

## A GLOBAL COMPACTNESS RESULT FOR THE P-LAPLACIAN INVOLVING CRITICAL NONLINEARITIES

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*Dedicated to Louis Nirenberg, a Master in Analysis, with admiration.*

ABSTRACT. We prove a representation theorem for Palais-Smale sequences involving the p-Laplacian and critical nonlinearities. Applications are given to a critical problem.

**1. Introduction.** The following global compactness result was proved by M. Struwe in 1984 (see [25]). We assume that  $\Omega$  is a smooth bounded domain of  $\mathbb{R}^N$ ,  $N \geq 3$ ,  $\mu > 0$  and  $a \in L^{N/2}(\Omega)$  is such that

$$\inf_{\substack{u \in W_0^{1,2}(\Omega) \\ \|\nabla u\|_{L^2} = 1}} \int_{\Omega} |\nabla u|^2 + a|u|^2 dx > 0.$$

We define the following functionals

$$\phi_2(u) = \int_{\Omega} \frac{|\nabla u|^2}{2} + a \frac{|u|^2}{2} - \mu \frac{|u|^{2^*}}{2^*} dx, \quad u \in W_0^{1,2}(\Omega),$$

$$\psi_2(u) = \int_{\mathbb{R}^N} \frac{|\nabla u|^2}{2} - \mu \frac{|u|^{2^*}}{2^*} dx, \quad u \in \mathcal{D}^{1,2}(\mathbb{R}^N),$$

where  $2^* := 2N/(N-2)$  and  $\mathcal{D}^{1,2}(\mathbb{R}^N) := \{u \in L^{2^*}(\mathbb{R}^N) : \nabla u \in L^2(\mathbb{R}^N)\}$  with the norm

$$\|u\| := \|\nabla u\|_{L^2(\mathbb{R}^N)}.$$

**Theorem A** *Under the above assumptions, let  $\{u_n\}_n \subset W_0^{1,2}(\Omega)$  be such that*

$$\phi_2(u_n) \rightarrow c \quad \phi_2'(u_n) \rightarrow 0 \quad \text{in } W^{-1,2}(\Omega).$$

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Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in W_0^{1,2}(\Omega)$  of

$$-\Delta u + au = \mu|u|^{2^*-2}u,$$

a finite sequence  $\{v_1, \dots, v_k\} \subset \mathcal{D}^{1,2}(\mathbb{R}^N)$  of solutions of

$$-\Delta u = \mu|u|^{2^*-2}u \quad \text{on } \mathbb{R}^N$$

and  $k$  sequences  $\{y_n^i\}_n \subset \Omega$  and  $\{\lambda_n^i\}_n \subset \mathbb{R}_+$ , satisfying

$$\frac{1}{\lambda_n^i} \text{dist}(y_n^i, \partial\Omega) \rightarrow \infty, \quad n \rightarrow \infty,$$

$$\|u_n - v_0 - \sum_{i=1}^k (\lambda_n^i)^{(2-N)/2} v_i((\cdot - y_n^i)/\lambda_n^i)\| \rightarrow 0, \quad n \rightarrow \infty,$$

$$\|u_n\|^2 \rightarrow \sum_{i=0}^k \|v_i\|^2, \quad n \rightarrow \infty,$$

$$\phi_2(v_0) + \sum_{i=1}^k \psi_2(v_i) = c.$$

Motivated by a problem with lack of compactness, C.O. Alves proved, in 2002, an extension to the  $p$ -Laplacian when  $p > 2$  and  $\Omega = \mathbb{R}^N$ . However the convergence a.e. of the gradients in the proof of Theorem 2 in [1] needs a justification. The case of a smooth Riemannian manifold without boundary was considered by N. Saintier [23] in 2006, mainly for a sequence of positive critical points.

In this paper we consider the case of a smooth bounded domain  $\Omega$  in  $\mathbb{R}^N$ . New difficulties arise from the fact that  $\partial\Omega \neq \emptyset$ .

We are motivated by an extension to the  $p$ -Laplacian of the existence result of [7]. This extension is contained in Section 6.

We use the following notation:

$$\Delta_p u := \text{div}(|\nabla u|^{p-2} \nabla u), \quad 1 < p < \infty,$$

$$p^* := Np/(N-p), \quad 1 < p < N,$$

$$\mathcal{D}^{1,p}(\mathbb{R}^N) = \{u \in L^{p^*}(\mathbb{R}^N) : \nabla u \in L^p(\mathbb{R}^N; \mathbb{R}^N)\}$$

$$\|u\| = \|\nabla u\|_{L^p(\mathbb{R}^N)}$$

$$u_+ = \max(u, 0), \quad u_- = \max(-u, 0)$$

$$\mathbb{R}_+^N = \{x \in \mathbb{R}^N : x_N > 0\}.$$

We denote by  $\mathcal{D}_0^{1,p}(\Omega)$  the closure of  $\mathcal{D}(\Omega)$  in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ .

We need the following nonexistence result.

**Theorem 1.1.** *Let  $1 < p < N$  and let  $u \in \mathcal{D}_0^{1,p}(\mathbb{R}_+^N)$  be a nonnegative solution of the equation*

$$-\Delta_p u = \mu u^{p^*-1} \quad \text{in } \mathbb{R}_+^N.$$

Then  $u \equiv 0$ .

Our main result is the following theorem. We assume that

**(A)**  $\Omega$  is a smooth bounded domain of  $\mathbb{R}^N$ ,  $1 < p < N$ ,  $a \in L^{N/p}(\Omega)$  and  $\mu > 0$ ,

**(B)** 
$$\inf_{\substack{u \in W_0^{1,p}(\Omega) \\ \|\nabla u\|_{L^p} = 1}} \int_{\Omega} |\nabla u|^p + a|u|^p dx > 0.$$

We define on  $W_0^{1,p}(\Omega)$

$$\phi(u) = \int_{\Omega} \frac{|\nabla u|^p}{p} + a \frac{|u|^p}{p} - \mu \frac{|u|^{p^*}}{p^*} dx,$$

and on  $\mathcal{D}^{1,p}(\mathbb{R}^N)$

$$\phi_{\infty}(u) = \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{p} - \mu \frac{|u|^{p^*}}{p^*} dx.$$

Let us recall that

$$\langle \phi'(u), h \rangle = \int_{\Omega} [|\nabla u|^{p-2} \nabla u \cdot \nabla h + a|u|^{p-2} u h - \mu |u|^{p^*-2} u h] dx,$$

$$\langle \phi'_{\infty}(u), h \rangle = \int_{\mathbb{R}^N} [|\nabla u|^{p-2} \nabla u \cdot \nabla h - \mu u_+^{p^*-1} h] dx.$$

**Theorem 1.2.** *Let  $1 < p < N$ . Under assumptions **(A)** and **(B)**, let  $\{u_n\}_n \subset W_0^{1,p}(\Omega)$  such that*

$$\phi(u_n) \rightarrow c \quad \phi'(u_n) \rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega)$$

and

$$\|(u_n)_-\|_{L^{p^*}(\Omega)} \rightarrow 0, \quad n \rightarrow \infty.$$

Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in W_0^{1,p}(\Omega)$  of

$$\begin{aligned} -\Delta_p u + a u^{p-1} &= \mu u^{p^*-1} & \text{in } \Omega, \\ u &\geq 0 & \text{in } \Omega, \end{aligned}$$

a finite sequence  $\{v_1, \dots, v_k\} \subset \mathcal{D}^{1,p}(\mathbb{R}^N)$  of solutions of

$$\begin{aligned} -\Delta_p u &= \mu u^{p^*-1} & \text{in } \mathbb{R}^N, \\ u &\geq 0 & \text{in } \mathbb{R}^N \end{aligned}$$

and  $k$  sequences  $\{y_n^i\}_n \subset \Omega$  and  $\{\lambda_n^i\}_n \subset \mathbb{R}_+$ , satisfying

$$\begin{aligned} \frac{1}{\lambda_n^i} \text{dist}(y_n^i, \partial\Omega) &\rightarrow \infty, \quad n \rightarrow \infty, \\ \|u_n - v_0 - \sum_{i=1}^k (\lambda_n^i)^{(p-N)/p} v_i((\cdot - y_n^i)/\lambda_n^i)\| &\rightarrow 0, \quad n \rightarrow \infty, \\ \|u_n\|^p &\rightarrow \sum_{i=0}^k \|v_i\|^p, \quad n \rightarrow \infty, \end{aligned}$$

$$\phi(v_0) + \sum_{i=1}^k \phi_\infty(v_i) = c.$$

If  $k \geq 1$ , then  $v_i > 0$ , for  $i = 1, \dots, k$ , by the strong maximum principle.

The organization of the paper is the following:

- 1 Introduction
- 2 Proof of Theorem 1.1
- 3 Preliminary results
- 4 Proof of Theorem 1.2
- 5 Variants and open problems
- 6 A critical problem for the p-Laplacian

2. **Proof of Theorem 1.1.** We need the following version of the divergence theorem.

**Lemma 2.1.** *Let  $\Omega$  be a bounded Lipschitz domain in  $\mathbb{R}^N$  with outer normal unit vector  $n(\cdot)$  and  $v \in C(\mathbb{R}^N, \mathbb{R}^N)$  be such that  $\operatorname{div} v \in L^1_{loc}(\mathbb{R}^N)$ . Then*

$$\int_{\Omega} \operatorname{div} v \, dx = \int_{\partial\Omega} v(\sigma) \cdot n(\sigma) \, d\sigma.$$

*Proof.* Let  $\rho_n$  be a sequence of mollifiers and let us define  $v_n := (\rho_n * v_1, \dots, \rho_n * v_N)$ . By the classical divergence theorem we have

$$\int_{\Omega} \operatorname{div} v_n \, dx = \int_{\partial\Omega} v_n(\sigma) \cdot n(\sigma) \, d\sigma.$$

Since  $v_n \rightarrow v$  uniformly on compact sets of  $\mathbb{R}^N$  and since  $\operatorname{div} v_n \rightarrow \operatorname{div} v$  in  $L^1_{loc}(\mathbb{R}^N)$ , the proof is complete.  $\square$

**Remark 2.2.** When  $v \in C(\bar{\Omega}, \mathbb{R}^N)$  and  $\operatorname{div} v \in L^1(\Omega)$ , the divergence theorem holds for  $C^2$ -domains, see [[9], p. 742].

