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### **Research Article**

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# Regularity for scalar integrals without structure conditions

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**Abstract:** Integrals of the Calculus of Variations with p, q-growth may have not smooth minimizers, not even bounded, for general p, q exponents. In this paper we consider the scalar case, which contrary to the vector-valued one allows us not to impose structure conditions on the integrand  $f(x, \xi)$  with dependence on the modulus of the gradient, i.e.  $f(x, \xi) = g(x, |\xi|)$ . Without imposing structure conditions, we prove that if  $\frac{q}{p}$  is sufficiently close to 1, then every minimizer is locally Lipschitz-continuous.

**Keywords:** Elliptic equations, local minimizers, local Lipschitz continuity, *p*, *q*-growth, general growth conditions

MSC 2010: Primary 35J60, 35B65, 49N60; secondary 35J70, 35B45

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## **1** Introduction

The fundamental classical problem of the Calculus of Variations in the scalar case usually is formulated as finding a function *u* assuming a given value  $u_0$  at the boundary  $\partial \Omega$  of an open bounded set  $\Omega \subset \mathbb{R}^n$  which minimizes the integral

$$\int_{\Omega} f(x, Dv) \, dx \tag{1.1}$$

among all functions  $v : \Omega \to \mathbb{R}$ , assuming the same boundary value  $u_0$  as u. The precise functional space where to look for solutions depends on the growth conditions of  $f = f(x, \xi)$  as  $\xi \in \mathbb{R}^n$  grows in modulus to  $+\infty$ . Usually this growth is stated in terms of an inequality of the type

$$f(x,\xi) \ge M_1 |\xi|^p \tag{1.2}$$

for a.e.  $x \in \Omega$ ,  $\xi \in \mathbb{R}^n$  and for some positive constant  $M_1$ . Here p = 1 is associated to the BV( $\Omega$ ) space of functions with bounded variation, while p > 1 is related to the Sobolev space  $W^{1,p}(\Omega)$ . Usually the condition p > 1 and the strict convexity of  $f(x, \xi)$  with respect to  $\xi$  are sufficient conditions for the existence and uniqueness of minimizers.

A different problem is the regularity of minimizers. A large literature is known about regularity (see for instance [26, 28, 30]) partly based on the nowadays classical well-known Hölder continuity result by De Giorgi [16]. To this aim it seems necessary to impose also a growth condition from above, to be associated

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to the growth condition from below in (1.2), of the type

$$f(x,\xi) \le M_2(1+|\xi|^q) \tag{1.3}$$

for a.e.  $x \in \Omega$ ,  $\xi \in \mathbb{R}^n$ , for  $q \ge p$  and for some positive constant  $M_2$ . The so-called "natural growth conditions" appear if q = p, while the more general assumption q > p allows us to consider a much larger class of integrals of the Calculus of Variations, such as for example

$$f(\xi) = |\xi|^p \log(1 + |\xi|)$$
(1.4)

or

$$f(x,\xi) = |\xi|^{p(x)}$$
 or  $f(x,\xi) = (1+|\xi|^2)^{\frac{p(x)}{2}}$ . (1.5)

We recall also the integrands recently considered in [11, 12, 19, 20], see also [2-4],

$$f(x,\xi) = a(x)|\xi|^p + b(x)|\xi|^q,$$
(1.6)

where a(x),  $b(x) \ge 0$  and possibly zero on some part of  $\Omega$ , being at least one of the two coefficients positive at almost every  $x \in \Omega$ . The above examples (1.4), (1.5), (1.6) enter in the theory presented in this paper. However, here we study the more general case with  $f = f(x, \xi)$  without a structure, i.e. not necessarily depending on the modulus of  $\xi$  of the type  $f(x, \xi) = g(x, |\xi|)$ .

We assume that  $f : \Omega \times \mathbb{R}^n \to [0, +\infty)$  is a convex function with respect to the gradient variable and it is strictly convex only at infinity. More precisely, there exists  $M_0 > 0$  such that  $f_{\xi\xi}, f_{\xi\chi}$  are Carathéodory functions satisfying

$$\begin{cases} M_{1}|\xi|^{p-2}|\lambda|^{2} \leq \sum_{i,j} f_{\xi_{i}\xi_{j}}(x,\xi)\lambda_{i}\lambda_{j}, \\ |f_{\xi\xi}(x,\xi)| \leq M_{2}|\xi|^{q-2}, \\ |f_{\xi\chi}(x,\xi)| \leq h(x)|\xi|^{\frac{p+q-2}{2}} \end{cases}$$
(1.7)

for a.e.  $x \in \Omega$  and for all  $\lambda, \xi \in \mathbb{R}^n$ , with  $|\xi| \ge M_0$  and for positive constants  $M_1, M_2$ . Here  $1 and <math>h \in L^r(\Omega)$  for some r > n.

Model integrands satisfying condition (1.7) are, for instance, the function  $f(x, \xi)$  in (1.6) and also

$$f(x,\xi) = |\xi|^p + c(x)|\xi|^s + |\xi_n|^q,$$
(1.8)

 $\xi_n$  being the last component (or any other component) of the vector  $\xi = (\xi_1, \xi_2, \dots, \xi_n)$ , when

$$s \leq \frac{p+q}{2}.$$

For instance, when s = p and  $q \ge p$ , we are considering energy integrals with integrand of the type (we denote here a(x) = 1 + c(x) a generic positive coefficient)

$$f(x,\xi) = a(x)|\xi|^p + |\xi_n|^q.$$
(1.9)

Note that the cases (1.8) and (1.9) can be handled with Theorem 1.1. On the other hand example (1.10) below enters in Theorem 1.2:

$$f(x,\xi) = |\xi|^p + b(x)|\xi|^q.$$
(1.10)

The main regularity result that we prove here is the following a-priori estimate.

**Theorem 1.1** (A-priori estimate). Let  $u \in W^{1,p}(\Omega)$  be a smooth local minimizer of the integral functional (1.1) with exponents p, q fulfilling

$$\frac{q}{p} < 1 + 2\left(\frac{1}{n} - \frac{1}{r}\right).$$
 (1.11)

Under the growth assumption (1.7), there exist positive constants C,  $\beta$ ,  $\gamma$  depending on n, r, p, q,  $M_0$ ,  $M_1$ ,  $M_2$  such that, for every  $0 < \rho < R \le \rho + 1$ ,

$$\|Du\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C \left(\frac{\|1+h\|_{L^{r}(\Omega)}}{R-\rho}\right)^{\beta \gamma} \left(\int_{B_{R}} \{1+|Du|^{p}\} dx\right)^{\frac{1}{p}}.$$
(1.12)

Note that to get regularity of solutions it is natural, and also necessary, to assume that the gap q - p is small or that  $\frac{q}{p}$  is close to 1, because of the known counterexamples [27, 31, 33].

The  $L^{\infty}$ -bound of the gradient is obtained through several steps. The first step of the a-priori estimate is Lemma 2.3 below, where on the right-hand side of the a-priori estimate there is the norm of the minimizer u in  $W^{1,qm}(\Omega)$  ( $m = \frac{r}{r-2}$ ), and the exponents p, q are related by the condition

$$\frac{q}{p} < 1 + \frac{2n}{n-2} \left( \frac{1}{n} - \frac{1}{r} \right)$$
(1.13)

with  $n \ge 3$ . Note that if  $r = +\infty$  in (1.11) and (1.13), we recover the bounds in [33, Theorems 2.1 and 3.1]. An interpolation method allows us to obtain (1.12).

The mathematical literature on the regularity under p, q-growth is now very large; we refer to [32–35] and to [36] for a complete survey on the subject. A new impulse to the subject has been given by the recent articles already cited [11, 12, 15, 20] for the case of elliptic equations and by [6–8] for the case of parabolic equations and systems under p, q-growth. We observe that here the ellipticity and growth assumptions hold only for large values of the gradient variable, i.e. we consider functionals which are *uniformly convex only at infinity*. In this context see [10, 14, 25] and recently [13, 19, 20]. The Sobolev dependence on x has recently been considered in [1, 37] and for obstacle problems in [21].

The previous a-priori estimate, more precisely Theorem 2.6, under assumptions (1.7) where the last condition is replaced by

$$|f_{\xi_X}(x,\xi)| \le h(x)|\xi|^{q-1} \tag{1.14}$$

for a.e.  $x \in \Omega$ , with  $|\xi| \ge M_0$ , allows us to obtain the following existence and regularity result.

**Theorem 1.2** (Existence and regularity). Assume that f satisfies (1.7) and (1.14) with 1 and

$$\frac{q}{p} < 1 + \frac{1}{n} - \frac{1}{r}.$$

The Dirichlet problem  $\min\{F(u) : u \in W_0^{1,p}(\Omega) + u_0\}$ , with *F* defined in (1.15) below and  $u_0 \in W^{1,q}(\Omega)$ , has at least one locally Lipschitz continuous solution.

Here we emphasize the definition (i.e. the precise meaning) of the integral F(u) to be minimized; in fact, the integral in (1.1) is well defined if  $u \in W_{loc}^{1,q}(\Omega)$ , due to the growth assumption in (1.3), but a-priori it is not uniquely defined if  $u \in W^{1,p}(\Omega) \setminus W_{loc}^{1,q}(\Omega)$ . In this context of *x*-dependence, we cannot a-priori exclude the Lavrentiev phenomenon; however, note that in Section 5 we assume a special form of *f* to rule out this possibility.

For the gap in the Lavrentiev phenomenon we refer to [9, 39] and recently [22–24] for related results.

For the functional *F* we adopt the classical definition which refers to the pioneering research by Serrin [38] (see also [29]), which is related to the  $\Gamma$ -convergence theory by De Giorgi [17]. Precisely, for all  $u \in W^{1,p}(\Omega)$ ,

$$F(u) = \inf\left\{\liminf_{k} \int_{\Omega} f(x, Du_k) \, dx : u_k \in W_0^{1,q}(\Omega) + u_0, \, u_k \stackrel{\scriptscriptstyle W}{\rightharpoonup} u \text{ in } W^{1,p}(\Omega)\right\}.$$
(1.15)

We discuss more in details in Section 3 the definition of F in (1.15), while in Section 2 we give the proof of the a-priori estimate. Finally, in Section 4 we give the proof of Theorem 1.2.

## 2 A-priori estimates

Let us start with two technical lemmas.

Lemma 2.1. The inequality

$$(1+t)^{\beta} \le c_{\beta} \left( 1 + \int_{0}^{t} (1+s)^{\beta-2} s \, ds \right)$$
(2.1)

*holds for every*  $t \in [0, +\infty)$  *and every*  $\beta \in (0, +\infty)$ *, where* 

$$c_{\beta} = \frac{\beta}{1 - (1 + \beta(\beta - 1))^{\frac{1}{1 - \beta}}}$$
(2.2)

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*if*  $\beta \neq 1$ *, while (by continuity)* 

$$c_1 = \lim_{\beta \to 1} c_\beta = \frac{e}{e - 1}.$$
 (2.3)

*Proof.* In order to prove inequality (2.1) we first consider the case  $\beta = 1$ .

