




# The Close AGN Reference Survey (CARS): a comparison between sub-mm and optical AGN diagnostic diagrams

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## ABSTRACT

The  $L_{\text{IR}}-L_{\text{HCN}}$  relation suggests that there is a tight connection between dense gas and star formation. We use data from the Close AGN Reference Survey (CARS) to investigate the dense gas – star formation relation in active galactic nuclei (AGN) hosting galaxies, and the use of dense gas as an AGN diagnostic. Our sample contains five Type-1 (unobscured) AGN that were observed with the Atacama Large Millimetre/submillimetre Array with the aim to detect HCN(4-3), HCO<sup>+</sup>(4-3), and CS(7-6). We detect the dense gas emission required for this analysis in three of the five targets. We find that despite the potential impact from the AGN on the line fluxes of these sources, they still follow the  $L_{\text{IR}}-L_{\text{HCN}}$  relation. We then go on to test claims that the HCN/HCO<sup>+</sup> and HCN/CS line ratios can be used as a tool to classify AGN in the *sub-mm HCN diagram*. We produce the classic ionized emission-line ratio diagnostics (the so-called BPT diagrams), using available CARS data from the Multi Unit Spectroscopic Explorer. We then compare the BPT classification with the sub-mm classification made using the dense gas tracers. Where it was possible to complete the analysis we find general agreement between optical and sub-mm classified gas excitation mechanisms. This suggests that AGN can contribute to the excitation of both the low-density gas in the warm ionized medium and the high-density gas in molecular clouds simultaneously, perhaps through X-ray, cosmic ray, or shock heating mechanisms.

**Key words:** galaxies: active – galaxies: ISM – galaxies: nuclei.

## 1 INTRODUCTION

Supermassive black holes (SMBHs) are found in the centre of nearly all galaxies with stellar masses  $M_* \gtrsim 10^9 M_\odot$ . Tight correlations between the SMBH mass and host galaxy properties – such as bulge mass (e.g. Magorrian et al. 1998; Marconi & Hunt 2003), stellar velocity dispersion (e.g. Ferrarese & Merritt 2000; Tremaine et al. 2002; Gültekin et al. 2009), and X-ray halo temperature/luminosity (Gaspari et al. 2019) – suggest a self-regulated co-evolution between SMBHs and galaxies (e.g. Kormendy & Ho 2013). Accreting

SMBHs, also known as active galactic nuclei (AGN), release substantial amount of energy back to the interstellar/circumgalactic medium (ISM/CGM), are thought to significantly influence their host galaxies evolution. The multiphase ISM/CGM is believed to play a critical role in feeding the AGN (e.g. Shlosman, Begelman & Frank 1990; Gaspari, Ruszkowski & Oh 2013; Tremblay et al. 2016; Izumi, Kawakatu & Kohno 2016b; Temi et al. 2018; Maccagni et al. 2021, 2023; McKinley et al. 2022; Ruffa et al. 2022), but the extent to which AGN feedback impacts the environment via shocks, turbulence, and uplift over multiple scales remains a subject of active research (e.g. Bower et al. 2006; Croton et al. 2006; King & Pounds 2015; Morganti 2017; Gaspari, Tombesi & Cappi 2020; Harrison & Ramos Almeida 2024).

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Thanks to the latest-generation (sub-)millimetre interferometers such as the Atacama Large Millimetre/submillimetre Array (ALMA), the cold component of the ISM in galaxies has been now probed using a variety of different molecular gas tracers, including CO, HCN, HCO<sup>+</sup>, and CS. CO is the workhorse for molecular gas studies in galaxies, as it is the most abundant molecule after H<sub>2</sub> and has excitation temperatures that make it easily excited even at low temperatures (i.e.  $T_{\text{ex}} = 5.53$  K for the ground  $J = 1 \rightarrow 0$  transition; e.g. Bolatto, Wolfire & Leroy 2013). A number of studies have detected different CO transitions in the nuclear regions of AGN-host galaxies (e.g. García-Burillo et al. 2014; Moser et al. 2016; Oosterloo et al. 2017; Ruffa et al. 2019, 2022; Koss et al. 2021; Lelli et al. 2022; Elford et al. 2024). HCN, HCO<sup>+</sup>, and CS are instead the most used tracers of the dense molecular gas component, as their critical density (i.e. the density at which collisional excitation balances spontaneous radiative de-excitation) are  $4.7 \times 10^5 \text{ cm}^{-3}$ ,  $6.8 \times 10^4 \text{ cm}^{-3}$ , and  $1.5 \times 10^4 \text{ cm}^{-3}$  respectively for their (1-0) transitions (e.g. García-Burillo et al. 2014). Gao & Solomon (2004a, b) studied the HCN(1-0) line in a large sample of nearby normal star-forming (spiral) and starburst galaxies and found a tight correlation between their infrared (IR; tracing star formation) and HCN luminosities. This has been interpreted as implying that the dense molecular gas is directly associated with active star forming regions, rather than being simply a tracer for the total molecular gas. Similar correlations have been later found by Tan et al. (2018), but using both the HCN(4-3) and the HCO<sup>+</sup>(4-3) transitions as dense molecular gas tracers.

Dense gas tracers have been detected also in AGN host galaxies (e.g. Baan et al. 2008; Krips et al. 2008; Juneau et al. 2009; García-Burillo et al. 2014; Moser et al. 2016; Ruffa et al. 2018, 2022; Impellizzeri et al. 2019; Imanishi et al. 2020; Li et al. 2021), and their ratios often used as a tool to probe the relative contribution of star formation and AGN to the excitation of the ISM. For instance, a high intensity of HCN(1-0) with respect to HCO<sup>+</sup>(1-0) and/or CO(1-0) has been proposed as a feature unique to AGN. This is because the X-ray dissociation regions around radiatively efficient AGN penetrate deep into the surrounding medium and destroy HCO<sup>+</sup> molecules more efficiently than the analogous photodissociation regions in starburst areas (e.g. Jackson et al. 1993; Sternberg, Genzel & Tacconi 1994; Tacconi et al. 1994; Kohno et al. 2001, 2005; Usero et al. 2004; Imanishi et al. 2007; Krips et al. 2008; Davies, Mark & Sternberg 2012; Ruffa et al. 2018). Furthermore, Izumi et al. (2013) found that the HCN(4-3)/HCO<sup>+</sup>(4-3) and HCN(4-3)/CS(7-6) integrated intensity ratios are higher in AGN than in starburst galaxies. They thus proposed a diagnostic diagram based on these line ratios, which has been dubbed the ‘*submm-HCN diagram*’ (then expanded in Izumi et al. 2016a). However, more work needs to be done to test how results from the *submm-HCN diagram* compare to other methods of studying excitation/ionization from AGN (e.g. by using the optical BPT diagrams; Baldwin, Phillips & Terlevich 1981) and fully understand the connection between nuclear activity and dense gas tracers.

The Close AGN Reference Survey (CARS; Husemann et al. 2017, 2019) is using facilities such as the Multi Unit Spectroscopic Explorer (MUSE; Bacon et al. 2010, 2014) and ALMA to study 41 of the most luminous type 1 (i.e. unobscured) AGN at redshifts  $0.01 < z < 0.06$ . In this paper, we present new ALMA observations of the CO, HCN, HCO<sup>+</sup> and CS molecular gas transitions in 5 AGN of the CARS sample, which were selected to span the full range of AGN luminosity ( $\log L_{\text{AGN}} = 42.9\text{--}45.4 \text{ erg s}^{-1}$ ) and stellar masses ( $8.85 < \log M_{\star} < 11.2 M_{\odot}$ ) of the sample. We study the position of these sources on the Gao and Solomon relations (Gao & Solomon 2004a, b) between the IR and HCN luminosities. We also make use

of the *submm-HCN diagram* to examine its prediction for the cold gas excitation mechanism in these five objects. We then compare their classification in the sub-mm to the one in the optical using the classic BPT diagnostic diagram, that we create from the MUSE data available for the CARS sample.

The paper is organized as follows: in Section 2, we describe the sample and the observations used for our analysis. We describe the methodology and results in Sections 3 and 4, respectively. We discuss our results in Section 5, before summarizing and concluding in Section 6.

## 2 OBSERVATIONS

In this study, we use both interferometric data from ALMA and integral field unit (IFU) data from MUSE, all obtained as part of CARS. Full details about this survey can be found in Husemann et al. (2017). In short, the CARS targets are drawn from the Hamburg-European Southern Observatory (ESO) Survey (HES, Wisotzki et al. 2000), which is a purely flux-limited ( $B_J \lesssim 17.3$ ) catalogue of 415 luminous type 1 (unobscured) AGN based on *B*-band optical photometry and slitless spectroscopy. Applying a redshift cut of  $z \leq 0.06$ , the HES catalogue leads to a sample of 99 AGN. From these, a representative sub-sample of 41 objects was randomly selected and observed first in CO(1-0) with the IRAM-30 m telescope (Bertram et al. 2007), and then with MUSE (Husemann et al. 2017).

The CARS sample is ideal for this type of study as they have spatially resolved observations and unobscured observations of the warm ionized medium (WIM) in nearby luminous type 1 AGN.