Hereafter we define  $H := \mathbb{R}_+^N$ . The following lemma is in the spirit of [21].

**Lemma 2.3.** *Let  $1 < p < N$  and  $u \in \mathcal{D}_0^{1,p}(H)$  be a weak solution of the equation*

$$\Delta_p u + |u|^{p^*-2} u = 0. \tag{1}$$

*For  $p > 2$  we assume in addition  $u \geq 0$ . Then  $\partial_N u = 0$  everywhere on  $\partial H$ .*

*Proof.* Let  $u \in \mathcal{D}_0^{1,p}(H)$  be a solution of (1). By anti-reflection with respect to  $\partial H$  we can extend  $u$  in  $\mathbb{R}^N \setminus H$  obtaining a function  $\bar{u}$ , which satisfies

$$\Delta_p \bar{u} + |\bar{u}|^{p^*-2} \bar{u} = 0 \quad \text{in } \mathbb{R}^N$$

weakly in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ , see [[8] p. 446]. From [Theorem 2.2 in [22]] and [Corollary, p. 830 in [12]] we have

$$\bar{u} \in C_{loc}^{1,\alpha}(\mathbb{R}^N)$$

and by [Theorem 2.5 in [22]], (see also [28] and [24]) we have

$$\bar{u} \in W_{loc}^{2,p}(\mathbb{R}^N), \quad p \leq 2.$$

Moreover

$$\bar{u} \in W_{loc}^{2,2}(\mathbb{R}^N), \quad 2 \leq p < 3 \quad (2)$$

and

$$\bar{u} \in W_{loc}^{2,q}(\mathbb{R}^N), \quad q < \frac{p-1}{p-2}, \quad p \geq 3. \quad (3)$$

For (2) and (3) we argue as follows. Since  $p > 2$ , the nonlinearity  $f(s) := |s|^{p^*-2}s$  is locally lipschitz and  $f(s) > 0$  for  $s > 0$ . We observe that  $H = \bigcup_i O_i$ , where  $O_i \subset H$  are smooth bounded domains, possibly such that  $\partial O_i \cap \partial H \neq \emptyset$ . By Vázquez strong maximum principle [30], both  $\bar{u}|_{H \cap O_i}$  and  $-\bar{u}|_{-(H \cap O_i)}$  are positive solutions in  $H \cap O_i$  and (respectively) in  $-(H \cap O_i)$  of the equation

$$-\Delta_p u = f(u).$$

Statements (2) and (3) follow then by [[10], Proposition 2.2].

Observe, by regularization of  $|\nabla \bar{u}|^{p-2} \nabla \bar{u}$ , that

$$\operatorname{div} [\partial_N \bar{u} |\nabla \bar{u}|^{p-2} \nabla \bar{u}] = \partial_N \bar{u} [\operatorname{div} |\nabla \bar{u}|^{p-2} \nabla \bar{u}] + |\nabla \bar{u}|^{p-2} \nabla \bar{u} \cdot \nabla \partial_N \bar{u} \in L_{loc}^1(\mathbb{R}^N).$$

Using Lemma 2.1, we have

$$\begin{aligned} & \int_{H \cap B_R} \partial_N u [\operatorname{div} |\nabla u|^{p-2} \nabla u] dx \\ &= \int_{\partial(H \cap B_R)} \partial_N u |\nabla u|^{p-2} \nabla u \cdot n(\sigma) d\sigma - \int_{H \cap B_R} |\nabla u|^{p-2} \nabla u \cdot \nabla \partial_N u dx \\ &= \int_{\partial(H \cap B_R)} \partial_N u |\nabla u|^{p-2} \nabla u \cdot n(\sigma) d\sigma - \int_{\partial(H \cap B_R)} \frac{|\nabla u|^p}{p} n_N(\sigma) d\sigma \end{aligned}$$

and

$$\int_{H \cap B_R} \partial_N u |u|^{p^*-2} u dx = \int_{\partial(H \cap B_R)} \frac{|u|^{p^*}}{p^*} n_N(\sigma) d\sigma = \int_{H \cap \partial B_R} \frac{|u|^{p^*}}{p^*} n_N(\sigma) d\sigma.$$

It follows from (1) that

$$\begin{aligned} & \left(1 - \frac{1}{p}\right) \int_{B_R \cap \partial H} |\partial_N u|^p d\sigma \\ &= \int_{H \cap \partial B_R} [\partial_N u |\nabla u|^{p-2} \nabla u \cdot n(\sigma) - \frac{|\nabla u|^p}{p} n_N(\sigma)] d\sigma + \int_{H \cap \partial B_R} \frac{|u|^{p^*}}{p^*} n_N(\sigma) d\sigma. \end{aligned}$$

The right hand side number is bounded by

$$M(R) = \int_{H \cap \partial B_R} \left(1 + \frac{1}{p}\right) |\nabla u|^p + \frac{|u|^{p^*}}{p^*} d\sigma.$$

Since  $\nabla u \in L^p(H)$  and  $u \in L^{p^*}(H)$ , there exists a sequence  $R_n \rightarrow \infty$  such that  $M(R_n) \rightarrow 0$ . The monotone convergence theorem implies that  $\int_{\partial H} |\partial_N u|^p d\sigma = 0$ .  $\square$

**Remark 2.4.** Arguing as in [10], it is possible to prove statement (2), removing the assumption  $u \geq 0$ , for  $2 < p < 3$ .

*Proof of Theorem 1.1.* Assume that  $u$  is nontrivial. Since  $u \geq 0$ , we have  $\Delta_p u \leq 0$ . By Lemma 2.3 we have  $\partial_N u(x_0) = 0$  for every  $x_0 \in \partial H$ . On the other hand, by the  $C_{loc}^{1,\alpha}(\bar{H})$  regularity we can apply the strong maximum principle by Vázquez [30], obtaining  $\partial_N u(x_0) > 0$ . This yields a contradiction and the theorem follows.  $\square$

**Remark 2.5.** We extend to the  $p$ -Laplacian Proposition I.2 in [13].

**3. Preliminary results.** In sections 3 and 4, we follow the scheme of the proof for the case  $p = 2$  of Theorem A given in [31]. This proof is in the spirit of [4]. We need some preliminary lemmas.

**Lemma 3.1.** *Let  $1 < p < 2$ . Then*

$$c := \sup_{\substack{h \neq 0 \\ x \in \mathbb{R}^N}} \left| \frac{|x+h|^{p-2}(x+h) - |x|^{p-2}x}{|h|^{p-1}} \right| < \infty.$$

*Proof.* Define

$$F(x, h) := \left| \frac{|x+h|^{p-2}(x+h) - |x|^{p-2}x}{|h|^{p-1}} \right|$$

and notice that, by homogeneity,

$$F(x, th) = F\left(\frac{x}{t}, h\right).$$

Hence

$$c \equiv \sup_{\substack{|h|=1 \\ x \in \mathbb{R}^N}} F(x, h).$$

Since, by continuity,

$$c_1 := \sup_{\substack{|h|=1 \\ |x| \leq 2}} F(x, h) < \infty,$$

it suffices to prove that

$$c_2 := \sup_{\substack{|h|=1 \\ |x| > 2}} F(x, h) < \infty. \tag{4}$$

Assume that  $|h| = 1$ ,  $|x| > 2$  and  $t \in [0, 1]$ . Then

$$|x + th| \geq |x| - |h| > 1,$$

so we have, for any  $k = 1, \dots, N$

$$\begin{aligned} & \left| |x+h|^{p-2}(x_k+h_k) - |x|^{p-2}x_k \right| = \\ & = \left| \int_0^1 |x+th|^{p-2} h_k + (p-2)(x_k+th_k)|x+th|^{p-4}(x+th) \cdot h \, dt \right| \\ & \leq \int_0^1 |x+th|^{p-2} |h| \, dt + (2-p) \int_0^1 |x+th|^{p-2} |h| \, dt \\ & \leq 3-p. \end{aligned}$$

This yields (4) and the lemma follows.  $\square$

The following result is a variant of the Brezis-Lieb Lemma [5]. The case  $p \geq 2$  is due to Alves [1].

