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Site-Selective Surface-Enhanced Raman Detection of Proteins

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KEYWORDS: SERS; biomolecules; plasmonic nanoparticles; silver nanocubes; crystal facets; computational simulations; phosphate buffer

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2
3 ABSTRACT
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7 Strategies for protein detection *via* surface-enhanced Raman spectroscopy (SERS) currently
8 exploit the formation of randomly generated hot spots at the interfaces of metal colloidal
9 nanoparticles, which are clustered together by intrusive chemical or physical processes in
10 presence of the target biomolecule. We propose a different approach based on selective and
11 quantitative gathering of protein molecules at regular hot spots generated on the corners of
12 individual silver nanocubes in aqueous medium at physiological pH. Here, the protein while
13 keeping its native configuration experiences an intense local E-field, which boosts SERS
14 efficiency and detection sensitivity. Yet uncontrolled signal fluctuations caused by variable
15 molecular adsorption to different particle areas or inside clustered nanoparticles are
16 circumvented. Advanced electron microscopy analyses and computational simulations outline a
17 strategy relying on a site-selective mechanism with superior Raman signal enhancement, which
18 offers the perspective of highly controlled and reproducible routine SERS detection of proteins.
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3 Surface enhanced Raman spectroscopy is a powerful tool for obtaining vibrational information
4 from analytes that are present in low concentration in different chemical environments.^{1,2} The
5 electromagnetic fields localized at the surface of plasmonic nanostructures are responsible for
6 enhancing the Raman signal of an adsorbed molecular target. This has tremendously expanded
7 the potential of Raman spectroscopy inspiring a number of applications in the molecular
8 detection and sensing fields.^{3,4} In fact, the Raman scattering represents a minimal part of the light
9 scattered by a molecule of interest, *i.e.* typical Raman cross sections are very small so that the
10 analysis of many systems cannot be envisaged by conventional Raman. On the other hand,
11 scattering efficiencies enhanced by several orders of magnitude (typically 4 - 8) provided by the
12 excitation of the localized surface plasmon resonances (LSPRs) of silver or gold nanostructures
13 can offer ultra sensitivity and detection of trace species. In some particular cases, atto-molar
14 detection has been accomplished in SERS experiments on small aromatic molecules such as
15 derivatized mercaptobenzenes or dyes like rhodamine 6G or crystal violet, which efficiently
16 adsorb or display a strong chemical affinity for the metal surfaces.^{5,6}

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The possibility of SERS to operate a label-free detection providing the “fingerprint” of the molecule under study also in aqueous media, has become highly attractive for protein analysis.⁷⁻¹⁰ In fact, the enhanced spectrum of a protein can give insight in its composition, conformation, function and protein-ligand interactions. However, despite substantial attempts have been made, the SERS detection of proteins has turned out demanding and still far from becoming an established analytical tool for real applications. Main concerns for a standardized SERS-based identification of proteins include sensitivity, reproducibility and conservation of the native state.¹¹⁻¹⁴ Current strategies for protein detection *via* SERS are based on chemically or physically aggregating noble metal colloids in the presence of the target protein. This popular approach

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3 utilizes high field intensities produced at the interfaces between citrate-capped clustered
4 nanoparticles, which are traditionally fabricated by the Lee & Maisel method.¹⁵ However, the
5 distribution of these highly localized positions of very high enhancement (“hot spots”) is
6 nonuniform inside the clustered nanoparticles,¹⁶ which generates bright signals but confers
7 scarce reproducibility and severe point-to-point variability.^{9,17} Nonetheless, the LSPRs of
8 aggregated colloids may dramatically vary as a function of the aggregation rate and time:^{18,19} as a
9 consequence, unless tuning in real time the illumination conditions (*i.e.* power density and
10 acquisition time), photothermal and photodegradation processes can occur during the course of
11 the SERS measurement, making Ag aggregates suffering from high photoinstability.²⁰ Lastly, the
12 presence of a high charge density conferred by the capping agent as well as the chemical
13 (addition of salts, pH change, *etc.*) or physical (heating, drying, *etc.*) methods used for eliciting
14 particle aggregation may cause irreversible denaturation and loss of the native structure and
15 functionalities of the protein.²¹⁻²³ Possible attempts to overcome the problems outlined above
16 have been recently based on the use of bidimensionally-assembled SERS substrates. Here a
17 regular hot spot array distribution confined in a planar layer as obtained by top down^{24,25} or
18 bottom up strategies^{26,27} appears favorable in generating uniform SERS signals and superior
19 reproducibility, without detrimental chemicals or invasive steps needed for the analysis. A more
20 recent proposal suggested the convenience of conducting SERS measurements with
21 nanoparticles that are aggregated within the focal laser spot by repulsive scattering forces to
22 getting control over the aggregate size.²⁸

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51 In this work we demonstrate the feasibility of a SERS detection of proteins in aqueous medium
52 at physiological pH, relying on a site-selective detection at the hot spots of individual
53 nanoparticles in turn assuring high reproducibility and sensitivity. This is accomplished by
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3 exploiting the synergic role of the SERS substrate, *i.e.* silver nanocubes, providing intensified
4 electromagnetic field concentrated on their corners, and the capping agent, *i.e.*
5 polyvinylpyrrolidone (PVP), which confers elevated colloidal stability in the presence of the
6 biomolecule and regional passivation of the cube faces.
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16 RESULTS AND DISCUSSION

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18 An electron microscopy characterization of silver nanocubes is visualized in **Figure 1** while
19 additional details concerning the size distribution within our particle batches and particle surface
20 charge are reported in Supporting Information (**Figures S1-S3**). Nanocubes of ~50 nm size
21 (**Figure 1a**) were obtained by the sulfide-mediated polyol method in which Ag(1) is reduced to
22 Ag(0) by ethylene glycol in the presence of PVP and a trace amount of Na₂S.^{29,30} The
23 supersaturation of as-formed Ag atoms triggers their assembly to form seeds that then grow into
24 the final Ag nanocubes. PVP is here used as a structure-directing polymer with high affinity
25 toward the {100} facets of the initial Ag nanoseeds, which drives a selective reduction of silver
26 atoms on their free {111} facets thus resulting in an anisotropic growth of the nanoparticle in the
27 form of cube. Indeed previous studies have demonstrated a 10⁹ times stronger preference of PVP
28 in binding to the {100} facets with respect to the {111} Ag facets.³¹ Concurrently, a highly dense
29 surface coverage of PVP is expected on the final nanocubes, which are primarily enclosed by six
30 {100} facets (**Figures 1b,1c**).³² However ~50 nm-size silver nanocubes, as obtained by using
31 PVP as capping agent, typically show slightly truncated or rounded corners,³² which originate
32 from an uncompleted growth of the {100} seed facets, this effect being alleviated at larger cube
33 sizes. As a matter of fact the aberration-corrected scanning transmission electron microscopy
34 (ac-STEM) analysis of our nanocubes shows corners with a 6-7 nm radius of curvature and a
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stepped surface composed of a mixture of $\{110\}$ and higher Miller index facets (**Figure 1d**). Additionally, while a PVP layer of 1.1 – 1.8 nm thickness is observed on the cube sides as expected on the basis of favorable $\{100\}$ facets/PVP interactions,³² it becomes sparse and extremely thin on the corners as bright field transmission electron microscopy (TEM) micrographs of **Figures 1e and 1f** indicate. The thickness of a monolayer of PVP repeating units is estimated to be 0.3 - 0.5 nm,³² thus we can conclude that PVP is arranged as a multiple layer on the cube faces and as an incomplete and patchy monolayer at the corners.