Step 1 ( $\beta$  = 1). We compute the integral on the right-hand side of (2.1):

$$\int_{0}^{t} (1+s)^{-1}s \, ds = \int_{0}^{t} (1-(1+s)^{-1}) \, ds = t - \log(1+t)$$

and inequality (2.1) becomes

$$1 + t \le c_1(1 + t - \log(1 + t))$$

which is equivalent to

$$\frac{\log(1+t)}{1+t} \le \frac{c_1 - 1}{c_1}.$$

A computation shows that  $g(t) =: \frac{\log(1+t)}{1+t}$  is positive for  $t \in (0, +\infty)$  and has a maximum at t = e - 1, thus

$$g(t) =: \frac{\log(1+t)}{1+t} \le g(e-1) = \frac{1}{e};$$

with the position  $\frac{c_1-1}{c_1} =: \frac{1}{e}$  we find (2.3).

Step 2 ( $\beta \neq 1$ ). We compute the integral on the right-hand side of (2.1) under the condition  $\beta \neq 1$  and with the notation r =: 1 + s:

$$\int_{0}^{t} (1+s)^{\beta-2} s \, ds = \int_{1}^{t+1} r^{\beta-2} (r-1) \, dr$$
$$= \int_{1}^{t+1} r^{\beta-1} \, dr - \int_{1}^{t+1} r^{\beta-2} \, dr$$
$$= \left[ \frac{r^{\beta}}{\beta} \right]_{r=1}^{r=t+1} - \left[ \frac{r^{\beta-1}}{\beta-1} \right]_{r=1}^{r=t+1}$$
$$= \frac{(t+1)^{\beta}}{\beta} - \frac{(t+1)^{\beta-1}}{\beta-1} + \frac{1}{\beta(\beta-1)}.$$

Inequality (2.1) takes then the form

$$\frac{1}{c_{\beta}}(1+t)^{\beta} \le 1 + \frac{(t+1)^{\beta}}{\beta} - \frac{(t+1)^{\beta-1}}{\beta-1} + \frac{1}{\beta(\beta-1)}$$

We can write it equivalently

$$g(t) \le 1 + \frac{1}{\beta(\beta - 1)},$$
 (2.4)

where

$$g(t) =: \frac{(t+1)^{\beta-1}}{\beta-1} - \left(\frac{1}{\beta} - \frac{1}{c_{\beta}}\right)(t+1)^{\beta}$$

We can compute the maximum of g(t) when  $t \in [0, +\infty)$ . We find that the derivative g'(t) is equal to zero if  $t = \frac{\beta}{c_{g-\beta}}$  and, since  $c_{\beta} > \beta$ , we obtain

$$\max\{g(t): t \in [0, +\infty)\} = g\left(\frac{\beta}{c_{\beta} - \beta}\right) = \left(\frac{c_{\beta}}{c_{\beta} - \beta}\right)^{\beta - 1} \frac{1}{\beta(\beta - 1)}.$$

Therefore inequality (2.4) holds if we choose  $c_{\beta}$  to satisfy the condition

$$\left(\frac{c_{\beta}}{c_{\beta}-\beta}\right)^{\beta-1}\frac{1}{\beta(\beta-1)}=1+\frac{1}{\beta(\beta-1)}$$

A further computation gives for  $c_{\beta}$  the explicit expression in (2.2). Note that  $c_{\beta} \rightarrow c_1$  as  $\beta \rightarrow 1$ .

#### **DE GRUYTER**

In the sequel we apply the previous lemma to get the a-priori estimates in particular to deal with the left-hand side of (2.26), with  $\beta = \frac{\gamma}{2} + \frac{p}{2}$ , for  $\gamma \ge 0$ ; thus  $\beta \ge \frac{p}{2}$ . In the next result in fact we consider  $\beta \in [\beta_0, +\infty)$  for some fixed  $\beta_0 > 0$ .

**Lemma 2.2.** Let  $\beta_0 > 0$ . There exist constants c' and c'', depending on  $\beta_0$  but independent of  $\beta \ge \beta_0$  and of  $t \ge 0$ , such that

$$(1+t)^{\beta} \le c' \frac{\beta^2}{\log(1+\beta)} \left( 1 + \int_0^t (1+s)^{\beta-2} s \, ds \right),\tag{2.5}$$

$$(1+t)^{\beta} \le c''\beta^2 \left(1 + \int_0^t (1+s)^{\beta-2}s \, ds\right)$$
(2.6)

for every  $\beta \in [\beta_0, +\infty)$  and every  $t \in [0, +\infty)$ .

*Proof.* First we show that the constant  $c_{\beta}$  is bounded independently of  $\beta \le 1$  if  $\beta \in [\beta_0, 1]$  (here we assume that  $\beta_0 \in (0, 1)$ , otherwise nothing to be proved at this step). Precisely, we show that

$$c_{\beta} \leq \frac{\beta}{1 - e^{-\beta_0}} \quad \text{for all } \beta \in [\beta_0, 1].$$
(2.7)

In fact, by the inequality log  $t \le t - 1$ , valid for all t > 0, by posing  $t = 1 + \beta(\beta - 1)$  if  $\beta < 1$ , we obtain

$$(1+\beta(\beta-1))^{\frac{1}{1-\beta}} = e^{\frac{\log(1+\beta(\beta-1))}{1-\beta}} \le e^{\frac{\beta(\beta-1)}{1-\beta}} = e^{-\beta}$$

and (2.7) follows if  $\beta \in [\beta_0, 1)$ , since

$$c_{eta} = rac{eta}{1 - (1 + eta(eta - 1))^{rac{1}{1 - eta}}} \leq rac{eta}{1 - e^{-eta}} \leq rac{eta}{1 - e^{-eta_0}}.$$

Finally, if  $\beta = 1$ , then  $c_1 = \frac{e}{e-1} < \frac{1}{1-e^{-\beta_0}}$  holds, since it is equivalent to  $1 < e^{1-\beta_0}$ .

We now consider the case  $\beta > 1$ . By Taylor's formula we get

$$(1+\beta(\beta-1))^{\frac{1}{1-\beta}} = e^{\frac{\log(1+\beta(\beta-1))}{1-\beta}} = 1 + \frac{\log(1+\beta(\beta-1))}{1-\beta} + o\left(\frac{\log(1+\beta(\beta-1))}{1-\beta}\right)$$

and thus the quantity

$$\frac{c_{\beta}\log(1+\beta)}{\beta^2} = \frac{\log(1+\beta)}{\beta \left[\frac{\log(1+\beta(\beta-1))}{\beta-1} + o\left(\frac{\log(1+\beta(\beta-1))}{1-\beta}\right)\right]}$$

has a finite limit as  $\beta \to +\infty$  (equal to  $\frac{1}{2}$ ) and it is a bounded function for  $\beta \in [1, +\infty)$ , let us say bounded by c'. This proves (2.5). The other inequality (2.6) is a direct consequence of (2.5).

Let now  $\Omega$  be an open bounded subset of  $\mathbb{R}^n$  for  $n \ge 2$  and assume that f satisfies (1.7). We observe that we can transform  $f(x, \xi)$  into  $f(x, M_0\xi)$ , which satisfies the same assumptions for  $|\xi| \ge 1$  (with different constants depending on  $M_0$ ). Then it is sufficient to obtain the a-priori bound and the regularity results for  $v = \frac{1}{M_0}u$ . Therefore, for clarity of exposition and without loss of generality, we assume  $M_0 = 1$ . Throughout the paper we will denote by  $B_\rho$  and  $B_R$  balls of radii, respectively,  $\rho$  and R (with  $\rho < R$ ) compactly contained in  $\Omega$  and with the same center, let us say,  $x_0 \in \Omega$ .

In this section we assume the following supplementary assumptions on f. Assume that  $f \in C^2(\Omega \times \mathbb{R}^n)$  and there exist two positive constants k and K such that for all  $\xi \in \mathbb{R}^n$  and all  $x \in \Omega$ ,

$$\begin{cases} k(1+|\xi|^2)^{\frac{q-2}{2}}|\lambda|^2 \le \sum_{i,j} f_{\xi_i\xi_j}(x,\xi)\lambda_i\lambda_j, \\ |f_{\xi\xi}(x,\xi)| \le K(1+|\xi|^2)^{\frac{q-2}{2}}, \\ |f_{\xi x}(x,\xi)| \le K(1+|\xi|^2)^{\frac{q-1}{2}}. \end{cases}$$
(2.8)

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In the next lemma, we obtain an a-priori estimate for the  $L^{\infty}$ -norm of the gradient of *u* which is independent of *k* and *K*.

**Lemma 2.3.** Let u be a local minimizer of the integral functional (1.1) with f satisfying (1.7) and (2.8) with

$$\frac{q}{p} < 1 + \frac{2\alpha}{n-2} \quad \text{with } \alpha = 1 - \frac{n}{r}$$
(2.9)

*if*  $n \ge 3$  and p < q *if* n = 2. Then there exists a positive constants C depending only on n, r, p, q,  $M_1$ ,  $M_2$  (depending also on  $|\Omega|$  when n = 2) such that

$$\|Du\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C \left[\frac{\|1+h\|_{L^{r}(\Omega)}}{(R-\rho)}\right]^{\theta \tilde{\beta}} \left(\int_{B_{R}} \{1+|Du|^{qm}\} dx\right)^{\frac{\sigma}{qm}}$$
(2.10)

for every  $0 < \rho < R \le \rho + 1$ , where

$$\tilde{\beta} := \frac{2^*}{p\frac{2^*}{2} - qm}, \quad \theta := \frac{qm(\frac{2^*}{2m} - 1)}{p\frac{2^*}{2} - qm}, \quad m := \frac{r}{r - 2}.$$
(2.11)

Remark 2.4. We observe that

$$1 \le m := \frac{r}{r-2} < \frac{n}{n-2} = \frac{2^*}{2}, \quad \text{since } r > n;$$
 (2.12)

the last inequality holds for n > 2, while we set  $2^*$  equal to any fixed real number greater than 2 if n = 2. Moreover, we also have

$$\frac{1}{2m} - \frac{1}{2^*} = \frac{r-2}{2r} - \frac{n-2}{2n} = \frac{n(r-2) - r(n-2)}{2nr} = \frac{r-n}{nr} = \frac{1}{n} - \frac{1}{r} = \frac{\alpha}{n},$$
(2.13)

therefore (2.9) can be equivalently expressed as

$$\frac{q}{p} < \frac{2^*}{2m} \tag{2.14}$$

because

$$1 + \frac{2\alpha}{n-2} = 1 + \frac{2^*\alpha}{n} \stackrel{(2.13)}{=} 1 + 2^* \left(\frac{1}{2m} - \frac{1}{2^*}\right) = \frac{2^*}{2m}.$$

Therefore, due to (2.14), in (2.11) we have  $\tilde{\beta} > 0$  and  $\theta > 1$ .