### 2.1 ALMA

The 5 CARS targets of this study are HE0108-4743, HE0433-1028, HE1029-1831, HE1108-2813, and HE1353-1917 (see Table 1 for a summary of their main properties) which were chosen to span the full range of galaxy types and properties. The 2–10 keV X-ray luminosities were taken from Ricci et al. (2017) where available. However, for HE0108-4743 and HE1029-1831 we scale the available 0.1–2.4 keV X-ray observations to the 2–10 keV band assuming a spectral index of  $-0.8$  (the mean spectral index reported by Reeves & Turner 2000). ALMA band 7 observations of the dense molecular gas component in these objects were taken in 2018, targeting the HCN(4-3), HCO<sup>+</sup>(4-3), and CS(7-6) lines (PI: Davis, Project Code: 2017.1.00258.S).

The spectral configuration of the ALMA observations consisted of four spectral windows (SPWs), three centred on the redshifted frequencies of the three targeted lines [354.505, 356.734, and 342.883 GHz for HCN(4-3), HCO<sup>+</sup>(4-3), and CS(7-6), respectively] and one used to map the continuum. The integration times for these observations are 1391, 393, 1754, 3084, and 2933s for HE0108-4743, HE0433-1028, HE1029-1831, HE1108-2813, and HE1353-1917, respectively. For three of the five targets (i.e. HE0433-1028, HE1108-2813 and HE1353-1917), previous ALMA band 3 observations of the <sup>12</sup>CO(1-0) and <sup>13</sup>CO(1-0) lines are also available (PI: Tremblay, Project Code: 2016.1.00952.S). The spectral configuration of the CO observations consisted of four SPWs: two centred on the redshifted frequency of the two targeted lines [115.271 and 110.201 GHz for <sup>12</sup>CO(1-0) and <sup>13</sup>CO(1-0), respectively], and the other two used to observe the continuum. For both sets of data a standard calibration strategy was adopted: a single bright quasar was used as both flux and bandpass calibrator, a second one as a phase calibrator. All data sets were calibrated using the the Common Astronomy Software Applications (CASA) pipeline, version 5.1.1 (McMullin et al. 2007).

**Table 1.** Basic parameters of our galaxy sample.

Galaxy	$z$	$\log L_{\text{AGN}}$ ( $\text{erg s}^{-1}$ )	$\log L_{2-10\text{keV}}$ ( $\text{erg s}^{-1}$ )	$\log M_{\star}$ ( $M_{\odot}$ )	SFR ( $M_{\odot} \text{yr}^{-1}$ )	PA °
(1)	(2)	(3)	(4)	(5)	(6)	(7)
HE0108-4743	0.024	43.6	43.0	9.77	$4.3^{+0.2}_{-0.2}$	90
HE0433-1028	0.036	44.8	43.3	10.80	$19.4^{+0.2}_{-0.2}$	10.0
HE1029-1831	0.041	44.3	43.4	10.49	$27.1^{+0.8}_{-1.3}$	90.0
HE1108-2813	0.024	44.0	42.7	10.29	$12.4^{+0.4}_{-0.4}$	7.0
HE1353-1917	0.035	44.1	43.4	10.99	$2.8^{+0.1}_{-0.1}$	29.1

*Notes.* (1) galaxy name and its redshift based on the stellar continuum in the observed IFU data in (2). (3) AGN luminosity estimated from the  $H\beta$  luminosity, (4) the 2–10 keV X-ray luminosity of the object with the stellar mass of the object inferred from SED modelling in (5). (6) star formation rate with associated errors taken from Smirnova-Pinchukova et al. (2022). (7) is the position angle of the object estimated from the CO moment 1 map for HE0433-1831 and HE1108-1813, taken from Husemann et al. (2019) for HE1353-1917 or taken from the NASA Extragalactic Data base (NED)<sup>2</sup> measured counterclockwise from North.

<sup>2</sup> <https://ned.ipac.caltech.edu/>.

**Table 2.** Main properties of the presented ALMA observations.

Galaxy	$\theta_{\text{HCN}}$ (arcsec)	$\theta_{\text{HCO}^+}$ (arcsec)	$\theta_{\text{CS}}$ (arcsec)	$\theta_{\text{CO}}$ (arcsec)	$\theta_{^{13}\text{CO}}$ (arcsec)	$\sigma_{\text{HCN}}$ (mJy beam <sup>-1</sup> )	$\sigma_{\text{HCO}^+}$ (mJy beam <sup>-1</sup> )	$\sigma_{\text{CS}}$ (mJy beam <sup>-1</sup> )	$\sigma_{\text{CO}}$ (mJy beam <sup>-1</sup> )	$\sigma_{^{13}\text{CO}}$ (mJy beam <sup>-1</sup> )
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
HE0108-4743	0.731	0.727	0.759	–	–	0.624	0.686	0.646	–	–
HE0433-1028	0.899	0.900	0.936	0.406	0.417	1.26	1.29	1.43	0.492	0.455
HE1029-1831	0.735	0.735	0.763	–	–	0.673	1.38	1.00	–	–
HE1108-2813	0.789	0.789	0.818	0.520	0.528	0.831	0.883	0.837	0.895	0.744
HE1353-1917	0.694	0.692	0.719	0.533	0.557	0.466	0.499	0.547	0.374	0.351

*Note.* (1) Galaxy name. (2)–(6) The geometric mean of the major and minor axis of the beam for HCN, HCO<sup>+</sup>, CS, CO, and <sup>13</sup>CO observations and corresponding  $1\sigma$  rms noise levels in (7)–(11).

The obtained calibrated data sets were then combined (where needed) and imaged using the same CASA version.

### 2.1.1 Line imaging

Continuum emission was measured over the full line-free bandwidth and subtracted from the data in the  $uv$ -plane using the CASA task `uvcontsub`. The line data was then imaged using the CASA task `tclean` with Briggs weighting and a robust parameter of 0.5, which allows to obtain the best trade-off between sensitivity and resolution. The resulting 3D (RA, Dec, velocity) data cubes were produced with channel widths of  $20 \text{ km s}^{-1}$  and a pixel size which approximately Nyquist samples the synthesized beam. The HCN(4-3) and HCO<sup>+</sup>(4-3) lines were clearly detected in three out of five sources (i.e. HE0433-1028, HE1029-1831, and HE1108-2813). <sup>12</sup>CO(1-0) was also clearly detected in all the sources in which it was targeted (i.e. HE0433-1028, HE1108-2813, and HE1353-1917). CS(7-6) and <sup>13</sup>CO(1-0) were not detected in any of the targets. The achieved angular resolution and  $1\sigma$  root mean square (rms) noise levels (measured in line-free channels) for each target and each molecular line are listed in Table 2.

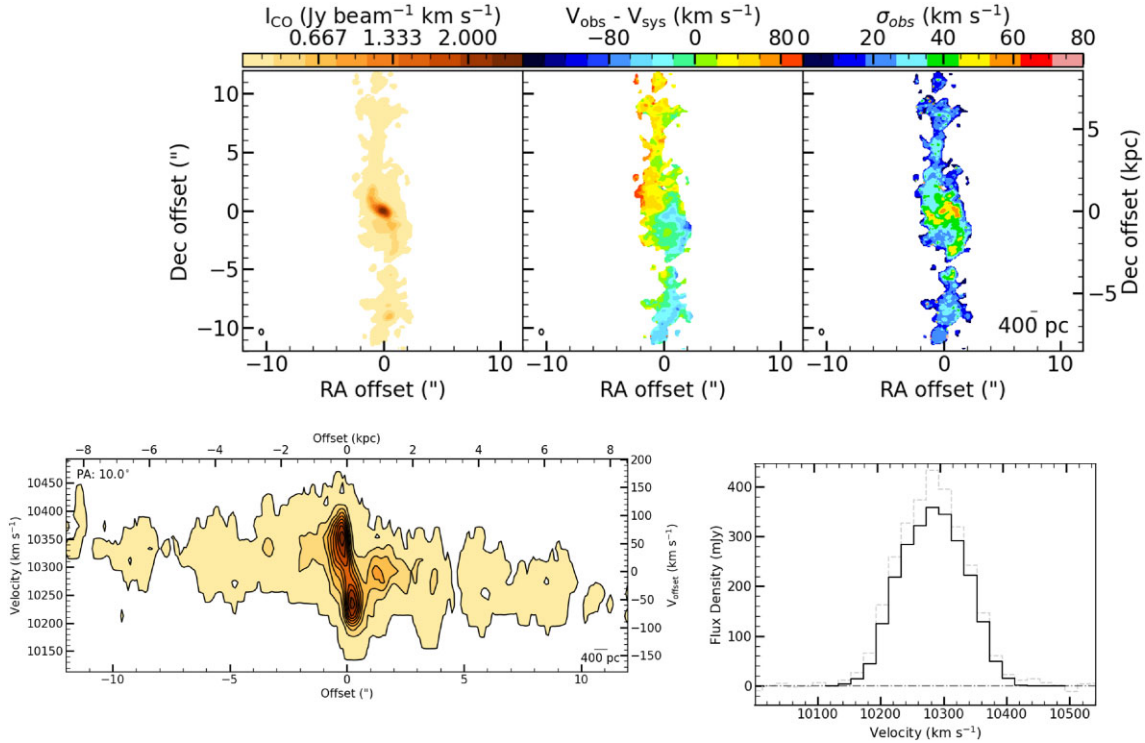
### 2.1.2 Continuum imaging

For each target, the continuum SPWs and the line-free channels of the line SPWs were used to produce the continuum maps using the CASA task `tclean` in multifrequency synthesis (mfs) mode, and Briggs weighting with robust parameter of 0.5. This resulted