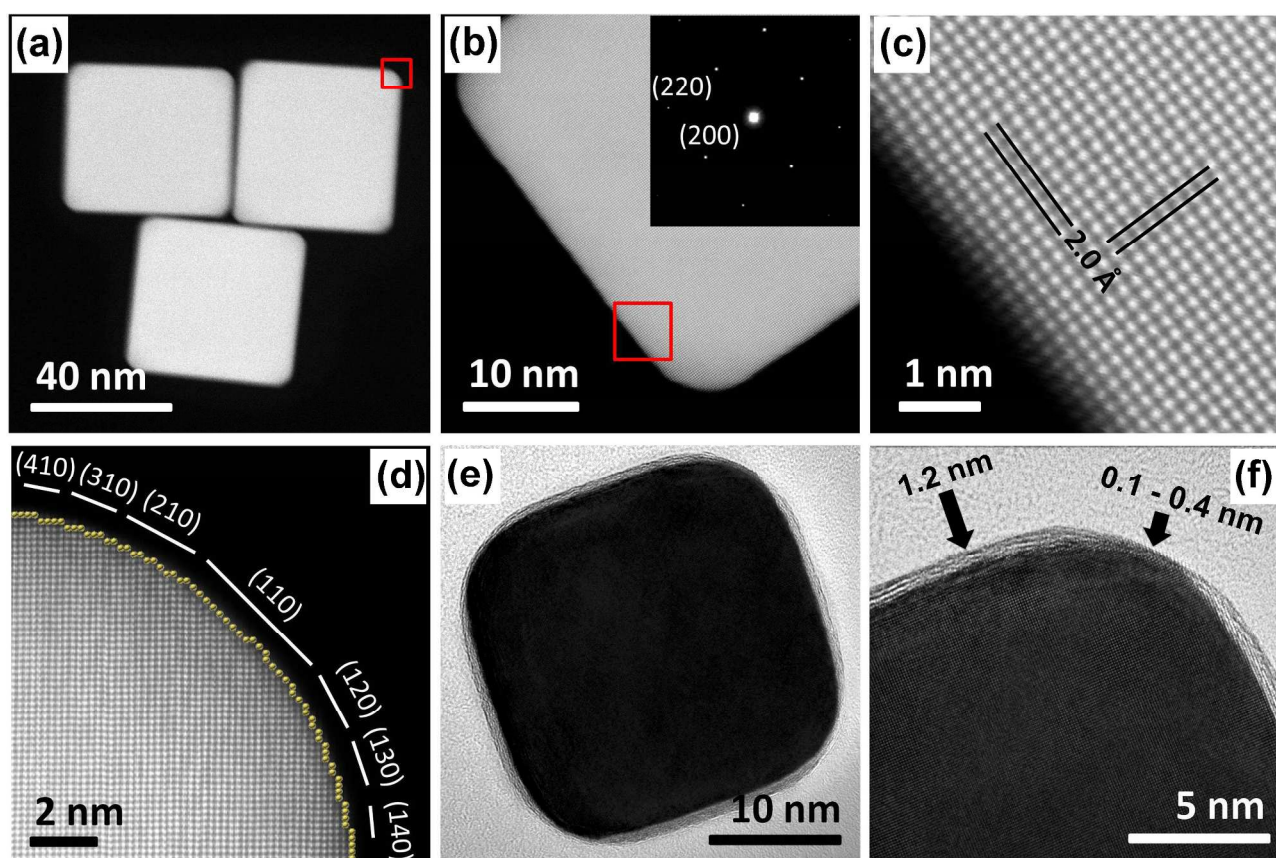


Figure 1. (a) annular dark-field (ADF) STEM image of a cluster of three nanocubes. (b) ADF STEM image of a nanocube and corresponding selected area electron diffraction pattern (inset) showing spots of $\{200\}$ reflections. Both image and inset indicate that the nanoparticle is a piece of a single crystal with faces oriented along $[100]$ equivalent directions. (c) High resolution ADF

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3 STEM image of a nanocube portion (red square of (b)) showing a crystal lattice spacing of 2.0 Å
4 aligned with the nanocube border. The evidenced lattice can be indexed to the {200} reflection
5 of face-centered cubic (fcc) Ag. (d) High resolution ADF STEM image of a nanocube corner
6 (red square of (a)) showing its microfaceting composition. (e, f) Bright-field TEM images of a
7 single nanocube showing the amorphous PVP layer. In (f) the reduced thickness of the PVP layer
8 on the corner is evidenced.

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11 We note that the choice of silver nanocubes has been revealed as largely preferable in
12 fundamental SERS studies because of their well-established superior SERS efficiency with
13 respect to spherical or quasi-spherical nanoparticles,³³ albeit a more extensive use of the latter in
14 experiments dealing with SERS and biomolecules. SERS enhancement factor can be as low as
15 10 - 10³ for non-optimized SERS assays involving spherical nanoparticles but can grow of about
16 two-three orders of magnitude when nanoparticles containing sharp features are concerned.³³
17 This is corroborated by a theoretical simulation of the E-field distribution on 50 nm-size silver
18 nanocubes placed in an aqueous environment as displayed in **Figure 2a-c**. In a nanocube surface
19 electrons strongly accumulate on the corners of the nanoparticle producing a highly confined E-
20 field (**Figure 2a**), which largely influences the SERS activity of the particle. We estimated the
21 maximal local field at the corners to be $|E/E_0|_{\max} \sim 22$, which is three times higher than the
22 average field on the faces $\langle |E/E_0| \rangle_{\text{faces}} \sim 6$. Thus, as SERS enhancement is proportional to the
23 fourth power of the E-field, the corner sites should contribute $\sim 10^2$ times to the maximum SERS
24 enhancement as compared to the cube faces. In **Figure 2b** the enhancement factor (EF) variation
25 along the profile between the center of a cube face and a cube corner is displayed. An EF_{MAX} of
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2×10^5 is obtained, which is well in accordance with previous literature.^{34,35} In general the EF values on the corners largely prevail over the total EF (**Figure 2c**).