**Lemma 3.2.** *Let  $p > 1$  and define  $A : \mathbb{R}^N \rightarrow \mathbb{R}^N$  by  $A(y) := |y|^{p-2}y$ . Let  $\{u_n\}_n \in L^p(\Omega, \mu; \mathbb{R}^N)$  be such that  $u_n \rightarrow u$   $\mu$ -a.e. on  $\Omega$  and  $\sup_n \|u_n\|_p < \infty$ . Then,*

$$\lim_{n \rightarrow \infty} \int_{\Omega} |A(u_n) - A(u_n - u) - A(u)|^{\frac{p}{p-1}} d\mu = 0$$

*Proof.* The case  $p \geq 2$  is treated in [1]. We assume that  $1 < p < 2$ . Since

$$c := \sup_{\substack{h \neq 0 \\ x \in \mathbb{R}^N}} \left| \frac{|x+h|^{p-2}(x+h) - |x|^{p-2}x}{|h|^{p-1}} \right| < \infty$$

by the previous lemma, the statement follows from the dominated convergence theorem.  $\square$

Define

$$T := \begin{cases} s & \text{if } |s| \leq 1 \\ \frac{s}{|s|} & \text{if } |s| > 1. \end{cases}$$

**Theorem 3.3.** *Let  $(\Omega_k)$  be a sequence of open subsets of  $\Omega$  such that  $\Omega_k \subset \Omega_{k+1}$  and  $\bigcup_{k=1}^{\infty} \Omega_k = \Omega$ . Let  $p > 1$ ,  $\{v_n\}_n \subset W^{1,p}(\Omega)$  be such that  $v_n \rightarrow v$  in  $W^{1,p}(\Omega)$  and, for every  $k$ ,*

$$\lim_{n \rightarrow \infty} \int_{\Omega_k} (|\nabla v_n|^{p-2} \nabla v_n - |\nabla v|^{p-2} \nabla v) \cdot \nabla T(v_n - v) dx = 0.$$

*Then*

- (a)  $\nabla v_n \rightarrow \nabla v$  a.e. on  $\Omega$ , passing if necessary to a subsequence,
- (b)  $\lim_{n \rightarrow \infty} (\|\nabla v_n\|_{L^p}^p - \|\nabla(v_n - v)\|_{L^p}^p) = \|\nabla v\|_{L^p}^p$
- (c)  $|\nabla v_n|^{p-2} \nabla v_n - |\nabla(v_n - v)|^{p-2} \nabla(v_n - v) \rightarrow |\nabla v|^{p-2} \nabla v$  in  $L^{p/(p-1)}(\Omega)$ .

*If  $\Omega = \mathbb{R}^N$ , the statements continue to hold replacing  $W^{1,p}(\Omega)$  by  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ .*

*Proof.* By [11],  $\nabla v_n \rightarrow \nabla v$  a.e. on  $\Omega_k$  passing to a subsequence. It suffices then to use the Cantor diagonal argument. This proves (a). Statement (b) follows from the Brezis-Lieb Lemma ([5]). Statement (c) follows from Lemma 3.2 with  $u_n := \nabla v_n$ .  $\square$

We need the following lemma, which is a remark on the Brezis-Lieb Lemma.

**Lemma 3.4.** *Let  $p > 0$  and  $\{u_n\}_n \subset L^p(\Omega, \mu)$  be such that*

- (a)  $\lim_{n \rightarrow \infty} u_n \rightarrow u$   $\mu$ -a.e. on  $\Omega$ ,
- (b)  $\int_{\Omega} |(u_n)_-|^p d\mu \rightarrow 0, \quad n \rightarrow \infty,$
- (c)  $\sup_n \int_{\Omega} |u_n|^p d\mu < \infty.$

Then  $u \geq 0$ ,

$$\int_{\Omega} |u|^p d\mu < \infty, \tag{5}$$

$$\int_{\Omega} |(u_n - u)_-|^p d\mu \rightarrow 0 \tag{6}$$

and

$$\lim_{n \rightarrow \infty} \left( \int_{\Omega} |u_n|^p d\mu - \int_{\Omega} |(u_n - u)_+|^p d\mu \right) = \int_{\Omega} (u)^p d\mu. \tag{7}$$

*Proof.* Fatou's Lemma yields (5), while (7) follows by (6), (a) and (c) using the Brezis-Lieb Lemma. To prove (6) observe that, by (b) there exists a function  $g \in L^p(\Omega, \mu)$  such that, passing if necessary to a subsequence,

$$|(u_n)_-(x)| \leq g(x), \quad \mu - \text{a.e. on } \Omega.$$

We have

$$|(u_n - u)_- - (u_n)_-| \leq |u|.$$

It follows that

$$0 \leq (u_n - u)_- \leq u + g \quad \mu - \text{a.e. on } \Omega.$$

Hence (6) follows from the dominated convergence Theorem, using (a) and (5). Since the subsequence is arbitrary, the proof is complete.  $\square$

**Lemma 3.5.** *Let us assume (A), (B) and*

$$\begin{aligned} u_n &\rightharpoonup u \quad \text{in } W_0^{1,p}(\Omega), \\ u_n &\rightarrow u \quad \text{a.e. on } \Omega, \\ \|(u_n)_-\|_{L^{p^*}(\Omega)} &\rightarrow 0 \\ \phi(u_n) &\rightarrow c \\ \phi'(u_n) &\rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

*Then, passing if necessary to a subsequence,  $\nabla u_n \rightarrow \nabla u$  a.e. on  $\Omega$  and  $\phi'(u) = 0$ . Moreover  $v_n := u_n - u$  is such that*

$$\begin{aligned} i) \quad &\lim_{n \rightarrow \infty} (||u_n||^p - ||v_n||^p) = ||u||^p, \\ ii) \quad &\phi_{\infty}(v_n) \rightarrow c - \phi(u), \\ iii) \quad &\phi'_{\infty}(v_n) \rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

*Proof.* Since  $T$  is bounded,  $\int_{\Omega} |T(u_n - u)|^q dx \rightarrow 0$ , for all  $q > 1$ . Moreover  $T(u_n - u) \rightharpoonup 0$  in  $W_0^{1,p}(\Omega)$ . Hence we have

$$\begin{aligned} &\int_{\Omega} (|\nabla u_n|^{p-2} \nabla u_n - |\nabla u|^{p-2} \nabla u) \cdot \nabla T(u_n - u) dx \\ &= \langle \phi'(u_n), T(u_n - u) \rangle - \int_{\Omega} |\nabla u|^{p-2} \nabla u \cdot \nabla T(u_n - u) dx \\ &\quad - \int_{\Omega} (a(x)|u_n|^{p-2} u_n - \mu|u_n|^{p^*-2} u_n) T(u_n - u) dx \rightarrow 0. \end{aligned}$$

Statement *i*) follows from Theorem 3.2 (b) and, passing if necessary to a subsequence,  $\nabla u_n \rightarrow \nabla u$  a.e. on  $\Omega$ . Now notice that  $a(\cdot) \in (L^{N/(N-p)}(\Omega))'$ ,  $\{||v_n||^p\}_n$  is

bounded in  $L^{N/(N-p)}(\Omega)$  and  $v_n \rightarrow 0$  a.e. on  $\Omega$ . It follows from Proposition 20.6 in [32] that

$$\int_{\Omega} a(x)|v_n|^p = o(1).$$

Therefore, by Lemma 3.4 we have *ii*) :

$$\begin{aligned} \phi_{\infty}(v_n) &= \phi(v_n) + o(1) \\ &= \phi(u_n) - \phi(u) + o(1) \\ &= c - \phi(u) + o(1). \end{aligned}$$

Since  $u_n \rightharpoonup u$  in  $W_0^{1,p}(\Omega)$  and  $\phi'(u_n) \rightarrow 0$  in  $W^{-1,p'}(\Omega)$ , it is easy to verify that  $\phi'(u) = 0$ . Finally, Lemma 3.2 yields *iii*) :

$$\begin{aligned} \phi'_{\infty}(v_n) &= \phi'(v_n) + o(1) \\ &= \phi'(u_n) - \phi'(u) + o(1) \\ &= o(1). \end{aligned}$$

□

**Lemma 3.6.** *Under assumptions (A) and (B), let  $\{y_n\}_n \subset \Omega$  and  $\{\lambda_n\}_n \subset ]0, \infty[$  be such that*

$$\frac{1}{\lambda_n} \text{dist}(y_n, \partial\Omega) \rightarrow \infty. \tag{8}$$

*Assume that the sequence  $\{u_n\}_n \subset \mathcal{D}^{1,p}(\mathbb{R}^N)$  and the sequence*

$$v_n(x) := \lambda_n^{(N-p)/p} u_n(\lambda_n x + y_n)$$

*are such that*

$$\begin{aligned} v_n &\rightharpoonup v \quad \text{in } \mathcal{D}^{1,p}(\mathbb{R}^N), \\ v_n &\rightarrow v \quad \text{a.e. on } \mathbb{R}^N, \\ \|(u_n)_{-}\|_{L^{p^*}(\Omega)} &\rightarrow 0, \\ \phi_{\infty}(u_n) &\rightarrow c, \\ \phi'_{\infty}(u_n) &\rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

*Then, passing to a subsequence,  $\nabla v_n \rightarrow \nabla v$  a.e. on  $\mathbb{R}^N$  and  $\phi'_{\infty}(v) = 0$ . Moreover, the sequence*

$$w_n(z) := u_n(z) - (\lambda_n)^{(p-N)/p} v((z - y_n)/\lambda_n)$$

*satisfies*

$$\begin{aligned} i) \quad & \lim_{n \rightarrow \infty} (||u_n||^p - ||w_n||^p) = ||v||^p, \\ ii) \quad & \phi_{\infty}(w_n) \rightarrow c - \phi_{\infty}(v), \\ iii) \quad & \phi'_{\infty}(w_n) \rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

*Proof.* 1) We first prove the existence of a subsequence on  $\nabla v_n$  converging a.e. on  $\mathbb{R}^N$ .