in synthesized beam sizes in the range  $0''.704$ – $0''.863$  (corresponding to physical scales of 373–633 pc) for the band 7 continuum maps, and  $0''.447$ – $0''.587$  (284–426 pc) for those in band 3. The systemic velocities ( $V_{\text{sys}}$ ) for HE0108-4743, HE0433-1028, HE1029-1831, HE1108-2813, and HE1353-1917 are 7171, 10294, 11745, 7027, and  $10103 \text{ km s}^{-1}$ , respectively and are estimated using the flux-weighted velocity average from the data cubes. This average differs from the redshift listed in Table 1 by less than 5 percent in all our sources. The corresponding  $1\sigma$  rms noise levels are in the ranges  $45.2$ – $560 \mu\text{Jy beam}^{-1}$  (band 7) and  $11.4$ – $20.8 \mu\text{Jy beam}^{-1}$  (band 3). A single unresolved, point-like continuum source is detected at all frequencies and for almost all the targets. The only exception is the band 7 continuum map of HE0433-1028, where two point-like sources are observed: one coincident with the galaxy nucleus and the second one lying  $\sim 4$  arcsec ( $\sim 3$  kpc) to the South of it. An overlay between the CO and band 7 continuum detections of this object allows us to verify that this second continuum source is also well within the CO disc (as can be seen in the moment maps in Fig. 1). However, due to the quite large separation of the two continuum detections and the second one not being detected in any other observation of this object, we conclude that this latter is likely a background galaxy. All continuum fluxes and associated uncertainties are reported in Table 3.

## 2.2 MUSE

IFU data of the five targets was obtained with the MUSE instrument at the Very Large Telescope (VLT; Bacon et al. 2010, 2014) under programmes 094.B-0345(A) (HE0108-4743, HE0433-1028,



**Figure 1.** Data products of the  $^{12}\text{CO}(1-0)$  ALMA observations of HE0433-1028. The moment 0 (integrated intensity), moment 1 (mean line-of-sight velocity), and moment 2 (mean line-of-sight velocity dispersion) maps are shown in the top-left, top-middle, and top-right panels, respectively. The position–velocity diagram (PVD) is shown in the bottom-left and the integrated spectrum in the bottom-right panels. The synthesized beam is shown in the bottom-left corner of each moment map, and a scale bar is shown in the bottom-right corner of the moment 2 map and the PVD. The bar above each moment map illustrates the colour scale. The integrated spectrum is extracted within a box of  $2\text{ arcsec} \times 24\text{ arcsec}$ . The line profile obtained from the masked data cube is illustrated by the black line, while the dashed line shows the line profile within the same region but without the mask being applied. The position angle (PA) was measured counterclockwise from the North direction. Coordinates are with respect to the image phase centre; East is to the left and North to the top.

**Table 3.** Main properties of the ALMA continuum detections.

Galaxy	$S_{\text{cont},339}$ (mJy)	$\Delta S_{\text{cont},339}$ (mJy)	$S_{\text{cont},104}$ (mJy)	$\Delta S_{\text{cont},104}$ (mJy)
(1)	(2)	(3)	(4)	(5)
HE0108-4743	1.05	0.0534	–	–
HE0433-1028 (Main source)	2.25	0.122	0.727	0.0146
HE0433-1029 (Secondary source)	1.89	0.122	–	–
HE1029-1831	5.56	0.0700	–	–
HE1108-2813	5.46	0.560	0.117	0.0208
HE1353-1917	0.318	0.0452	0.185	0.0114

*Note.* (1) Galaxy name. (2)–(3) Integrated flux densities of the band 7 continuum maps (reference frequency: 339 GHz) and corresponding uncertainties. (4)–(5) Integrated flux densities of the band 3 continuum maps (reference frequency: 104 GHz) and corresponding uncertainties.

HE1029-1831, and HE1108-2812) and 095.B-0015(A) (HE1353-1917). Here, we use the extracted fluxes of the  $\text{H}\alpha$ ,  $[\text{O III}]\lambda 5007$ ,  $\text{H}\beta$ , and  $[\text{N II}]\lambda 6583$  lines. Full details on the MUSE observations and data reduction can be found in Husemann et al. (2022). In summary, the MUSE observations had spatial resolutions ranging from  $0''.43$ – $1''.32$  and a pixel size of  $0''.2$ . In this work, we make use of the reduced MUSE data products, which are also publicly available in the CARS archive.<sup>1</sup>

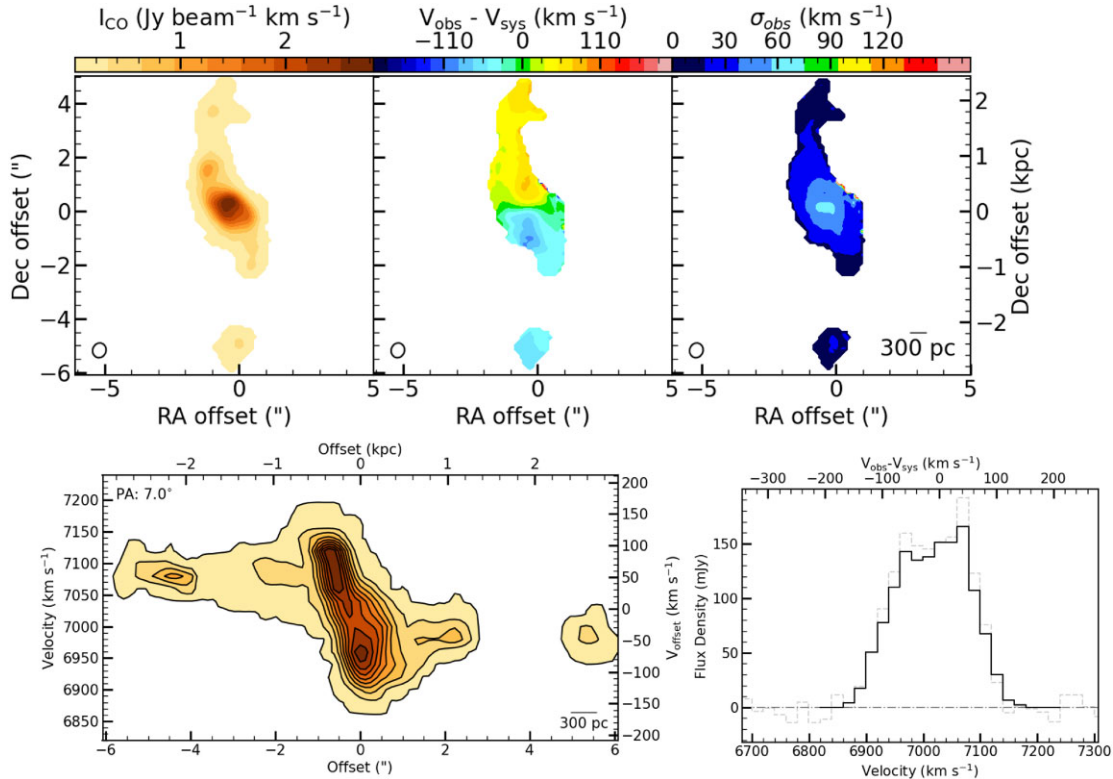
### 3 METHODS

#### 3.1 ALMA data products

Integrated intensity (moment 0), mean line-of-sight velocity (moment 1) and velocity dispersion (moment 2) maps of the HCN,  $\text{HCO}^+$ , CS, and CO detections were produced from the continuum-subtracted data cubes using the masked moment technique (Dame 2011), as implemented in the PYMAKEPLOTS routine.<sup>2</sup> In this procedure, a copy of the cleaned data cube is first Gaussian-smoothed spatially with a full width at half-maximum (FWHM) equal to 1.5 times that of the synthesized beam, and then smoothed in the velocity dimension with a boxcar kernel FWHM of four channels. A three-dimensional mask is then created by selecting all the pixels above a fixed flux-density threshold (chosen by the user) in the smoothed data cube. The moment maps are then constructed using the original, un-smoothed cubes within the masked regions only. In the majority of the cases, we adopted a flux-density threshold for the mask of  $5\sigma$ , to ensure that only real, high-significance gas emission is included. Major-axis position–velocity diagrams (PVDs) of the line detections were then constructed by summing the pixels in the masked cube within a pseudo-slit whose long axis is oriented along the position angle of gas distribution. Integrated spectra were created from the observed data cubes by summing over both spatial dimensions within boxes enclosing all the detected line emission. The

<sup>1</sup><https://cars.aip.de/>

<sup>2</sup><https://github.com/TimothyADavis/pymakeplots>



**Figure 2.** As in Fig. 1, but for HE1108-2813. The spectrum is extracted within a box of  $5'' \times 13''.5$ .

obtained data products are illustrated in Figs 1 and 2 for the CO(1-0) detections in HE0433-1028 and HE1108-2813, respectively (the data products for HE1353-1917 have already been presented in Husemann et al. 2019 and thus not reproduced here), and in Figs A1–A6 for all the HCN(4-3) and HCO<sup>+</sup>(4-3) detections.