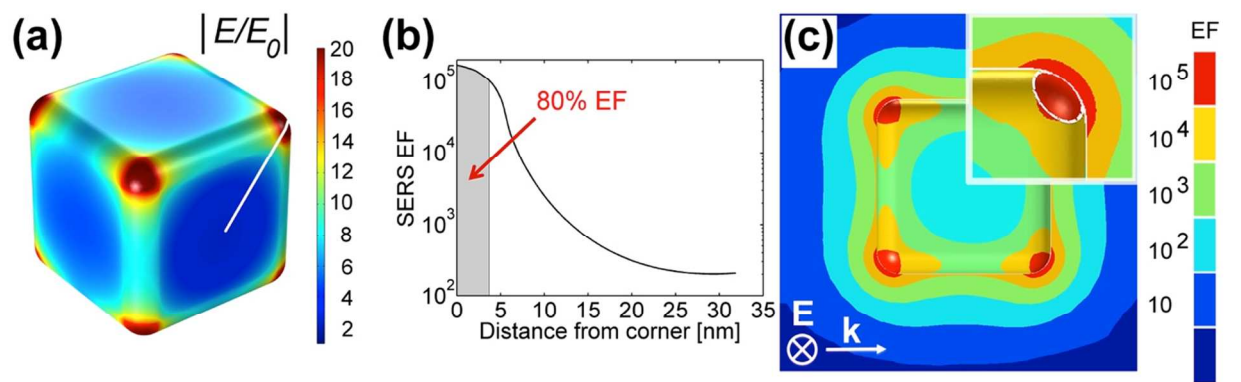
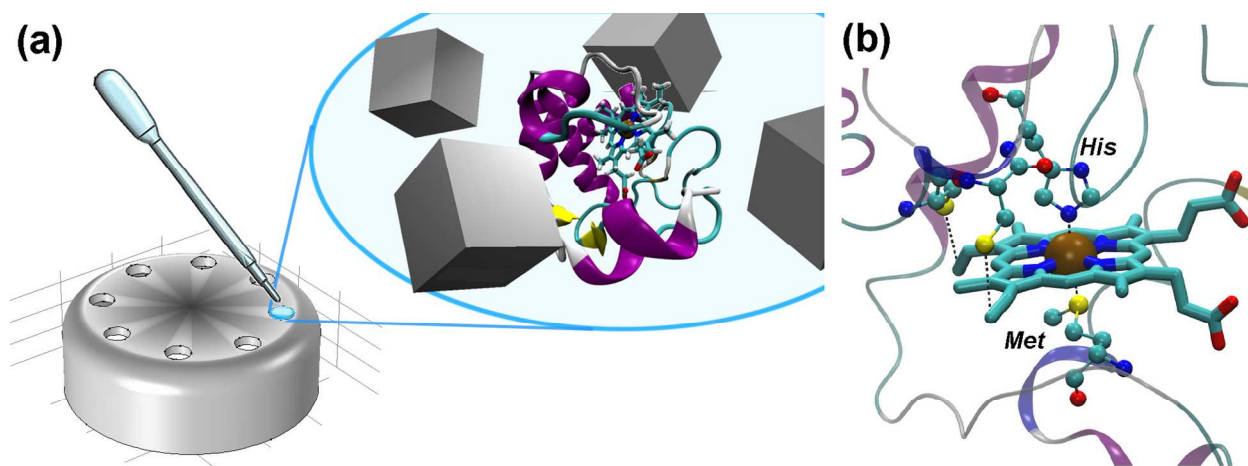


Figure 2. (a) FEM simulation of the distribution of the E-field over the surface of a 50 nm-size nanocube; (b) Profile of the EF distribution along the white line shown in (a) connecting the apex of a corner to the center of a face. The corner site (approximated as a calotte-shaped section as defined in **Figure S4** and outlined in (c)) provides 80% of the total SERS signal (gray band in the graph); (c) Side view of the spatial EF distribution over a cube as expressed in logarithmic scale: the EF scales by an order of magnitude by passing from a colored zone to a contiguous one. Inset: magnified view of the corner; the dashed line defines a 68°-subtended calotte resembling the PVP-free area as estimated from TEM analysis (see **Figure 1f** and **Figure S4**).

In a typical SERS experiment a 5 μL aliquot of nanoparticle solution is suspended onto a 3 mm^2 -rounded hole that was obtained from a cap-shaped polypropylene support (1 cm height \times 2 cm diameter). By this configuration down to pico-/femto-moles of analyte can be processed per measurement while accurate laser focusing control and extensive investigation of the sample are assured (**Figure 3a**).

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3 In this study we focused on cytochrome c (cytC) because it represents a model protein
4 characterized during several Raman and resonant-SERS studies.^{18,36-40} CytC is a hemoprotein
5 and part of the electron transport chain of mitochondrial respiration. Its heme is bound to the
6 protein through the porphyrin substituents by two cysteine side chains, while methionine-80 and
7 histidine-18 act as axial ligands of the central iron (**Figure 3b**). A nice matching between the
8 plasmon resonances of Ag spherical nanoparticles and the resonant excitation of the Soret
9 absorption band (410 nm) or of the Q band (from 500 to 550 nm) of the heme group of cytC
10 (**Figure S3**) has been previously exploited to produce effective SERS spectra of the
11 protein.^{18,40,41} This configuration appears strategic to gain insights into the chemical and
12 structural characteristics of the heme group after protein adsorption. The main LSPR of silver
13 nanocubes is peaked at 455 nm showing a tail extended toward the yellow-red region (**Figure**
14 **S3**), offering further SERS capability at longer excitation wavelengths, which is of interest for
15 testing also non-resonant SERS effects.