For  $h \in \mathcal{D}(\mathbb{R}^N)$ , we define

$$h_n(z) = \lambda_n^{(p-N)/p} h((z - y_n)/\lambda_n).$$

Let us also define  $B_k := B(0, k)$ . For every  $n$  large enough, if  $h \in \mathcal{D}(B_k)$  then, by assumption (8),  $h_n \in \mathcal{D}(\Omega)$  and

$$|\langle \phi'_{\infty}(v_n), h \rangle| = |\langle \phi'_{\infty}(u_n), h_n \rangle| \leq ||\phi'_{\infty}(u_n)|| ||h_n|| = ||\phi'_{\infty}(u_n)|| ||h||.$$

Hence  $\phi'_\infty(v_n) \rightarrow 0$  in  $W^{-1,p'}(B_k)$ . Let  $\rho \in \mathcal{D}(\mathbb{R}^N)$  be such that  $0 \leq \rho \leq 1$  and

$$\begin{aligned} \rho(x) &= 1, & |x| \leq k, \\ &= 0, & |x| \geq k+1. \end{aligned}$$

Consider the vector field

$$f_n = |\nabla v_n|^{p-2} \nabla v_n - |\nabla v|^{p-2} \nabla v.$$

We have

$$\left| \int_{B_k} f_n \cdot \nabla T(v_n - v) dx \right| \leq \left| \int_{\mathbb{R}^N} f_n \cdot \nabla [\rho T(v_n - v)] dx \right| + \left| \int_{\mathbb{R}^N} T(v_n - v) f_n \cdot \nabla \rho dx \right|.$$

Since  $T$  is bounded, it is clear that

$$\int_{\mathbb{R}^N} T(v_n - v) f_n \cdot \nabla \rho dx \rightarrow 0, \quad n \rightarrow \infty.$$

Moreover

$$\begin{aligned} \int_{\mathbb{R}^N} f_n \cdot \nabla [\rho T(v_n - v)] dx &= \langle \phi'_\infty(v_n), \rho T(v_n - v) \rangle \\ &+ \mu \int_{\mathbb{R}^N} |v_n|^{p^*-2} v_n \rho T(v_n - v) dx \\ &+ \int_{\mathbb{R}^N} |\nabla v|^{p-2} \nabla v \cdot \nabla [\rho T(v_n - v)] dx \end{aligned}$$

so that

$$\int_{\mathbb{R}^N} f_n \cdot \nabla [\rho T(v_n - v)] dx \rightarrow 0, \quad n \rightarrow \infty.$$

Finally,

$$\int_{B_k} f_n \cdot \nabla T(v_n - v) dx \rightarrow 0, \quad n \rightarrow \infty$$

and it suffices to use Theorem 3.2.

2) Using also Theorem 3.2, we obtain

$$\lim_{n \rightarrow \infty} (||v_n||^p - ||v_n - v||^p) = ||v||^p$$

or

$$\lim_{n \rightarrow \infty} (||u_n||^p - ||w_n||^p) = ||v||^p.$$

It follows then from the Brezis-Lieb Lemma that

$$\begin{aligned} \phi_\infty(w_n) &= \phi_\infty(v_n - v) \\ &= \phi_\infty(v_n) - \phi_\infty(v) + o(1) \\ &= \phi_\infty(u_n) - \phi_\infty(v) + o(1) \\ &= c - \phi_\infty(v) + o(1). \end{aligned}$$

3) Since, for every  $h \in \mathcal{D}(\mathbb{R}^N)$ ,

$$\langle \phi'_\infty(v_n), h \rangle \rightarrow 0, \quad \langle \phi'_\infty(v_n), h \rangle \rightarrow \langle \phi'_\infty(v), h \rangle,$$

it is clear that  $\phi'_\infty(v) = 0$ .

4) For  $g \in \mathcal{D}(\Omega)$ , we define

$$g_n(x) = \lambda_n^{(N-p)/p} g(\lambda_n x + y_n).$$

By combining Lemma 3.1 and Theorem 3.2, we obtain, uniformly for  $g \in \mathcal{D}(\Omega)$ ,  $\|g\| = 1$ ,

$$\begin{aligned} \langle \phi'_\infty(u_n), g \rangle &= \langle \phi'_\infty(v_n - v), g_n \rangle \\ &= \langle \phi'_\infty(v_n), g_n \rangle - \langle \phi'_\infty(v), g_n \rangle + o(1) \\ &= \langle \phi'_\infty(u_n), g \rangle + o(1) = o(1). \end{aligned}$$

□

**4. Proof of Theorem 1.2.** For sake of clarity we divide the proof in 5 steps.

1) The sequence  $\{u_n\}_n$  is bounded in  $W_0^{1,p}(\Omega)$  (see [31] p. 15). Hence, passing if necessary to a subsequence, we can assume that  $u_n \rightharpoonup v_0$  in  $W_0^{1,p}(\Omega)$  and  $u_n \rightarrow v_0$  a.e. on  $\Omega$ . By Lemma 3.5, it follows that  $\phi'(v_0) = 0$  and  $u_n^1 := u_n - v_0$  is such that

$$\begin{aligned} i) \quad &\|u_n^1\|^p = \|u_n\|^p - \|v_0\|^p + o(1), \\ ii) \quad &\phi_\infty(u_n^1) \rightarrow c - \phi(v_0), \\ iii) \quad &\phi'_\infty(u_n^1) \rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

2) If  $u_n^1 \rightarrow 0$  in  $L^{p^*}(\Omega)$ , since  $\phi'_\infty(u_n^1) \rightarrow 0$  in  $W_0^{1,p}(\Omega)$ , we have that  $u_n^1 \rightarrow 0$  in  $W_0^{1,p}(\Omega)$  and the proof is complete. Otherwise we can assume that

$$\int_\Omega |u_n^1|^{p^*} dx > \delta$$

for some  $0 < \delta < (S_p/2\mu)^{N/p}$ . Introducing the Levy concentration function

$$Q_n(r) := \sup_{y \in \mathbb{R}^N} \int_{B(y,r)} |u_n^1|^{p^*} dx,$$

since  $Q_n(0) = 0$  and  $Q_n(\infty) > \delta$ , there exists a sequence  $\{\lambda_n^1\}_n \subset ]0, \infty[$  and a sequence  $\{y_n^1\}_n \subset \Omega$  such that

$$\delta = \sup_{y \in \mathbb{R}^N} \int_{B(y, \lambda_n^1)} |u_n^1|^{p^*} dx = \int_{B(y_n^1, \lambda_n^1)} |u_n^1|^{p^*} dx.$$

We define on

$$\Omega_n := \frac{1}{\lambda_n^1}(\Omega - y_n^1)$$

the sequence  $v_n^1(x) := (\lambda_n^1)^{(N-p)/p} u_n^1(\lambda_n^1 x + y_n^1)$ . We can assume that  $v_n^1 \rightharpoonup v_1$  in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$  and  $v_n^1 \rightarrow v_1$  a.e. on  $\mathbb{R}^N$ . Observe also that

$$\delta = \sup_{y \in \mathbb{R}^N} \int_{B(y,1)} |v_n^1|^{p^*} dx = \int_{B(0,1)} |v_n^1|^{p^*} dx. \tag{9}$$

3) We claim that  $v_1 \neq 0$ . Indeed let  $f_n := (f_n^1, \dots, f_n^N) \in (L^{p'}(\Omega))^N$  defined by the representation

$$(\phi'_\infty(u_n^1), h) = \sum_{i=1}^N \int_\Omega f_n^i \partial_i h dx, \quad \forall h \in W_0^{1,p}(\Omega).$$

Define  $g_n := (\lambda_n^1)^{(N-p)/p} f_n(\lambda_n^1 x + y_n^1)$ . It is clear that

$$(\phi'_\infty(v_n^1), h) = \sum_{i=1}^N \int_{\Omega_n} g_n^i \partial_i h dx, \quad \forall h \in W_0^{1,p}(\Omega)$$

and, since  $\phi'_\infty(u_n^1) \rightarrow 0$ ,

$$\sum_{i=1}^N \int_{\Omega_n} |g_n^i|^{p'} dx = \sum_{i=1}^N \int_{\Omega} |f_n^i|^{p'} dx = o(1).$$

Suppose, by contradiction, that  $v_1 = 0$ . Then we can assume that  $v_n^1 \rightarrow 0$  in  $L_{loc}^p(\mathbb{R}^N)$ . Take  $h \in \mathcal{D}(\mathbb{R}^N)$  such that  $\text{supp } h \subset B(y, 1)$  for some  $y \in \mathbb{R}^N$ . From the Hölder inequality, it follows that

$$\int |h|^p |v_n^1|^{p^*} \leq S_p^{-1} \left( \int_{\text{supp } h} |v_n^1|^{p^*} \right)^{p/N} \left( \int |h v_n^1|^{p^*} \right)^{(N-p)/N}$$

and from the Sobolev inequality

$$\int |h|^p |v_n^1|^{p^*} \leq S_p^{-1} \left( \int_{\text{supp } h} |v_n^1|^{p^*} \right)^{p/N} \int |\nabla(h v_n^1)|^p.$$

Hence, since  $v_n^1 \rightarrow 0$  in  $L_{loc}^p(\mathbb{R}^N)$ , we have

$$\begin{aligned} \int_{\Omega_n} |\nabla(h v_n^1)|^p &= \int |h|^p |\nabla v_n^1|^p + o(1) \\ &= \int |\nabla v_n^1|^{p-2} \nabla v_n^1 \nabla(|h|^p v_n^1) + o(1) \\ &= \mu \int |h|^p |v_n^1|^{p^*} + \sum_{i=1}^N \int_{\Omega_n} g_n^i \partial_i (|h|^p v_n^1) + o(1) \\ &\leq \mu S_p^{-1} \delta^{p/N} \int |\nabla(h v_n^1)|^p + o(1) \\ &\leq \frac{1}{2} \int |\nabla(h v_n^1)|^p + o(1) \end{aligned}$$

As a consequence we have that  $\nabla v_n^1 \rightarrow 0$  in  $L_{loc}^p(\mathbb{R}^N)$  and by the Sobolev inequality we have that  $v_n^1 \rightarrow 0$  in  $L_{loc}^{p^*}(\mathbb{R}^N)$ . Because of (9), this is a contradiction. Hence  $v_1 \neq 0$ .