**Remark 2.5.** The result obtained is sharp in the sense that if m = 1 ( $r = +\infty$ ), then the relation between p and q reduces to the analogous one in [33, Theorem 2.1], i.e.  $\frac{q}{n} < \frac{n}{n-2}$ .

*Proof.* Let  $u \in W^{1,q}(\Omega)$  be a local minimizer of (1.1). Then *u* satisfies the Euler first variation

$$\int_{\Omega} \sum_{i=1}^{n} f_{\xi_i}(x, Du) \varphi_{x_i}(x) \, dx = 0 \quad \text{for all } \varphi \in W_0^{1, q}(\Omega).$$

By (2.8), the technique of the difference quotients (see [18, 30], in particular [28, Chapter 8, Sections 8.1 and 8.2]) gives

$$u \in W^{1,\infty}_{\text{loc}}(\Omega) \cap W^{2,\min(2,q)}_{\text{loc}}(\Omega) \quad \text{and} \quad (1+|Du|^2)^{\frac{q-2}{2}} |D^2u|^2 \in L^1_{\text{loc}}(\Omega).$$
(2.15)

Let  $\eta \in C_0^1(\Omega)$  and for any fixed  $s \in \{1, ..., n\}$  define

$$\varphi = \eta^2 u_{x_s} \Phi((|Du| - 1)_+)$$

for  $\Phi : [0, +\infty) \to [0, +\infty)$  increasing, locally Lipschitz continuous function, with  $\Phi$  and  $\Phi'$  bounded on  $[0, +\infty)$ , such that  $\Phi(0) = \Phi'(0) = 0$  and

$$\Phi'(s)s \le c_{\Phi}\Phi(s) \tag{2.16}$$

for a suitable constant  $c_{\Phi} \ge 1$ . Here  $(a)_+$  denotes the positive part of  $a \in \mathbb{R}$ ; in the following we denote

$$\Phi((|Du| - 1)_{+}) = \Phi(|Du| - 1)_{+}$$

We have then

$$\varphi_{x_i} = 2\eta \eta_{x_i} u_{x_s} \Phi(|Du| - 1)_+ + \eta^2 u_{x_s x_i} \Phi(|Du| - 1)_+ + \eta^2 u_{x_s} \Phi'(|Du| - 1)_+ [(|Du| - 1)_+]_{x_i}.$$
(2.17)

Let  $q \ge 2$ . By (2.15) we have that  $|D^2 u|^2 \in L^1_{loc}(\Omega)$ . Otherwise if 1 < q < 2, we use the fact that  $u \in W^{1,\infty}_{loc}(\Omega)$  to infer that there exists  $M = M(\operatorname{supp} \varphi)$  such that

$$|Du(x)| \le M$$
 for a.e.  $x \in \operatorname{supp} \varphi$ .

Now since q - 2 < 0, we have

$$(1+M^2)^{\frac{q-2}{2}}|D^2u|^2 \le (1+|Du|^2)^{\frac{q-2}{2}}|D^2u|^2,$$

and by (2.15) we again get  $|D^2u|^2 \in L^1(\operatorname{supp} \varphi)$ . Therefore we can insert  $\varphi_{x_i}$  in the following second variation,

$$\int_{\Omega} \left\{ \sum_{i,j=1}^n f_{\xi_i \xi_j}(x, Du) u_{x_j x_s} \varphi_{x_i} + \sum_{i=1}^n f_{\xi_i x_s}(x, Du) \varphi_{x_i} \right\} dx = 0 \quad \text{for all } s = 1, \dots, n_s$$

and we obtain

$$0 = \sum_{s} \left[ \int_{\Omega} 2\eta \Phi(|Du| - 1)_{+} \sum_{i,j} f_{\xi_{i}\xi_{j}}(x, Du) \eta_{x_{i}} u_{x_{s}} u_{x_{s}x_{j}} dx + \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} \sum_{i,j} f_{\xi_{i}\xi_{j}}(x, Du) u_{x_{s}x_{i}} u_{x_{s}x_{j}} dx + \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+} \sum_{i,j} f_{\xi_{i}\xi_{j}}(x, Du) u_{x_{s}} u_{x_{s}x_{j}}[(|Du| - 1)_{+}]_{x_{i}} dx + \int_{\Omega} 2\eta \Phi(|Du| - 1)_{+} \sum_{i} f_{\xi_{i}x_{s}}(x, Du) \eta_{x_{i}} u_{x_{s}} dx + \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} \sum_{i} f_{\xi_{i}x_{s}}(x, Du) u_{x_{s}x_{i}} dx + \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} \sum_{i} f_{\xi_{i}x_{s}}(x, Du) u_{x_{s}x_{i}} dx + \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+} \sum_{i} f_{\xi_{i}x_{s}}(x, Du) u_{x_{s}}[(|Du| - 1)_{+}]_{x_{i}} dx \right] =: \sum_{s} (I_{1}^{s} + I_{2}^{s} + I_{3}^{s} + I_{4}^{s} + I_{5}^{s} + I_{6}^{s}).$$

$$(2.18)$$

In the following, constants will be denoted by *C*, regardless of their actual value.

Let us start with the estimate of the first integral in (2.18). By the Cauchy–Schwarz inequality, the Young inequality and (1.7), we have

$$\begin{split} \left|\sum_{s}I_{1}^{s}\right| &= \left|\int_{\Omega} 2\eta \Phi(|Du|-1)_{+} \sum_{i,j,s} f_{\xi_{i}\xi_{j}}(x,Du)\eta_{x_{i}}u_{x_{s}}u_{x_{s}x_{j}} dx\right| \\ &\leq \int_{\Omega} 2\eta \Phi(|Du|-1)_{+} \left\{\sum_{i,j,s} f_{\xi_{i}\xi_{j}}(x,Du)\eta_{x_{i}}u_{x_{s}}\eta_{x_{j}}u_{x_{s}}\right\}^{\frac{1}{2}} \left\{\sum_{i,j,s} f_{\xi_{i}\xi_{j}}(x,Du)u_{x_{s}x_{i}}u_{x_{s}x_{j}}\right\}^{\frac{1}{2}} dx \\ &\leq C \int_{\Omega} |D\eta|^{2} \Phi(|Du|-1)_{+} |Du|^{q} dx + \frac{1}{2} \int_{\Omega} \eta^{2} \Phi(|Du|-1)_{+} \sum_{i,j,s} f_{\xi_{i}\xi_{j}}(x,Du)u_{x_{s}x_{i}}u_{x_{s}x_{j}} dx. \end{split}$$

Let us consider the third integral in (2.18). First of all we observe that

$$[(|Du|-1)_+]_{x_i}\sum_{s}u_{x_s}u_{x_sx_j}=[(|Du|-1)_+]_{x_i}|Du|[(|Du|-1)_+]_{x_j}.$$

(2.19)

This entails using (1.7)

$$\begin{split} \sum_{s} I_{3}^{s} &= \int_{\Omega} \eta^{2} \Phi'(|Du|-1)_{+} \sum_{i,j,s} f_{\xi_{i}\xi_{j}}(x,Du) u_{x_{s}} u_{x_{s}x_{j}}[(|Du-1|_{+})]_{x_{i}} \, dx \\ &\geq M_{1} \int_{\Omega} \eta^{2} \Phi'(|Du|-1)_{+} |Du|^{p-1} |D(|Du|-1)_{+}|^{2} \, dx \geq 0. \end{split}$$

We now deal with the fourth integral in (2.18). We have

$$\begin{aligned} \left|\sum_{s} I_{4}^{s}\right| &= \left|\int_{\Omega} 2\eta \Phi(|Du| - 1)_{+} \sum_{i,s} f_{\xi_{i}x_{s}}(x, Du) \eta_{x_{i}} u_{x_{s}} dx\right| \\ &\stackrel{(1.7)}{\leq} \int_{\Omega} 2\eta \Phi(|Du| - 1)_{+} h(x) |Du|^{\frac{p+q-2}{2}} \sum_{i,s} |\eta_{x_{i}} u_{x_{s}}| dx \\ &\leq C \int_{\Omega} (\eta^{2} + |D\eta|^{2}) h(x) \Phi(|Du| - 1)_{+} |Du|^{q} dx. \end{aligned}$$

Consider now the fifth integral in (2.18). We have

$$\begin{split} \sum_{s} I_{5}^{s} &| = \left| \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} \sum_{i,s} f_{\xi_{i}x_{s}}(x, Du) u_{x_{s}x_{j}} dx \right| \\ & \stackrel{(1.7)}{\leq} \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} h(x) |Du|^{\frac{p+q-2}{2}} |D^{2}u| dx \\ & \leq \int_{\Omega} [\eta^{2} \Phi(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2}]^{\frac{1}{2}} [\eta^{2} \Phi(|Du| - 1)_{+} |h(x)|^{2} |Du|^{q}]^{\frac{1}{2}} dx \\ & \leq \varepsilon \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx + C_{\varepsilon} \int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} |h(x)|^{2} |Du|^{q} dx, \end{split}$$

where in the last line we used the Young inequality. Finally, for any  $0 < \delta < 1$ ,

$$\begin{split} \left|\sum_{s} I_{6}^{s}\right| &= \left|\int_{\Omega} \eta^{2} \sum_{i,s} f_{\xi_{i}x_{s}}(x, Du)u_{x_{s}} \Phi'(|Du| - 1)_{+}[(|Du| - 1)_{+}]_{x_{i}} dx\right| \\ & \stackrel{(1.7)}{\leq} \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+}h(x)|Du|^{\frac{p+q-2}{2}}|Du||D(|Du| - 1)_{+}| dx \\ &\leq \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+}h(x)|Du|^{\frac{p+q}{2}}|D^{2}u| dx \\ &= \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+}h(x)[(|Du| - 1)_{+} + \delta][(|Du| - 1)_{+} + \delta]^{-1}|Du|^{\frac{p+q}{2}}|D^{2}u| dx \\ &\leq \int_{\Omega} \eta^{2} \left\{ \frac{1}{c_{\Phi}} \Phi'(|Du| - 1)_{+}[(|Du| - 1)_{+} + \delta]|Du|^{p-2}|D^{2}u|^{2} \right\}^{\frac{1}{2}} \\ &\quad \times \left\{ c_{\Phi} \Phi'(|Du| - 1)_{+}|h(x)|^{2}|Du|^{q+2}[(|Du| - 1)_{+} + \delta]^{-1} \right\}^{\frac{1}{2}} dx \\ &\leq C_{\varepsilon} c_{\Phi} \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+}|h(x)|^{2}|Du|^{q+2}[(|Du| - 1)_{+} + \delta]^{-1} dx \\ &\quad + \frac{\varepsilon}{c_{\Phi}} \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+}[(|Du| - 1)_{+} + \delta]|Du|^{p-2}|D^{2}u|^{2} dx. \end{split}$$
Since  $\Omega = \{x : |Du(x)| \ge 2\} \cup \{x : |Du(x)| < 2\}$  and  $(|Du| - 1)_{+} \ge 1$  in  $\{x : |Du(x)| \ge 2\}$ , we also have

