this issue marks a novel step in the generalization of the FEP. Based on the principle of least (stationary) action, it recasts the FEP within a path integral formulation, where the path representing the behavior of a system is expressed as a point in generalized coordinates of motion (i.e., the time derivatives of the states of motion up to the n -order). This move produces a very elegant reformulation of the FEP, one that crucially can be applied uniformly to various kinds of systems or ‘particles’: inert particles (without active states), active particles (with active states), ordinary particles (whose active states can directly influence internal states), dissipative particles (subject to random fluctuations), conservative particles (with negligible random fluctuations), and finally ‘strange’ particles (whose active states are *hidden* from the internal states and have thus to be inferred). This latter ‘particular kind’ is especially interesting as it corresponds to the category of sentient beings, whose generative model must include Bayesian beliefs about the potential consequences of their own actions, and thus necessarily a temporally-extended sense of Self.

The current formulation also allows to accommodate some critiques raised by researchers working within the enactive approach to cognition and life [12]. In particular, it does not require the system under study to be in a non-equilibrium steady state, an assumption that was questioned noticing how biological organisms can undergo dramatic changes in both structure and function during their lifetime while preserving nonetheless their identity. Furthermore, the notion of representational knowledge — another point of strong contention — has here a much more ‘deflationary’ flavor: adaptive systems, behave ‘as if’ they use a model of their environmental niche, but in fact the ‘representations’ that make up the phenotype of such systems are really just probabilistic belief landscapes constraining the paths along which behavior and cognition unfold, with no need for a distinct ‘central controller’ (see also the brilliant metaphor of the dam in Hohwy [13], Chapter 3).

Finally — beyond the compelling intellectual appeal of seeing the same fundamental principle applying to physical, biological, and psychological phenomena — the formal characterization of the properties of ‘strange things’ like us provides a much needed mechanistic platform for the development of notoriously elusive areas of scientific investigation, such as subjective experience [14, 15], mental action [16, 17, 18, 19], psychiatric illnesses [20], and consciousness [21, 22]. Even though only the initial steps on the path have been traced, the ‘particular’ approach outlined in [11] has a great potential, in my opinion, for triggering a significant advance of our knowledge in these fields.

References

- [1] Friston, K.. A theory of cortical responses. *Philosophical transactions of the Royal Society of London Series B, Biological sciences* 2005;360:815–836. doi:10.1098/rstb.2005.1622.
- [2] Friston, K., Kilner, J., Harrison, L.. A free energy principle for the brain. *Journal of physiology, Paris* 2006;100:70–87. doi:10.1016/j.jphysparis.2006.10.001.
- [3] Badcock, P.B., Ramstead, M.J.D., Sheikhbahae, Z., Constant, A.. Applying the free energy principle to complex adaptive systems. *Entropy (Basel, Switzerland)* 2022;24. doi:10.3390/e24050689.
- [4] Kiebel, S.J., Friston, K.J.. Free energy and dendritic self-organization. *Frontiers in systems neuroscience* 2011;5:80. doi:10.3389/fnsys.2011.00080.
- [5] Calvo, P., Friston, K.. Predicting green: really radical (plant) predictive processing. *Journal of The Royal Society Interface* 2017;14(131):20170096. doi:10.1098/rsif.2017.0096.
- [6] Calvo, P., Gagliano, M., Souza, G.M., Trewavas, A.. Plants are intelligent, here’s how. *Ann Bot* 2020;125(1):11–28. doi:10.1093/aob/mcz155.
- [7] Friston, K., Friedman, D.A., Constant, A., Knight, V.B., Fields, C., Parr, T., et al. A variational synthesis of evolutionary and developmental dynamics. *Entropy (Basel, Switzerland)* 2023;25. doi:10.3390/e25070964.
- [8] Ramstead, M.J.D., Veissière, S.P.L., Kirmayer, L.J.. Cultural affordances: Scaffolding local worlds through shared intentionality and regimes of attention. *Frontiers in psychology* 2016;7:1090. doi:10.3389/fpsyg.2016.01090.
- [9] Friedman, D.A., Tschantz, A., Ramstead, M.J.D., Friston, K., Constant, A.. Active inferants: An active inference framework for ant colony behavior. *Frontiers in behavioral neuroscience* 2021;15:647732. doi:10.3389/fnbeh.2021.647732.
- [10] Rubin, S., Parr, T., Costa, L.D., Friston, K.. Future climates: Markov blankets and active inference in the biosphere. *Journal of The Royal Society Interface* 2020;17(172):20200503. doi:10.1098/rsif.2020.0503.
- [11] Friston, K., Da Costa, L., Sakthivadivel, D.A.R., Heins, C., Pavliotis, G.A., Ramstead, M., et al. Path integrals, particular kinds, and strange things. *Physics of Life Reviews* 2023, this issue;doi:10.1016/j.plrev.2023.08.016.
- [12] Di Paolo, E., Thompson, E., Beer, R.D.. Laying down a forking path: Incompatibilities between enaction and the free energy principle. 2021. URL: psyarxiv.com/d9v8f. doi:10.31234/osf.io/d9v8f.
- [13] Hohwy, J.. *The Predictive Mind*. 2014.

- [14] Ramstead, M.J.D., Seth, A.K., Hesp, C., Sandved-Smith, L., Mago, J., Lifshitz, M., et al. From generative models to generative passages: A computational approach to (neuro) phenomenology. *Review of Philosophy and Psychology* 2022;doi:10.1007/s13164-021-00604-y.
- [15] Parr, T., Holmes, E., Friston, K.J., Pezzulo, G.. Cognitive effort and active inference. *Neuropsychologia* 2023;108562doi:10.1016/j.neuropsychologia.2023.108562.
- [16] Limanowski, J., Friston, K.. 'seeing the dark': Grounding phenomenal transparency and opacity in precision estimation for active inference. *Frontiers in psychology* 2018;9:643. doi:10.3389/fpsyg.2018.00643.
- [17] Sandved-Smith, L., Hesp, C., Mattout, J., Friston, K., Lutz, A., Ramstead, M.J.D.. Towards a computational phenomenology of mental action: modelling meta-awareness and attentional control with deep parametric active inference. *Neuroscience of consciousness* 2021;2021:niab018. doi:10.1093/nc/niab018.
- [18] Lutz, A., Mattout, J., Pagnoni, G.. The epistemic and pragmatic value of non-action: a predictive coding perspective on meditation. *Current opinion in psychology* 2019;28:166–171. doi:10.1016/j.copsyc.2018.12.019.
- [19] Pagnoni, G., Guareschi, F.T.. Meditative in-action: an endogenous epistemic venture. 2021. URL: osf.io/preprints/psyarxiv/mdbgq. doi:10.31234/osf.io/mdbgq.
- [20] Friston, K.. Computational psychiatry: from synapses to sentience. *Molecular Psychiatry* 2023;28(1):256–268. doi:10.1038/s41380-022-01743-z.
- [21] Ramstead, M.J., Albarracin, M., Kiefer, A., Klein, B., Fields, C., Friston, K., et al. The inner screen model of consciousness: applying the free energy principle directly to the study of conscious experience. 2023. URL: psyarxiv.com/6afs3. doi:10.31234/osf.io/6afs3.
- [22] Ramstead, M.J., Albarracin, M., Kiefer, A., Williford, K., Safron, A., Fields, C., et al. Steps towards a minimal unifying model of consciousness: An integration of models of consciousness based on the free energy principle. 2023. URL: osf.io/preprints/psyarxiv/6eqxh. doi:10.31234/osf.io/6eqxh.