50 **Figure 3.** (a) Sketch of the method used for deposition of Ag nanocubes/protein mixtures.
51 Single 5 μL aliquots are dropped into 3 mm^2 -rounded holes obtained from a polypropylene
52 support. A total of 8 holes have been produced along the perimeter of the cap to provide
53 extended capability for multiple measurements. Once suspended the solution exposes a smooth
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3 ~3 mm² surface parallel to the Raman detection system offering a long lasting focal plane, which
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5 instead rapidly changes when the solution is dropped onto a solid substrate.⁴² Approximately a
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7 10 minutes period is available before observing a minimal change in the laser focus and a 60
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9 minutes period before complete evaporation of the solution at room temperature, which appear
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11 long enough for an extensive investigation of the sample. (b) Heme group of cytC containing a
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13 central iron ion that is connected to the protein by two covalent heme-cysteine thioether linkages
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15 and is axially coordinated by a histidine and a methionine residues. The heme group is located
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17 near the exterior of the protein with its hydrocarbon side chains interior and its polar propionate
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19 chains exterior.
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27 **Figure 4** presents a comparison between the SERS signal and the Raman signal of a 1×10^{-8} M
28 and a 1×10^{-3} M cytC solutions, respectively, once analyzed with a 532 nm laser excitation. A
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30 collection of the detected frequencies with peak assignment^{37,39,40,43-45} is reported in **Table 1**. We
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32 anticipate that the plasmon resonances of nanocubes after protein incubation resemble those of
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34 individual cubes as measured in phosphate buffered solution (**Figure S5**). Thus we can infer that
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36 the SERS samples consist of isolated nanocubes, which are stabilized by the PVP coating. Due to
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38 resonance condition, Raman and SERS signals are dominated by the heme vibration modes ν_{15}
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40 (B_{1g}) 748 cm⁻¹, ν_{22} (A_{2g}) 1126 cm⁻¹, ν_{30} (B_{2g}) 1168 cm⁻¹, ν_{21} (A_{2g}) 1315 cm⁻¹, ν_4 (A_{1g}) 1373 cm⁻¹,
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42 ν_{20} (A_{2g}) 1401 cm⁻¹, ν_{19} (A_{2g}) 1584 cm⁻¹ and ν_{10} (B_{1g}) 1634 cm⁻¹. The position of the oxidation
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44 state marker band ν_4 is in line with an oxidized form of the protein, which is preserved after its
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46 adsorption on the nanocube surface.³⁸ At first reading, the high similarity between the intensity
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48 pattern of the SERS and Raman spectra discards the occurrence of relevant changes in the
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50 secondary or tertiary structure of cytC.
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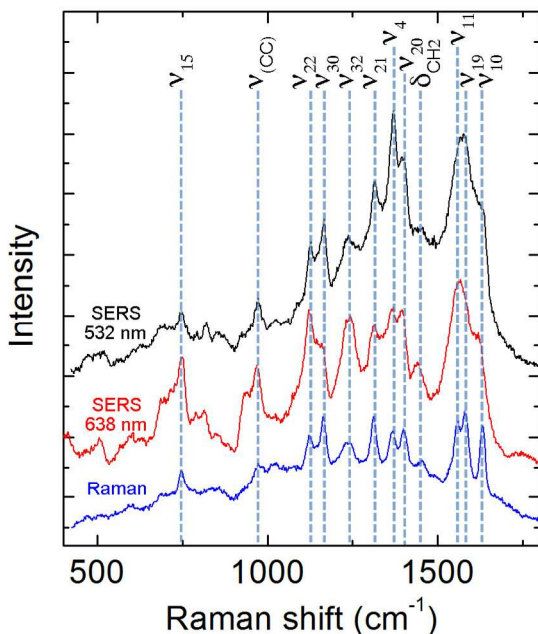


Figure 4. SERS spectra of cytC/silver nanocubes mixtures obtained by using 532 nm (black) and 638 nm (red) excitation wavelengths. The concentration of cytC is 1×10^{-8} M. The Raman signal of a 1×10^{-3} M cytC solution is also reported for comparison (blue). Integration time (10 s) and laser power at the sample are 4.8 mW at 532 nm and 2.1 mW at 638 nm. Same experimental parameters are used for the SERS and Raman measurements at $\lambda_{\text{ex}} = 532$ nm so that relative spectra are directly comparable. The spectra are offset for clarity and represent the average over 50 measurements from three replicated samples.

Table 1. Peaks assignment for Raman and SERS signal of cytC (n.r. = not resolved)

Raman	SERS		Mode LS	Mode HS
$\lambda = 532$ nm	$\lambda = 532$ nm	$\lambda = 638$ nm		
n.r.	n.r.	686	ν_{CS}	
748	748	748	ν_{15} (B_{1g})	
		825, 855	Tyr Fermi doublet ?	
	n.r.	930	ν_{46} (E_u)	
974	974	973	ν_{CC} propionate	
	n.r.	1083	δ_{CH_3}	
1126	1126	1126	ν_{22} (A_{2g})	
1168	1168	1168	ν_{30} (B_{2g})	
n.r.	n.r.	1232	ν_{13} (B_{1g})	
n.r.	n.r.	1247	δ_{CH_2} twist propionate	

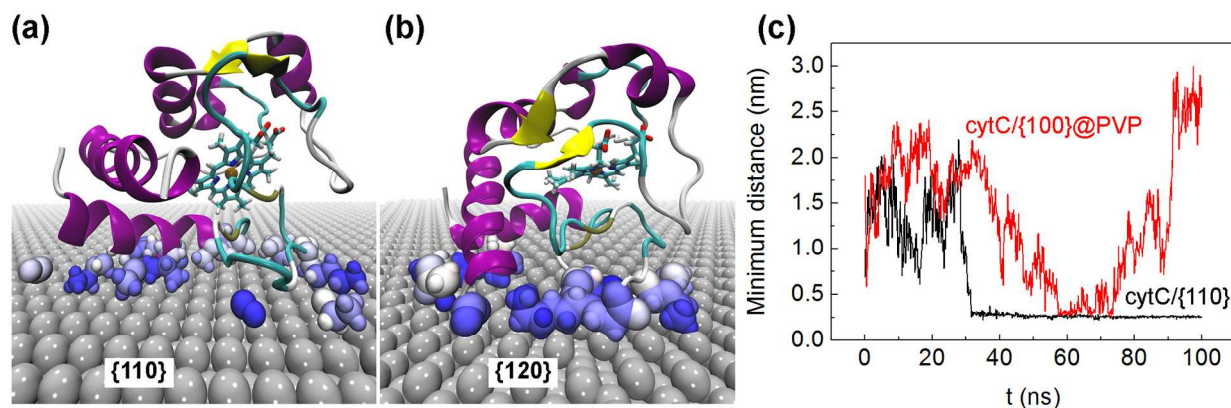
1315	1315	1315	$\nu_{\square\square}$ (A_{2g})	
1373	1373	1373	ν_4 (A_{1g})	
1401	1401	1401	ν_{20} (A_{2g})	
n.r.	n.r.	1453	δ_{CH_2} wag propionate	
	1492	n.r.		ν_3 (A_{1g})
1500			ν_3 (A_{1g})	
1557	n.r.	n.r.	ν_{11} (B_{1g})	
	1570	n.r.		ν_{19} (A_{2g})
1584	1584	n.r.	ν_{19} (A_{2g})	
	n.r.	1610	Trp, Tyr, Phe ?	
	n.r.	1620		ν_{10} (B_{1g})
1634	1634	n.r.	ν_{10} (B_{1g})	