The CO(1-0) emission in HE0433-1028 and HE1108-2813 is well resolved. In particular, the integrated intensity maps (top-left panels of Figs 1 and 2) shows that in both cases the CO gas is distributed along the bar of the galaxy and extends  $\approx 22$  arcsec ( $\sim 16.4$  kpc) and  $\approx 13.5$  arcsec ( $\sim 6.7$  kpc), respectively, along its major axis. The integrated flux densities of the two CO(1-0) detections are listed in Table 4. These were estimated by numerically integrating over the channels defining the corresponding line profiles (illustrated in the bottom-right panels of Figs 1 and 2). The intensity-weighted mean line-of-sight velocity maps (top-middle panels of Figs 1 and 2) and intensity-weighted line-of-sight velocity dispersion maps (top-right panels of Figs 1 and 2) suggest signs of shocks, such as regions of high-velocity dispersion (e.g Athanassoula 1992). This would occur as the molecular gas flows along the x1 orbits (i.e. elongated parallel to the bar) of the bar in both sources, and also along the x2 orbit (i.e. perpendicular to the bar) in HE1108-2813. This can be inferred as regions of high mean velocity and velocity dispersion are clearly visible in both cases along the leading edge of the bar and in the central regions, where the transition from x1 to x2 orbits occurs. The major-axis PVDs (bottom-left panels of Figs 1 and 2) show that the CO gas disc is regularly rotating in both of these sources. There is also an asymmetric increase in the velocity in both of these sources which may be associated with non-circular motions in the elliptical x2 orbits at the centre of each system.

As visible in Figs A1–A6, the HCN(4-3) and HCO<sup>+</sup>(4-3) emission is mostly unresolved in all the three targets in which these lines have been detected, and thus their moment maps do not yield

much information. However, from these moment maps it is clear that the dense gas is concentrated in the nuclear regions ( $\sim 197$ – $336$  pc in radius, corresponding to half the beam width of the ALMA observations) of the galaxies where it co-exists with the less dense molecular gas.

### 3.2 Stacking methodology

To attempt the detection of the weaker lines (and improve the signal-to-noise of those already detected), we performed a stacking analysis using the STACKARATOR tool<sup>3</sup> of Davis et al. (submitted). This routine allows to stack the datacubes of extended sources to extract weak lines by means of the velocity field (i.e. moment 1 map) of a bright line detection of the same source. For our targets, we used the velocity information of the <sup>12</sup>CO(1-0) when available, the MUSE stellar velocity maps otherwise. Each spaxel in the cube was velocity shifted according to the corresponding value in the reference moment 1 map, aligning the local spectra to their respective systemic velocities before stacking. The adjusted spectra of each pixel are then co-added with appropriate rebinning to create a single, stacked spectrum. This procedure allows us to improve the average signal-to-noise ratio of the HCN(4-3) and HCO<sup>+</sup>(4-3) detections in HE0433-1028, HE1029-1831, and HE1108-2813 from  $\sim 3.7$  to  $\sim 4.1$  (see Figs 3–5), whereas the other two targets remain undetected in these lines. The stacking of the CS datacubes allows us to pull out a faint detection in HE1108-2813 (as visible in Fig. 5). All of the other targets remain undetected in CS(7-6). <sup>13</sup>CO is not detected in any of the stacked datacubes. This is not surprising, as the ALMA band 3 observations were tailored to detect <sup>12</sup>CO (which is typically

<sup>3</sup><https://github.com/TimothyADavis/stackarator>

**Table 4.** Main properties of the detected lines.

Galaxy	$I_{\text{HCN}(4-3)}$ ( $\text{Jy km s}^{-1}$ )	$\text{FWHM}_{\text{HCN}(4-3)}$ ( $\text{km s}^{-1}$ )	$I_{\text{HCO}^+(4-3)}$ ( $\text{Jy km s}^{-1}$ )	$\text{FWHM}_{\text{HCO}^+(4-3)}$ ( $\text{km s}^{-1}$ )	$I_{\text{CS}(7-6)}$ ( $\text{Jy km s}^{-1}$ )	$\text{FWHM}_{\text{CS}(7-6)}$ ( $\text{km s}^{-1}$ )	$I_{\text{CO}(1-0)}$ ( $\text{Jy km s}^{-1}$ )	$I_{\text{CO}^{13}(1-0)}$ ( $\text{Jy km s}^{-1}$ )	$V_{\text{shift,CO}}$ ( $\text{km s}^{-1}$ )	$V_{\text{shift,HCN}}$ ( $\text{km s}^{-1}$ )	$V_{\text{shift,HCO}^+}$ ( $\text{km s}^{-1}$ )
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	—	—	—
HE0108-4743	<0.93	—	<1.09	—	<1.17	—	—	—	—	—	—
HE0433-1028	$2.25 \pm 0.11$	114	$2.39 \pm 0.12$	111	<1.58	—	$56.5 \pm 0.03$	<1.96	492	-162	-98
HE1029-1831	$0.60 \pm 0.09$	20.5	$2.48 \pm 0.16$	113	<1.23	—	—	—	—	111	-171
HE1108-2813	$0.78 \pm 0.06$	106	$1.53 \pm 0.06$	115	$0.42 \pm 0.06$	108	$25.2 \pm 0.05$	<2.99	877	247	-66
HE1353-1917	<0.38	—	<0.50	—	<0.51	—	—	<2.05	—	—	—

Note. (1) Galaxy name, (2)–(6) HCN(4-3), HCO<sup>+</sup>(4-3), CS(7-6), CO(1-0), and <sup>13</sup>CO(1-0) line intensities and associated uncertainties. All but CO(1-0) values are those obtained after stacking (see Section 3.2). (7) The velocity shift in the CO(1-0) line.

$\gtrsim 10$ –20 times brighter than its isotopologue). The integrated flux densities and FWHM of the detected HCN(4-3), HCO<sup>+</sup>(4-3), and CS(7-6) lines were obtained by performing a single Gaussian fit on their stacked spectra using the Markov chain Monte Carlo code GASTIMATOR.<sup>4</sup> The upper limits for non-detections were estimated using the relation from Koay et al. (2016):

$$I \leq 3\sigma \Delta v_{\text{FWHM}} \sqrt{\frac{\Delta v}{\Delta v_{\text{FWHM}}}}, \quad (1)$$

where  $\sigma$  is the rms noise level,  $\Delta v$  is the channel width and  $\Delta v_{\text{FWHM}}$  is the expected FWHM of the emission line. In Table 4, we list the obtained values for all the targets.

## 4 RESULTS

### 4.1 Testing the Gao–Solomon relation for AGN

To better understand how the AGN can impact the dense gas surrounding it and how this in turn can impact star formation, we compare the dense gas luminosities with known star formation relations.

In Fig. 6, we relate the HCN(4-3) and HCO<sup>+</sup>(4-3) luminosities of HE0433-1028, HE1029-1831, and HE1108-2813 with the IR luminosities derived from their star formation rates. We estimated the IR luminosities of our targets by inverting the relation (Kennicutt 1998; Gao & Solomon 2004b)

$$\text{SFR} \approx 2 \times 10^{-10} (L_{\text{IR}}/L_{\odot}) M_{\odot} \text{yr}^{-1}. \quad (2)$$

The star formation rates are taken from Smirnova-Pinchukova et al. (2022) and are listed in Table 1. We then used the revised version of the Gao and Solomon relation from Tan et al. (2018):

$$\log L_{\text{IR}} = 1.00(\pm 0.04) \log L'_{\text{HCN}(4-3)} + 3.80(\pm 0.27) \quad (3)$$

and

$$\log L_{\text{IR}} = 1.13(\pm 0.04) \log L'_{\text{HCO}^+(4-3)} + 2.83(\pm 0.24) \quad (4)$$

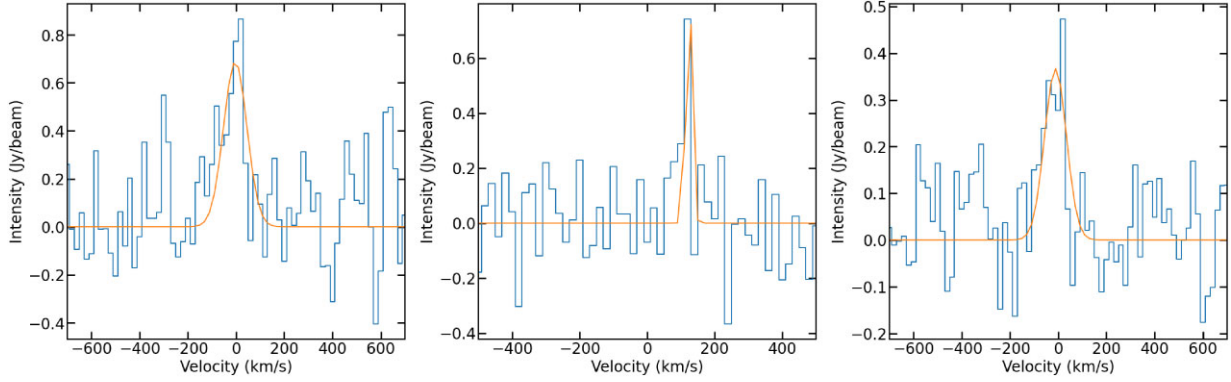
which was calibrated on starburst galaxies, luminous infrared galaxies (LIRGs) and ultraluminous infrared galaxies (ULIRGs). Our sources have  $L_{\text{IR}} \sim 10^{11} L_{\odot}$  which would classify them as LIRGs. To confirm whether our sources follow the Gao and Solomon relations we performed a one-sample t-test on the residuals between the observed  $L_{\text{IR}}$  and that predicted from the Gao and Solomon relation for both HCN and HCO<sup>+</sup>. We obtained  $p$  values of 0.32 and 0.51, respectively indicating no significant deviations. Despite the potential impact of the AGN on these line fluxes, we find that all the three CARS targets are consistent with following the Gao and Solomon relations. These strong relations suggest that star formation occurs in dense molecular gas. This will be discussed further in Section 5.