4) Since  $\Omega$  is bounded we may assume  $y_n^1 \rightarrow y_0^1 \in \bar{\Omega}$  and  $\lambda_n^1 \rightarrow \lambda_0^1 \geq 0$ . If  $\lambda_0^1 > 0$  then, as a consequence of the fact that  $u_n^1 \rightarrow 0$  in  $W_0^{1,p}(\Omega)$ , we have  $v_n^1 \rightarrow 0$  in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$  and this is impossible. If  $\lambda_n^1 \rightarrow 0$  and

$$\liminf_{n \rightarrow \infty} \frac{1}{\lambda_n^1} \text{dist}(y_n^1, \partial\Omega) < \infty,$$

then we also get a contradiction. Indeed, we have necessarily  $y_0^1 \in \partial\Omega$ . As in Lemma 3.6 it is easy to see that  $v_1$ , which is nonnegative, satisfies

$$\begin{aligned} -\Delta_p u &= \mu u^{p^*-1} \quad \text{in } H, \\ u &= 0 \quad \text{on } \partial H, \end{aligned}$$

where  $H$  is a halfspace. By Theorem 1.1 we have that  $v_1 \equiv 0$ . It follows that, for some subsequence,

$$\frac{1}{\lambda_n^1} \text{dist}(y_n^1, \partial\Omega) \rightarrow \infty, \quad \lambda_n^1 \rightarrow 0.$$

By Step 1 and Lemma 3.6 we have that  $\phi'_\infty(v_1) = 0$  and by the strong maximum principle  $v_1 > 0$ . The sequence

$$u_n^2(x) := u_n^1(x) - (\lambda_n^1)^{(p-N)/p} v_1((x - y_n^1)/\lambda_n^1)$$

satisfies

$$\begin{aligned} \|u_n^2\|^p &= \|u_n\|^p - \|v_0\|^p - \|v_1\|^p + o(1), \\ \phi_\infty(u_n^2) &\rightarrow c - \phi(v_0) - \phi_\infty(v_1), \\ \phi'_\infty(u_n^2) &\rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega). \end{aligned}$$

5) Since for any nontrivial critical point  $u$  of  $\phi_\infty$  we have

$$S_p \|u\|_{L^{p^*}(\mathbb{R}^N)}^p \leq \|u\|^p = \mu \|u\|_{L^{p^*}(\mathbb{R}^N)}^{p^*},$$

we get

$$\phi_\infty(u) \geq c^* := \frac{\mu}{N} \left( \frac{S_p}{\mu} \right)^{N/p}.$$

As a consequence the above procedure iterates constructing sequences  $\{v^i\}$   $\{\lambda_n^i\}$   $\{v_n^i\}$ . But, since  $\phi_\infty(v_i) \geq c^*$ , only a finite number of iterations is allowed and this concludes the proof.

**5. Variants and open problems.** The result obtained in the previous sections is an extension to the p-Laplacian operator of the Struwe result, for PS sequences with negative part such that  $\|(u_n)_-\|_{L^{p^*}(\Omega)} \rightarrow 0$ . This hypothesis is satisfied for a large class of problems

$$\begin{cases} -\Delta_p u + au^{p-1} = \mu u^{p^*-1} & \text{in } \Omega, \\ u \geq 0 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

Indeed, one can construct PS sequences which are nearby the positive cone  $P$  in  $W_0^{1,p}(\Omega)$ . More precisely, define

$$P := \{u \in W_0^{1,p}(\Omega) : u \geq 0\}$$

and let

$$P_n := \{u \in W_0^{1,p}(\Omega) : \text{dist}(u, P) < 1/n\}$$

be a  $1/n$ - neighbourhood of  $P$  in  $W_0^{1,p}(\Omega)$ . By Lemma 2.3 in [31], under suitable assumptions on  $a$ , it is possible to select a PS sequence for  $\phi$  such that  $\{u_n\}_n \subset P_n$ . As a consequence there exists a sequence  $\{y_n\}_n \subset P$  such that

$$\|y_n - u_n\| \rightarrow 0$$

and, by the Sobolev inequality

$$\|y_n - u_n\|_{L^{p^*}(\Omega)} \rightarrow 0.$$

Hence,

$$\begin{aligned} \|(u_n)_-\|_{L^{p^*}(\Omega)} &= \|(u_n)_- - (y_n)_-\|_{L^{p^*}(\Omega)} \\ &\leq \|u_n - y_n\|_{L^{p^*}(\Omega)} \rightarrow 0, \quad n \rightarrow \infty. \end{aligned}$$

For the study of sign changing solutions for the problem

$$\begin{aligned} -\Delta_p u + a|u|^{p-2}u &= \mu|u|^{p^*-2}u \quad \text{in } \Omega, \\ u &= 0 \quad \text{on } \partial\Omega, \end{aligned}$$

the above argument is not suitable anymore. The main problem is to generalize Theorem 1.1 to sign changing solutions. Let  $1 < p < N$ . The existence of a non-zero solution of

$$\begin{cases} -\Delta_p u = \mu|u|^{p^*-2}u & \text{in } \mathbb{R}_+^N \\ u \in \mathcal{D}_0^{1,p}(\mathbb{R}_+^N), \end{cases}$$

seems an open problem when  $p \neq 2$ . When  $p = 2$ , 0 is the only solution because of the unique continuation principle and Lemma 2.3.

Similarly, the existence of a non-radial solution of

$$\begin{cases} -\Delta_p u = \mu|u|^{p^*-2}u & \text{in } B(0, 1) \subset \mathbb{R}^N, \\ u \in W_0^{1,p}(B(0, 1)), \end{cases}$$

seems also an open problem when  $p \neq 2$ . For  $p = 2$ , 0 is the only solution by the unique continuation principle. When  $\frac{2N}{N+2} \leq p \leq 2$ , 0 is the only radial solution. Indeed, define  $u(x) := v(|x|)$ , where  $v$  satisfies on  $]0, 1[$ ,

$$-(r^{N-1}|v'|^{p-2}v')' = \mu r^{N-1}|v|^{p^*-2}v.$$

Let us define

$$w := r^{N-1}|v'|^{p-2}v'$$

so that we obtain the system

$$\begin{cases} w' &= -\mu r^{N-1}|v|^{p^*-2}v \\ v' &= r^{(1-N)/(p'-1)}|w|^{p'-2}w, \end{cases}$$

where  $p'$  is the usual conjugate exponent of  $p$ . Since  $v(1) = 0$  and, by the Pohozaev identity [[14], Theorem 1.1],

$$w(1) = |v'(1)|^{p-2}v'(1) = 0,$$

we obtain  $v \equiv 0$ . We use the fact that

$$\begin{cases} p' \geq 2, & \text{since } p \leq 2 \\ p^* \geq 2, & \text{since } p \geq \frac{2N}{N+2}. \end{cases}$$

The above argument was suggested to us by J. Mawhin [17].

**5.1. The radial case.** We assume now that

**(C)**  $\Omega$  is the unit ball in  $\mathbb{R}^N$  and  $a$  is a radial function.

We denote by  $W_{0,\text{rad}}^{1,p}(\Omega)$  (resp.  $\mathcal{D}_{\text{rad}}^{1,p}(\mathbb{R}^N)$ ) the space of radial functions in  $W_0^{1,p}(\Omega)$  (resp.  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ ).

We define on  $\mathcal{D}^{1,p}(\mathbb{R}^N)$

$$\tilde{\phi}_\infty(u) = \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{p} - \mu \frac{|u|^{p^*}}{p^*} dx.$$

**Theorem 5.1.** *Under assumptions (A), (B) and (C), let  $\{u_n\}_n$  be a sequence in  $W_{0,\text{rad}}^{1,p}(\Omega)$  such that*

$$\phi(u_n) \rightarrow c \quad \phi'(u_n) \rightarrow 0 \quad \text{in } (W_{0,\text{rad}}^{1,p}(\Omega))'$$

*Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in W_{0,\text{rad}}^{1,p}(\Omega)$  of*

$$-\Delta_p u + a|u|^{p-2}u = \mu|u|^{p^*-2}u,$$

*a finite sequence  $\{v_1, \dots, v_k\} \subset \mathcal{D}_{\text{rad}}^{1,p}(\mathbb{R}^N)$  of solutions of*

$$-\Delta_p u = \mu|u|^{p^*-2}u \quad \text{on } \mathbb{R}^N$$

*and  $k$  sequences  $\{\lambda_n^i\}_n \subset \mathbb{R}_+$ , satisfying  $\lambda_n^i \rightarrow 0, n \rightarrow \infty$ , and*

$$\|u_n - v_0 - \sum_{i=1}^k (\lambda_n^i)^{(p-N)/p} v_i(\cdot/\lambda_n^i)\| \rightarrow 0,$$

$$\|u_n\|^p \rightarrow \sum_{i=0}^k \|v_i\|^p,$$

$$\phi(v_0) + \sum_{i=1}^k \tilde{\phi}_\infty(v_i) = c.$$

*Proof.* Dropping the hypothesis  $\|(u_n)_-\|_{L^{p^*}(\Omega)} \rightarrow 0$ , and the subscript  $+$  to  $\phi_\infty$ , Lemma 3.5 and Lemma 3.6 continue to hold. The proof is the same, simply replacing Lemma 3.4 by the Brezis-Lieb Lemma.