Most of the mode assignments of the SERS spectrum of cytC with the 638 nm laser excitation (Figure 4, Table 1) are the same as those from the green excitation. However, additional peaks from the heme group become now resolved at 1247 cm^{-1} and 1453 cm^{-1} , which are associated with the twisting and wagging vibrations of the propionate CH_2 groups.⁴⁴ Other additional bands can be tentatively ascribed to amino acid residues such as the 686 cm^{-1} , the 1083 cm^{-1} and the 1610 cm^{-1} peaks, which were previously assigned to ν_{CS} of Cys residues covalently binding the porphyrin moieties of the heme, δ_{CH_3} and the ring stretching of Tyr, Trp or Phe, respectively.^{46,47} The peaks at 825 cm^{-1} and 855 cm^{-1} may originate from the Fermi resonances of Tyr.^{44,47} The presence of low-frequency components of the ν_{10} , ν_{19} and ν_3 modes at 1620 cm^{-1} , 1570 cm^{-1} and 1492 cm^{-1} in the SERS spectra of Figures 4, which do not appear in the Raman spectrum makes infer a direct protein-metal interaction. These bands are ascribed to a partial conversion from the low-spin to the high spin state of the protein,^{38,43,48} which has been thoroughly investigated in previous studies and verified as a distinctive signal of surface adsorption without affecting the biological function.³⁸ Thus, clear evidence appears of a metal-protein interaction, which on the basis of the TEM micrographs of Figures 1e,f should be favored when the protein is physically

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3 adsorbed on the cube's corners, while the access to the faces is chemically blocked because of
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6 the presence of a thick PVP layer.

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8 Molecular dynamics (MD) simulations proved a useful tool to validate the above hypothesis,
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10 enabling the study of protein/nanocube interactions at atomic details. In particular, we performed
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12 MD simulations in water to inspect: 1) possible protein changes after its adsorption to the naked
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14 nanoparticle surfaces and 2) the affinity of cytC against silver surfaces in the presence of a PVP
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16 coating. First, a characterization of the interactions with silver {110} and {120} facets, which are
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18 mainly found at the corner sites (**Figure 1d**), was carried out. **Figure 5a** displays the calculated
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20 surface adsorption of the protein on the {110} facet: here the aminoacid residues that more
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22 frequently generate temporary interactions with the metal are highlighted and further listed in
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25 frequently generate temporary interactions with the metal are highlighted and further listed in
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27 **Table S1.**



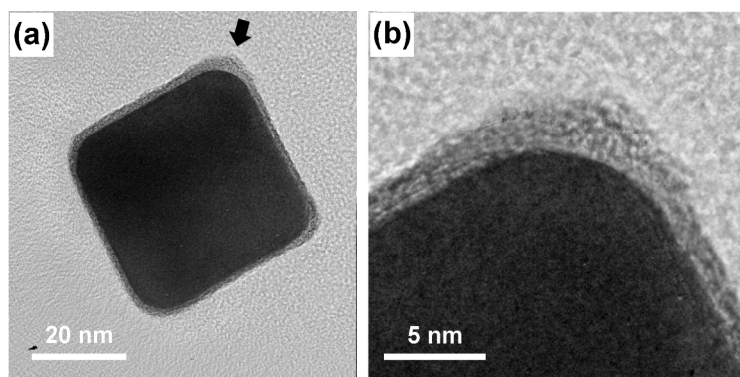
43 **Figure 5.** In (a) and (b) a representation of the contacts between cytC and the {110} and {120}
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45 silver surfaces, respectively, is visualized. Atoms in contact with the surface are colored from
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47 blue to white as a function of their contact persistence (blue = longer; white = shorter). Proteins
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49 are rendered in accordance with their secondary structures. In (c) the minimum distance between
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51 the protein and a {110} surface (black) and between the protein and a PVP-coated {100} surface,
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53 (red) during a 100 ns-long simulation time is reported.
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6 Among others, favorable interactions between protein and silver can be provided by the side
7 chain ϵ -amino group of Lys residues, as previously pointed out at bare gold interfaces.⁴⁹
8 Conversely, the possibility of heme degradation *via* thioether bonds breaking with irreversible
9 modifications and protein denaturation^{50,51} can be ruled out since no direct bonding of Cys-17
10 and Cys-14 is observed. The absence of aromatic aminoacid directly interacting with the metal is
11 well in accordance with a scarce contribution of these residues to the SERS spectrum. However,
12 the Tyr-97 assignment in the SERS spectra (**Figure 4a**) is supported by its reduced distance
13 (~2.2 nm) from the metal found in the MD simulations (**Figure S6**). The proximity of the heme
14 group to the silver surface (Fe^{3+} -surface distance = 1.2 nm) favors preferential SERS
15 enhancement of the heme-associated moieties.⁴⁴ As a matter of fact, propionate modes as well as
16 modes associated to heme-cysteine thioether linkages (**Table 1**) are more largely enhanced in
17 SERS compared with Raman spectra (**Figure 4a**). Similar considerations can be applied to the
18 adsorption configuration with the {120} facet (**Figure 5b**). Here the heme to surface distance is
19 increased to 2 nm. The SERS signal intensity at this distance is predicted to drop by less than a
20 10 factor³⁹ relative to the heme distance from the {110} facet. Overall the MD simulations
21 indicate that the adsorption of cytC on the bare silver surface almost unalters the native protein
22 arrangement with negligible changes to the heme pocket laying at a safe distance from the metal
23 and discarding the occurrence of irreversible modifications (**Figure S7**) in line with SERS
24 outcomes.
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50 A second aim of MD calculations consisted in evaluating the possibility of a reduced
51 adsorption and retention effect of cytC on silver surfaces protected by a PVP layer. Computing
52 the distance between cytC and the silver surface over a 100 ns-long simulation time, we found
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3 that the protein is rapidly adsorbed onto the naked metal where remains irreversibly attached
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6 (Figure 5c). When the metal is protected by PVP, the protein transiently interacts with the
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8 polymer but the interaction strength is not enough to maintain the protein firmly adhered in turn
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10 resulting in a rapid desorption (Figures 5c). We point out that a low affinity of proteins toward
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12 PVP-functionalized gold and silver nanoparticles has been previously stressed and ascribed to a
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14 passivation effect of the PVP coating preventing direct protein interactions with the nanoparticle
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16 surface.^{52,53}