### 4.2 Gas excitation classification

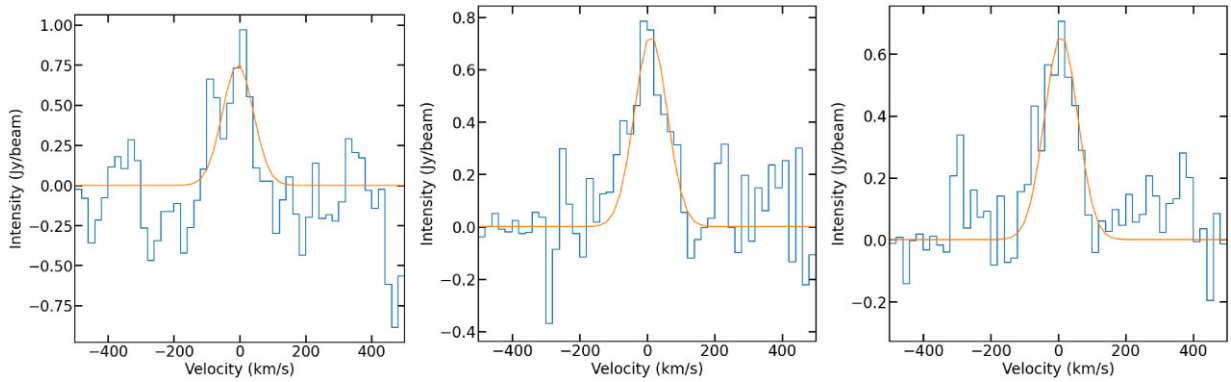
#### 4.2.1 Optical BPT diagrams

To understand the dominant ionization mechanism(s) of the WIM in the three targets with corresponding ALMA data, we produced BPT diagrams using the available MUSE IFU CARS data (see Section 2.2 for details).

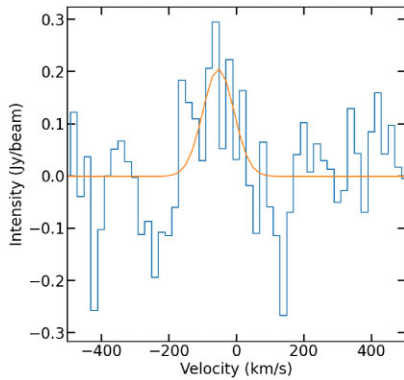
<sup>4</sup><https://github.com/TimothyADavis/GASTimator>



**Figure 3.** Stacked spectra of the HCN (4-3) line in HE0433-1028 (left), HE1029-1831 (middle), and HE1108-2813 (right). The orange lines shows the best-fitting Gaussian profiles.



**Figure 4.** Stacked spectra of the HCO+ (4-3) line in HE0433-1028 (left), HE1029-1831 (middle), and HE1108-2813 (right). The orange lines shows the best-fitting Gaussian profiles.



**Figure 5.** Stacked spectra of the CS (7-6) line in HE1108-2813. The orange line shows the best-fitting Gaussian profile.

In a classic BPT diagram (Baldwin et al. 1981), the  $[\text{N II}]\lambda 6583/\text{H}\alpha$  and  $[\text{O III}]\lambda 5007/\text{H}\beta$  ratios are used as proxies to classify objects as either star formation (H II), composites, low-ionization nuclear emission region (LINER) or AGN dominated. In particular, to discriminate between star formation-dominated and composite regions, we used the following equation (derived from the observations presented in Kauffmann et al. 2003):

$$\log([\text{O III}]/\text{H}\beta) = 0.61/(\log([\text{N II}]/\text{H}\alpha) - 0.05) + 1.3. \quad (5)$$

On the other hand, to separate between composite and AGN/LINER regions, we adopted the following relation (defined from photoion-

ization and stellar population synthesis modelling in Kewley et al. 2001):

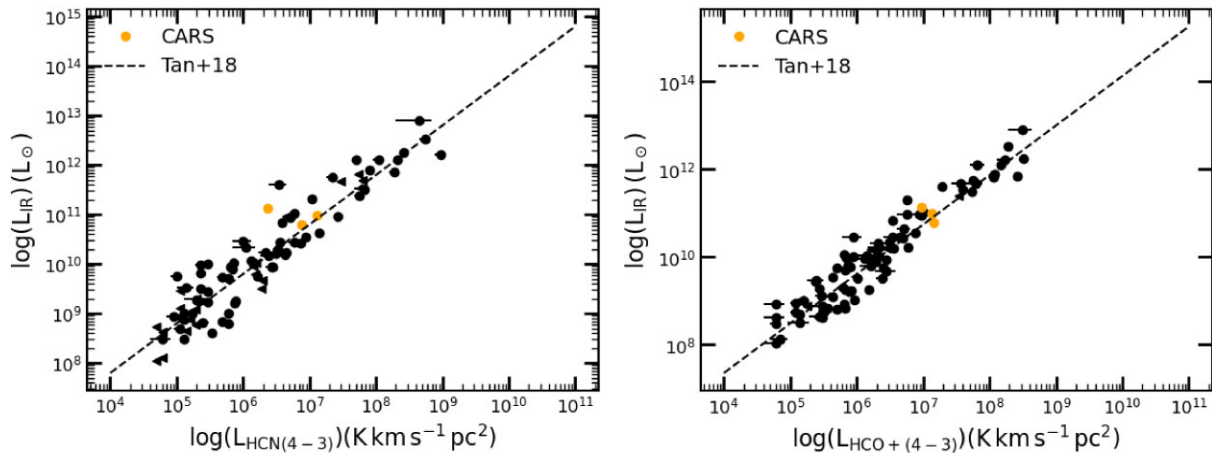
$$\log([\text{O III}]/\text{H}\beta) = 0.61/(\log([\text{N II}]/\text{H}\alpha) - 0.47) + 1.19. \quad (6)$$

We then used the equation presented in Schawinski et al. (2007) to distinguish between AGN and LINER regions:

$$\log([\text{O III}]/\text{H}\beta) = 1.05\log([\text{N II}]/\text{H}\alpha) + 0.45. \quad (7)$$

All pixels BPT diagrams of the targets were produced using the definitions illustrated above. In addition, we also produced the same diagnostic diagrams for the nuclear regions, which were obtained by including only the area of the IFU data cubes within one ALMA beam. This was done to probe the scales that are most likely to be impacted by the AGN, ensuring also a comparison on similar scales both in the optical and the sub-mm. The obtained all pixels and nuclear BPT diagrams are shown in Fig. 7, where each point represents one pixel in the MUSE maps. The colours of the all pixel BPTs indicate the density of points in an area, with brighter points for denser areas.

The BPT diagnosis identifies the AGN as the dominant ionization mechanism in the nuclear regions of HE0433-1028, and a combination of star formation and AGN (i.e. composite) in those of HE1029-1831 and HE1108-2813. This thus suggests that, in these latter sources, the optical excitation has large contributions from star formation alongside the impact of the bright AGN. This is not especially surprising, as these objects are highly star forming, with SFRs of  $10\text{--}30 M_{\odot} \text{ yr}^{-1}$  (see Table 1).



**Figure 6.** Relation between the HCN(4-3) (left) and HCO<sup>+</sup>(4-3) (right) luminosity and the IR luminosity from star formation. We additionally plot the points and relation from Tan et al. (2018).

Additionally, we studied the AGN diagnostic diagrams proposed in Veilleux & Osterbrock (1987), the so-called VO87-O I and VO87-S II. These use the [O I] $\lambda$ 6300/H $\alpha$ , [O III] $\lambda$ 5007/H $\beta$ , or [S II] $\lambda$ 67177/H $\alpha$  ratios to classify objects as either star forming, LINER or Seyfert dominated. We find that the VO87-O I and VO87-S II diagrams are in good agreement with the BPT classification illustrated in Fig. 7, thus we do not show or further discuss them here.

#### 4.2.2 Sub-mm HCN diagram

To make a comparison with the optical classification, we produce the submm-HCN diagnostic diagram using the HCN(4-3)/CS(7-6) and HCN(4-3)/HCO<sup>+</sup>(4-3) ratios. As described in Section 3.1, we detect all these three lines only in HE1108-2813, as CS(7-6) is not detected in HE0433-1028 and HE1029-1831. For these latter sources, we used upper limits on the CS(7-6) line to place lower limits on the HCN(4-3)/CS(7-6) ratio.