 $(|Du| - 1)_{+} + \delta \le 2(|Du| - 1)_{+}$ 

as long as we have chosen  $\delta$  < 1. Therefore, using (2.16), we can estimate the last integral as

$$\begin{split} \int_{\Omega} \eta^{2} \Phi'(|Du| - 1)_{+} [(|Du| - 1)_{+} + \delta] |Du|^{p-2} |D^{2}u|^{2} dx \\ &= \int_{|Du| \geq 2} \eta^{2} \Phi'(|Du| - 1)_{+} [(|Du| - 1)_{+} + \delta] |Du|^{p-2} |D^{2}u|^{2} dx \\ &+ \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} [(|Du| - 1)_{+} + \delta] |Du|^{p-2} |D^{2}u|^{2} dx \\ \overset{(2.19)}{\leq} 2 \int_{|Du| \geq 2} \eta^{2} \Phi'(|Du| - 1)_{+} (|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx \\ &+ \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx \\ &+ \delta \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx \\ &+ \delta \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx \\ &+ \delta \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx \\ \end{split}$$

Therefore we finally have

$$\begin{split} \left|\sum_{s} I_{6}^{s}\right| &\leq C_{\varepsilon} c_{\Phi} \int_{\Omega} \eta^{2} \Phi'(|Du|-1)_{+} |h(x)|^{2} |Du|^{q+2} [(|Du|-1)_{+} + \delta]^{-1} \, dx \\ &+ 2\varepsilon \int_{\Omega} \eta^{2} \Phi(|Du|-1)_{+} |Du|^{p-2} |D^{2}u|^{2} \, dx + \delta \varepsilon \int_{1 \leq |Du| \leq 2} \eta^{2} \Phi'(|Du|-1)_{+} |Du|^{p-2} |D^{2}u|^{2} \, dx. \end{split}$$

Now, for  $\varepsilon$  sufficiently small and putting together all the previous estimates, we deduce that there exists a constant *C* depending on *n*, *r*, *p*, *q*, *M*<sub>1</sub> such that

$$\int_{\Omega} \eta^{2} \Phi(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx$$

$$\leq Cc_{\Phi} \int_{\Omega} (\eta^{2} + |D\eta|^{2})(1 + h(x))^{2} |Du|^{q} [\Phi(|Du| - 1)_{+} + \Phi'(|Du| - 1)_{+} |Du|^{2} [(|Du| - 1)_{+} + \delta]^{-1}] dx$$

$$+ \delta \int_{1 < |Du| < 2} \eta^{2} \Phi'(|Du| - 1)_{+} |Du|^{p-2} |D^{2}u|^{2} dx.$$
(2.20)

Let us now set

$$\Phi(s) := (1+s)^{\gamma-2}s^2, \quad \gamma \ge 0,$$

with

$$\Phi'(s) = (\gamma s + 2)s(1+s)^{\gamma-3}.$$

It is easy to check that  $\Phi$  satisfies (2.16) with  $c_{\Phi} = 2(1 + \gamma)$ .

We now approximate this function  $\Phi$  by a sequence of functions  $\Phi_h$ , each of them being equal to  $\Phi$  in the interval [0, h], and then extended to  $[h, +\infty)$  with the constant value  $\Phi(h)$ . Since  $\Phi_h$  and  $\Phi'_h$  converge monotonically to  $\Phi$  and  $\Phi'$ , by inserting  $\Phi_h$  in (2.20), it is possible to pass to the limit as  $h \to +\infty$  by the Monotone Convergence Theorem.

Therefore, for every  $0 < \delta < 1$ , since

$$\frac{(|Du|-1)_+}{(|Du|-1)_++\delta} \le 1 \quad \text{for all } \delta > 0$$

and  $\Phi'(t-1)_+ \leq C(\gamma)$  when 1 < t < 2, we obtain

$$\int_{\Omega} \eta^{2} (1 + (|Du| - 1)_{+})^{y-2} (|Du| - 1)_{+}^{2} |Du|^{p-2} |D^{2}u|^{2} dx$$

$$\leq C (1 + \gamma)^{2} \int_{\Omega} (\eta^{2} + |D\eta|^{2}) (1 + h(x))^{2} (1 + (|Du| - 1)_{+})^{y+q} dx + \delta C(\gamma) \int_{1 < |Du| < 2} \eta^{2} |Du|^{p-2} |D^{2}u|^{2} dx. \quad (2.21)$$

Using [20, formula (3.51) of Lemma 3.3], namely the fact that  $|Du|^{p-2} \le C(p)(1 + |Du|^2)^{\frac{p-2}{2}}$  when |Du| > 1, we have

$$\int_{1 < |Du| < 2} \eta^2 |Du|^{p-2} |D^2u|^2 \, dx \le C \int_{1 < |Du| < 2} \eta^2 (1 + |Du|^2)^{\frac{p-2}{2}} |D^2u|^2 \, dx < +\infty$$

by (2.15), and for  $\delta 
ightarrow 0$  and the last term in the previous inequality vanishes.

Since  $h \in L^{r}(\Omega)$ , by the Hölder inequality, since  $\frac{1}{m} + \frac{2}{r} = 1$ , by (2.21) we have

$$\int_{\Omega} \eta^{2} (1 + (|Du| - 1)_{+})^{\gamma - 2} (|Du| - 1)_{+}^{2} |Du|^{p - 2} |D((|Du| - 1)_{+})|^{2} dx$$

$$\leq C (1 + \gamma)^{2} ||1 + h||_{L^{r}(\Omega)}^{2} \left[ \int_{\Omega} (\eta^{2} + |D\eta|^{2})^{m} (1 + (|Du| - 1)_{+})^{(\gamma + q)m} dx \right]^{\frac{1}{m}}.$$
(2.22)

Let us introduce

ſ

$$G(t) = 1 + \int_{0}^{t} (1+s)^{\frac{y}{2} + \frac{p}{2} - 2} s \, ds.$$
(2.23)

We obtain

$$[G(t)]^{2} \le 4(1+t)^{\gamma+p} \le 4(1+t)^{\gamma+q}, \qquad (2.24)$$

where we used the fact that  $p \leq q$ . On the other hand

$$G'(t) = (1+t)^{\frac{\gamma}{2} + \frac{p}{2} - 2}t,$$
(2.25)

which in turn allows us to give the following estimate for the gradient of the function  $w = \eta G((|Du| - 1)_+)$ :

$$\begin{split} &\int_{\Omega} |D(\eta G((|Du|-1)_{+}))|^{2} dx \\ &\leq 2 \int_{\Omega} |D\eta|^{2} |G((|Du|-1)_{+})|^{2} dx + 2 \int_{\Omega} \eta^{2} [G_{t}((|Du|-1)_{+})]^{2} [D((|Du|-1)_{+})]^{2} dx \\ &\leq C(1+\gamma)^{2} \|1+h\|_{L^{r}(\Omega)}^{2} \bigg[ \int_{\Omega} (\eta^{2}+|D\eta|^{2})^{m} [1+(|Du|-1)_{+}]^{(\gamma+q)m} dx \bigg]^{\frac{1}{m}}, \end{split}$$

the second inequality by (2.22), (2.24), (2.25). By Sobolev's inequality there exists a constant *C* (depending also on  $|\Omega|$  when n = 2) such that

$$\left\{\int_{\Omega} \left[\eta G((|Du|-1)_{+})\right]^{2^{*}} dx\right\}^{\frac{2}{2^{*}}} \leq C \int_{\Omega} |D(\eta G((|Du|-1)_{+}))|^{2} dx$$

and by the previous inequality we get (for a different constant)

$$\left\{\int_{\Omega} \left[\eta G((|Du|-1)_{+})\right]^{2^{*}} dx\right\}^{\frac{2}{2^{*}}} \leq C(1+\gamma)^{2} \|1+h\|_{L^{r}(\Omega)}^{2} \left[\int_{\Omega} (\eta^{2}+|D\eta|^{2})^{m} [1+(|Du|-1)_{+}]^{(\gamma+q)m} dx\right]^{\frac{1}{m}}.$$
 (2.26)

We take into account the definition of *G*(*t*) in (2.23) and we use Lemma 2.2, and in particular formula (2.6) with  $\beta = \frac{\gamma+p}{2}$ . Being  $\gamma \ge 0$ , we have  $\beta \ge \beta_0 := \frac{p}{2} > 0$  and

$$(1+t)^{\frac{\gamma+p}{2}} \le c'' \left(\frac{\gamma+p}{2}\right)^2 \left(1 + \int_0^t (1+s)^{\frac{\gamma+p}{2}-2} s \, ds\right)$$

for every  $\gamma \ge 0$  and every  $t \in [0, +\infty)$ . In terms of  $G(t) = 1 + \int_0^t (1+s)^{\frac{\gamma}{2}+\frac{p}{2}-2} s \, ds$  equivalently

$$(1+t)^{\frac{\gamma+p}{2}} \le c'' \left(\frac{\gamma+p}{2}\right)^2 G(t)$$
 for all  $\gamma \ge 0$  and all  $t \ge 0$ .

Therefore, if  $t := (|Du| - 1)_+$ ,

$$(1+(|Du|-1)_{+})^{\frac{\gamma+p}{2}2^{*}} \le (c'')^{2^{*}} \left(\frac{\gamma+p}{2}\right)^{2\cdot 2^{*}} [G((|Du|-1)_{+})]^{2^{*}} \text{ for all } \gamma \ge 0,$$

and by (2.26) we finally get

$$\begin{split} \left\{ \int_{\Omega} \eta^{2^*} [1 + (|Du| - 1)_+]^{\frac{\gamma + p}{2} 2^*} \, dx \right\}^{\frac{2}{2^*}} &\leq (c'')^2 \left(\frac{\gamma + p}{2}\right)^4 \left\{ \int_{\Omega} [\eta G((|Du| - 1)_+)]^{2^*} \, dx \right\}^{\frac{2}{2^*}} \\ &\leq C(\gamma + 1)^6 \|1 + h\|_{L^r(\Omega)}^2 \left[ \int_{\Omega} (\eta^2 + |D\eta|^2)^m [1 + (|Du| - 1)_+]^{(\gamma + q)m} \, dx \right]^{\frac{1}{m}} \end{split}$$

with a new constant *C* and for every  $y \ge 0$ .