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19 Taking advantage of the results of SERS and of MD we repeated the TEM analysis on
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21 nanocubes once incubated with the protein solution (Figure 6). Remarkably, the corners now
22
23 appear covered by new material that sometimes is observed exceeding the thickness of the PVP
24
25 adlayer on the rest of the particle. Thus the ensemble of evidences discussed above provides
26
27 substantial endorsement toward a site-selective protein adsorption and a selective SERS
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29 detection on cube's hot spots.
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47 **Figure 6.** (a) Bright-field TEM image of a silver nanocube after incubation with 1×10^{-8} M cytC.
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49 A magnification of the corner is visualized in (b).
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55 Previous reports dealt with the possibility of chemically discriminating different nanoparticle
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57 regions: *e.g.* a selective protection of different locations of gold nanorods and prisms with
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3 functional molecules was reported.^{54,55} In the framework of SERS, a site-selective detection of
4 proteins on cube corners proves appealing for two main reasons: 1) SERS efficiency and in turn
5 sensitivity can be enhanced upon concentrating the protein molecules exactly on the hot spots of
6 the SERS system and 2) uncontrolled signal fluctuation consequent to a variable molecular
7 adsorption to different particle areas can be avoided. That is, from one side every single protein
8 molecule that is present in solution can be detected until the PVP-free surface on the cube tip is
9 available: thus quantitative studies at low concentrations of protein can be performed. Another
10 aspect concerns the reproducibility of the SERS analysis. Cube corners represent ~2.4% of the
11 total particle surface (**Figure 2a**) showing a more homogeneous E-field distribution with respect
12 to cube faces. Precisely, less than one order of magnitude in EF variability is simulated at each
13 corner sites against more than three orders of magnitude of variability when moving from the
14 center to the periphery of a face (**Figure 2c**). In general, by limiting the SERS analysis to
15 confined regions showing uniform optical and chemical features appears advantageous for
16 reliable SERS detection of complex analytes with low Raman cross sections such as proteins.
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36 We evaluated the signal amplification provided by our SERS substrate with respect to normal
37 Raman spectroscopy by calculating the SERS gain G (also called analytical enhancement factor)
38 as well as the SERS enhancement factor EF .^{28,56-58} While G is a rough estimate of the overall
39 signal gain that can be offered by a SERS-active substrate, EF is a measure of the signal
40 amplification experienced by each molecule on the nanostructure and requires an assumption of
41 the number of molecules adsorbed on the nanoparticle's surface.
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50 G can be defined as:
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$$55 \quad G = \frac{I_{SERS} / c_{SERS}}{I_{Raman} / c_{Raman}}, \quad (1)$$

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6 where I_{Raman} and I_{SERS} are the Raman and SERS signal intensities, c_{Raman} and c_{SERS} are the
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8 concentration values of the analyte solution used for the Raman and SERS measurements,
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10 respectively.

11
12 Instead, EF is defined as the ratio between I_{Raman} and I_{SERS} normalized to the number of probed
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14 molecules, *i.e.* the average number of molecules dispersed in solution N_{Raman} , for the Raman
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16 measurement, or adsorbed onto the nanocubes N_{SERS} , for the SERS measurement, which are
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18 present in the scattering volume (*i.e.* the laser spot):
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$$26 \quad EF = \frac{I_{SERS} / N_{SERS}}{I_{Raman} / N_{Raman}}. \quad (2)$$

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32 Both Eq. 1 and 2 require that Raman and SERS measurements are performed under identical
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34 experimental conditions, including laser wavelength, laser power, accumulation time,
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36 microscope objective, spectrometer, *etc.*.

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38 By comparing the 1373 cm^{-1} band intensity in the SERS spectrum at $\lambda_{ex} = 532 \text{ nm}$ of a 10^{-8} M
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40 cytC solution with the analogue in the Raman spectrum of a 10^{-3} M solution, a $G \sim 10^5$ value is
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42 obtained.
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47 In the case of EF an estimate of N_{SERS} and N_{Raman} is required. Given that the volume probed
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49 by the laser spot remains the same for Raman and SERS measurements, N_{Raman} can be simply
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51 achieved by multiplying the concentration to the Avogadro number:
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$$56 \quad N_{Raman} = N_A c_{Raman} \quad (3)$$

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6 thus giving $N_{Raman} = 6 \times 10^{17} \text{ mL}^{-1}$. N_{SERS} can be calculated as the product between the number
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8 of molecules adsorbed on each nanocube (N_{mol}) and the number of nanocubes in the same
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10 volume considered for the calculation of N_{Raman} (*i.e.* N_{nano}):
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$$14 \quad N_{SERS} = N_{mol} N_{nano} \quad (4)$$