The submm-HCN diagram with these three targets included is shown in Fig. 8, with the points coloured based on their BPT classification. We additionally plot the data from Izumi et al. (2016a). We find all three of our sources fall in the starburst region of the diagram though the two lower limits could move upwards. In HE1108-2813, the galaxy in which we detect all the required lines, the two diagrams agree on the excitation mechanism, as both predict star formation excitation to be important. Our other two systems only have lower limits on the HCN/CS axis, making it harder to judge agreement between the two diagrams. Both sources have lower HCN/HCO<sup>+</sup> ratios than any of the AGN sources from Izumi et al. (2016a). The source HE0433-1028 which is classified as AGN dominated in the optical BPT diagram is, however, the most similar to the AGN in this ratio. If its true HCN/CS line ratio were significantly higher than our lower limit, then within error it would be fully consistent with AGN excitation dominating also in the dense gas. These results will be further discussed in Section 5.

## 5 DISCUSSION

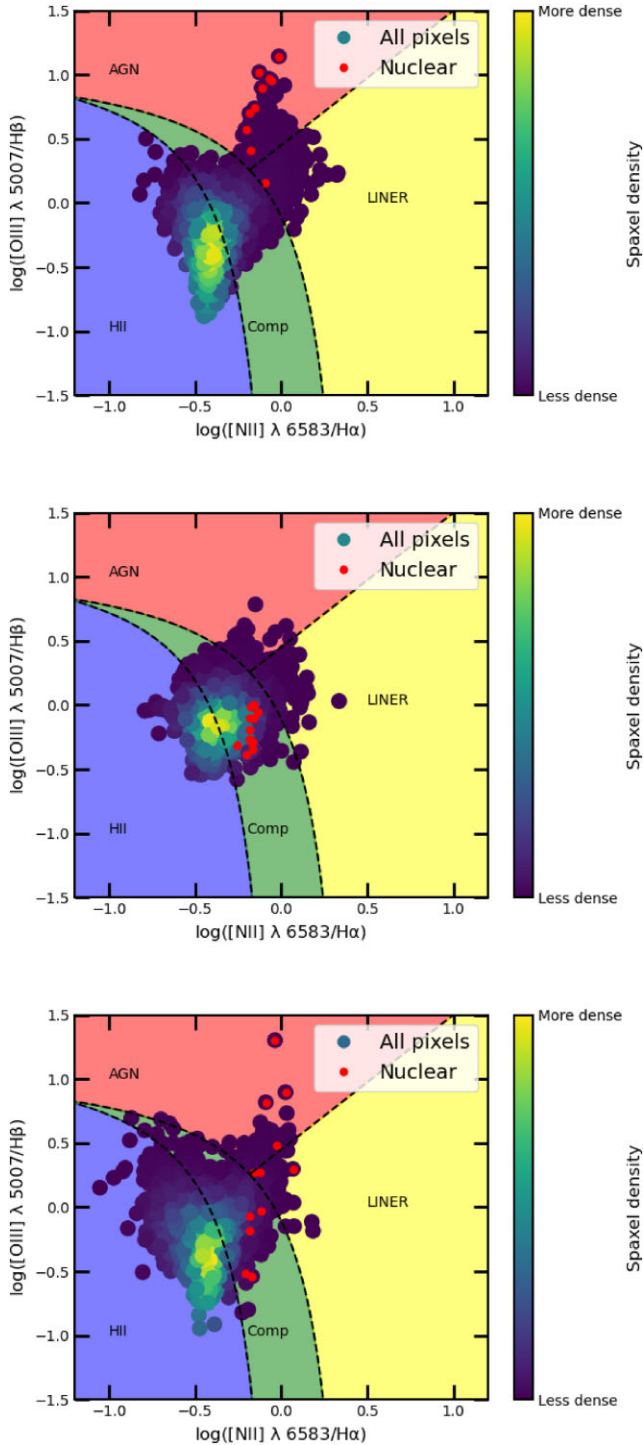
The large-scale molecular discs of the 5 AGN from CARS show a variety of different morphologies, with bars being important in HE0433-1028 and HE1108-2813. The denser gas tracers are only detected towards the nuclei, close to the AGN.

In Section 4.1, we found that the three sources with HCN(4-3) and HCO<sup>+</sup>(4-3) detections follow known star formation relations. This indicates that if the HCN abundance has been enhanced due to the presence of an AGN, as speculated by Izumi et al. (2016a), it has not been enhanced enough to effect global scaling relations in log-log space.

In Sections 4.2.1 and 4.2.2, we compared the BPT and sub-mm diagnostics to identify the excitation mechanism of the optical ionized and molecular gas lines. We find all three of our sources fall in the starburst region of the sub-mm HCN diagram though the two lower limits could move upwards. For HE1108-2813, the galaxy in which we detect all the required lines, the two diagrams agree on the excitation mechanism, as both predict star formation excitation to be important. Our other two systems only have lower limits on the HCN/CS axis, making it harder to judge agreement between the two diagrams. If the true HCN/CS ratio of HE0433-1028 (our source which is classified as AGN dominated in the optical BPT diagram) were significantly higher (e.g. a ratio of  $\gtrsim 8$ ) than our lower limit, then within error it would be fully consistent with AGN excitation dominating also in the dense gas. This would then mean the optical BPT diagram and sub-mm HCN diagram are in good agreement with each other. We stress that this cross-check has only been performed on a very small sample of three sources and this, along with the non-detection of some lines, makes it hard to draw solid general conclusions. Further comparisons with deeper observations on larger samples are needed to study this further.

In optical classifications like the BPT the difference in ionized gas line ratios in star-forming and active galaxies is due to the conditions of the ISM, the gas-phase abundance and the different ionization processes. In star-forming galaxies the line ratios are reproduced by photoionization models that have O and B stars as the main sources of ionization (Kewley et al. 2001; Nagao, Maiolino & Marconi 2006; Stasińska et al. 2006; Levesque, Kewley & Larson 2010; Sánchez et al. 2015). AGN typically have higher ratios because the accretion processes can produce higher energy photons which can in turn induce more heating in the narrow line region, thus causing an increase in the collisionally excited lines (Stasińska et al. 2006). This explains why our three sources, which are all type 1 AGN (selected via the presence of broad lines, not using these line ratios), show signs of AGN ionization in their BPT diagrams (see Fig. 7).

By using non-local thermodynamic equilibrium radiative transfer models, Izumi et al. (2016a) found that the high HCN to HCO<sup>+</sup> and



**Figure 7.** All pixels BPT diagrams of HE0433-1028 (top), HE1029-1831 (middle), and HE1108-2813 (bottom). The lighter regions are areas with a higher density of points. The red points show the results obtained for the nuclear regions of each object, assumed to be within one ALMA beam size. The blue, green, yellow, and red regions are where the star formation, composite, LINERs, and AGN dominate the excitation, respectively.

HCN to CS dense molecular line ratios of AGN are likely due to their enhanced HCN abundance compared to star forming galaxies. This also indicates a higher gas density in AGN with respect to star forming galaxies. The exact mechanism that causes this HCN abundance in AGN is not clear with Izumi et al. (2016a) proposing

several potential explanations, including high-temperature chemistry ( $\sim 300$  K), the effects of metallicity and chemical abundances, IR pumping or time dependent chemistry as potential solutions.

Our findings are consistent with the idea that AGN can be an important excitation mechanism at sub-mm wavelength. It indicates that the AGN radiation can penetrate both the low-density ionized gas (as probed by the BPT classification) and the high-density molecular gas (as probed by the sub-mm HCN classification). It seems that the hard X-ray photons produced by nuclear activity can both ionize the diffuse gas and penetrate deep into the denser molecular gas clouds, heating them (e.g. Maloney, Hollenbach & Tielens 1996; Wolfire, Vallini & Chevance 2022). This heating could then induce high-temperature chemistry that leads to the increase in HCN abundance as discussed in Izumi et al. (2016a).

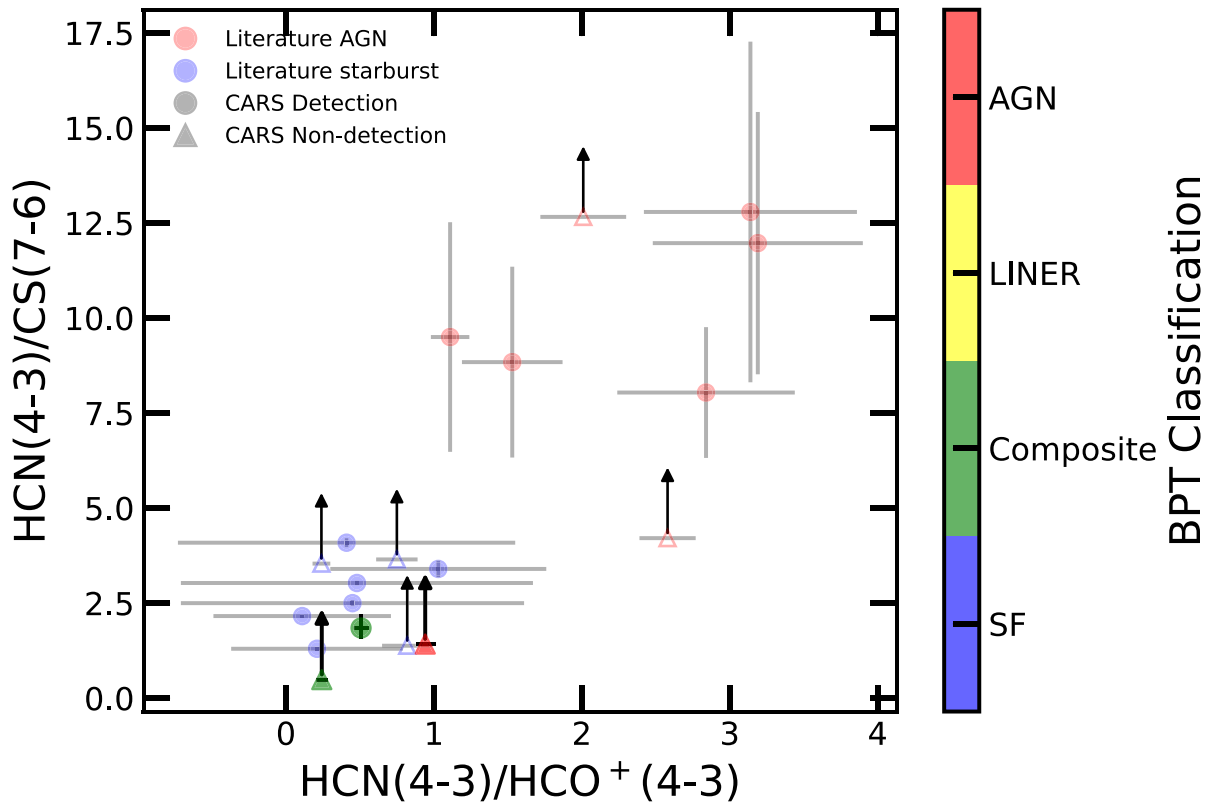
For comparison, Esposito et al. (2022) studied the CO emission in a sample of 35 local active galaxies to investigate the main source of molecular gas heating in these sources. In their study they found that on the scales of  $\approx 250$  pc from the AGN a combination of star formation and AGN ionization is needed to reproduce the CO emission observed, in agreement with what we find here.