As usual we consider a test function  $\eta$  equal to 1 in a ball  $B_{\rho}$ , with supp  $\eta \in B_R$  and such that  $|D\eta| \leq \frac{2}{(R-\rho)}$ . We get

$$\left[\int_{B_{\rho}} [1+(|Du|-1)_{+}]^{[(\gamma+p)m]\frac{2^{*}}{2m}} dx\right]^{\frac{2m}{2^{*}}} \le C_{0} \|1+h\|_{L^{r}(\Omega)}^{2m} \frac{(\gamma+q)^{6m}}{(R-\rho)^{2m}} \int_{B_{R}} [1+(|Du|-1)_{+}]^{(\gamma+q)m} dx, \qquad (2.27)$$

where the constant  $C_0$  only depends on  $n, r, p, q, M_1, M_2$  but is independent of  $\gamma$ .

Fixed  $0 < \rho_0 < R_0 \le \rho_0 + 1$ , we define the following decreasing sequence of radii  $\{\rho_k\}_{k \ge 1}$ :

$$\rho_k = \rho_0 + \frac{R_0 - \rho_0}{2^k} \quad \text{for all } k \ge 1$$

We define recursively a sequence  $\alpha_k$  in the following way:

$$\alpha_1 := 0, \quad \alpha_{k+1} := (\alpha_k + pm) \frac{2^*}{2m} - qm.$$
 (2.28)

Then we have the following representation formula for  $\alpha_k$  which can easily be proved by induction:

$$\alpha_k = \left(p\frac{2^*}{2} - qm\right) \frac{\left[\left(\frac{2^*}{2m}\right)^{k-1} - 1\right]}{\frac{2^*}{2m} - 1}.$$
(2.29)

We rewrite (2.27) with  $R = \rho_k$ ,  $\rho = \rho_{k+1}$ ,  $\gamma = \frac{\alpha_k}{m}$  and observe that

$$R-\rho:=\rho_k-\rho_{k+1}=\frac{R_0-\rho_0}{2^{k+1}}.$$

Set, for all  $k \ge 1$ ,

$$\begin{split} A_k &:= \bigg( \int\limits_{B_{\rho_k}} [1+(|Du|-1)_+]^{\alpha_k+qm} \, dx \bigg)^{\frac{1}{\alpha_k+qm}}, \\ C_k &:= C_0 \|1+h\|_{L^r(\Omega)}^{2m} \bigg( \frac{(\alpha_k+qm)^3 2^{k+1}}{R_0-\rho_0} \bigg)^{2m}; \end{split}$$

we obtain for every  $k \ge 1$ ,

$$A_{k+1} \le C_k^{\frac{1}{a_k + pm}} A_k^{\frac{a_k + qm}{a_k + pm}}.$$
(2.30)

Let  $\theta$  be defined by

$$\theta := \prod_{i=1}^{\infty} \frac{\alpha_i + qm}{\alpha_i + pm}.$$
(2.31)

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We show that  $\theta$  is finite and is given by

$$\theta=\frac{qm(\frac{2^*}{2m}-1)}{p\frac{2^*}{2}-qm}.$$

Indeed by (2.31), the recursive definition of  $\alpha_k$ , i.e. (2.28) and the representation formula for  $\alpha_k$ , namely (2.29), we have

$$\begin{split} \theta_k &:= \prod_{i=1}^k \frac{\alpha_i + qm}{\alpha_i + pm} \stackrel{(2.28)}{=} \frac{qm}{\alpha_k + pm} \left(\frac{2^*}{2m}\right)^{k-1} \stackrel{(2.29)}{=} \frac{qm(\frac{2^*}{2m})^{k-1}}{\frac{(p\frac{2^*}{2} - qm)[(\frac{2^*}{2m})^{k-1} - 1]}{\frac{2^*}{2m} - 1} + pm} \\ &= \frac{qm(\frac{2^*}{2m})^{k-1}(\frac{2^*}{2m} - 1)}{pm(\frac{2^*}{2m} - 1) + (p\frac{2^*}{2} - qm)[(\frac{2^*}{2m})^{k-1} - 1]}, \end{split}$$

which yields (2.31) once we pass to the limit as  $k \to \infty$  as long as  $\frac{2^*}{2m} > 1$  in view of (2.12). Note that  $\theta$  makes sense due to (2.12) and the bound (2.14) in Remark 2.4.

Iterating (2.30), we deduce

$$A_{k+1} \le \tilde{C} \left( \left[ \frac{\|1+h\|_{L^{r}(\Omega)}}{(R_{0}-\rho_{0})} \right]^{\tilde{\beta}} A_{1} \right)^{\theta_{k}}, \quad k \ge 1,$$
(2.32)

where

$$\tilde{C} := C_0^{\tilde{\beta}\theta} \exp\left[\theta \sum_{i=1}^{\infty} \frac{\log[(\alpha_i + qm)^{6m} 2^{2m(i+1)}]}{\alpha_i + pm}\right] < +\infty,$$

which is finite because the series is convergent ( $\alpha_i$  from the representation formula (2.29) grows exponentially) and

$$\begin{split} \sum_{i=1}^{\infty} \frac{2m}{\alpha_i + pm} \stackrel{(2.29)}{=} \sum_{i=1}^{\infty} \frac{2m}{(p\frac{2^*}{2} - qm)\frac{[(\frac{2^*}{2})^{i-1} - 1]}{\frac{2^*}{2m} - 1} + pm} &\leq \sum_{i=1}^{\infty} \frac{2m}{(p\frac{2^*}{2} - qm)\frac{(\frac{2^*}{2m})^{i-1}}{\frac{2^*}{2m} - 1}} \\ &\leq \frac{2m(\frac{2^*}{2m} - 1)}{p\frac{2^*}{2} - qm} \sum_{i=0}^{\infty} \left(\frac{2m}{2^*}\right)^i = \frac{2m(\frac{2^*}{2m} - 1)}{p\frac{2^*}{2} - qm} \frac{1}{(1 - \frac{2m}{2^*})} = \frac{2^*}{p\frac{2^*}{2} - qm} =: \tilde{\beta}, \end{split}$$

where in the first inequality we used the fact that

$$\left(p\frac{2^{*}}{2}-qm\right)\frac{\left[\left(\frac{2^{*}}{2m}\right)^{i-1}-1\right]}{\frac{2^{*}}{2m}-1}+pm \geq \left(p\frac{2^{*}}{2}-qm\right)\frac{\left(\frac{2^{*}}{2m}\right)^{i-1}}{\frac{2^{*}}{2m}-1} \iff -\frac{p\frac{2^{*}}{2}-qm}{\frac{2^{*}}{2m}-1}+pm \geq 0 \iff q \geq p.$$

By letting  $k \to +\infty$  in (2.32), we have (2.10). Therefore, the proof of Lemma 2.3 is complete.

The a-priori estimate (1.12) in Theorem 1.1 follows by the classical interpolation inequality

$$\|v\|_{L^{s}(B_{\rho})} \le \|v\|_{L^{p}(B_{\rho})}^{\frac{p}{s}} \|v\|_{L^{\infty}(B_{\rho})}^{1-\frac{p}{s}}$$
(2.33)

for any  $s \ge p$ , which permits to estimate the essential supremum of the gradient of the local minimizer in terms of its  $L^p$ -norm.

Proof of Theorem 1.1. Let us set

$$V(x) := 1 + (|Du|(x) - 1)_+$$

then estimate (2.10) becomes

$$\sup_{x \in B_{\rho}} |V(x)| \le C \left( \left[ \frac{\|1 + h\|_{L^{r}(\Omega)}}{R - \rho} \right]^{\tilde{\beta}} \|V\|_{L^{qm}(B_{R})} \right)^{\theta}$$
(2.34)

for every  $\rho$ , R such that  $0 < \rho < R \le \rho + 1$  and where  $C = C(n, r, p, q, M_1, M_2)$ .

#### **DE GRUYTER**

In the following we denote

$$s := qm$$
.

At this point, (2.34) and (2.33) give

$$\|V\|_{L^{s}(B_{\rho})} \leq C^{1-\frac{p}{s}} \|V\|_{L^{p}(B_{\rho})}^{\frac{p}{s}} \left( \left[ \frac{\|1+h\|_{L^{r}(\Omega)}}{R-\rho} \right]^{\tilde{\beta}} \|V\|_{L^{s}(B_{R})} \right)^{\theta(1-\frac{p}{qm})}.$$
(2.35)

We observe that

$$\tau := \theta \left( 1 - \frac{p}{qm} \right) < 1, \tag{2.36}$$

because

$$\theta\left(1-\frac{p}{qm}\right)<1\iff \frac{q\frac{2^*}{2}-qm-\frac{p2^*}{2m}+p}{p\frac{2^*}{2}-qm}<1\iff q< p\left(1-\frac{2}{2^*}+\frac{1}{m}\right)\stackrel{(2.13)}{=}p\left(1+\frac{2\alpha}{n}\right).$$

For  $0 < \rho < R$  and for every  $k \ge 0$ , let us define  $\rho_k := R - (R - \rho)2^{-k}$ . By inserting in (2.35)  $\rho = \rho_k$  and  $R = \rho_{k+1}$ , (so that  $R - \rho = (R - \rho)2^{-(k+1)}$ ) we have, for every  $k \ge 0$ ,

$$\|V\|_{L^{s}(B_{\rho_{k}})} \leq C^{1-\frac{p}{s}} \|V\|_{L^{p}(B_{\rho_{k}})}^{\frac{p}{s}} \left(2^{\tilde{\beta}(k+1)} \left[\frac{\|1+h\|_{L^{r}(\Omega)}}{(R-\rho)}\right]^{\tilde{\beta}} \|V\|_{L^{s}(B_{\rho_{k+1}})}\right)^{\tau}.$$
(2.37)

By iteration of (2.37), we deduce for  $k \ge 0$ ,

$$\|V\|_{L^{s}(B_{\rho_{0}})} \leq \left(C^{1-\frac{p}{s}} \left[\frac{\|1+h\|_{L^{r}(\Omega)}}{(R-\rho)}\right]^{\tilde{\beta}\tau} \|V\|_{L^{p}(B_{\rho_{k}})}^{\frac{p}{s}}\right)^{\sum_{i=0}^{k}\tau^{i}} 2^{\tilde{\beta}\sum_{i=0}^{k+1}i\tau^{i}} (\|V\|_{L^{s}(B_{\rho_{k+1}})})^{\tau^{k+1}}.$$
(2.38)