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21 Precisely, we can estimate that a total of ~3300 cytC molecules can find accommodation on
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23 each cube, while ~80 molecules are sufficient to completely cover the cube corners
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25 (corresponding to ~10 molecules per corner, see Supporting Information for calculations).
26
27 However, we may note that the molecules localized on the corners, *i.e.* $N_{mol} \approx 80$, are largely
28
29 those contributing to the SERS signal. In fact a total of 80% EF produced on the surface of a
30
31 single particle is imparted by the hot spots localized on the corners as predicted in **Figure 2b**.
32
33 Thus N_{SERS} can be estimated as $5 \times 10^{12} \text{ mL}^{-1}$ (being $N_{nano} = 6 \times 10^{10} \text{ mL}^{-1}$ and N_{mol} taken as 80).
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39 With the above premise, an EF value of $\sim 10^5$ is obtained, which well matches the EF value
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41 averaged over a 3 nm-thick shell sector surrounding the corner (*i.e.* 1.6×10^5 , **Figure 2c**),
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43 approximating the region occupied by the adsorbed protein. In our case the comparison between
44
45 EF and G is of particular significance. In fact, similar G and EF values can substantiate that all
46
47 the protein molecules that are dissolved in solution contribute to a similar extent to the final
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49 SERS signal. This condition is only justified by assuming that the molecules available in solution
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51 (*i.e.* ~100/cube at $1 \times 10^{-8} \text{ M}$) are selectively adsorbed on the SERS hot spots *i.e.* on the cube
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3 corners. Thus the extensive matching between G and EF values furnishes supplementary
4
5 evidence of a confinement of protein molecules at the hot spots.⁵⁹
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9 Once established the main prerequisites to operating in a site-selective SERS configuration, we
10 assessed the chance to perform quantitative, sensitive and reproducible SERS measurements.
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12 First, we registered the SERS response of different cytC solutions in a concentration range of
13
14 physiological interest, by keeping unaltered the nanoparticle number. In particular the SERS
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16 spectra of 1×10^{-7} , 1×10^{-8} M, 6×10^{-9} M and 2×10^{-9} M at $\lambda_{\text{ex}} = 532$ nm and 638 nm are shown
17
18 in **Figures 7a,b**. The SERS profile of the protein is regularly reproduced down to the last protein
19
20 dilution, suggesting a limit of detection (LOD) of about $5 \div 10$ nM at both excitation
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22 wavelengths. Thus a high sensitivity is apparent, which overcomes previous results involving the
23
24 use of aggregated nanoparticles.⁶⁰⁻⁶² On the basis of proposed protocol, the 1×10^{-8} M cytC
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26 solution, corresponding to ~ 100 molecules per cube, should approximately resemble the
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28 saturation of protein molecules on the available space of the hot spots. Thus, while at lower
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30 concentration values a quantitative decrease in SERS signal can be observed, higher values
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32 actually appear ineffective in altering the intensity because the SERS signal is dominated by
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34 contributions from the adsorbed first protein monolayer.^{18,63} Future assessments involving
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36 systematic adjustments of the molecules to nanoparticle ratio will shed light on the possibility of
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38 a quantitative analysis centered at higher and lower concentration values.
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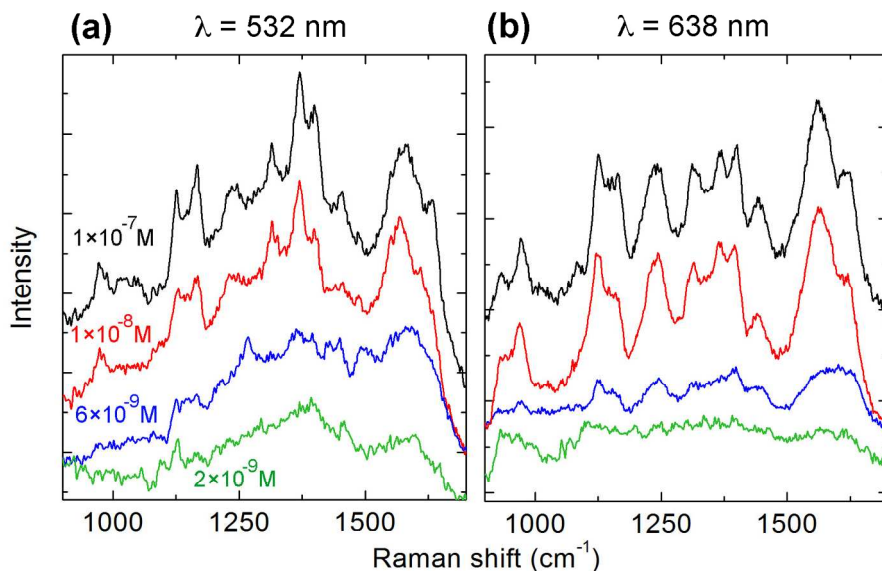


Figure 7. SERS spectra of cytC at concentrations of 1×10^{-7} M (black), 1×10^{-8} M (red), 6×10^{-9} M (blue) and 2×10^{-9} M (green) taken at $\lambda_{\text{ex}} = 532$ nm (left) and $\lambda_{\text{ex}} = 638$ nm (right). Integration time (10 s) and laser power at the sample are 4.8 mW at 532 nm and 2.1 mW at 638 nm. The spectra are offset for clarity and represent the average over 10 measures from a single sample.

Another fundamental aspect in the practical applications of SERS is the collection of uniform signals, which is associated with a regular distribution of hot spots. The site-selective adsorption on the hot spots of the nanocubes proves an effective strategy for minimizing signal fluctuations. In fact, once adsorbed on the cube corners, protein molecules are exposed to a homogeneous amplification in their Raman signal. Besides, working with isolated nanoparticles further improves signal stability, as we actually verified according to **Figure 8**. Typically we observed a maximal relative standard deviation (RSD)⁵⁷ not exceeding 10% for the main Raman peaks of cytC at both $\lambda_{\text{ex}} = 532$ nm and 638 nm, which resembles RSD values ascribed to SERS substrate with high spatial uniformity and reproducibility.⁶⁴

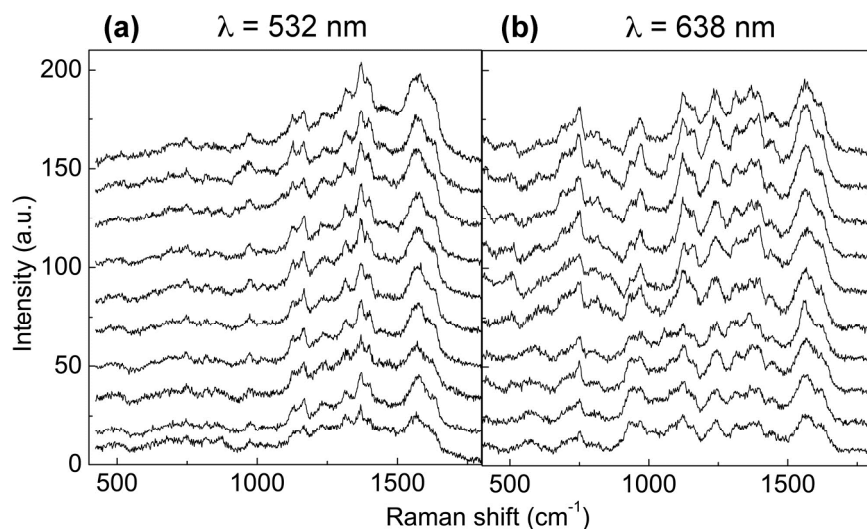


Figure 8. Series of random SERS spectra from three Ag nanocube dispersions incubated with 1×10^{-8} cytC at $\lambda_{\text{ex}} = 532$ nm (a) and at $\lambda_{\text{ex}} = 638$ nm (b). RSD values of the intensity referred to the 1373 cm^{-1} peak are 9% at $\lambda_{\text{ex}} = 532$ nm and 10% at $\lambda_{\text{ex}} = 638$ nm.