We follow step 1) of the proof of Theorem 1.2 and we assume that

$$\int_\Omega |u_n^1|^{p^*} dx > \delta.$$

There exists a sequence  $\{\lambda_n^1\}_n \subset ]0, \infty[$  such that

$$\delta = \int_{B(0, \lambda_n^1)} |u_n^1|^{p^*} dx.$$

We assume that  $v_n^1(x) := (\lambda_n^1)^{(N-p)/p} u_n^1(\lambda_n^1 x)$  converges weakly to  $v_1$  in  $\mathcal{D}_{\text{rad}}^{1,p}(\mathbb{R}^N)$  and a.e. on  $\mathbb{R}^N$ . Using the fact that  $v_n^1 \rightarrow v_1$  in  $L_{\text{loc}}^{p^*}(\mathbb{R}^N \setminus \{0\})$  (see e.g. [16]), it is easy to verify, as in step 3) of the proof of Theorem 1.2, that  $v_1 \neq 0$ . It is then easy to adapt the end of the proof of Theorem 1.2. □

**5.2. The case  $\Omega = \mathbb{R}^N$ .** We assume that

**(D)**  $1 < p < N, \mu > 0$  and  $a \in L^{N/p}(\mathbb{R}^N)$  is such that

$$\inf_{\substack{u \in \mathcal{D}^{1,p}(\mathbb{R}^N) \\ \|\nabla u\|_{L^p} = 1}} \int_{\mathbb{R}^N} |\nabla u|^p + a|u|^p dx > 0.$$

We define on  $\mathcal{D}^{1,p}(\mathbb{R}^N)$

$$\phi(u) = \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{p} + a \frac{|u|^p}{p} - \mu \frac{|u|^{p^*}}{p^*} dx$$

and

$$\tilde{\phi}_\infty(u) := \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{p} - \mu \frac{|u|^{p^*}}{p^*} dx$$

The next result is due to Benci and Cerami [3] when  $p = 2$  and to Alves [1] when  $p > 2$ .

**Theorem 5.2.** *Under assumption (D), let  $\{u_n\}_n$  be a sequence in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$  such that*

$$\phi(u_n) \rightarrow c \quad \phi'(u_n) \rightarrow 0 \quad \text{in } (\mathcal{D}^{1,p}(\mathbb{R}^N))'$$

*Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in \mathcal{D}^{1,p}(\mathbb{R}^N)$  of*

$$-\Delta_p u + a|u|^{p-2}u = \mu|u|^{p^*-2}u$$

*and a finite sequence  $\{v_1, \dots, v_k\} \subset \mathcal{D}^{1,p}(\mathbb{R}^N)$  of nontrivial solutions of*

$$-\Delta_p u = \mu|u|^{p^*-2}u \quad \text{on } \mathbb{R}^N$$

*and  $k$  sequences  $\{y_n^i\}_n \subset \mathbb{R}^N$  and  $\{\lambda_n^i\}_n \subset \mathbb{R}_+$ , satisfying*

$$\|u_n - v_0 - \sum_{i=1}^k (\lambda_n^i)^{(p-N)/p} v_i((\cdot - y_n^i)/\lambda_n^i)\| \rightarrow 0, \quad n \rightarrow \infty,$$

$$\|u_n\|^p \rightarrow \sum_{i=0}^k \|v_i\|^p, \quad n \rightarrow \infty,$$

$$\phi(v_0) + \sum_{i=1}^k \tilde{\phi}_\infty(v_i) = c.$$

*If  $y_n^i \rightarrow y^i$ , then  $\lambda_n^i \rightarrow 0$  or  $\lambda_n^i \rightarrow \infty$ , as  $n \rightarrow \infty$ .*

The proof is an easy adaptation of the proof of Theorem 1.2.

**Remark 5.3.** *If  $k \geq 1$  and  $(u_n)_- \rightarrow 0$  a.e., by the strong maximum principle  $v_i > 0$  on  $\mathbb{R}^N$ , for  $1 \leq i \leq k$ . This is the case when  $\{u_n\}_n$  is nearby the positive cone in  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ .*

Now we assume that

**(E)**  $1 < p < N$ ,  $\mu > 0$  and  $a \in L^{N/p}(\mathbb{R}^N)$  radial is such that

$$\inf_{\substack{u \in \mathcal{D}_{rad}^{1,p}(\mathbb{R}^N) \\ \|\nabla u\|_{L^p} = 1}} \int_{\mathbb{R}^N} |\nabla u|^p + a|u|^p dx > 0.$$

Let us define  $\phi$  and  $\tilde{\phi}_\infty$  on  $\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  as in Theorem 5.2.

**Theorem 5.4.** *Under assumption (E), let  $\{u_n\}_n$  be a sequence in  $\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  such that*

$$\phi(u_n) \rightarrow c \quad \phi'(u_n) \rightarrow 0 \quad \text{in } (\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N))'$$

*Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in \mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  of*

$$-\Delta_p u + a|u|^{p-2}u = \mu|u|^{p^*-2}u$$

*and a finite sequence  $\{v_1, \dots, v_k\} \subset \mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  of nontrivial solutions of*

$$-\Delta_p u = \mu |u|^{p^*-2} u \quad \text{on } \mathbb{R}^N$$

and  $k$  sequences  $\{\lambda_n^i\}_n \subset \mathbb{R}_+$ , such that  $\lambda_n^i \rightarrow 0$  or  $\lambda_n^i \rightarrow \infty$  satisfying

$$\|u_n - v_0 - \sum_{i=1}^k (\lambda_n^i)^{(p-N)/p} v_i(\cdot/\lambda_n^i)\| \rightarrow 0, \quad n \rightarrow \infty,$$

$$\|u_n\|^p \rightarrow \sum_{i=0}^k \|v_i\|^p, \quad n \rightarrow \infty,$$

$$\phi(v_0) + \sum_{i=1}^k \tilde{\phi}_\infty(v_i) = c.$$

The proof is an easy adaptation of the proof of Theorem 5.1.

**5.3. Exterior domains.** In this section we extend to the  $p$ -Laplacian a result obtained in 1987 by Benci and Cerami in the case of the Laplacian (see [2]).

We assume that

**(F)**  $\Omega$  is a smooth domain of  $\mathbb{R}^N$  with bounded complement,  $\mu > 0$ ,  $1 < p < N$ ,  $p < q < p^*$ ,  $a \in C(\Omega)$ ,  $\lim_{|x| \rightarrow \infty} a(x) = 1$ ,  $\inf_\Omega a > 0$ .

The norm on  $W_0^{1,p}(\Omega)$  is given by

$$\|u\|_{W^{1,p}} = (\|u\|_{L^p}^p + \|\nabla u\|_{L^p}^p)^{1/p}.$$

We define  $\psi$  on  $W_0^{1,p}(\Omega)$  by

$$\psi(u) = \int_\Omega \frac{|\nabla u|^p}{p} + a \frac{|u|^p}{p} - \mu \frac{|u|^q}{q} dx.$$

We define also  $\psi_\infty$  on  $W^{1,p}(\mathbb{R}^N)$  by

$$\psi_\infty(u) = \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{p} + \frac{|u|^p}{p} - \mu \frac{|u|^q}{q} dx.$$

**Theorem 5.5.** Under assumption **(F)**, let  $\{u_n\}_n \subset W_0^{1,p}(\Omega)$  such that

$$\psi(u_n) \rightarrow c \quad \psi'(u_n) \rightarrow 0 \quad \text{in } W^{-1,p'}(\Omega).$$

Then, passing if necessary to a subsequence, there exists a solution  $v_0 \in W_0^{1,p}(\Omega)$  of

$$-\Delta_p u + a|u|^{p-2}u = \mu|u|^{q-2}u \quad \text{in } \Omega,$$

a finite sequence  $\{v_1, \dots, v_k\} \subset W^{1,p}(\mathbb{R}^N)$  of solutions of

$$-\Delta_p u + |u|^{p-2}u = \mu|u|^{q-2}u \quad \text{in } \mathbb{R}^N,$$

and  $k$  sequences  $\{y_n^i\}_n$  satisfying

$$|y_n^i| \rightarrow \infty, \quad |y_n^i - y_n^{i'}| \rightarrow \infty, \quad \forall i \neq i', \quad n \rightarrow \infty,$$

$$\|u_n - v_0 - \sum_{i=1}^k v_i(\cdot - y_n^i)\|_{W^{1,p}} \rightarrow 0, \quad n \rightarrow \infty,$$

$$\|u_n\|_{W^{1,p}}^p \rightarrow \sum_{i=0}^k \|v_i\|_{W^{1,p}}^p, \quad n \rightarrow \infty,$$

$$\psi(v_0) + \sum_{i=1}^k \psi_\infty(v_i) = c.$$

The proof is an adaptation using Lemma 3.1 and Theorem 3.2 of the proof given in [[31], p. 120] for the case  $p = 2$ .

**Remark 5.6.** *As observed before, if  $k \geq 1$  and  $(u_n)_- \rightarrow 0$  a.e., then  $v_i > 0$  on  $\mathbb{R}^N$ , for  $1 \leq i \leq k$ . This is the case when  $\{u_n\}_n$  is nearby the positive cone in  $W^{1,p}(\Omega)$ .*

**6. A critical problem for the p-Laplacian.** In this section we extend to the p-Laplacian operator the existence results of [7], where the case  $p = 2$  has been treated. More precisely, we consider the problem

$$\begin{cases} -\Delta_p u + \frac{f(|x|/\lambda)}{\lambda^p} |u|^{p-2} u = |u|^{p^*-2} u & \text{in } B \\ u \geq 0 & \text{in } B \\ u = 0 & \text{on } \partial B \end{cases} \tag{10}$$

where  $B$  is the unit ball in  $\mathbb{R}^N$  and  $1 < p < N$ .