By (2.36), the series appearing in (2.38) are convergent. Since

$$\|V\|_{L^{s}(B_{\rho_{k}})} \leq \|V\|_{L^{s}(B_{R})},$$

we can pass to the limit as  $k \to +\infty$  and we obtain for every  $0 < \rho < R$  with a constant  $C = C(n, r, p, q, M_1, M_2)$  independent of k,

$$\|V\|_{L^{s}(B_{\rho})} \leq C \left( \left[ \frac{\|1+h\|_{L^{r}(\Omega)}}{(R-\rho)} \right]^{\tilde{\beta}\tau} \|V\|_{L^{p}(B_{R})}^{\frac{p}{s}} \right)^{\frac{1}{1-\tau}}.$$
(2.39)

Combining (2.34) and (2.39), by setting  $\rho' = \frac{(R+\rho)}{2}$  we have

$$\begin{split} \|V\|_{L^{\infty}(B_{\rho})} &\leq C \Biggl( \left[ \frac{\|1+h\|_{L^{r}(\Omega)}}{(\rho'-\rho)} \right]^{\tilde{\beta}} \|V\|_{L^{s}(B_{\rho'})} \Biggr)^{\theta} \\ &\leq C \Biggl( \left[ \frac{\|1+h\|_{L^{r}(\Omega)}}{(\rho'-\rho)} \right]^{\tilde{\beta}(1-\tau)} \Biggl[ \frac{1+\|h\|_{L^{r}(\Omega)}}{(R-\rho')} \Biggr]^{\tilde{\beta}\tau} \|V\|_{L^{p}(B_{R})}^{\frac{p}{s}} \Biggr)^{\frac{\theta}{1-\tau}}; \end{split}$$

now, since

$$(\rho' - \rho) = (R - \rho') = \frac{R - \rho}{2},$$

we get

$$\|Du\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C\left(\left[\frac{\|1+h\|_{L^{r}(\Omega)}}{(R-\rho)}\right]^{\beta}\left(\int_{B_{R}}\left\{1+|Du|^{p}\right\}dx\right)^{\frac{1}{p}}\right)^{\gamma},$$

where

$$\beta := \tilde{\beta} \frac{qm}{p} = \frac{\frac{2^{+}q}{p}m}{p\frac{2^{+}}{2} - qm}, \quad \gamma := \frac{\theta p}{qm(1 - \theta(1 - \frac{p}{qm}))}, \quad \theta := \frac{qm(\frac{2^{+}}{2m} - 1)}{p\frac{2^{+}}{2} - qm},$$

so (1.12) follows.

Let now f satisfy (1.7) and (1.14). Under these assumptions on f, we obtain the following result.

**Theorem 2.6.** Let  $u \in W^{1,p}(\Omega)$  be a local minimizer of the integral functional (1.1). Assume that  $f = f(x, \xi)$  in (1.1) satisfies (1.7), (1.14) and (2.8), with

$$\frac{q}{p} < 1 + \frac{1}{n} - \frac{1}{r}.$$
(2.40)

Then there exist positive constants C,  $\hat{\beta}$ ,  $\hat{\gamma}$  depending on n, r, p, q,  $M_1$ ,  $M_2$ ,  $\rho$ , R such that

$$\|Du\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C[\|1+h\|_{L^{r}(\Omega)}]^{\hat{\beta}\hat{\gamma}} \left(\int_{B_{R}} \{1+f(x,Du)\}\,dx\right)^{\frac{1}{p}}$$
(2.41)

for every  $0 < \rho < R \le \rho + 1$ .

*Proof.* Let t := 2q - p. Then (1.14) can be written explicitly in the form

$$|f_{\xi \chi}(x,\xi)| \le h(x)|\xi|^{\frac{t+p-2}{2}}, \quad |\xi| \ge 1.$$

Moreover, (2.40) in terms of p and t is equivalent to

$$\frac{t}{p} < 1 + \frac{2\alpha}{n} \quad \text{with } \alpha = 1 - \frac{n}{r}.$$

Thus all the assumptions of Theorem 1.1 are satisfied with *q* replaced by *t*. In particular, the second inequality in (1.7) holds with *q* replaced by *t* since  $t \ge q$ . Then the conclusion of Theorem 1.1 holds with q = t which corresponds to (2.41) with

$$\hat{\beta} := \frac{\frac{2^*}{p}(2q-p)m}{p\frac{2^*}{2} - (2q-p)m}, \quad \hat{\gamma} := \frac{\theta p}{(2q-p)m(1-\theta) + p\theta},$$

since  $f(x, \xi) \ge C |\xi|^p$  for every  $|\xi| \ge 1$ .

## 3 Extension of the integral energy

Let  $f: \Omega \times \mathbb{R}^n \to [0, +\infty)$  be a continuous function, convex in  $\xi$  such that

$$|\xi|^p \le f(x,\xi) \le C(1+|\xi|^q) \quad \text{for a.e. } x \in \Omega \text{ and all } \xi \in \mathbb{R}^n.$$
(3.1)

For  $u_0 \in W^{1,q}(\Omega)$ , we define the extension to  $W^{1,p}(\Omega)$  of the integral functional  $\int_{\Omega} f(x, Du) dx$ , i.e.

$$F(u) = \inf\left\{\liminf_{k} \int_{\Omega} f(x, Du_k) \, dx : u_k \in W_0^{1,q}(\Omega) + u_0, \ u_k \stackrel{w}{\rightharpoonup} u \text{ in } W^{1,p}(\Omega)\right\}$$
(3.2)

with

$$\int_{\Omega} f(x, Du_0) \, dx < +\infty.$$

It is easy to check that

$$F(u) = \int_{\Omega} f(x, Du) \, dx \quad \text{for } u \in W_0^{1,q}(\Omega) + u_0.$$

In fact, for  $u_k = u$  for all k,

$$F(u) \leq \int_{\Omega} f(x, Du) \, dx.$$

On the other hand, by the semicontinuity of  $\int_{\Omega} f(x, Du) dx$  with respect to the weak topology of  $W^{1,p}$ , the inverse inequality also holds.

**Lemma 3.1.** For each  $v \in W_0^{1,p}(\Omega) + u_0$ , there exists a sequence  $v_k \in W_0^{1,q}(\Omega) + u_0$  such that  $v_k \rightarrow v$  weakly in  $W^{1,p}(\Omega)$  and

$$F(v) = \lim_{k \to +\infty} \int_{\Omega} f(x, Dv_k) \, dx.$$

*Proof.* The proof follows similarly as in [5]. We give the sketch of the proof.

Let  $v \in W_0^{1,p}(\Omega) + u_0$  such that  $F(v) < \infty$ . Then, for all k, there exists  $v_h^{(k)} \in W_0^{1,q}(\Omega) + u_0$  such that  $v_h^{(k)} \stackrel{w}{\rightarrow} v$ , as  $h \to +\infty$ , weakly in  $W^{1,p}(\Omega)$  and

$$F(v) \leq \lim_{h \to +\infty} \int_{\Omega} f(x, Dv_h^{(k)}) \, dx \leq F(v) + \frac{1}{k}.$$

Moreover, by the weak convergence of  $v_h^{(k)}$  in  $W^{1,p}(\Omega)$  we get

$$\lim_{h \to +\infty} \|v_h^{(k)} - v\|_{L^p(\Omega)} = 0$$

and for *h* sufficiently large,

$$\int_{\Omega} |Dv_h^{(k)}|^p dx \le \int_{\Omega} f(x, Dv_h^{(k)}) dx \le F(v) + 1$$

Then for all *k* there exists  $h_k$  such that for all  $h \ge h_k$ ,

$$\|v_{h}^{(k)} - v\|_{L^{p}(\Omega)} < \frac{1}{k}$$

and for  $h = h_k$ , by denoting  $w_k = v_{h_k}^{(k)}$ , we have

$$||w_k - v||_{L^p(\Omega)} < \frac{1}{k}$$
 and  $\int_{\Omega} |Dw_k|^p dx \le C;$ 

then  $w_k \stackrel{w}{\rightharpoonup} v$  as  $k \to +\infty$  in the weak topology of  $W^{1,p}(\Omega)$  and

k

$$F(v) \leq \int_{\Omega} f(x, Dw_k) \, dx \leq F(v) + \frac{1}{k},$$

i.e.

$$\lim_{d\to+\infty}\int_{\Omega}f(x,Dw_k)\,dx=F(v).$$

## 4 Existence and regularity

First of all we prove an approximation theorem for *f* through a suitable sequence of regular functions.

**Proposition 4.1.** Let f be satisfying the growth conditions (3.1),  $f_{\xi\xi}$  and  $f_{\xi\chi}$  Carathéodory functions, satisfying (1.7) and (1.14) with  $M_0 = 1$  and f strictly convex at infinity. Then there exists a sequence of  $\mathbb{C}^2$ -functions  $f^{\ell k} : \Omega \times \mathbb{R}^n \to [0, +\infty), f^{\ell k}$  convex in the last variable and strictly convex at infinity, such that  $f^{\ell k}$  converges to f as  $\ell \to \infty$  and  $k \to \infty$  for a.e.  $x \in \Omega$ , for all  $\xi \in \mathbb{R}^n$  and uniformly in  $\Omega_0 \times K$ , where  $\Omega_0 \subset \Omega$  and K being a compact set of  $\mathbb{R}^n$ . Moreover:

• there exists  $\tilde{C}$ , independently of k,  $\ell$ , such that

$$|\xi|^p \le f^{\ell k}(x,\xi) \le \hat{C}(1+|\xi|^q) \quad \text{for a.e. } x \in \Omega \text{ and all } \xi \in \mathbb{R}^n,$$

$$(4.1)$$

• there exists  $\tilde{M}_1 > 0$  such that for  $|\xi| > 2$  and a.e.  $x \in \Omega$ ,

$$\tilde{M}_1 |\xi|^{p-2} |\lambda|^2 \le \sum_{i,j} f_{\xi_i \xi_j}^{\ell k}(x,\xi) \lambda_i \lambda_j, \quad \lambda \in \mathbb{R}^n,$$
(4.2)

• there exists c(k) > 0 such that for all  $(x, \xi) \in \Omega \times \mathbb{R}^n$  and  $\lambda \in \mathbb{R}^n$ ,

$$c(k)(1+|\xi|^2)^{\frac{q-2}{2}}|\lambda|^2 \le \sum_{i,j} f_{\xi_i\xi_j}^{\ell k}(x,\xi)\lambda_i\lambda_j,$$
(4.3)

• there exists  $\tilde{M}_2 > 0$  such that for  $|\xi| > 2$  and a.e.  $x \in \Omega$ ,

$$|f_{\xi\xi}^{\ell k}(x,\xi)| \le \tilde{M}|\xi|^{q-2},\tag{4.4}$$

• there exists C(k) such that for a.e.  $x \in \Omega$  and  $\xi \in \mathbb{R}^n$ ,

$$|f_{\xi\xi}^{\ell k}(x,\xi)| \le C(k)(1+|\xi|^2)^{\frac{q-2}{2}},\tag{4.5}$$

• there exists a constant C > 0 such that for a.e.  $x \in \Omega$  and  $|\xi| > 2$ ,

$$|f_{\xi_X}^{\ell k}(x,\xi)| \le Ch_{\ell}(x)|\xi|^{q-1},$$
(4.6)

where  $h_{\ell} \in C^{\infty}(\Omega)$  is the regularized function of h which converges to h in  $L^{r}(\Omega)$ ,

• for  $\Omega_0 \subset \Omega$ , there exists a constant *C* such that for  $x \in \Omega_0$  and  $\xi \in \mathbb{R}^n$ ,

$$|f_{\xi_X}^{\ell k}(x,\xi)| \le C(k,\ell,\Omega_0)(1+|\xi|^2)^{\frac{d-1}{2}}.$$
(4.7)

*Proof.* We argue as in the proof of [25, Theorem 2.7 (Step 3)] and [20, Lemma 4.3]. For the sake of completeness, we give a sketch of the arguments of the proof.