CONCLUSIONS

In conclusion, we have revealed a strategy to generate intense, sensitive and stable SERS spectra from proteins in liquid at physiological pH and at low concentration, by exploiting the optical and chemical properties of nanocubes. In our scheme a PVP coating shields the contacts between protein and metal at the cube faces and drives the preferential interaction of the protein with the corners, where PVP is rare. In this way the protein molecules gather on the hot spots of the SERS system where they experience an intense E-field. Accordingly, undesirable signal fluctuations caused by molecular adsorption to different particle areas are circumvented. The use of PVP also assures an optimal colloidal stability during the incubation phase with the protein in physiological buffer as well as during the course of the measurement, discarding the occurrence of aggregation events. The scheme here adopted yields high sensitivity and reproducibility in

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3 both resonant and nonresonant excitation conditions of the protein and offers the perspective of
4 highly controlled and reliable routine detection of proteins based on SERS.
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10 11 EXPERIMENTAL SECTION 12

13 14 **Materials** 15

16 Cyt C from bovine heart and all salt reagents were purchased from Sigma Aldrich and used as
17 received. A stock solution of protein at 1×10^{-4} M was prepared by dissolving the protein in a 7
18 mM phosphate buffer solution (pH 7.4). Phosphate buffer was prepared by mixing proper
19 amounts of Na_2HPO_4 and NaH_2PO_4 in ultrapure Milli-Q water. Cyt C samples in the 1×10^{-5} M
20 to 1×10^{-7} M range were prepared immediately before the incubation with the cubes by diluting
21 with buffer.
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32 33 **Silver nanocube preparation** 34

35 Ag nanocubes were prepared through a protocol reported by Skrabalak *et al.*²⁹ with minor
36 modifications.³⁰ In brief, 30 mL of ethylene glycol was added to a 250-mL round-bottomed flask
37 and was heated in an oil bath at 150 °C under magnetic stirring. After 50-min preheating, a flow
38 of argon was introduced at a rate of 1200 mL/min. Ten min after, 0.35 mL of 3 mM sodium
39 sulfide solution in ethylene glycol (EG), was quickly injected into the preheated EG solution,
40 followed by injection of a PVP (MW = 55000 Da) solution in EG (7.5 mL, 20 g/L) and 8 min
41 later by injection of a silver nitrate solution in EG (2.5 mL, 48 g/L). Shortly after the addition of
42 AgNO_3 , the reaction solution went through four distinct stages of color change from golden
43 yellow to deep red, reddish gray, and then green ocher. The reaction was controlled by
44 approaching of major absorption peak to 450 nm. Then, the reaction was stopped by addition of
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3 ice-cooled acetone and placing the reaction flask in an ice-water bath. The resultant product was
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5 washed by centrifugation (12000 g, 30 min) and redispersed in acetone, followed by
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7 centrifugation (12000 g, 30 min) and redispersion in ethanol to remove excess of EG and PVP.
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9 Finally, the Ag nanocubes were redispersed in 20 mL of ethanol. The suspensions of cubes thus
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11 obtained were stored in centrifuge tubes at -20°C and used within 3 months from their
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13 fabrication.
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20 **Surface-enhanced Raman scattering spectroscopy**

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22 Prior to incubation, 10 µl of nanoparticles were diluted in phosphate buffer and sonicated to
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24 avoid aggregation. Then 5 µL of protein sample were mixed with the nanocubes to obtain a final
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26 volume of 500 µL and final protein concentrations in the 10^{-7} M ÷ 10^{-9} M range. Incubation time
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28 was of two hours at room temperature, then samples were concentrated to 30 µL after
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30 centrifugation, obtaining a final particle density of 1×10^{12} particles/mL. SERS experiments
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32 were carried out using a micro-Horiba Xplora system coupled to 532 nm and 638 nm lasers. The
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34 back-scattering light was collected by a 10× microscope objective with 0.25 NA, which
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36 generates a ~7 µm-large laser beam waist and provides an average SERS response minimizing
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38 possible local signal variability. Acquisition time of each spectrum was 10 s with 2
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40 accumulations. Laser powers at the sample of 4.8 mW at 532 nm and 2.1 mW at 638 nm were
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42 measured. Diffraction gratings of 1800 g/mm and 1200 g/mm were used at 532 nm and 638 nm,
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44 respectively. SERS measurements were performed by focusing the laser beam on the exposed
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46 face of a drop of suspended solution by using the plastic support described in the text (**Figure 3**)
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48 and for a maximum of 6 min time per drop. The support was subjected to a washing step with
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50 ethanol followed by plasma pre-treatment (Harrick Scientific Corp., PDC-002 operated at 60 Hz
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3 and 0.2 Torr air) for 5 min before use. SERS data shown in **Figure 4 and Figure 7** represent an
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5 average as detailed in the caption; instead the spectra of **Figure 8** represent single acquisitions.
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8 The data at 638 nm are baseline corrected.
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10 11 12 **Characterization of particles and particle/protein interactions**

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15 The optical properties of the silver nanocubes were characterized by both a Jasco V-560
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17 spectrophotometer and a bench spectrometer (EPP2000 by Stellarnet Inc.) connected to a Leica
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19 DM2500 microscope operated in transmission mode.
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22 TEM and STEM analyses were performed in a (Cs)-probe-corrected JEOL ARM200CF at a
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24 primary beam energy of 200 keV operating in both transmission and scanning mode. The inner
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26 and outer collection angles of the annular dark-field detector were 68 and 280 mrad for annular
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28 dark field imaging. TEM samples were prepared by dropping a nanocube solution on a lacey-
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30 carbon TEM grid. STEM analysis was used for imaging the Ag nanocubes and its crystal lattice.
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32 With this technique in fact it is possible to image the position of the atomic column of Ag atoms
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34 along the e-beam direction. Due large differences in effective atomic number (Z) and thickness
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36 between Ag and the PVP, it was not possible to image both at the same time by using Z -contrast
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38 technique. To this purpose we used TEM bright field imaging. With this technique phase contrast
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40 helps to give much higher contrast between crystalline silver and amorphous PVP.
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46 Methods used for FEM modeling as well as for MD simulations are described in Supporting
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48 Information.
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54 ASSOCIATED CONTENT

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3 **Supporting Information Available.** TEM analysis and TEM-based size distribution of silver
4 nanocubes; extinction spectra of cytC and of Ag nanocubes; calculation of the number of cytC
5 molecules per particle; procedure used for simulating the E-field distribution; extinction spectra
6 before and after incubation with the protein; procedure used for MD simulations and additional
7 results; SERS analysis, EF and G calculation for myoglobin. This material is available free of
8 charge *via* the Internet at <http://pubs.acs.org>.
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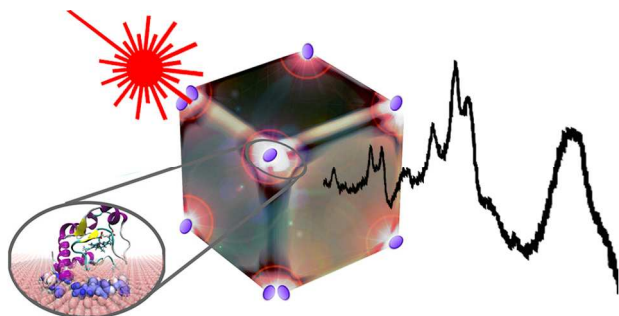
30 31 **Author Contributions**

32
33 The manuscript was written through contributions of all authors. All authors have given approval
34 to the final version of the manuscript. ‡These authors contributed equally.
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37

38 39 ACKNOWLEDGMENT

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TOC



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