We assume, similarly as in [7], that

$$f : [0, \infty) \rightarrow [0, \infty) \text{ is such that}$$

$$f \neq 0 \text{ on a set of positive measure, } f \in L_{loc}^{N/p}([0, \infty), s^{N-1} ds) \tag{11}$$

and

$$\lim_{\lambda \downarrow 0} \lambda^{N-p} \int_0^{1/\lambda} f(s) s^{N-1} ds = 0. \tag{12}$$

Our main result is

**Theorem 6.1.** *Assume  $f$  satisfies (11),(12). Then there exists  $\lambda_0$  such that the problem (10) admits a nontrivial radial solution in  $W_0^{1,p}(B)$ , for  $0 < \lambda < \lambda_0$ .*

The problem

$$\begin{cases} -\Delta u - \lambda u = |u|^{2^*-2} u & \text{in } B \\ u > 0 & \text{in } B \\ u = 0 & \text{on } \partial B \end{cases}$$

was solved in the classical paper [6] by H. Brezis and L. Nirenberg. When  $p = 2$ , Theorem 6.1 is due to H. Brezis and the second author. When  $p = 2$  and  $f \in L^{N/2}([0, \infty), s^{N-1} ds)$ , the existence of a positive solution was proved by D. Passaseo in [19].

We define the manifolds

$$V(B) = \{u \in W_0^{1,p}(B) : u \text{ radial, } \|u\|_{p^*} = 1\}$$

$$V(\mathbb{R}^N) = \{u \in \mathcal{D}^{1,p}(\mathbb{R}^N) : u \text{ radial, } \|u\|_{p^*} = 1\},$$

and for any  $\lambda > 0$ , the functionals

$$\varphi_\lambda(u) = \int_{\mathbb{R}^N} |\nabla u|^p + \frac{f(|x|/\lambda)}{\lambda^p} |u|^p dx, \quad \psi_\lambda(u) = \int_{\mathbb{R}^N} \frac{|x|}{\lambda + |x|} |u|^{p^*} dx.$$

It is worth pointing out that  $\varphi_\lambda$  can be unbounded although it is defined on  $\mathcal{D}^{1,p}(\mathbb{R}^N)$ .

We also define the levels

$$c(\lambda) = \inf\{\varphi_\lambda(u) : u \in V(B), \psi_\lambda(u) \geq 1/2\},$$

$$d(\lambda) = \inf\{\varphi_\lambda(u) : u \in V(B), \psi_\lambda(u) = 1/2\},$$

and

$$d = \inf\{\varphi_1(u) : u \in V(\mathbb{R}^N), \psi_1(u) = 1/2\}.$$

As in [7] we shall prove  $c(\lambda)$  to be a critical value for  $\varphi_\lambda|_{V(B)}$ , by estimating  $d(\lambda)$  and  $d$ .

The following lemmas extend Lemma 4.1 and Lemma 4.2 in [7] to the p-Laplacian operator. We define  $S_p$  to be the best Sobolev constant (see e.g. [26]):

$$S_p := \inf_{u \in V(\mathbb{R}^N)} \|\nabla u\|_{L^p(\mathbb{R}^N)}^p.$$

**Lemma 6.2.** *Under the assumptions (11), for every  $\lambda > 0$ , we have  $S_p < d \leq d(\lambda)$ .*

*Proof.* Observe that  $S_p \leq d$ . Suppose by contradiction that  $S_p = d$ . Then there exists a sequence  $\{u_n\}_n \subset V(\mathbb{R}^N)$  such that

$$\int_{\mathbb{R}^N} |\nabla u_n|^p + f(|x|)|u_n|^p dx \rightarrow S_p \quad \int_{\mathbb{R}^N} \frac{|x|}{1 + |x|} |u_n|^{p^*} dx = \frac{1}{2}, \quad \|u_n\|_{p^*} = 1.$$

Since  $f$  is nonnegative we have

$$\int_{\mathbb{R}^N} |\nabla u_n|^p \rightarrow S_p, \quad \|u_n\|_{p^*} = 1.$$

Define

$$S(u) := \int_{\mathbb{R}^N} |\nabla u_n|^p dx$$

and

$$\phi(u) := \int_{\mathbb{R}^N} \left( \frac{|\nabla u_n|^p}{p} - \frac{|u|^{p^*}}{p^*} \right) dx.$$

By the Ekeland variational principle there exists a Palais-Smale sequence for  $S|_{V(\mathbb{R}^N)}$  at the level  $S_p$ , namely there exist  $\{\beta_n\}_n \subset \mathbb{R}_+$  and  $\{u_n\}_n \in \mathcal{D}^{1,p}(\mathbb{R}^N)$  such that

$$\|u_n - \tilde{u}_n\|_{\mathcal{D}^{1,p}(\mathbb{R}^N)} \rightarrow 0$$

and

$$S(\tilde{u}_n) \rightarrow S_p \quad -\Delta_p \tilde{u}_n - \beta_n |\tilde{u}_n|^{p^*-2} \tilde{u}_n \rightarrow 0 \quad \text{in } (\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N))'.$$

Put  $v_n := \beta_n^{1/(p^*-p)} \tilde{u}_n$ , so we have

$$\beta_n \rightarrow S_p$$

and

$$\phi(v_n) \rightarrow \frac{S_p^{N/p}}{N}, \quad \phi'(v_n) \rightarrow 0.$$

We can assume, passing to a subsequence, that  $v_n \rightharpoonup v_0$  in  $\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$ . By Theorem 5.4 (for  $a \equiv 0$ ) there exist  $k$  functions  $v_1, \dots, v_k \in \mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  such that

$$-\Delta_p v_i = |v_i|^{p^*-2} v_i \quad \text{in } \mathbb{R}^N$$

for  $i = 0, 1, \dots, k$  and

$$\phi(v_n) = \phi(v_0) + \sum_{i=1}^k \phi(v_i) + o(1) = \frac{1}{N} \sum_{i=1}^k \int_{\mathbb{R}^N} |v_i|^{p^*} + o(1). \tag{13}$$

Multiplying the equation by  $(v_i)_+$  and  $(v_i)_-$ , and using the Sobolev inequality, for each  $i = 0, 1, \dots, k$  one of the following cases hold:

$$\begin{aligned} \int_{\mathbb{R}^N} |v_i|^{p^*} dx &= 0, \\ \int_{\mathbb{R}^N} |v_i|^{p^*} dx &\geq S_p^{N/p}, \\ \int_{\mathbb{R}^N} |v_i|^{p^*} dx &\geq 2S_p^{N/p}, \end{aligned}$$

according to the cases  $v_i$  is (respectively) zero, has constant sign or changes sign.

If  $v_0 \neq 0$ , from (13) we have

$$\frac{S_p^{N/p}}{N} \geq (k+1) \frac{S_p^{N/p}}{N},$$

hence  $k = 0$ . This implies that  $\{v_n\}_n$  is relatively compact in  $\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  and  $u := S_p^{1/(p-p^*)} v_0$  is optimal for  $S_p$ . This occurs only if  $u$  is a Talenti function  $u(x) = [a + b|x|^{p/(p-1)}]^{1-N/p}$ , (see [26],[6]). By using the Fatou lemma together with the weakly lower semicontinuity of  $S(\cdot)$  we get a contradiction:

$$S_p < \int_{\mathbb{R}^N} |\nabla u|^p + f(|x|)|u|^p dx \leq S_p.$$

If  $v_0 = 0$ , the above argument yields

$$\frac{S_p^{N/p}}{N} \geq k \frac{S_p^{N/p}}{N},$$

hence  $k = 0, 1$ .

If  $k = 0$ , we have  $u_n \rightarrow 0$  strongly in  $\mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  and this is impossible, since  $\|u_n\|_{p^*} = 1$ .

If  $k = 1$  we distinguish the cases  $\lambda_n^1 \rightarrow 0, \infty$ . Define

$$w_n := \lambda_n^{(p-N)/p} v_1(\cdot/\lambda_n).$$

If  $\lambda_n^1 \rightarrow 0$  we have

$$\begin{aligned} \beta_n^{N/p} \left(\frac{1}{2} + o(1)\right) &= \int_{\mathbb{R}^N} \frac{|x|}{1+|x|} |v_n|^{p^*} dx \\ &= \int_{\mathbb{R}^N} \frac{|x|}{1+|x|} |w_n|^{p^*} dx + o(1) \\ &= \int_{\mathbb{R}^N} \frac{|x|}{\lambda_n^{-1} + |x|} |v_1|^{p^*} dx + o(1) \rightarrow 0, \end{aligned}$$

where, in the last step the following invariance property has been used:

$$\psi_1(v_\lambda) = \psi_\lambda(u), \quad \text{where } v_\lambda(x) := \lambda^{\frac{N-p}{p}} u(\lambda x). \tag{14}$$

Hence we get a contradiction.  
 In the case  $\lambda_n^1 \rightarrow \infty$ , we have

$$\begin{aligned} & \beta_n^{N/p} \left( \frac{1}{2} + o(1) \right) \\ &= \int_{\mathbb{R}^N} \frac{|x|}{1+|x|} |v_n|^{p^*} dx \quad (\text{by the Ekeland variational principle}) \\ &= \int_{\mathbb{R}^N} \frac{|x|}{1+|x|} |w_n|^{p^*} dx + o(1) \quad (\text{by Theorem 5.4}) \\ &= \int_{\mathbb{R}^N} \frac{|x|}{\lambda_n^{-1} + |x|} |v_1|^{p^*} dx + o(1) \quad (\text{by (14)}) \\ &= \int_{\mathbb{R}^N} |v_1|^{p^*} dx + o(1) \quad (\text{by the dominated convergence theorem}) \\ &= \int_{\mathbb{R}^N} |w_n|^{p^*} dx + o(1) \quad (\text{by invariance}) \\ &= \int_{\mathbb{R}^N} |v_n|^{p^*} dx + o(1) \quad (\text{by Theorem 5.4}) \\ &= \beta_n^{N/p} (1 + o(1)) \quad (\text{by the Ekeland variational principle}). \end{aligned}$$

Since this is also a contradiction, this proves that  $S_p < d$ .