Let *B* be the unit ball of  $\mathbb{R}^n$  centered in the origin and consider a positive decreasing sequence  $\varepsilon_\ell \to 0$ . We introduce

$$f^{\ell}(x,\xi) = \int_{B\times B} \rho(y)\rho(\eta)f(x+\varepsilon_{\ell}y,\xi+\varepsilon_{\ell}\eta)\,d\eta\,dy,$$

where  $\rho$  is a positive symmetric mollifier, and

$$f^{\ell k}(x,\xi) = f^{\ell}(x,\xi) + \frac{1}{k}(1+|\xi|^2)^{\frac{q}{2}}.$$
(4.8)

It is easy to check that the sequence  $f^{\ell k}$  satisfies conditions (4.1), (4.2), (4.3), (4.4), (4.5), (4.7). Let us verify (4.6). For  $|\xi| > 2$  we have

$$|f_{\xi_{X}}^{\ell k}(x,\xi)| \leq \int_{B \times B} \rho(y)\rho(\eta)|\xi + \varepsilon_{\ell}\eta|^{q-1}h(x + \varepsilon_{\ell}y) \, dy \, d\eta \leq Ch_{\ell}(x)|\xi|^{q-1},$$

where

$$h_{\ell}(x) = \int_{B} \rho(y) h(x + \varepsilon_{\ell} y) \, dy,$$

 $h_{\ell}$  is a smooth function and it converges to h in  $L^{r}(\Omega)$ . Moreover,

$$|f_{\xi_X}^{\ell k}(x,\xi)| \le C(k,\Omega_0)[\|1+h_\ell\|_{L^{\infty}(\Omega_0)}](1+|\xi|^2)^{\frac{q-2}{2}}.$$

This concludes the proof.

*Proof of Theorem 1.2.* For  $u_0 \in W^{1,q}(\Omega)$ , let us consider the variational problems

$$\inf\left\{\int_{\Omega} f^{\ell k}(x, Dv) \, dx : v \in W_0^{1,q}(\Omega) + u_0\right\},\tag{4.9}$$

where  $f^{\ell k}$  are defined in (4.8). By semicontinuity arguments, there exists  $v^{\ell k} \in u_0 + W_0^{1,q}(\Omega)$ , a solution to (4.9). By the growth conditions and the minimality of  $v^{\ell k}$ , we get

$$\begin{split} \int_{\Omega} |Dv^{\ell k}|^p \, dx &\leq \int_{\Omega} f^{\ell k}(x, Dv^{\ell k}) \, dx \\ &\leq \int_{\Omega} f^{\ell k}(x, Du_0) \, dx \\ &= \int_{\Omega} f^{\ell}(x, Du_0) \, dx + \frac{1}{k} \int_{\Omega} (1 + |Du_0|^2)^{\frac{q}{2}} \, dx. \end{split}$$

Moreover, the properties of the convolutions imply that

$$f^{\ell}(x, Du_0) \xrightarrow{\ell \to \infty} f(x, Du_0)$$
 a.e. in  $\Omega_{\ell}$ 

and since

$$\int_{\Omega} f^{\ell}(x, Du_0) dx \leq C \int_{\Omega} (1 + |Du_0|^2)^{\frac{q}{2}} dx,$$

by the Lebesgue Dominated Convergence Theorem we deduce therefore

$$\lim_{\ell \to \infty} \int_{\Omega} |Dv^{\ell k}|^p \, dx \le \lim_{\ell \to \infty} \int_{\Omega} f^{\ell}(x, Du_0) \, dx + \frac{1}{k} \int_{\Omega} (1 + |Du_0|^2)^{\frac{q}{2}} \, dx$$
$$= \int_{\Omega} f(x, Du_0) \, dx + \frac{1}{k} \int_{\Omega} (1 + |Du_0|^2)^{\frac{q}{2}} \, dx.$$

By Proposition 4.1, the functions  $f^{\ell k}$  satisfy (1.7), (1.14) and (2.8), so we can apply the a-priori estimate (2.41) to  $v^{\ell k}$  and obtain, by standard covering arguments for all  $\Omega' \subset \Omega$ ,

$$\|Dv^{\ell k}\|_{L^{\infty}(\Omega';\mathbb{R}^n)} \leq C(\Omega')[\|1+h_{\ell}\|_{L^r(\Omega)}]^{\hat{\beta}\hat{\gamma}} \left[\int\limits_{\Omega} (1+f^{\ell k}(x,Dv^{\ell k}))\,dx\right]^{\frac{\hat{\gamma}}{p}}.$$

Since  $\|1 + h_{\ell}\|_{L^{r}(\Omega)} = \|(1 + h)_{\ell}\|_{L^{r}(\Omega)} \le \|1 + h\|_{L^{r}(\Omega)}$ , we obtain

$$\begin{split} \|Dv^{\ell k}\|_{L^{\infty}(\Omega';\mathbb{R}^{n})} &\leq C(\Omega')[\|1+h\|_{L^{r}(\Omega)}]^{\hat{\beta}\hat{\gamma}} \bigg[ \int_{\Omega} (1+f^{\ell k}(x,Dv^{\ell k})) \, dx \bigg]^{\frac{\gamma}{p}} \\ &\leq C(\Omega')[\|1+h\|_{L^{r}(\Omega)}]^{\hat{\beta}\hat{\gamma}} \bigg[ \int_{\Omega} 1+f^{\ell}(x,Du_{0}) + \frac{1}{k}(1+|Du_{0}|^{2})^{\frac{q}{2}} \, dx \bigg]^{\frac{\tilde{\gamma}}{p}}, \end{split}$$

where  $C, \hat{\gamma}, \hat{\beta}$  depend on  $n, r, p, q, M_1, M_2, \rho, R$  but are independent of  $\ell, k$ . Therefore we conclude that

$$\begin{array}{l} v^{\ell k} \xrightarrow{\ell \to \infty} v^k \quad \text{weakly in } W_0^{1,p}(\Omega) + u_0, \\ v^{\ell k} \xrightarrow{\ell \to \infty} v^k \quad \text{weakly star in } W_{\text{loc}}^{1,\infty}(\Omega), \end{array}$$

and by the previous estimates

$$\begin{split} \|Dv^{k}\|_{L^{p}(\Omega;\mathbb{R}^{n})} &\leq \liminf_{\ell \to \infty} \|Dv^{\ell k}\|_{L^{p}(\Omega;\mathbb{R}^{n})} \\ &\leq \int_{\Omega} f(x, Du_{0}) \, dx + \int_{\Omega} (1 + |Du_{0}|^{2})^{\frac{q}{2}} \, dx \end{split}$$

and

 $\|Dv^k\|_{L^{\infty}(\Omega';\mathbb{R}^n)} \leq \liminf_{\ell \to \infty} \|Dv^{\ell k}\|_{L^{\infty}(\Omega';\mathbb{R}^n)}$ 

$$\leq C(\Omega')[\|1+h\|_{L^{r}(\Omega)}]^{\hat{\beta}\hat{\gamma}}\left[\int_{\Omega} 1+f(x,Du_{0})\,dx+\int_{\Omega}(1+|Du_{0}|^{2})^{\frac{q}{2}}\,dx\right]^{\frac{1}{p}}$$

Thus we can deduce that there exists, up to subsequences,  $\bar{u} \in u_0 + W_0^{1,p}(\Omega)$  such that

$$v^k \to \bar{u}$$
 weakly in  $W_0^{1,p}(\Omega) + u_0$ ,  
 $v^k \to \bar{u}$  weakly star in  $W_{\text{loc}}^{1,\infty}(\Omega)$ .

Now, for any fixed  $k \in \mathbb{N}$ , using the uniform convergence of  $f^{\ell}$  to f in  $\Omega_0 \times K$  (for any K compact subset of  $\mathbb{R}^n$ )

and the minimality of  $v^{\ell k}$ , we get for all  $v \in W_0^{1,q}(\Omega) + u_0$ ,

$$\int_{\Omega_0} f(x, Dv^k) dx \leq \liminf_{\ell \to \infty} \int_{\Omega_0} f(x, Dv^{\ell k}) dx$$
  
$$= \liminf_{\ell \to \infty} \int_{\Omega_0} f^\ell(x, Dv^{\ell k}) dx$$
  
$$\leq \liminf_{\ell \to \infty} \int_{\Omega_0} f^\ell(x, Dv^{\ell k}) dx + \frac{1}{k} \int_{\Omega} (1 + |Dv^{\ell k}|^2)^{\frac{q}{2}} dx$$
  
$$\leq \liminf_{\ell \to \infty} \int_{\Omega} f^\ell(x, Dv^{\ell k}) dx + \frac{1}{k} \int_{\Omega} (1 + |Dv^{\ell k}|^2)^{\frac{q}{2}} dx$$
  
$$\leq \liminf_{\ell \to \infty} \int_{\Omega} f^\ell(x, Dv) dx + \frac{1}{k} \int_{\Omega} (1 + |Dv|^2)^{\frac{q}{2}} dx.$$

Then, for  $\Omega_0 \rightarrow \Omega$ ,

$$\int_{\Omega} f(x, Dv^k) \, dx \leq \int_{\Omega} f(x, Dv) \, dx + \frac{1}{k} \int_{\Omega} (1 + |Dv|^2)^{\frac{q}{2}} \, dx.$$

By definition (3.2), we have

$$F(\bar{u}) \le \liminf_{k \to \infty} \int_{\Omega} f(x, Dv^k) \, dx \le \int_{\Omega} f(x, Dv) \, dx \quad \text{for all } v \in W_0^{1,q}(\Omega) + u_0.$$
(4.10)

Let  $w \in W_0^{1,p}(\Omega) + u_0$ . By Lemma 3.1, there exists  $v_k \in W_0^{1,q}(\Omega) + u_0$  such that  $v_k \rightarrow w$  weakly in  $W^{1,p}(\Omega)$  and

$$\lim_{k\to\infty}\int_{\Omega}f(x,Dv_k)\,dx=F(w).$$

By (4.10),

$$F(\bar{u}) \leq \int_{\Omega} f(x, Dv_k) \, dx$$

and we can conclude that

$$F(\bar{u}) \leq \lim_{k \to \infty} \int_{\Omega} f(x, Dv_k) \, dx = F(w) \quad \text{for all } w \in W_0^{1,p}(\Omega) + u_0.$$

Then  $\bar{u} \in W^{1,\infty}_{\text{loc}}(\Omega)$  is a solution to the problem  $\min\{F(u) : u \in W^{1,p}_0(\Omega) + u_0\}$ .