On the other hand, some works have shown that AGN radiation is absorbed by the outer layers of dense gas clouds which prevents the AGN radiation from penetrating deep into the molecular cloud (Roos et al. 2015; Bieri et al. 2017; Meenakshi et al. 2022). If this remains true in our sources then perhaps the AGN could be heating the gas by inducing shocks in the clouds that can excite the dense gas (Namekata, Umemura & Hasegawa 2014; Meenakshi et al. 2022). These shocks could be produced by either outflows or jets which have been identified in some CARS sources (Husemann et al. 2019; Singha et al. 2023). However, we caution that these shocks would need to be affecting the dense and ionized gas simultaneously, which seems less likely given that the dense and ionized gas may not be co-spatial.

Furthermore, the AGN could produce cosmic rays or could be driving turbulence potentially through outflows, which results in large line-width, and produce turbulence/velocity shears in the ISM (e.g. Singha et al. 2022). Both of these processes have been shown to substantially impact molecular clouds (e.g. Federrath & Klessen 2012, 2013; Gaspari et al. 2018; Crocker, Krumholz & Thompson 2021; Wittor & Gaspari 2023). In particular, the density fluctuations imparted by AGN-driven turbulence are key to promote the next-generation of condensing gas via non-linear thermal instability, leading to the chaotic cold accretion of such dense clouds that recursively stimulate the AGN feeding-feedback cycle (Gaspari et al. 2020, for a review).

Finally, the fact that we are not seeing an enhanced HCN excitation could be due to the resolution of our observations. It has been found in Viti et al. (2014) that the HCN excitation is enhanced in the circumnuclear disc  $r < 200$  pc and Audibert et al. (2021) was able to separate out the AGN HCN excitation thanks to the high-resolution ( $\sim 4$  pc) beam. Our ALMA beams which have sizes (373–633 pc) may be too large to separate out the AGN HCN excitation with the AGN impact being diluted. This is further supported by AGN in the low-resolution sample from Izumi et al. (2016b), which where their AGN sources fall in the starburst region of the sub-mm HCN diagram. Following on from this, it could be the case that the AGN has a higher weight in the BPT diagram, meaning its impact is not diluted like it is for the HCN excitation, explaining why we still see the AGN impact in the nuclear BPT diagrams.

Overall, our results are consistent with the idea that AGN may be able to directly or indirectly excite the gas in dense molecular clouds. However, when strong star formation is present this can



**Figure 8.** Submm-HCN diagnostic diagram. The three CARS targets for which this analysis has been carried out are plotted in green and red, as labelled by the colour bar on the right (and based on their BPT classification). We also plot on the background the data from Izumi et al. (2016a). Triangles represent lower limits with open triangle representing literature lower limits. This plot suggests that the Submm-HCN diagram and the optical BPT diagram are in agreement with each other. The CARS sources that are identified as AGN using the BPT diagram lie in the same region as the literature non-detected AGN and in reality likely have higher HCN(4-3)/CS(7-6) ratios taken them up into the AGN region of the diagram. On the other hand, the sources that are identified as composite using the BPT diagram (i.e. have significant contribution from star formation) lie in same region as the starburst sources from literature.

easily dominate the excitation, of both gas phases, even close to a luminous AGN.

## 6 CONCLUSIONS

Using CARS ALMA data we have observed dense and molecular gas tracers in five nearby type 1 (unobscured) AGN. In summary:

(i) We have found that the large-scale molecular discs of these systems show a variety of different morphologies, with bars being important in several cases. The denser gas is only detected towards the nuclei, close to the AGN where the gas density is supposedly the highest. We have used the observations of the dense gas tracers to study their use as an AGN diagnostic tool.

(ii) It has been reported in previous studies that the HCN/HCO<sup>+</sup> and HCN/CS ratios can be used to separate AGN from star forming galaxies using a *sub-mm HCN diagram*, as HCN can be enriched by chemical pathways at high temperatures. We find that all three of the sources where the dense gas tracers were detected follow the Gao–Solomon relations between the amount of dense gas and star formation. This indicates that if the HCN abundance has been enriched by AGN activity it has not been enhanced enough to affect global scaling relation.

(iii) When studying the *sub-mm HCN diagram* we are limited by the lack of detection of CS(7-6) in many of our sources, but in HE1108-2813 we find general agreement between optical and sub-mm classification gas excitation mechanisms. It should be noted that

these results are limited due to the low statistics and the interpretation is speculative.

This suggests that AGN can contribute to the excitation of both the low-density gas in the WIM and high-density molecular gas clouds in the nuclear regions (~250 pc in radius), perhaps through X-ray, cosmic ray, or shock heating mechanisms.

Further observations of dense gas around AGN are clearly needed to further populate the sub-mm HCN diagram and to allow further cross-comparisons with other AGN classification schemes and studies of AGN excitation at different wavelengths. Deeper observations with longer integration times are key to detecting CS (7-6) to be able to accurately place our sources and future sources on the sub-mm HCN diagram. Observations of other sub-mm lines could also help to understand the impact of AGN on the dense gas that surrounds it with Imanishi, Nakanishi & Izumi (2016) finding the HCN-to-HCO<sup>+</sup>  $J = 3-2$  flux ratio also being higher in AGN hosting galaxies.

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This research made use of ASTROPY,<sup>5</sup> a community-developed PYTHON package for Astronomy (Astropy Collaboration 2013), MATPLOTLIB,<sup>6</sup> an open source visualization package (Hunter 2007) and NUMPY,<sup>7</sup> an open source numerical computation library (Harris et al. 2020).

This paper makes use of ALMA data. ALMA is a partnership of the ESO (representing its member states), NSF (USA), and NINS (Japan), together with the NRC (Canada), NSC, ASIAA (Taiwan), and KASI (Republic of Korea), in cooperation with the Republic of Chile. The Joint ALMA Observatory is operated by the ESO, AUI/NRAO, and NAOJ.

This paper made up work towards the PhD thesis Elford (2024).

## DATA AVAILABILITY

The data underlying this article are available in the ALMA archive, at <http://almascience.eso.org/aq/>. The reduced data used will be shared upon reasonable request to the first author.

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<sup>5</sup><http://www.astropy.org/>