Finally, take  $u \in V(B)$  such that  $\psi_\lambda(u) = 1/2$  and define  $v_\lambda(x) = \lambda^{\frac{N-p}{p}} u(\lambda x)$  if  $|x| \leq 1/\lambda$  and  $v_\lambda(x) = 0$  otherwise. Since

$$\psi_1(v_\lambda) = \psi_\lambda(u) = 1/2, \quad \varphi_1(v_\lambda) = \varphi_\lambda(u), \quad \|v_\lambda\|_{p^*} = \|u\|_{p^*} = 1$$

we get  $d \leq d(\lambda)$ . □

**Lemma 6.3.** *Under the assumptions (11), (12), for every  $\lambda > 0$ , we have  $S_p < c(\lambda)$  and*

$$\lim_{\lambda \downarrow 0} c(\lambda) = S_p.$$

*Proof.* In order to prove  $S_p < c(\lambda)$  we argue as in Lemma 6.2. Indeed, assume by contradiction that  $S_p = c(\lambda)$ , then there exist a sequence  $\{u_n\}_n \subset V(B)$  such that

$$\int_B |\nabla u_n|^p + \frac{f(|x|/\lambda)}{\lambda^p} |u_n|^p dx \rightarrow S_p \quad \int_B \frac{|x|}{\lambda + |x|} |u_n|^{p^*} dx \geq \frac{1}{2}, \quad \|u_n\|_{p^*} = 1.$$

Arguing as in the previous lemma we can consider Palais-Smale sequences. We repeat the above argument, by using Theorem 5.1. In the case  $v_0 = 0$  and  $k = 1$ , only the case  $\lambda_n \rightarrow 0$  can occur. In this case we have

$$\begin{aligned} & \beta_n^{N/p} \left( \frac{1}{2} + o(1) \right) \\ & \leq \int_B \frac{|x|}{1+|x|} |v_n|^{p^*} dx \\ & = \int_{|x| \leq \lambda_n} \frac{|x|}{1+|x|} |v_n|^{p^*} dx + o(1) \quad (\text{since } v_n \rightarrow 0 \text{ in } L_{loc}^{p^*}(\mathbb{R}^N \setminus \{0\})) \\ & \leq C\lambda_n + o(1) \rightarrow 0, \end{aligned}$$

for some positive constant  $C$ . This is a contradiction.

On the other hand, if  $v_0 \neq 0$ , then  $u := S_p^{1/(p-p^*)} v_0 \in W_0^{1,p}(B)$  is optimal for  $S_p$ . This is again a contradiction, hence  $S_p < c(\lambda)$ .

The rest of the proof is the same as in Lemma 4.2 in [7] and requires condition (12).  $\square$

**Remark 6.4.** Lemma 6.2 and Lemma 6.3 can be proved, as in [7], without passing to Palais-Smale sequences, by using a decomposition lemma instead of Theorem 5.4.

*Proof of Theorem 6.1.* By Lemma 6.2 and Lemma 6.3, there exists  $\delta$  such that, for  $0 < \lambda < \delta$ ,

$$S_p < c(\lambda) < \min \{d, 2^{p/N} S_p\} \leq d(\lambda). \tag{15}$$

In particular, since  $c(\lambda) < d(\lambda)$ , by the Ekeland variational principle there exists a Palais-Smale sequence for  $\varphi_\lambda|_{V(B)}$  at the level  $c(\lambda)$ . Namely, there exist a sequence  $\{u_n\}_n \subset V(B)$  and a sequence  $\{\alpha_n\}_n \subset \mathbb{R}$  such that

$$\varphi_\lambda(u_n) \longrightarrow c(\lambda) \quad -\Delta_p u_n + \frac{f(|x|/\lambda)}{\lambda^p} |u_n|^{p-2} u_n - \alpha_n |u_n|^{p^*-2} u_n \longrightarrow 0 \text{ in } (W_{0,rad}^{1,p}(B))'.$$

Notice that, since  $u_n \in V(B)$ , then  $\varphi_\lambda(u_n) - \alpha_n \rightarrow 0$  and  $\alpha_n \rightarrow c(\lambda)$ .

Now define  $v_n = \alpha_n^{1/(p^*-p)} u_n$ , and notice that

$$\Phi(v_n) = \int_B \frac{|\nabla v_n|^p}{p} + \frac{f(|x|/\lambda)}{\lambda^p} \frac{|v_n|^p}{p} - \frac{|v_n|^{p^*}}{p^*} dx \longrightarrow \frac{c(\lambda)^{N/p}}{N}, \tag{16}$$

$$-\Delta_p v_n + \frac{f(|x|/\lambda)}{\lambda^p} |v_n|^{p-2} v_n - |v_n|^{p^*-2} v_n \longrightarrow 0 \quad \text{in } (W_{0,rad}^{1,p}(B))'. \tag{17}$$

By (15) we have

$$\frac{S_p^{N/p}}{N} < \frac{c(\lambda)^{N/p}}{N} < 2 \frac{S_p^{N/p}}{N}. \tag{18}$$

Theorem 5.1 yields the following decomposition:

$$\Phi(v_n) = \Phi(v) + \frac{1}{N} \sum_{i=1}^k \int_{\mathbb{R}^N} |w_i|^{p^*} dx + o(1),$$

$$\|v_n - v - \sum_{i=1}^k (\lambda_n^i)^{(p-N)/p} w_i(\cdot/\lambda_n^i)\| \rightarrow 0, \tag{19}$$

$w_i \in \mathcal{D}_{rad}^{1,p}(\mathbb{R}^N)$  being solutions of

$$-\Delta_p u = |u|^{p^*-2} u \quad \text{in } \mathbb{R}^N \tag{20}$$

and  $v \in W_{0,rad}^{1,p}(B)$  satisfying

$$-\Delta_p v + \frac{f(|x|/\lambda)}{\lambda^p} |v|^{p-2} v = |v|^{p^*-2} v. \tag{21}$$

Hence

$$\Phi(v) + \frac{1}{N} \sum_{i=1}^k \int_{\mathbb{R}^N} |w_i|^{p^*} dx = \frac{c(\lambda)^{N/p}}{N}. \tag{22}$$

We can use the argument in [[7] p. 12-13]. Multiplying (20) by  $w_i^+$  and  $w_i^-$ , for each  $i$ , one of the following cases hold:

$$\int_{\mathbb{R}^N} |w_i|^{p^*} dx = 0, \tag{23}$$

$$\int_{\mathbb{R}^N} |w_i|^{p^*} dx \geq S_p^{N/p},$$

$$\int_{\mathbb{R}^N} |w_i|^{p^*} dx \geq 2S_p^{N/p},$$

according to the cases  $w_i$  is (respectively) zero, has constant sign or changes sign. Similarly, we can have only one of the following possibilities:

$$v = 0 \quad \Rightarrow \quad \Phi(v) = 0,$$

$$\Phi(v) \geq \frac{S_p^{N/p}}{N}, \tag{24}$$

$$\Phi(v) \geq 2\frac{S_p^{N/p}}{N}.$$

Finally, as a consequence of (18) and (22), the only possible case is (23) together with (24).

Hence, by (19),  $v_n \rightarrow v$  in  $W_0^{1,p}(B)$  and we can choose  $v \geq 0$ . From (16) and (17),  $v$  is a solution of (21) and  $\Phi(v) = \frac{c(\lambda)^{N/p}}{N}$ , and this concludes the proof of Theorem 6.1.  $\square$

**Theorem 6.5.** *Let  $u$  be the solution found in Theorem 6.1 and  $f \in L^\infty_{loc}(B)$ , then  $u$  is positive. Furthermore, if  $f \in L^{N/(p-\varepsilon)}(B)$ , for some  $\varepsilon \in (0, 1]$ , then  $u > 0$  in  $B$  and  $u \in W_0^{1,p}(B) \cap L^\infty(B)$ .*

In order to prove the positivity of the solution we use the strong maximum principle (see e.g. [18],[30]). Indeed, since we have

$$-\Delta_p u + \frac{f(|x|/\lambda)}{\lambda^p} u^{p-1} \geq 0$$

and  $f \in L^\infty_{loc}(B)$ , we can apply Theorem 2, p. 434 in [18].

In order to prove the  $L^\infty$  regularity for  $u$ , we argue as in [20], Appendix E. By a slight modification of the method in [29] p. 272–273, it is possible to prove that

$$u \in L^{\beta p^*}(B),$$

for some  $\beta > 1$ . Writing the equation (21) as

$$-\Delta_p u = a(x)u^{p-1},$$

where  $a(x) := u^{p^*-p} - \frac{f(|x|/\lambda)}{\lambda^p}$ , since  $f \in L^{N/(p-\varepsilon)}(B)$ , the conclusion follows from the lemma below, which can be proved adapting, to the p-Laplacian operator, Lemma p. 268 in [29]). We refer to [20], Appendix E.

**Lemma 6.6.** *Let  $u \in W_0^{1,p}(B)$  be a weak solution of the equation*

$$-\Delta_p u = a(x)|u|^{p-2}u \quad \text{in } B,$$

*where  $a \in L^r(B)$ , for some  $r > N/p$ . Then  $u$  is bounded.*

**Remark 6.7.** We point out that, under suitable assumptions on  $f$ , it is possible to check the  $C_{loc}^{1,\alpha}$  regularity up to the boundary. See [12], [15], [24],[27] and [28] .

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