## 5 Regularity of local minimizers in a special case

Let us consider now the case of a special form of integrand

$$f(x,\xi) = \sum_{i=1}^{N} a_i(x)g_i(\xi)$$
(5.1)

with  $a_i(x) > 0$  a.e. in  $\Omega$ ,  $a_i \in W^{1,r}(\Omega)$ , r > n,  $g_i : \mathbb{R}^n \to [0, +\infty)$  convex in  $\xi$  and strictly convex for  $\xi$  such that  $|\xi| \ge M_0$ . The following regularity result holds.

**Theorem 5.1.** Assume that  $f = f(x, \xi)$  as in (5.1) satisfies the assumptions of Theorem 2.6. Then every local minimizer  $u \in W^{1,p}(\Omega)$  of the integral functional

$$\int_{\Omega} f(x, Dv) dx = \int_{\Omega} \sum_{i=1}^{N} a_i(x) g_i(Du) dx$$
(5.2)

is locally Lipschitz continuous in  $\Omega$ .

*Proof.* Let  $u \in W^{1,p}(\Omega)$  be a local minimizer of the integral functional (5.2). For a suitable  $\varphi_{\sigma}$  mollifier, consider  $u_{\sigma} = u * \varphi_{\sigma} \in W^{1,q}_{loc}(\Omega)$ . Consider the following sequence of problems in  $B_R \subset C$ :

$$\inf\left\{\int_{B_R} f^{\ell k}(x, D\nu) \, dx : \nu \in W_0^{1,q}(B_R) + u_\sigma\right\},\tag{5.3}$$

ŵ

where  $f^{\ell k}$  are defined in Proposition 4.1.

For fixed  $\sigma$ ,  $\ell$ , k, problem (5.3) has a unique solution  $v_{\sigma}^{\ell k} \in W_0^{1,q}(B_R) + u_{\sigma}$ . By proceeding as in the previous theorem, we have that for each fixed  $\sigma$ , by the minimality of  $v_{\sigma}^{\ell k}$ ,

$$\begin{array}{l} v_{\sigma}^{\ell k} \xrightarrow{\ell \to \infty} v_{\sigma}^{k} & \text{weakly in } W_{0}^{1,p}(B_{R}) + u_{\sigma}, \\ v_{\sigma}^{\ell k} \xrightarrow{\ell \to \infty} v_{\sigma}^{k} & \text{weakly star in } W_{\text{loc}}^{1,\infty}(B_{R}). \end{array}$$

We also have

$$v_{\sigma}^k \xrightarrow{k \to \infty} v_{\sigma}$$
 weakly in  $W_0^{1,p}(B_R) + u_{\sigma}$ ,  
 $v_{\sigma}^k \xrightarrow{k \to \infty} v_{\sigma}$  weakly star in  $W_{\text{loc}}^{1,\infty}(B_R)$ 

and

$$\|Dv_{\sigma}\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C \liminf_{k \to \infty} \left[ 1 + \int_{B_{R}} f(x, Du_{\sigma}) \, dx + \frac{1}{k} \int_{B_{R}} (1 + |Du_{\sigma}|^{2})^{\frac{q}{2}} \, dx \right]^{\frac{1}{p}}$$
$$= C \liminf_{k \to \infty} \left[ 1 + \int_{B_{R}} f(x, Du_{\sigma}) \, dx \right]^{\frac{q}{p}}$$
(5.4)

for any  $0 < \rho < R$  and where *C* is independent of *k*,  $\sigma$ . For fixed *k*, by proceeding as in the previous theorem we have

$$\int\limits_{B_R} f(x, Dv_\sigma^k) \, dx \leq \int\limits_{B_R} f(x, Du_\sigma) \, dx + \frac{1}{k} \int\limits_{B_R} (1 + |Du_\sigma|^2)^{\frac{q}{2}} \, dx.$$

Then, by semicontinuity,

$$\int_{B_R} f(x, Dv_{\sigma}) dx \le \liminf_{k \to \infty} \int_{B_R} f(x, Du_{\sigma}) dx + \frac{1}{k} \int_{B_R} (1 + |Du_{\sigma}|^2)^{\frac{q}{2}} dx$$
$$\le \int_{B_R} f(x, Du_{\sigma}) dx.$$
(5.5)

Now we claim that, by the particular form of *f*, we may deduce

$$\liminf_{\sigma \to 0} \iint_{B_R} f(x, Du_{\sigma}) \, dx \le \iint_{B_R} f(x, Du) \, dx.$$
(5.6)

Since  $g_i$  is convex, for i = 1, ..., N, Jensen's inequality (applied to each  $g_i$ ) yields

$$\int_{B_R} a_i(x)g_i(Du_{\sigma}) dx = \int_{B_R} a_i(x)g_i\left(\int_{B_{\sigma}} Du(y)\varphi_{\sigma}(x-y) dy\right) dx$$
$$\leq \int_{B_R} a_i(x) \int_{B_{\sigma}} g_i(Du(y))\varphi_{\sigma}(x-y) dy dx$$
$$= \int_{B_R} \int_{B_{\sigma}} a_i(x)\varphi_{\sigma}(x-y) dy g_i(Du(y)) dx$$
$$\leq \int_{B_{R+\sigma}} (a_i)_{\sigma}(y)g_i(Du(y)) dy.$$

Then

$$\sum_{i=1}^N \int_{B_R} a_i(x) g_i(Du_\sigma) \, dx \le \sum_{i=1}^N \int_{B_{R+\sigma}} (a_i)_\sigma(x) g_i(Du) \, dx$$

so that passing to the limit as  $\sigma \rightarrow 0$ ,

$$\liminf_{\sigma \to 0} \sum_{i=1}^N \int_{B_R} a_i(x) g_i(Du_\sigma) \, dx \le \sum_{i=1}^N \int_{B_R} a_i(x) g_i(Du) \, dx$$

because  $(a_i)_{\sigma} \to a_i$  in  $L^{\infty}(B_R)$ ,  $g_i(Du) \in L^1(B_R)$ , the Dominated Convergence Theorem may be applied, and (5.6) holds.

By collecting (5.5) and (5.6),

$$\liminf_{\sigma \to 0} \iint_{B_R} f(x, D\nu_{\sigma}) \, dx \le \iint_{B_R} f(x, Du) \, dx.$$
(5.7)

On the other hand, the growth assumption on f yields, since u is a local minimizer of (5.2),

$$\liminf_{\sigma\to 0} \int_{B_R} |Dv_{\sigma}|^p \, dx \leq \liminf_{\sigma\to 0} \int_{B_R} f(x, Dv_{\sigma}) \, dx \stackrel{(5.7)}{\leq} \int_{B_R} f(x, Du) \, dx < +\infty.$$

Thus there exists  $\bar{v} \in u + W_0^{1,p}(B_R)$  such that, up to a subsequence,

$$v_{\sigma} \rightarrow \bar{v}$$
 weakly in  $W^{1,p}(B_R)$ .

By the semicontinuity of the functional, using (5.5) and (5.7),

$$\int_{B_R} f(x, D\bar{v}) \, dx \le \liminf_{\sigma \to 0} \int_{B_R} f(x, Dv_\sigma) \, dx \le \int_{B_R} f(x, Du) \, dx.$$
(5.8)

Moreover, since (5.4) holds,  $Dv_{\sigma}$  converges to  $D\bar{v}$  as  $\sigma \to 0$  in the weak star topology of  $L^{\infty}$  and there exists a constant *C* such that, for any  $0 < \rho < R$ ,

$$\|D\bar{\nu}\|_{L^{\infty}(B_{\rho};\mathbb{R}^{n})} \leq C \left[1 + \int_{B_{R}} f(x, Du) dx\right]^{\frac{1}{p}}.$$

Consider the following problem in  $B_R \subset \Omega$ :

$$\inf\left\{\int_{B_R} f(x, Dv) \, dx : v \in W_0^{1, p}(B_R) + u\right\}.$$
(5.9)

ŝ

Then (5.8) implies that  $\bar{v}$  and u are solutions to (5.9) and  $\bar{v} \in W_{\text{loc}}^{1,\infty}(B_R)$ .

In the present case the functional is not strictly convex; we proceed as in [20, Theorem 2.1] (see also [25]) and we have that  $u \in W_{loc}^{1,\infty}(B_R)$ . Indeed, set

$$E_0 := \left\{ x \in B_R : \left| \frac{Du(x) + D\bar{v}(x)}{2} \right| > M_0, \ Du(x) \neq D\bar{v}(x) \right\} \quad \text{and} \quad w := \frac{u + \bar{v}}{2}.$$

If  $E_0$  has positive measure, then from the convexity of  $f(x, \cdot)$  we have

$$\int_{B_R \setminus E_0} f(x, Dw) \, dx \le \frac{1}{2} \int_{B_R \setminus E_0} f(x, Du) \, dx + \frac{1}{2} \int_{B_R \setminus E_0} f(x, D\bar{v}) \, dx. \tag{5.10}$$

Now, by the strict convexity of  $f(x, \xi)$  for  $\xi$  such that  $|\xi| \ge M_0$  and applying two times the inequality

$$f(x, \eta) > f(x, \xi) + \langle f_{\xi}(x, \xi), \eta - \xi \rangle$$
 for  $\xi$  such that  $|\xi| \ge M_0$ 

first with  $\xi = Dw$  and  $\eta = Du$ , then for  $\xi = Dw$  and  $\eta = D\overline{v}$ , finally by adding up the two inequalities obtained, we have

$$\int_{B_R \cap E_0} f(x, Dw) \, dx < \frac{1}{2} \int_{B_R \cap E_0} f(x, Du) \, dx + \frac{1}{2} \int_{B_R \cap E_0} f(x, Dv) \, dx. \tag{5.11}$$

Adding (5.10) and (5.11), we get a contradiction with the minimality of *u* and  $\bar{v}$ . Therefore the set  $E_0$  has zero measure, which implies that

$$\sup_{B_{\rho}} |Du(x)| \le \sup_{B_{\rho}} |Du(x) + D\bar{v}(x)| + \sup_{B_{\rho}} |D\bar{v}(x)| \le 2M_0 + \sup_{B_{\rho}} |D\bar{v}(x)|$$

and this yields the thesis.

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