<sup>6</sup><https://matplotlib.org/>

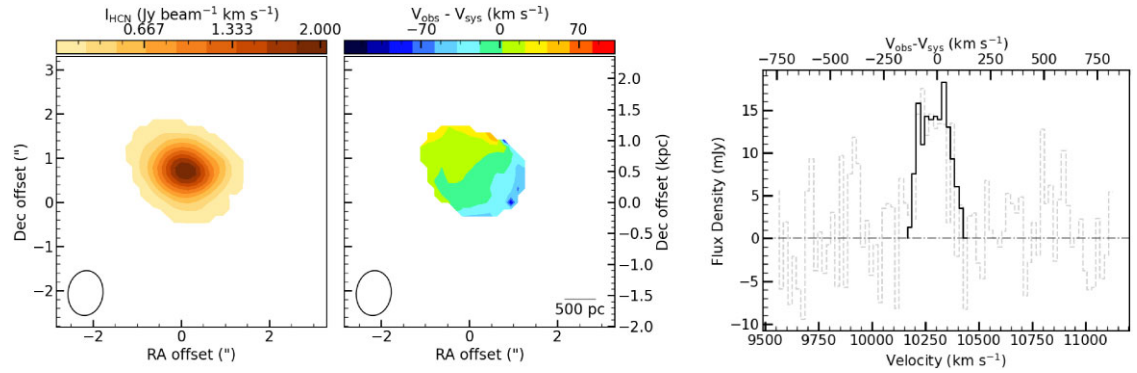
<sup>7</sup><https://numpy.org/>

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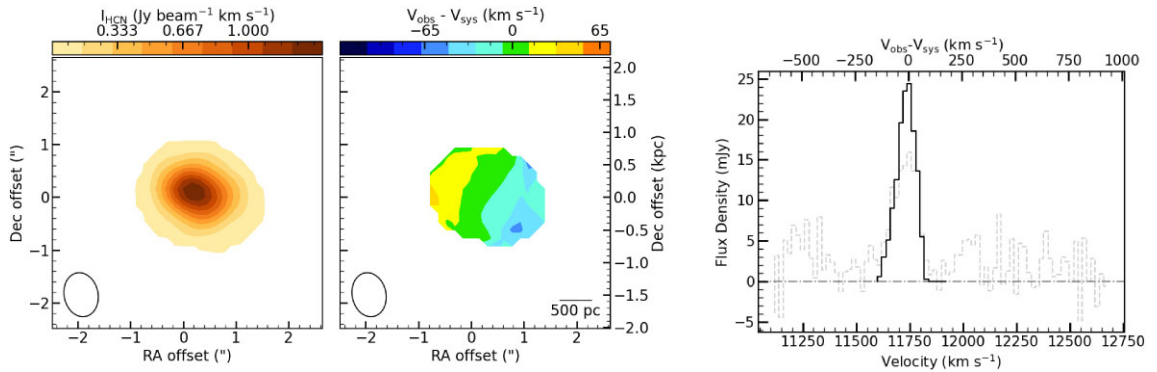
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## APPENDIX: ALMA OBSERVATIONS OF HCN(4-3) AND HCO<sup>+</sup>(4-3)

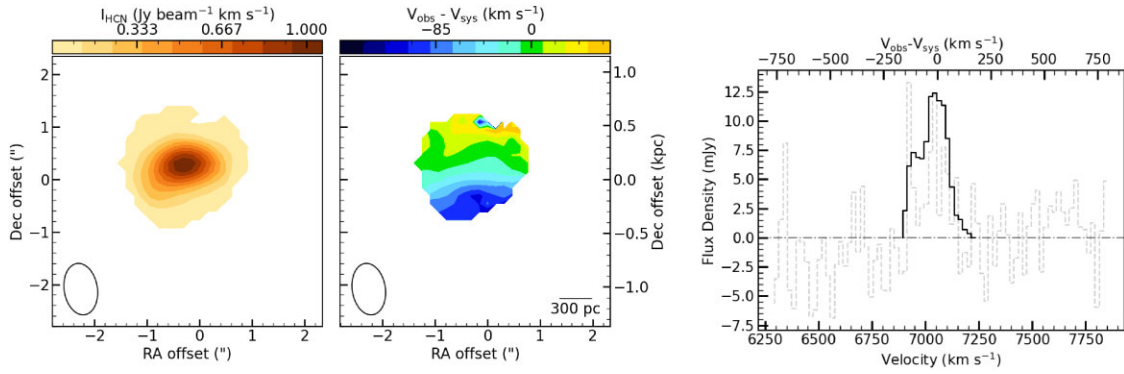
In this appendix, we present the moment 0 and 1 maps along with the spectra for the ALMA observations of the HCN(4-3) (Figs A1–A3) and HCO<sup>+</sup>(4-3) (Figs A4–A6) where it was detected in HE0433-1028, HE1029-1831, and HE1108-2813. In these three targets, the emission is mostly unresolved and the moment maps do not yield much information. However, it is clear that the dense gas is concentrated in the nuclear regions.



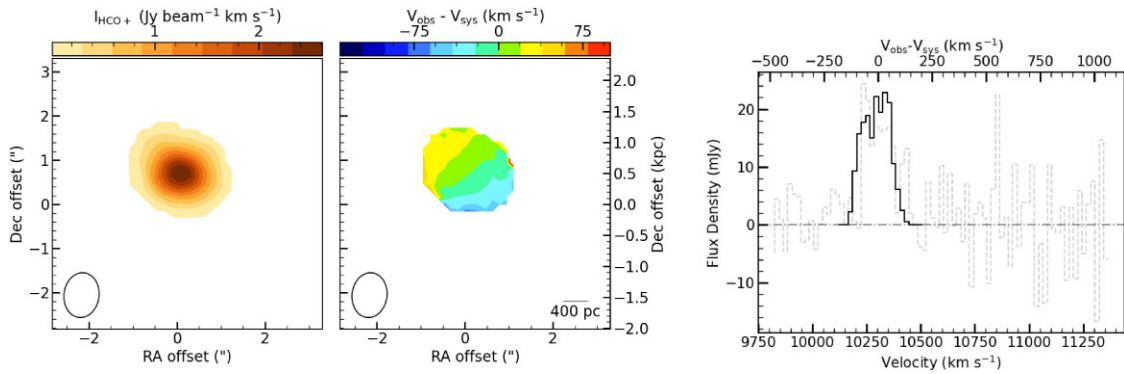
**Figure A1.** HCN moment 0 (left), moment 1 (middle), and integrated spectrum (right) obtained from the ALMA observations of HE0433-1028. The synthesized beam is shown in the bottom-left corners of each map and a scale bar is shown in the bottom-right corner of the moment 1 map. The bar above each map illustrates the colour scale. The spectrum has been extracted within a box of  $3''.0 \times 2''.5$ . Coordinates are with respect to the image phase centre; East is to the left and North to the top.  $1'' \approx 747$  pc.



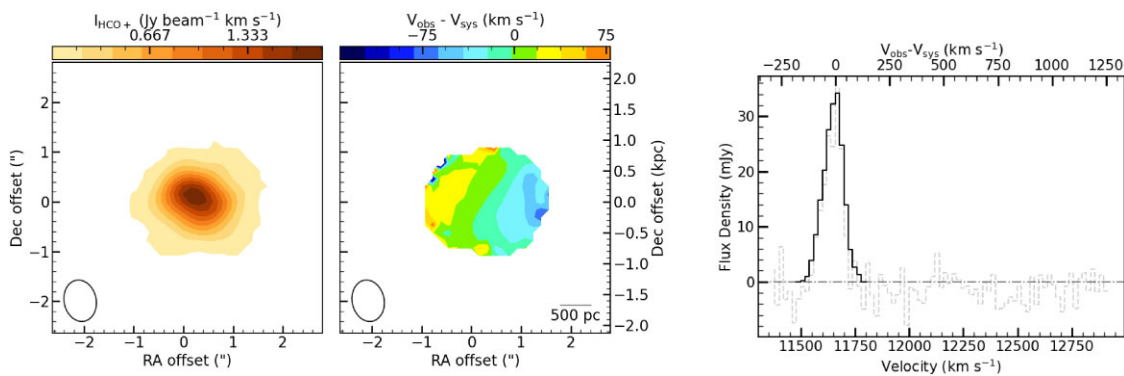
**Figure A2.** As in Fig. A1, but for HE1029-1831. The spectrum has been extracted within a box of  $2''.0 \times 1''.5$ .  $1'' \approx 850$  pc.



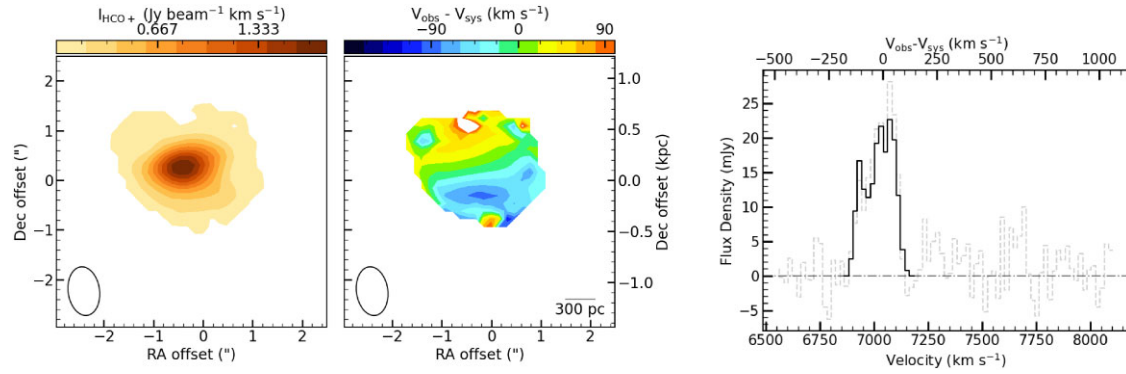
**Figure A3.** As in Fig. A1, but for HE1108-2813. The spectrum has been extracted within a box of  $5''.25 \times 3''.75$ .  $1'' \approx 747$  pc.



**Figure A4.** As in Fig. A1, but for the  $\text{HCO}^+$  detection of HE0433-1028. The spectrum has been extracted within a box of  $1''.0 \times 2''.5$ .  $1'' \approx 747$  pc.



**Figure A5.** As in Fig. A1, but for the  $\text{HCO}^+$  detection of HE1029-1831. The spectrum has been extracted within a box of  $3''.75 \times 2''.40$ .  $1'' \approx 850$  pc.



**Figure A6.** As in Fig. A1, but for the  $\text{HCO}^+$  detection of HE1108-2813. The spectrum has been extracted within a box of  $3''.75 \times 3''.60$ .  $1'' \approx 747$  pc.

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